

# Request for Additional Investment



The Sun Life Prosperity Funds, referred to as "Funds," are all managed and distributed by Sun Life Asset Management Company, Inc. (SLAMCI), a member of the Sun Life Financial group of companies.

Unless the context requires otherwise, *you* and *your* refer to the investor/institution and/or its Authorized Representative(s), as may be applicable, while *we*, *us*, *our*, and the *Company* refer to SLAMCI.

**IMPORTANT NOTES:**

You must accomplish and submit the completed form and proof of payment through any of our Client Service Centers or our email at RequestSLAMCI@sunlife.com. For inquiries, please send an email to sunlink@sunlife.com.

Please write legibly by using **capital letters**. Write N/A if question is not applicable. Mark the box(es) with an "X" to indicate your choice(s) then sign the form only when completely filled out.

A General Information			
1. Account Number (e.g. 12345678CF01)	2. Type of Account	Individual Corporate	Joint AND Joint OR
3a. Name of Investor			
Last Name			
First Name	M.I.		
3b. Company Name (for Corporate Account)			

B Additional Investment Details	
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You may invest regularly from your account with any of SLAMCI's participating banks. To register, fill out the Auto-Invest Enrollment Form. If investment is coursed through an advisor, always request for an Acknowledgment Receipt (A.R.).

Note: \* Front-end load are charges to be paid by the investor at the time of investment.  
 \*\* Back-end load are charges to be paid by the investor at the time of redemption, based on the number of years invested.

4a. Fund Name ( 1 )	Sales Load:	Front-End Load*	Back-End Load**	Others
	Amount Paid:	PHP USD	,	,
	Amount in Words:			
Payment Details		Direct Deposit to SLAMCI Bank Account		
Cash		Name of Bank:		
Check (To be processed only upon receipt of cleared funds. To apply for the waived clearing privilege, fill out the Request for Waived Clearing by MF Representative form.)		Transfer from Sun Life Insurance Policy / Plan Agreement		
Check No.:		Telegraphic Transfer		
Drawee Bank:		Others		
4b. Fund Name ( 2 )	Sales Load:	Front-End Load*	Back-End Load**	Others
	Amount Paid:	PHP USD	,	,
	Amount in Words:			
Payment Details		Direct Deposit to SLAMCI Bank Account		
Cash		Name of Bank:		
Check (To be processed only upon receipt of cleared funds. To apply for the waived clearing privilege, fill out the Request for Waived Clearing by MF Representative form.)		Transfer from Sun Life Insurance Policy / Plan Agreement		
Check No.:		Telegraphic Transfer		
Drawee Bank:		Others		
5. Source of Funds		Inheritance		
Existing investments in other instruments (time deposits, etc.)		Matured pre-need plan / insurance policy		
Income / bonus from employment		Other sources, please specify:		
Proceeds from sale of assets (real estate, etc.)				
6. Telephone Number (country code, area code, & tel. no., e.g. +63 2 8555 8888)				
Indicate telephone number where we can reach you for any questions or concerns that we may have regarding this additional investment.				



**C Reduced Sales Load Options**

If you wish to avail of lower sales load, select one (1) from the options below:

7. Lower Front-End Sales Load (If you wish to reduce your sales load to 1.5% or lower, VAT exclusive)  
 You may apply for this privilege if you have an aggregate investment of at least PHP 100,000 in your own and qualified related\* mutual fund accounts, subject to SLAMCI's approval.  
 \*Refers to parents, spouse, children, siblings, grandparents, and grandchildren.

Account Number	Full Account Name (Last Name, First Name, M.I.)	Relationship to Investor

8. 0.5% Front-End Sales Load (If you wish to apply 0.5% front-end sales load, VAT exclusive)  
 You may apply for this privilege, if you intend to invest at least PHP 5,000,000 (except Money Market Fund and front-end zero load investments), within the next twelve (12) months, subject to SLAMCI's approval. You will need to submit an Application for Reduced Sales Load form.

**D Terms and Conditions**

**Eligible Investor**

Any person of legal age, regardless of citizenship or nationality, may hold shares/units of the Fund(s).

**Purchase Price**

The principal amount of investment shall purchase the corresponding number of Fund shares/units at the applicable Net Asset Value Per Share (NAVPS)/Net Asset Value Per Unit (NAVPU), less any sales load and taxes. The applicable NAVPS/NAVPU will be used for purchases made within the daily cut-off time.

**Redemption and Fund Switch**

SLAMCI shall honor valid redemption and fund switch requests any day it is open for business. A duly-endorsed Stock Certificate/Certificate of Participation, if issued, shall serve as proof of redemption. Shares/Units of the Fund will be redeemed using the applicable NAVPS/NAVPU at the close of business on the same day, less any applicable charges and taxes, if SLAMCI receives the redemption and fund switch request by the cut-off time. If the request is received after the cut-off time, the price will be the applicable NAVPS/NAVPU of the next business day. Applicable NAVPS/NAVPU to be used for switch-in will be based on the applicable settlement date of each Fund. Proceeds from the request, less fees and taxes (if any), shall be available within seven (7) banking days from the receipt of the request. SLAMCI reserves the right to change the daily cut-off time as may be allowed by law.

**Limited Liability**

You agree to hold SLAMCI, its affiliates, employees and agents free and harmless from and against any and all claims, losses, including opportunity loss, damages, or expenses due to failure or delay to process your transaction(s), or inability to accept your transaction(s).

