

**SUN LIFE OF CANADA (PHILIPPINES), INC.**

**AGENDA FOR THE REGULAR MEETING OF THE AUDIT, RISK MANAGEMENT AND  
REVIEW OF RELATED PARTY TRANSACTIONS COMMITTEE**

Date : 03 March 2022  
 Duration : 8:30 a.m. – 10:00 a.m.  
 Place : Zoom Video Conference

BUSINESS		RESPONSIBLE PARTY	ACTION REQUIRED	APPENDIX
1	Call to Order (8:30)	Chairman		
2	Certification of Notice and Quorum (8:31)	Corporate Secretary	Note	
3	Minutes of the Previous Meeting (07 December 2021) (8:31-8:32)	Chairman	Approve	/
4	Matters Arising from the Previous Meeting: (1) (8:32-8:33)	Corporate Secretary	Note	/
5	Presentation of the 2021 Audit Results and Management Letter (8:33-8:45)	Deloitte	Note	/
6	Audited Financial Statements for 2021 (8:45-8:55)	Deloitte / Candy S. Esteban	Note	/
7	Private Meeting of the Non-Executive Members of the Committee with the External Auditor (8:55-9:05)	Chairman		
8	Financial Review Summary (9:05-9:15)	Candy S. Esteban	Note	/
9	Report on Control (9:15-9:20)	Joel O. Bungabong	Note	/
10	Private Meeting Between the Non-Executive Members of the Committee and the Internal Auditor (9:20-9:30)	Chairman		
11	Review of Committee Charter (9:30-9:35)	Corporate Secretary	Approve	/
12	Forward Agenda (9:35-9:36)	Corporate Secretary	Approve	/
13	Other Matters, if any (9:37)	Corporate Secretary	Note	
14	Adjournment (9:38)	Chairman	Approve	

## Distribution List

### Committee Members

- Jose Isidro N. Camacho\*, Committee Chairman
- Francisco S.A. Sandejas\*
- Ingrid Johnson

### Attendees

- Benedicto C. Sison, CEO & Country Head
- Alexander S. Narciso, President
- Lucy C.L. Chou, Director
- Candy S. Esteban, Treasurer & Chief Financial Officer
- Navarro Amper & Co./ Deloitte Touche Tohmatsu, External Auditor
- Abigail L. Viaje, Chief Actuary
- Joel O. Bungabong, Internal Auditor
- Maria Sachiko A. Pang, Chief Financial Management Officer
- Gaurav Mishra, Chief Operations and Digital Enterprise Officer
- Pia Angelica Javier, Head, IT Account Management & Delivery Support
- Ivan P. Corcuera, Head of Investments
- Michael Gerard D. Enriquez, SLIMTC President and Chief Investment Officer
- Edgar S. Tordesillas, General Counsel
- Anna Katrina C. Kabigting-Ibero, Corporate Secretary
- Winlove Apple Sandalo-Esperanza, Assistant Corporate Secretary
- Maria Junifer M. Maliglig, Law Clerk

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\*Independent Director

**SUN LIFE OF CANADA (PHILIPPINES), INC.**

**AGENDA FOR THE REGULAR MEETING OF THE AUDIT, RISK MANAGEMENT  
AND THE REVIEW COMMITTEE FOR RELATED PARTY TRANSACTIONS**

Date : 05 May 2022  
 Duration : 9:30 a.m. – 11:00 a.m.  
 Place : Zoom Video Conference

