

Jennifer R Samodio

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From: Ma. Lorelei M. Liwanag <mlmliwanag@sec.gov.ph>  
Sent: Friday, April 24, 2020 10:46 PM  
To: Anna Katrina C Kabigting-Ibero  
Subject: Re: Sun Life of Canada (Philippines), Inc.\_Amended General Information Sheet\_April 22, 2020

<hr/> <b>CAUTION</b>	This email originated from outside the organization. Please proceed only if you trust the sender.
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INFORMATION AND COMMUNICATIONS TECHNOLOGY DEPARTMENT

Name of Corporation : SUN LIFE OF CANADA (PHILIPPINES), INC.  
Name of Sender : Atty. Anna Katrina C. Kabigting-Ibero  
SEC Registration No. : .4199911619

This is to acknowledge the

( / ) AMENDED GENERAL INFORMATION SHEET for annual meeting dated June 3, 2019

( / ) OTHERS (Pls. specify: Letter sent through

email and received on April 22, 2020

Note:

Receipt of the above-described document/s is subject to the provisions of MC No. 9 s. of 2020, MC No. 10 s. of 2020, The Revised Corporation Code and existing laws, rules and regulations implemented by concerned SEC departments and offices

On Wed, Apr 22, 2020 at 2:07 PM Anna Katrina C Kabigting-Ibero <[REDACTED]> wrote:

Gentlemen:

We respectfully submit the Amended General Information Sheet (GIS) of Sun Life of Canada (Philippines), Inc. (SLOCPI).

We are filing this Amended GIS to inform the Securities and Exchange Commission of the change in the residence address of one of the Beneficial Owners of SLOCPI, which took effect on 15 April 2020. The submission of this Amended GIS is well within the 7-day period provided for in the relevant SEC

Pursuant to SEC Memorandum Circular No. 10 series of 2020, I , Atty. Anna Katrina C. Kabigting-

Ibero, Corporate Secretary of Sun Life of Canada (Philippines), Inc., with business address at Sun Life Centre, [REDACTED]

[REDACTED], hereby declare as follows:

1

1. I confirm the authenticity of the submitted document; and
2. I commit to submit a physical version of the exact same document (as duly notarized), to the SEC once the state of public health emergency is lifted.

Please let me know if you have questions or concerns.

Thank you and best regards.

Atty. Anna Katrina C. Kabigting.Ibero | Counsel | Legal Department | [Sun Life Financial](#)

[REDACTED]  
[REDACTED]

