

Request for Additional Investment



The Sun Life Prosperity Funds, referred to as "Funds," are all managed and distributed by Sun Life Asset Management Company, Inc. (SLAMCI), a member of the Sun Life group of companies.

In this form, you and your refer to the investor/institution and/or its Authorized Representative(s), while we, us, and our refer to SLAMCI.

IMPORTANT NOTES:

You must accomplish and submit the form and proof(s) of payment to any of our Mutual Fund (MF) Representatives, Client Service Centers, or through an e-mail to RequestSLAMCI@sunlife.com. All signed documents sent via e-mail shall be treated as original copies. For inquiries, please send an e-mail to sunlink@sunlife.com.

Please write legibly using **CAPITAL LETTERS**. Write **N/A** if the question is not applicable. Mark the box(es) with a "✓" to indicate your choice(s), then sign the form only when completely filled out. Fields in **BOLD Letters** are **ALL MANDATORY**.

A Account Information				
1. MF Account Number (e.g. 01234567CF01)				
2. MF Account Type	Individual/Individual ITF	Joint AND/Joint AND ITF	Joint OR/Joint OR ITF	Corporate/Institutional
3. MF Account Name				

B Additional Investment Details				
Notes on Additional Investments:				
a. If you are investing to a Fund(s) suited for a more aggressive risk profile, you may:				
<ul style="list-style-type: none"> • Fill out the Suitability Waiver Acknowledgment ("waiver") below, OR; • Choose to update your risk profile by submitting the Investor Suitability Assessment Form (for individual/joint accounts) or the Investor Suitability Assessment (Institutions) (for corporate/institutional accounts), and then signing the waiver as applicable. 				
b. You may invest regularly using your bank account with any of SLAMCI's participating banks. To register, please fill out the: (1) SLAMCI's Auto-Invest Enrollment Form and; (2) the Bank's Automatic Debit Arrangement (ADA) Form.				
c. If investment is coursed through an advisor, always request for an Acknowledgment Receipt (A.R.).				

4. Fund Name (1)	4a. Sales Load	Front-End Load*	Back-End Load**	Others
	4b. Investment Amount	PHP	USD	, , , .
	4c. Amount in Words			
5. Fund Name (2)	5a. Sales Load	Front-End Load*	Back-End Load**	Others
	5b. Investment Amount	PHP	USD	, , , .
	5c. Amount in Words			
6. Fund Name (3)	6a. Sales Load	Front-End Load*	Back-End Load**	Others
	6b. Investment Amount	PHP	USD	, , , .
	6c. Amount in Words			

* Front-end load are charges to be paid by the investor at the time of investment.

** Back-end load are charges to be paid by the investor at the time of redemption, based on number of years invested.

7. Please select the source(s) of funding you will use for your additional investment(s) (Select all that apply)

Proceeds from Sale of Assets [Please specify asset(s) sold]	Inheritance [Pls. specify property(ies) inherited]
Time Deposits/Mutual Funds/Other Investments Allowance/Donation/Regular Remittances/Gift [Pls. fill out section D.3. (Third Party Determination and Beneficial Owner Identification)]	Salaries/Bonus from Employment/Retirement Fund/Pension/ Matured Pre-need Plan/Insurance Policy Proceeds (e.g., death claims, endowment)
Income from Business [Pls. specify business name and nature]	Other source(s) [Pls. specify]
Income from Rentals Commissions/Professional Fees	

Note: For further validation, SLAMCI may require additional due diligence requirements.



B Additional Investment Details (Continuation)

8. Taxpayer Identification Number (TIN)*

**If you are investing in an income-paying Fund, kindly provide the TIN of each accountholder. The details provided will be used to update any existing records. In the event of a joint account with more than three (3) accountholders, kindly furnish another copy of this page for the additional accountholders.*

Name(s) of Investor(s)	TIN
	- -
	- -
	- -

9. Payment Details (select all that apply)

- | | |
|--|---|
| Cash | Transfer from Sun Life Insurance Policy/Plan Agreement, |
| Check (To be processed only upon receipt of cleared funds) | indicate policy number |
| Check No. | Telegraphic Transfer |
| Drawee Bank | Prosperity Card, indicate card number |
| Direct Deposit/Bank Transfer to SLAMCI Bank Account | Others |
| Name of the Bank | |

C Reduced Sales Load Option

10. Lower Front-End Sales Load

(If you wish to reduce your sales load to 15% or lower, VAT exclusive) You may apply for this privilege if you have an aggregate investment of at least PHP100,000 in your own and qualified related mutual fund accounts, subject to SLAMCI's approval.*

Account Number(s)	Full Account Name (Last Name, First Name, Middle Name)	Relationship to Investor

** Refers to parents, spouse, children, siblings, grandparents, and grandchildren.*

D Enrollment of Settlement Account(s)

Note: If you are investing in any of our income-paying funds, kindly enroll a default Settlement Bank Account (SBA) where future redemptions and other proceeds (i.e., cash dividends) will be credited. Bank account/s provided below will be used to update any existing records and will be subject to SLAMCI's verification process. Fill out whichever currency(-ies) is/are applicable.