**Right to Accept or Reject Subscriptions; Adjustment of Account**

SLAMCI reserves the right to accept or reject any application at its sole discretion, as determined by the law or its policies. If this application is not accepted in whole or in part, SLAMCI will refund the corresponding investment to the investor without interest, within ten (10) business days from the date of submission of this application. SLAMCI is authorized to close your account(s) or effect any adjustments on any of your account(s), to correct any error or mistake committed, without need for any further instrument or consent.

**Delivery of Stock Certificate/Certificate of Participation**

A Stock Certificate/Certificate of Participation shall be issued upon a written request from the investor. Shareholders/Unitholders who do not elect to receive Stock Certificates/Certificates of Participation have the same rights of ownership as if certificates had been issued to them.

**Applicable Laws**

This application and related documents shall be governed by and interpreted in accordance with local or foreign laws that apply to SLAMCI. Any legal action arising thereupon shall be filed exclusively before the courts of Makati City, Philippines.

This application is governed by the terms and conditions of the Prospectuses of the Sun Life Prosperity Funds.

**Compliance with the Foreign Account Tax Compliance Act (FATCA)**

You will inform us within thirty (30) calendar days of any change in your circumstances, including but not limited to citizenship, and submit the applicable documents accordingly. You likewise acknowledge SLAMCI's statutory responsibility to provide your information, including but not limited to local or foreign tax status, to the appropriate authority.

**Compliance with the Data Privacy Act of 2012 (Republic Act No. 10173)**

You agree that the Company shall process your personal data to: a) evaluate your application and administer your account; b) process transactions and enforce/fulfill contractual rights/obligations; c) improve the provision of products and services (including improvement in systems and business processes, data analytics, automated processing, etc.); d) comply with legal obligations, as well as laws and regulations (domestic or foreign); and e) manage risks and pursue its legitimate interests, including verification and obtaining additional personal data from third party sources. The Company may disclose your personal data to its affiliates, service providers, and other third parties for processing consistent with the foregoing purposes, who shall be bound by contractual or other reasonable means to protect your personal data.

Your personal data shall be retained throughout the existence of your account(s) and/or upon the later of the expiration of the retention limit set by Company standards, laws and regulations, counted from account closure. You certify that you understand and agree with the declarations and authorizations above and the Company's privacy policy at <https://apps.sunlife.com.ph/privacy>.

**E Investor's Acknowledgment and Agreement**

You confirm that:

- a. All information provided in this form are true, correct, and complete. All agreements made with SLAMCI are set out in this form. Please inform us immediately if any of the information provided has changed.
- b. Investments under the "front-end sales load" in the World Equity Index Feeder and Dollar Wellspring Funds are subject to a holding period. Redemptions made during this time shall carry a fee. Investments under the "back-end sales load" will be subject to deferred sales fees corresponding to the amount and actual holding period.
- c. The sales load you choose is of your own choice and not solely based on the advice of your Mutual Fund (MF) Representative.
- d. SLAMCI will process your investment after submission of complete requirements and after payments have been posted.
- e. The funds used for this investment are not from illegal activities as listed in the Anti-Money Laundering Act. The funds where the investments are sourced from were not generated from, or in any way related to, any of the unlawful activities listed in the Anti-Money Laundering Act (AMLA) and the Terrorism Financing Prevention and Suppression Act (TFPSA).
- f. You understand, accept, and comply with all the terms and conditions of this form, the Funds' latest prospectus, and all the laws, regulations, and policies governing the investment.
- g. Your financial transactions are based on your continuing declaration and representation that your co-investor(s) is/are still living and SLAMCI may, at its discretion rely on such continuing declaration and representation. SLAMCI reserves the right to seek legal action in the event of any misrepresentation or fraud with regard to this request.
- h. Electronic or digital signatures or sign-in-wraps utilized in this form shall have the same force and effect as a manual signature. You understand the risks and assume full responsibility for all your electronic transactions, and warrant that SLAMCI can rely on your electronic signatures and/or instructions via electronic means. We do not assume any responsibility for technical errors, failure to access, delay or any similar occurrences beyond our reasonable control.
- i. For Joint OR and AND accounts, shares/units shall be divided proportionately among the investors, rounded down to the nearest whole number for shares or two (2) decimal places for units. Any excess shall belong to the principal investor.

9. Printed Name and Signature of Investor/Authorized Representative _____ Please sign here	10. Date of Signing      Day      Month      Year (e.g. 08-AUG-1990)      —      —      —
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**E Investor's Acknowledgment and Agreement (Continuation)**

11. Printed Name and Signature of Co-Investor/Authorized Representative _____ Please sign here	12. Date of Signing Day Month Year (e.g. 08-AUG-1990)      —      —      —
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13. Would you like to receive personalized communications and product offers from SLAMCI, Sun Life of Canada (Philippines), Inc. (SLOCPI)?  
 Yes                      No

**F Declaration of Mutual Fund Representative/Relationship Manager/Broker**

You guarantee that to the best of your knowledge, the investor is not engaged in any unlawful activity as mentioned in the Anti-Money Laundering Act (AMLA); and any amount that the investor will invest comes from legitimate sources. If at any time, in your opinion, the credibility of the investor's financial sources becomes questionable, you are required to immediately inform SLAMCI in writing to provide any other information needed to comply with local and foreign laws.

14. Printed Name and Signature of MF Representative/Relationship Manager/Broker _____ Please sign here	15. A.R. No. Issued	16. Date of Signing (e.g. 08-AUG-1990) Day Month Year —      —      —
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**For Office Use Only**

17. Date Received	18. Time Received	19. Receiving Department/Office
20. Remarks		