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**AMENDED GENERAL INFORMATION SHEET (GIS)  
FOR THE YEAR 2019**

**STOCK CORPORATION**

**GENERAL INSTRUCTIONS:**

1. FOR USER CORPORATION: THIS GIS SHOULD BE SUBMITTED WITHIN THIRTY (30) CALENDAR DAYS FROM THE DATE OF THE ANNUAL STOCKHOLDERS' MEETING. DO NOT LEAVE ANY ITEM BLANK. WRITE "N.A." IF THE INFORMATION REQUIRED IS NOT APPLICABLE TO THE CORPORATION OR "NONE" IF THE INFORMATION IS NON-EXISTENT. IF THE ANNUAL STOCKHOLDERS' MEETING IS HELD ON A DATE OTHER THAN THAT STATED IN THE BY-LAWS, THE GIS SHALL BE SUBMITTED WITHIN THIRTY (30) CALENDAR DAYS AFTER THE ELECTION OF THE DIRECTORS, TRUSTEES AND OFFICERS OF THE CORPORATION AT THE ANNUAL MEMBERS' MEETING.
2. IF NO MEETING IS HELD, THE CORPORATION SHALL SUBMIT THE GIS NOT LATER THAN JANUARY 30 OF THE FOLLOWING YEAR. HOWEVER, SHOULD AN ANNUAL STOCKHOLDERS' MEETING BE HELD THEREAFTER, A NEW GIS SHALL BE SUBMITTED/FILED.
3. THIS GIS SHALL BE ACCOMPLISHED IN ENGLISH AND CERTIFIED AND SWORN TO BY THE CORPORATE SECRETARY OF THE CORPORATION.
4. THE SEC SHOULD BE TIMELY APPRISED OF RELEVANT CHANGES IN THE SUBMITTED INFORMATION AS THEY ARISE. FOR CHANGES RESULTING FROM ACTIONS THAT AROSE BETWEEN THE ANNUAL MEETINGS, THE CORPORATION SHALL SUBMIT AMENDED GIS CONTAINING THE NEW INFORMATION TOGETHER WITH A COVER LETTER SIGNED THE CORPORATE SECRETARY OF THE CORPORATION. THE AMENDED GIS AND COVER LETTER SHALL BE SUBMITTED WITHIN SEVEN (7) DAYS AFTER SUCH CHANGE OCCURED OR BECAME EFFECTIVE.
5. SUBMIT FOUR (4) COPIES OF THE GIS TO THE RECEIVING SECTION AT THE SEC MAIN OFFICE, OR TO SEC SATELLITE OFFICES OR EXTENSION OFFICES. ALL COPIES SHALL UNIFORMLY BE ON A4 OR LETTER-SIZED PAPER. THE PAGES OF ALL COPIES SHALL USE ONLY ONE SIDE
6. ONLY THE GIS ACCOMPLISHED IN ACCORDANCE WITH THESE INSTRUCTIONS SHALL BE CONSIDERED AS HAVING BEEN FILED.
7. THIS GIS MAY BE USED AS EVIDENCE AGAINST THE CORPORATION AND ITS RESPONSIBLE DIRECTORS/OFFICERS FOR ANY VIOLATION OF EXISTING LAWS, RULES AND REGULATIONS

===== PLEASE PRINT LEGIBLY =====

CORPORATE NAME: <p style="text-align: center;">SUN LIFE OF CANADA (PHILIPPINES), INC.</p>	DATE REGISTERED: <p style="text-align: center;">28 July 1999</p>	
BUSINESS/TRADE NAME: <p style="text-align: center;">SUN LIFE OF CANADA (PHILIPPINES), INC.</p>	FISCAL YEAR END: <p style="text-align: center;">December 31</p>	
SEC REGISTRATION NUMBER: <p style="text-align: center;">A199911619</p>	CORPORATE TAX IDENTIFICATION NUMBER (TIN) <p style="text-align: center;">204-962-522-000</p>	
DATE OF ANNUAL MEETING PER BY-LAWS: <p style="text-align: center;">THIRD FRIDAY OF APRIL</p>	WEBSITE/URL ADDRESS: <p style="text-align: center;"><a href="http://www.sunlife.com.ph">www.sunlife.com.ph</a></p>	
ACTUAL DATE OF ANNUAL MEETING: <p style="text-align: center;">03 June 2019</p>	E-MAIL ADDRESS: <p style="text-align: center;">N.A.</p>	
COMPLETE PRINCIPAL OFFICE ADDRESS: <p style="text-align: center;">SUN LIFE CENTRE, 5TH AVENUE COR. RIZAL DRIVE. BONIFACIO GLOBAL CITY, TAGUIG CITY</p>	FAX NUMBER: <p style="text-align: center;">8849-9727</p>	
NAME OF EXTERNAL AUDITOR & ITS SIGNING PARTNER: NAVARRO AMPER a CO./DELOITTE TOUCHE TOHMATSU/ BONIFACIO F. LUMACANG	SEC ACCREDITATION NUMBER (if applicable): <p style="text-align: center;">0001-FR.4</p>	TELEPHONE NUMBER(S): <p style="text-align: center;"><u>8581.9000</u></p>
PRIMARY PURPOSE/ACTIVITY/INDUSTRY PRESENTLY ENGAGED IN: <p style="text-align: center;">LIFE INSURANCE</p>	INDUSTRY CLASSIFICATION: <p style="text-align: center;">INSURANCE</p>	GEOGRAPHICAL CODE: <p style="text-align: center;">N.A.</p>