Enrollment of settlement bank account is subject to the following guidelines:

- Only one settlement bank account shall be enrolled per currency, per MF Account. You may use the same SBA for your other MF Account(s), provided it/they pertain(s) to the same accountholder(s)*.
- Your settlement bank account name must be exactly the same (in order, format, and joint account type when applicable) as the MF Account Name to be created, in order for redemption and other proceeds to be credited by the bank.
- SLAMCI will credit all future redemptions and other proceeds from all Funds to your enrolled settlement bank account. We will not be liable for delays in, or unsuccessful crediting of redemption and other proceeds due to errors or discrepancies in bank account name and/or other information provided.
- Any new enrollments or updates to your settlement details are subject to SLAMCI's review and will supersede previously enrolled SBA.

Currency (whichever is applicable)	Bank Name	Bank Account Name	Bank Account Number (SA/CA)
11. Philippine Peso (PHP) Settlement Bank Account			
12. US Dollar (USD) Settlement Bank Account			

If you wish to enroll the above bank accounts as default settlement bank accounts for your other existing MF accounts, kindly list down the account numbers below.

*Type of Fund	MF Account 1	MF Account 2	MF Account 3	MF Account 4	MF Account 5
13. Peso MF					
14. USD MF					

Requirement for Proof(s) of Bank Account Ownership:

- As an extra validation measure, please provide any one (1) of the documents below as proof that you are the authorized owner(s) of the settlement bank account(s) nominated above:
 - Bank Statement of Account
 - Certificate of Bank Deposits
 - First Page of Account Passbook
 - Check
 - ATM Card showing the bank account number
 - Machine-validated Deposit Slip
 - Machine-validated Withdrawal Slip
- The bank account number(s) and the account name(s) must appear on **one (1) page** and should be readable and clear. **SLAMCI may require presentation of additional documents to validate your submission(s).**

E Suitability Waiver Assessment

If you are investing in or switching to at least one (1) Fund that is suited for a more aggressive risk profile, please acknowledge the following declarations by marking the check box below and provide a reason why you opt to choose a fund suited for a more aggressive risk profile. You may also choose to update your risk profile by submitting the Investor Suitability Assessment Form (for individual/joint accounts) or the Investor Suitability Assessment (Institutions) (for corporate/institutional accounts), and then signing the waiver as applicable.

15. By selecting this, you confirm that:
- You have decided to invest in a Fund(s) suited for a more aggressive risk profile.
 - You signify that you do not agree with, and you are waiving the result of this Investor Suitability Assessment.
 - You acknowledge that you shall bear the consequences of investing in a Fund(s) suited for a more aggressive risk profile.
 - You are fully aware of your current risk profile and fully understand the risk rating of the products and services that you are investing into. You hold SLAMCI, its representatives, employees, officers, directors and successors-in-interest, free and harmless from any and all liabilities, claims, opportunity cost, and causes of action of whatever kind or nature that may affect you as a result thereof.

16. Please provide a reason for investing in a Fund(s) suited for a more aggressive risk profile:

F Terms and Conditions

You may also refer to sunlife.co/slamci-terms-and-conditions for the full Terms and Conditions.

Purchase Price

The principal amount of investment shall purchase the corresponding number of Fund shares/units at the applicable Net Asset Value Per Share (NAVPS)/Net Asset Value Per Unit (NAVPU), less any sales load and taxes. The applicable NAVPS/NAVPU will be used for purchases made within the daily cut-off time.

Limited Liability

You agree to hold SLAMCI, its affiliates, employees and agents free and harmless from and against any and all claims, losses, including opportunity loss, damages, or expenses due to failure or delay to process your transaction(s), or inability to accept your transaction(s), except those claims, losses, damages, or expenses directly arising from SLAMCI's fraud, willful misconduct, or gross negligence.

Right to Accept, Defer, or Reject Subscriptions; Adjustment of Account

SLAMCI reserves the right to accept or reject any application at its sole discretion, as determined by the law or its policies. It may also defer processing of your subscriptions until your submission of complete or additional requirements and/or after payments have been posted based on applicable NAVPS/NAVPU. If this application is not accepted in whole or in part, SLAMCI will refund the corresponding investment to the investor without interest, within ten (10) business days from the date of submission of this application. SLAMCI is authorized to close your account(s) or effect any adjustments on any of your account(s), to correct any error or mistake committed, without need for any further instrument or consent.