BUSINESS		RESPONSIBLE PARTY	ACTION REQUIRED	APPENDIX
1	Call to Order (9:30)	Chairman		
2	Certification of Notice and Quorum (9:31)	Corporate Secretary	Note	
3	Minutes of the Previous Meeting (03 March 2022) (9:32- 9:33)	Chairman	Approve	/
4	Matters Arising from the Previous Meeting: (2) (9:33-9:45)	Chairman	Note	/
5	Key Risks Update, including: (9:45-10:05)	Chairman		
5a	a. Key Risks Update	Ria V. Mercado	Note	/
5b	b. 2022 Key Risks Report	Ria V. Mercado	Note	/
5c	c. Market Risk Tolerance Limit (MRTL)	Ria V. Mercado	Note	/
5d	d. Annual Risk Questionnaire Results	Ria V. Mercado	Note	/
6	Compliance Report (10:05-10:35)	Ma. Jemilyn S. Camania	Note	/
7	2022 Compliance Plan (10:35 - 10:40)	Ma. Jemilyn S. Camania	Approve	/
8	Private Meeting Between the Committee and the Compliance Officer (10:40-10:45)	Chairman		
9	Private Meeting Between the Committee and the Risk Officer (10:45-10:50)	Chairman		
10	Review of Related Party Transactions (10:50-10:53)	Candy S. Esteban	Note	/
11	Review of RPT Operating Guidelines (10:53-10:55)	Ma. Jemilyn S. Camania	Approve	/
12	Forward Agenda (10:56)	Corporate Secretary	Note	/
13	Other Matters, if any (10:57)	Chairman	Note	
14	Adjournment (11:00)	Chairman	Approve	

## Distribution List

### Committee Members

- Jose Isidro N. Camacho\*, Committee Chairman
- Ingrid Gail Johnson, Director
- Francisco S. A. Sandejas\*

### Attendees

- Benedicto C. Sison, CEO & Country Head
- Alexander S. Narciso, President
- Lucy C.L. Chou, Director
- Candy S. Esteban, Treasurer & CFO
- Abigail L. Viaje, Chief Actuary
- Maria Sachiko A. Pang, Chief Financial Management Officer
- Ria V. Mercado, Chief Risk Officer
- Ma. Jemilyn S. Camania, Compliance Officer
- Ivan P. Corcuera, Head, Investments
- Gaurav Mishra, Chief Operations & Digital Enterprise Officer
- Pia Angelica Javier, Head, IT Account Management & Delivery Support
- Joel O. Bungabong, Internal Auditor
- Edgar S. Tordesillas, General Counsel
- Anna Katrina C. Kabigting-Ibero, Corporate Secretary
- Frances Ianna S. Canto, Counsel
- Maria Junifer L. Maliglig, Law Clerk

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\* *Independent Director*

**SUN LIFE OF CANADA (PHILIPPINES), INC.**

**AGENDA FOR THE REGULAR MEETING OF THE AUDIT, RISK MANAGEMENT AND  
REVIEW OF RELATED PARTY TRANSACTIONS COMMITTEE**

Date : 07 June 2022  
 Duration : 8:00 a.m. – 10:30 a.m.  
 Place : Zoom Meeting Conference

BUSINESS		RESPONSIBLE PARTY	ACTION REQUIRED	APPENDIX
0	<i>Breakfast Meeting of Non-Executive Directors without Management Present (8:00)</i>			
1	Call to Order (8:15)	Chairman		
2	Certification of Notice and Quorum (8:16)	Corporate Secretary	Note	
3	Minutes of the Previous Meeting (05 May 2022) (8:16-8:17)	Chairman	Approve	/
4	Matters Arising from the Previous Meeting: None (8:17-8:18)	Corporate Secretary	Note	/
5	Financial Review Summary (8:18-8:40)	Candy S. Esteban	Note	/
6	Review of External Audit Relationship (8:40-8:45)	Candy S. Esteban	Approve/ Endorse	
7	Review of Related Party Transactions (8:45-8:50)	Candy S. Esteban	Note	/
8	Updating the RPT Form (8:50-8:55)	Corporate Secretary	Note	/
9	Key Risks Update, including: (8:55-9:15)	Chairman		
9a	a. Key Risks Update	Ria V. Mercado	Note	/
9b	b. Stress Testing Results	Ria V. Mercado	Note	/
10	Compliance Report (9:15-9:35)	Ma. Jemilyn S. Camania	Note	/
11	Report on Controls (9:35-9:50)	Joel O. Bungabong	Note	/
12	Private Meeting Between the Committee and the Internal Auditor (9:50-10:00)	Chairman		
13	Private Meeting Between the Committee and the Compliance Officer (10:00-10:10)	Chairman		
14	Private Meeting Between the Committee and the Risk Officer (10:10-10:20)	Chairman		
15	Forward Agenda (10:21)	Corporate Secretary	Note	/
16	Adjournment (10:22)	Chairman	Approve	