===== INTERCOMPANY AFFILIATIONS =====

PARENT COMPANY	SEC REGISTRATION NO.	ADDRESS
SUN LIFE OF CANADA (NETHERLANDS) B.V.		HERENGRACHT 483 BT AMSTERDAM THE NETHERLANDS
SUBSIDIARY AFFILIATE	SEC REGISTRATION NO.	ADDRESS

AMENDED GENERAL INFORMATION SHEET  
STOCK CORPORATION  
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SUN LIFE ASSET MANAGEMENT COMPANY, INC.	A199918034	SUN LIFE CENTRE, 5TH AVE., COR. RIZAL DRIVE, BONIFACIO GLOBAL CITY, TAGUIG CITY
SUN LIFE FINANCIAL PLANS, INC.	A200014495	SUN LIFE CENTRE, 5TH AVE., COR. RIZAL DRIVE, BONIFACIO GLOBAL TAGUIG CITY
NOTE: USE ADDITIONAL SHEET IF NECESSARY		

AMENDED GENERAL INFORMATION SHEET STOCK CORPORATION ===== PLEASE PRINT LEGIBLY =====	
Corporate Name:	SUN LIFE OF CANADA (PHILIPPINES), INC.
A. Is the Corporation a covered person under the Anti Money Laundering Act AMLA , as amended? Re . Acts. 9160 9164 10167 10365	
Please check the appropriate box:	
<p>1.</p> <ul style="list-style-type: none"> <li>a. Banks</li> <li>    Offshore Banking Units</li> <li>c. Quasi-Banks</li> <li>d. Trust Entities</li> <li>C] e. Non-Stock Savings and Loan Associations</li> <li>f. Pawnshops</li> <li>g. Foreign Exchange Dealers</li> <li>(2) h. Money Changers</li> <li>[2 i. Remittance Agents</li> <li>[2 Electronic Money Issuers</li> <li>k. Financial Institutions which Under Special Laws are subject to Bangko Sentral ng Pilipinas' (BSP) supervision and/or regulation, including their subsidiaries and affiliates.</li> </ul>	<p style="text-align: center;">Jewelry dealers in precious metals, who, as a business, trade in precious metals</p> <hr/> <p style="text-align: center;">Jewelry dealers in precious stones, who, as a business, trade in precious stone</p> <hr/> <p>6. Company service providers which, as a business, provide any of the following services to third parties:</p>
<p>2.</p> <ul style="list-style-type: none"> <li>a. Insurance Companies</li> <li>C] b. Insurance Agents</li> <li>c. Insurance Brokers</li> <li>d. Professional Reinsurers</li> <li>e. Reinsurance Brokers</li> <li>f. Holding Companies</li> <li>(2) g. Holding Company Systems</li> <li>h. Pre-need Companies</li> <li>i. Mutual Benefit Association</li> <li>j. All Other Persons and entities supervised and/or regulated by the Insurance Commission (IC)</li> </ul>	<ul style="list-style-type: none"> <li>a. acting as a formation agent of juridical persons</li> <li>b. acting as (or arranging for another person to act as) a director or corporate secretary of a company, a partner of a partnership, or a similar position in relation to other juridical persons</li> <li>c. providing a registered office, business address or accommodation, correspondence or administrative address for a company, a partnership or any other legal person or arrangement</li> </ul>
<p>3.</p> <ul style="list-style-type: none"> <li>a. Securities Dealers</li> <li>[2 b. Securities Brokers</li> </ul>	<ul style="list-style-type: none"> <li>[2 d. acting as (or arranging for another person to act as) a nominee shareholder for another person</li> </ul>

c. Securities Salesman

- [2 d. Investment Houses
- e. Investment Agents and Consultants
- f. Trading Advisors
- g. Other entities managing Securities or rendering similar services
- h. Mutual Funds or Open-end Investment Companies
- i. Close-end Investment Companies
- j. Common Trust Funds or Issuers and other similar entities
- k. Transfer Companies and other similar entities