Applicable Laws

This application and related documents shall be governed by and interpreted in accordance with local or foreign laws that apply to SLAMCI. Any legal action arising thereupon shall be filed exclusively before the courts of Makati City, Philippines. This application is governed by the terms and conditions of the Prospectuses of the Sun Life Prosperity Funds.

Compliance with the Foreign Account Tax Compliance Act (FATCA)

You will inform us within thirty (30) calendar days of any change in your circumstances, including but not limited to citizenship, and submit the applicable documents accordingly. You likewise acknowledge SLAMCI's statutory responsibility to provide your information, including but not limited to local or foreign tax status, to the appropriate authority.

Compliance with the Data Privacy Act of 2012 (R.A. No. 10173)

You agree to the processing of your personal and sensitive personal information for the purpose of implementing your request/instructions herein in accordance with the Company's Privacy Policy available at <https://online.sunlife.com.ph/privacy>, reaffirm your consent to the processing of your personal data as recorded in your most recent application form, and acknowledge that such consent continues to be in full force and effect. Your rights include the right to be informed, access your data, rectify errors, object to processing, and file a complaint. For more information about your rights and how we protect your data, you may access our privacy policy at <https://online.sunlife.com.ph/privacy>. Should you have any concerns in relation to your rights or the processing of your personal data, you may get in touch with our Data Protection Officer at privacyconcern@sunlife.com.

Compliance with the Financial Consumer Protection Act of 2022 (R.A. No. 11765)

If applicable, you confirm that you have received, read, and understood the Funds' prospectuses, and that your MF Advisor/RM/ Broker has explained to you the financial statements, fundamental benefits and risks, policies, terms and conditions, pricing, and other costs associated to the product.

You understand that SLAMCI reserves the right to provide recommendations for products offered by its affiliated companies, which may entail limited product availability. These recommendations may also be influenced by commissions or rebates received by the Company and/or its financial advisors.

G Investor's Acknowledgment and Agreement

By signing below, you confirm that:

- You are performing this transaction while in the Philippines.
- All information provided in this form are true, correct, and complete. All agreements made with SLAMCI are set out in this form.
- If applicable, you declare under pain of criminal and/or civil liability that you are the owner of the stated settlement bank account(s). We will not be liable if the proceeds are credited to an erroneous bank account due to your fault or negligence. Bank charges, if any, will be taken from your account.
- If applicable, crediting of redemption and other proceeds to your designated settlement bank account number or account name fully releases and discharges SLAMCI from any claims or liabilities related thereto.
- The funds where the investments are sourced from were not generated from, or in any way related to, any of the unlawful activities listed in the Anti-Money Laundering Act (AMLA) and the Terrorism Financing Prevention and Suppression Act (TFPSA).
- You consent to be bound by the obligations set out in the AMLA, TFPSA, and relevant United Nations Security Council Resolutions (UNSCR) relating to the prevention and suppression of terrorism, terrorist financing, and financing of proliferation of weapons of mass destruction, including the freezing and unfreezing actions as well as prohibitions from conducting transactions with designated persons and entities. In this regard, you authorize SLAMCI to freeze and unfreeze your account pursuant to UNSCR and issuances of the Anti-Money Laundering Commission (AMLC), regardless of the filing of civil forfeiture proceedings.
- Investments under the "front-end sales load" (e.g., World Equity Index Feeder Fund and Dollar Wellspring Fund) are subject to a holding period. Redemptions made during this time shall carry a fee. Investments under the "back-end sales load" will be subject to deferred sales fees corresponding to the amount and actual holding period.
- The sales load you choose is of your own choice and not solely based on the advice of your Mutual Fund (MF) Representative.
- You agree to immediately send the required/updated information to SLAMCI if any of the information has changed, or at least once every three (3) years after account opening or anytime upon SLAMCI's request. Otherwise, we may refuse to accept additional subscriptions and fund switches, or terminate your account, in which case you shall receive the redemption value of your shares/units based on the NAVPS/NAVPU as of termination date.