## Distribution List

### Committee Members

- Jose Isidro N. Camacho\*, Committee Chairman
- Ingrid Gail Johnson, Director
- Francisco S. A. Sandejas\*

### Attendees

- Benedicto C. Sison, CEO & Country Head
- Alexander S. Narciso, President
- Lucy C.L. Chou, Director
- Candy S. Esteban, Treasurer & CFO
- Abigail L. Viaje, Chief Actuary
- Maria Sachiko A. Pang, Chief Financial Management Officer
- Ria V. Mercado, Chief Risk Officer
- Ma. Jemilyn S. Camania, Compliance Officer
- Ivan P. Corcuera, Head, Investments
- Gaurav Mishra, Chief Operations & Digital Enterprise Officer
- Pia Angelica Javier, Head, IT Account Management & Delivery Support
- Joel O. Bungabong, Internal Auditor
- Edgar S. Tordesillas, General Counsel
- Anna Katrina C. Kabigting-Ibero, Corporate Secretary
- Frances Ianna S. Canto, Counsel
- Maria Junifer L. Maliglig, Law Clerk

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\* *Independent Director*

**SUN LIFE OF CANADA (PHILIPPINES), INC.**

**AGENDA FOR THE REGULAR MEETING OF THE AUDIT, RISK MANAGEMENT AND  
REVIEW OF RELATED PARTY TRANSACTIONS COMMITTEE**

Date : 06 September 2022  
 Duration : 8:25 a.m. – 10:30 a.m.  
 Place : Zoom Meeting Conference

BUSINESS		RESPONSIBLE PARTY	ACTION REQUIRED	APPENDIX
1	Call to Order (8:25)	Chairman		
2	Certification of Notice and Quorum (8:26)	Corporate Secretary	Note	
3	Minutes of the Previous Meeting (07 June 2022) (8:26-8:27)	Chairman	Approve	/
4	Matters Arising from the Previous Meeting: None (8:27-8:28)	Corporate Secretary	Note	/
5	External Audit Plan for Audit Year 2022 (8:28-8:50)	Deloitte	Approve	/
6	Private Meeting Between the Non-Executive Members of the Committee and the External Auditor (8:50-9:00)	Chairman		
7	Financial Review Summary (9:00-9:10)	Candy S. Esteban	Note	/
8	Review of Related Party Transactions (9:10-9:15)	Candy S. Esteban	Note	/
9	Key Risks Update, including: (9:15-9:40)	Chairman		
9a	a. Key Risks Update	Ria V. Mercado	Note	/
9b	b. Stress Testing Results	Ria V. Mercado	Note	/
10	Compliance Report (9:40-9:45)	Ma. Jemilyn S. Camania	Note	/
11	Report on Controls (9:45-9:55)	Joel O. Bungabong	Note	/
12	Private Meeting Between the Committee and the Internal Auditor (9:55-10:05)	Chairman		
13	Private Meeting Between the Committee and the Compliance Officer (10:05-10:15)	Chairman		
14	Private Meeting Between the Committee and the Risk Officer (10:15-10:25)	Chairman		
15	Forward Agenda (10:26)	Corporate Secretary	Note	/
16	Adjournment (10:27)	Chairman	Approve	

## Distribution List

### Committee Members

- Jose Isidro N. Camacho\*, Committee Chairman
- Ingrid Gail Johnson, Director
- Francisco S. A. Sandejas\*

### Attendees

- Benedicto C. Sison, CEO & Country Head
- Alexander S. Narciso, President
- Lucy C.L. Chou, Director
- Candy S. Esteban, Treasurer & CFO
- Deloitte Touche Tohmatsu/ Navarro Amper, External Auditor
- Abigail L. Viaje, Chief Actuary
- Maria Sachiko A. Pang, Chief Financial Management Officer
- Ria V. Mercado, Chief Risk Officer
- Ma. Jemilyn S. Camania, Compliance Officer
- Maria Pia A. Urgello, Deputy Compliance Officer
- Ivan P. Corcuera, Head, Investments
- Gaurav Mishra, Chief Operations & Digital Enterprise Officer
- Pia Angelica Javier, Chief Technology Modernization Officer
- Joel O. Bungabong, Internal Auditor
- Edgar S. Tordesillas, General Counsel
- Anna Katrina C. Kabigting-Ibero, Corporate Secretary
- Frances Ianna S. Canto, incoming Assistant Corporate Secretary
- Maria Junifer L. Maliglig, Law Clerk