Other entities administering or otherwise dealing in currency, commodities or financial derivatives based there on

. Entities administering of otherwise dealing in valuable objects

n. Entities administering or otherwise dealing in cash Substitutes and other similar monetary instruments or property supervised and/or regulated by the Securities and Exchange Commission (SEC)

7. Persons who provide any of the following services:

[2 a. managing of client money, securities or other assets

[2 b. management of bank, savings or securities accounts organization of contributions for the creation, operation or management of companies

creation, operation or management of juridical persons or arrangements. and buying and selling business entities

8. None of the above

Describe nature of business:

LIFE INSURANCE

B. Has the Corporation complied with the requirements on Customer Due Diligence (CDD) or Know Your Customer (WC), record-keeping, and submission of reports under the AMLA, as amended, since the last filin of its GIS?

CORPORATE NAME: SUN LIFE OF CANADA (PHILIPPINES), INC.

CAPITAL STRUCTURE

AUTHORIZED CAPITAL STOCK

	TYPE OF SHARES *	NUMBER OF SHARES	PAR/STATED VALUE	AMOUNT (PhP) (No. of shares X Par/Stated Value)
	common	10,000,000	100.00	1,000,000,000.00
TOTAL		TOTAL		

SUBSCRIBED CAPITAL

FILIPINO	NO. OF STOCK-HOLDERS	TYPE OF SHARES *	NUMBER OF SHARES	NUMBER OF SHARES IN THE HANDS OF THE PUBLIC	PAR/STATED VALUE	AMOUNT (PhP)	% OF OWNERSHIP
	4	common	4		100.00	400.00	0.00%
TOTAL			4	TOTAL	TOTAL P	400.00	
FOREIGN (INDICATE BY NATIONALITY)	NO. OF STOCK-HOLDERS	TYPE OF SHARES *	NUMBER OF SHARES	NUMBER OF SHARES IN THE HANDS OF THE PUBLIC	PAR/STATED VALUE	AMOUNT (PhP)	% OF OWNERSHIP

AMENDED GENERAL INFORMATION SHEET  
STOCK CORPORATION

===== PLEASE PRINT LEGIBLY =====

Dutch		common	4,999,996	100.00		99.9999%
Canadian	1	common	1	100.00	499,999,600.00	0.00%
Chinese	1	common	1	100.00	100.00	0.00%
	1		1		100.00	
Percentage of Foreign Equity :		TOTAL	4,999,998	TOTAL	TOTAL	TOTAL SUBSCRIBED

**PAID-UP CAPITAL**

FILIPINO	NO. OF STOCK HOLDERS	TYPE OF SHARES •	NUMBER OF SHARES	PAR/STATED VALUE	AMOUNT (Php)	% OF OWNERSHIP
	4	common	4	100.00		
					400.00	0.00%
TOTAL			4	TOTAL	400.00	

FOREIGN (INDICATE BY NATIONALITY)	NO. OF STOCK HOLDERS	TYPE OF SHARES *	NUMBER OF SHARES	PAR/STATED VALUE	AMOUNT (Php)	% OF OWNERSHIP
Dutch	1	common	4,999,996	100.00	499,999,600.00	99.9999%
Canadian	1		1	100.00	100.00	
Chinese	1	common	1	100.00	100.00	0.00%
	1	common				0.00%
		common				
100.00 %	TOTAL		4,999,998	TOTAL	TOTAL	PAID-UP P

NOTE: USE ADDITIONAL SHEET IF NECESSARY

\* Common, Preferred or other classification

\*\* Other than Directors, Officers, Shareholders owning 10% of outstanding shares.