G Investor's Acknowledgment and Agreement (Continuation)

- j. You confirm that you have received, read, and understood the Funds' prospectuses, and that your MF Advisor/ RM/ Broker has explained to you the financial statements, fundamental benefits and risks, policies, terms and conditions, pricing, and other costs associated to the product.
- k. Electronic or digital signatures or sign-in-wraps utilized in this form shall have the same force and effect as a manual signature. You understand the risks and assume full responsibility for all your electronic transactions, and warrant that SLAMCI can rely on your electronic signatures and/or instructions via electronic means. We do not assume any responsibility for technical errors, failure to access, delay or any similar occurrences beyond our reasonable control.
- l. We can make adjustments to your account(s) to correct any error and modify or terminate any investor privilege. Should you violate any of our terms/conditions/policies, we may terminate your account and charge a fee, in which case you shall receive the redemption value of your shares/units based on the applicable NAVPS/NAVPU as of termination date less any such fees.
- m. For Joint OR and AND accounts, shares/units shall be divided proportionately among the investors, rounded down to the nearest whole number for shares or two (2) decimal places for units. Any excess shall belong to the principal investor.
- n. Your financial transactions are based on your continuing declaration and representation that your co-investor(s) is/are still living and SLAMCI may, at its discretion rely on such continuing declaration and representation. SLAMCI reserves the right to seek legal action in the event of any misrepresentation or fraud with regard to this request.
- o. You understand that SLAMCI reserves the right to provide recommendations for products offered by its affiliated companies, which may entail limited product availability. These recommendations may also be influenced by commissions or rebates received by SLAMCI and/or its financial advisors.
- p. You agree to hold SLAMCI free and harmless and to bear the risk of your investment or financial decision under the following circumstances: (1) your needs and risk profile were based on inaccurate or insufficient information that you have provided; and (2) you decided to invest in products that are of higher risk rating than your risk profile.
- q. You are fully aware of your current risk profile and fully understand the risk rating of the products and services that you are investing into. You hold SLAMCI, its representatives, employees, officers, directors, and successors-in-interest, free and harmless from any and all liabilities, claims, opportunity cost, and causes of action of whatever kind or nature that may affect you as a result thereof.

17. Printed Name and Signature of Principal Investor / Institution's Authorized Representative (1) <div style="text-align: center;">Please sign here</div> <div style="border: 1px solid black; width: 200px; height: 30px; margin: 0 auto;"></div>	18. Date Signed* (e.g. 14-MAY-2024)
19. Printed Name and Signature of Co-Investor (1) / Institution's Authorized Representative (2) <div style="text-align: center;">Please sign here</div> <div style="border: 1px solid black; width: 200px; height: 30px; margin: 0 auto;"></div>	20. Date Signed* (e.g. 14-MAY-2024)
21. Printed Name and Signature of Co-Investor (2) / Institution's Authorized Representative (3) <div style="text-align: center;">Please sign here</div> <div style="border: 1px solid black; width: 200px; height: 30px; margin: 0 auto;"></div>	22. Date Signed* (e.g. 14-MAY-2024)

* This form is valid for ninety (90) days from the time of its signature.

FOR THE MUTUAL FUND (MF) REPRESENTATIVE'S / BROKER'S USE ONLY

By signing below, you confirm that:

- a. You obtained satisfactory evidence to establish the accuracy of the information in this form and the investor's true identity.
- b. You met the investor personally or via video conference to perform the appropriate Know-Your-Client (KYC) and Investor Suitability Assessment (ISA) processes
- c. To the best of your knowledge, the investor is not engaged in any unlawful activity as mentioned in the Anti-Money Laundering Act (AMLA); and any amount that the investor will invest comes from legitimate sources. If at any time, in your opinion, the credibility of the investor's financial sources becomes questionable, you are required to immediately inform SLAMCI in writing to provide any other information needed to comply with local and foreign laws.
- d. You have asked the investor to confirm that he/she is in the Philippines at the time of the transaction, and you have no reason to believe otherwise.
- e. You advised the investor(s) that transactions will only be processed upon submission of complete information, documentary requirements, and cleared funds.
- f. You have accurately represented the nature and structure of the product and/or service, terms and conditions, fundamental benefits and risks, detailed breakdown of the pricing, or any cost associated with the product and service.
- g. You performed sufficient research to align product selection with the investor's risk profile and informed the investor(s) that incomplete or inaccurate information regarding their financial knowledge and experience may impede the ability to accurately assess product suitability due to the limited information provided.
- h. You explained all the risks related to the investment, in case this differs from the recommended product(s).
- i. You made full disclosure to the investor(s) that you receive compensation on a commission basis from the sale of investment products.
- j. You agree to be bound by any disciplinary body and be subject to appropriate action for any confirmed violation of the above.

23. Printed Name and Signature of MF Representative/Broker <div style="text-align: center;">Please sign here</div> <div style="border: 1px solid black; width: 280px; height: 30px; margin: 0 auto;"></div>	24. Promo / Lead Conversion No.	25. A.R. No. Issued	26. Date Signed (e.g. 14-MAY-2024)
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FOR OFFICE USE ONLY

27. Date Received (e.g. 14-MAY-2024)	28. Time Received	29. Receiving Department/Office
30. Remarks		