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\* *Independent Director*



**SUN LIFE OF CANADA (PHILIPPINES), INC.**

**AGENDA FOR THE REGULAR MEETING OF THE AUDIT, RISK MANAGEMENT AND  
REVIEW OF RELATED PARTY TRANSACTIONS COMMITTEE**

Date : 06 December 2022  
 Duration : 9:00 a.m. - 11:15 a.m.  
 Place : Zoom Meeting Conference

BUSINESS		RESPONSIBLE PARTY	ACTION REQUIRED	APPENDIX
1	Call to Order (9:00)	Chairman		
2	Certification of Notice and Quorum (9:01)	Corporate Secretary	Note	
3	Minutes of the Previous Meeting (06 September 2022) (9:02)	Chairman	Approve	/
4	Matters Arising from the Previous Meeting (1) (9:02-9:12)	Corporate Secretary	Note	/
5	2022 Interim Audit Update (9:12-9:20)	Deloitte	Note	/
6	Private Meeting between the External Auditor and the Committee (9:20-9:30)	Chairman		
7	Financial Review Summary (9:30-9:40)	Candy S. Esteban	Note	/
8	Review of Related Party Transactions (9:40-9:45)	Candy S. Esteban	Note	/
9	Key Risks Update, including: (9:45-10:00)	Chairman		
9a	a. Key Risks Update	Ria V. Mercado	Note	/
9b	b. Stress Testing Results	Ria V. Mercado	Note	/
10	Compliance Report (10:00-10:15)	Ma. Jemilyn S. Camania	Note	/
11	Report on Controls (10:15-10:25)	Joel O. Bungabong	Note	/
12	2023 Internal Audit Plan (10:25-10:30)	Joel O. Bungabong	Approve	/
13	Private Meeting Between the Committee and the Internal Auditor (10:30-10:40)	Chairman		
14	Private Meeting Between the Committee and the Compliance Officer (10:40-10:50)	Chairman		
15	Private Meeting Between the Committee and the Risk Officer (10:50-11:00)	Chairman		
16	Forward Agenda (11:01)	Corporate Secretary	Note	/
17	Schedule of 2023-2024 Meetings (11:02)	Corporate Secretary	Approve	/

18	Other matters, if any (11:03)			
18a	a. Security and Risk Update Report	Abhay Raman	Note	/
19	Adjournment (11:15)	Chairman	Approve	

**Distribution List**

**Committee Members**

- Jose Isidro N. Camacho\*, Committee Chairman
- Francisco S.A. Sandejas\*
- Ingrid Johnson

**Attendees**

- Benedicto C. Sison, CEO & Country Head
- Alexander S. Narciso, President
- Lucy C.L. Chou, Director
- Candy S. Esteban, Treasurer & CFO
- Deloitte Touche Tohmatsu/ Navarro Amper, External Auditor
- Ria V. Mercado, Chief Risk Officer
- Ma. Jemilyn S. Camania, Compliance Officer
- Maria Pia A. Urgello, Deputy Compliance Officer
- Abigail L. Viaje, Chief Actuary
- Maria Sachiko A. Pang, Chief Financial Management Officer
- Joel O. Bungabong, Internal Auditor
- Gaurav Mishra, Chief Operations & Digital Enterprise Officer
- Pia Angelica Javier, Chief Technology Modernization Officer
- Ivan P. Corcuera, Head of Investments
- Abhay Raman, Senior Vice President and Chief Information Security Officer, SLF Inc.
- Edgar S. Tordesillas, General Counsel
- Anna Katrina C. Kabigting-Ibero, Corporate Secretary
- Frances Ianna S. canto, Assistant Corporate Secretary
- Maria Junifer L. Maliglig, Law Clerk

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\*Independent Director