AMENDED GENERAL INFORMATION  
SHEET  
STOCK CORPORATION  
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CORPORATE NAME: SUN LIFE OF CANADA (PHILIPPINES), INC.

**DIRECTORS / OFFICERS**

NAME/CURRENT RESIDENTIAL ADDRESS	NATIONALITY	INC'R	BOARD	GENDER	STOCK HOLDER	OFFICER	EXEC. COMM.	TAX IDENTIFICATION NUMBER
1. JOSE ISIDRO N. CAMACHO [REDACTED]	Filipino					Chairman	N&R/C, A/C, RM/C	[REDACTED]
2. LEO MICHEL GREPIN [REDACTED]	Canadian, American, French	N	M	M			N&R/M, AIM, RPT/M & RM/M	[REDACTED]
3. BENEDICTO C. SISON [REDACTED]	Filipino and American	N	M	M		CEO & Country Head		[REDACTED]
4. FRANCISCO S.A. SANDEIAS [REDACTED]	Filipino						N&R/M, AIM, RM/M & RPT/M	[REDACTED]
5. ALEXANDER S. NARCISO [REDACTED]	Filipino	N	M	M		President		[REDACTED]
6. CHIA-LING CHOU aka LUCY CHOU [REDACTED]	Chinese	N	M	F				[REDACTED]
7. MA. JEMILYN S. CAMANIA [REDACTED]	Filipino	N	N	F		Compliance Officer, Money Laundering Reporting Officer and Data Protection Officer		[REDACTED]
8. MARIA JOSEFINA A. CASTILLO [REDACTED]	Filipino	N	N	F		Treasurer & CFO		[REDACTED]
9. ANNA KATRINA C. KABIGTING.IBERO [REDACTED]	Filipino	N	N			Corporate Secretary		[REDACTED]
10. WINLOVE APPLE R. SANDALOESPERANZA [REDACTED]	Filipino	N	N		N	Assistant Corporate Secretary		[REDACTED]
11. nothing follows								
12.								
13.								

AMENDED GENERAL INFORMATION  
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INSTRUCTION:

FOR SEX COLUMN, PUT "F" FOR FEMALE, "M" FOR MALE.  
 FOR BOARD COLUMN, PUT "C" FOR CHAIRMAN, "M" FOR MEMBER. FOR INDEPENDENT DIRECTOR.  
 FOR INCR COLUMN, PUT "Y" IF AN INCORPORATOR. "N" IF NOT.  
 FOR STOCKHOLDER COLUMN, PUT "Y" IF A STOCKHOLDER, "N" IF NOT.  
 FOR OFFICER COLUMN, INDICATE PARTICULAR POSITION IF AN OFFICER. FROM VP UP INCLUDING THE POSITION OF THE TREASURER,  
 SECRETARY, COMPLIANCE OFFICER AND/OR ASSOCIATED PERSON.  
 FOR EXECUTIVE COMMITTEE. INDICATE "C" IF MEMBER OF THE COMPENSATION COMMITTEE; "A" FOR AUDIT COMMITTEE; "N" FOR NOMINATION  
 AND ELECTION COMMITTEE. ADDITIONALLY WRITE "C" AFTER SLASH IF CHAIRMAN AND IF MEMBER.

CORPORATE NAME:		SUN LIFE OF CANADA (PHILIPPINES), INC.				
TOTAL NUMBER OF STOCKHOLDERS:		7		NO. OF STOCKHOLDERS WITH 100 OR MORE SHARES EACH:		1
TOTAL ASSETS BASED ON LATEST AUDITED FINANCIAL STATEMENTS:		Php. 228,001,773,581				
STOCKHOLDER'S INFORMATION						
NAME, NATIONALITY AND CURRENT RESIDENTIAL ADDRESS	SHARES SUBSCRIBED			OWNERSHIP	AMOUNT PAID (PhP)	TAX IDENTIFICATION NUMBER
		NUMBER	AMOUNT			
1. SUN LIFE OF CANADA (NETHERLANDS) BV  Dutch  Herengracht 483 1017 BT Amsterdam The Netherlands	common			99.99%	499,999,600.00	432-239-100
	TOTAL	4,999,996	499,999,600.00			
2. JOSE ISIDRO N. CAMACHO  Filipino  [REDACTED]	common	1	100.00	0.00%	100.00	[REDACTED]
	TOTAL	1	100.00			
3. LEO MICHEL GREPIN  Canadian, American and French  [REDACTED]	common	1	100.00	0.00%	100.00	[REDACTED]
	TOTAL	1	100.00			
4. BENEDICTO C. SISON  Filipino and American  [REDACTED]	common	1	100.00	0.00%	100.00	[REDACTED]
	TOTAL	1	100.00			
5. FRANCISCO M. SANDEJAS  Filipino  [REDACTED]	common		100.00	0.00%	100.00	[REDACTED]

AMENDED GENERAL INFORMATION  
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	TOTAL	1	100.00			
6. ALEXANDER S. NARCISO Filipino [REDACTED]	common	1	100.00	0.00%	100.00	[REDACTED]
	TOTAL	1	100.00			
7. CHIA-LING CHOU aka LUCY CHOU Chinese [REDACTED]	common		100.00	0.00%	100.00	[REDACTED]
	TOTAL	1	100.00			
TOTAL AMOUNT OF SUBSCRIBED CAPITAL				<b>500,000,200.00</b>		
TOTAL AMOUNT OF PAID-UP CAPITAL						
INSTRUCTION: SPECIFY THE TOP 20 STOCKHOLDERS AND INDICATE THE REST AS OTHERS						
Note: For PDTC Nominee included in the list, please indicate further the beneficial owners owning more than 5% of any class of the company's voting securities. Attach separate sheet, if necessary.						

LEGIBLY

CORPORATE NAME: SUN LIFE OF CANADA (PHILIPPINES), INC.		
1. INVESTMENT OF CORPORATE FUNDS IN ANOTHER CORPORATION	AMOUNT (Php)	DATE OF BOARD RESOLUTION
1.1 STOCKS	86,023,138,881.00	N.A.
1.2 BONDS/COMMERCIAL PAPER (Issued b Private Co orations	9,702,485,170.96	N.A.
1.3 LOANS/ CREDITS/ ADVANCES	7,545,194,491.00	N.A.
1.4 GOVERNMENT TREASURY BILLS	89,767,247,477.23	N.A.
1.5 OTHERS	13,814,807,827.41	N.A.
2. INVESTMENT OF CORPORATE FUNDS IN ACTIVITIES UNDER ITS SECONDARY PURPOSES (PLEASE SPECIFY:)	DATE OF BOARD RESOLUTION	DATE OF STOCKHOLDERS RATIFICATION
N.A.	N.A.	N.A.
3. TREASURY SHARES: N.A.	NO. OF SHARES	%0 AS TO THE TOTAL NO. OF SHARES ISSUED
		N.A.
4. UNRESTRICTED/UNAPPROPRIATED RETAINED EARNINGS AS OF END OF LAST FISCAL YEAR: Php.55,981,342,395		
5. DIVIDENDS DECLARED DURING THE IMMEDIATELY	PRECEDING YEAR:	
TYPE OF DIVIDEND	AMOUNT (Php)	DATE DECLARED

AMENDED GENERAL INFORMATION  
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STOCK CORPORATION  
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5.1 CASH		07 March 2018
5.2 STOCK		
5.3 PROPERTY		
TOTAL	Php.	
6. ADDITIONAL SHARES ISSUED DURING THE PERIOD: N.A.		
DATE	NO. OF SHARES	AMOUNT
SECONDARY LICENSE REGISTRATION WITH SEC AND OTHER GOV'T AGENCY:		
NAME OF AGENCY:		
TYPE OF LICENSE REGN.	sec. Reg. No. AI 99911619	N.A.
DATE ISSUED:	28 July 1999	N.A.
DATE STARTED OPERATIONS:		01 April 2000
TOTAL ANNUAL COMPENSATION OF DIRECTORS DURING THE PRECEDING FISCAL YEAR (in PhP): 8,238,975.00	TOTAL NO. OF OFFICERS  217	TOTAL NO. OF RANK & FILE EMPLOYEES  906
		TOTAL MANPOWER COMPLEMENT  1,123

NOTE: USE ADDITIONAL SHEET IF NECESSARY

I, Anna Katrina C. Kabigting-Ibero, Corporate Secretary of Sun Life of Canada (Philippines). Inc. declare under penalty of perjury that all matters set forth in this GIS have been made in good faith, duly verified by me and to the best of my knowledge and belief are true and correct.

I hereby attest that all the information in this GIS are being submitted in compliance with the rules and regulations of the Securities and Exchange Commission (SEC) the collection, processing, storage and sharing of said information being necessary to carry out the functions of public authority for the performance of the constitutionally and statutorily mandated functions of the SEC as a regulatory agency.

I further attest that I have been authorized by the Board of Directors/Trustees to file this GIS with the SEC. I understand that the Commission may place the corporation under delinquent status for failure to submit the reportorial requirements three (3) times, consecutively or intermittently, within a period of five (5) years (Section 177, RA No. 11232).

Done on this date and place indicated below.



~~ANNA KATRINA C. KABIGTING-IBERO~~  
(Signature over printed name)

30 2020

SUBSCRIBED AND SWORN TO before me in MAKATI crry on by affiant who personally appeared before me and exhibited to me her competent evidence of identity, a Driver's License with no. N02-96-324358 valid until 01 September 2022.



Doc. No. 471  
Page 96 No. JOSHUA P. LAPUZ  
Book 11 No. Notary Public for Makati City  
Series of 2020.

Appointment No. M-66 Until 12-31-2021  
Roll No. 45790 IBP Lifetime No. 04897 1 i.3-03 PTR  
OR. No. 8116016 1-2-20 1 Makati City

MCLE No. VI-0016565 1 1-14.19  
GIF, Fedrnan Suites, 199 Salccdo St,  
Legazpl Village, 1229 MaRøtl

**BENEFICIAL OWNERSHIP DECLARATION**

SEC REGISTRATION NUMBER: 14199911619

CORPORATE NAME: SUN LIFE OF CANADA (PHILIPPINES), INC.

**Instructions:**

1. Identify the Beneficial Owner/s of the corporation as described in the Categories of Beneficial Ownership in items A to I below. List down as many as you can identify. You may use an additional sheet if necessary.
2. Fill in the required information on the beneficial owner in the fields provided for.
3. In the "Category of Beneficial Ownership" column, indicate the letter(s) corresponding thereto. In the event that the person identified as beneficial owner falls under several categories, indicate all the letters corresponding to such categories.
4. If the category is under letter "I", indicate the position held (i.e., Director/Trustee, President, Chief Executive Officer, Chief Operating Officer, Chief Financial Officer, etc.).
5. Do not leave any item blank. Write "N/A" if the information required is not applicable or "NONE" if non-existent

"Beneficial Owner" refers to any natural person(s) who ultimately own(s) or control(s) or exercise(s) ultimate effective control over the corporation. This definition covers the natural person(s) who actually own or control the corporation as distinguished from the legal owners. Such beneficial ownership may be determined on the basis of the following:

category Description

- A Natural person(s) owning, directly or indirectly or through a chain of ownership, at least twenty-five percent (25%) of the voting rights, voting shares or capital of the reporting corporation.
- B Natural person(s) who exercise control over the reporting corporation, alone or together with others, through any contract, understanding, relationship, intermediary or tiered entity.
- c Natural person(s) having the ability to elect a majority of the board of directors/trustees, or any similar body, of the corporation.
- D Natural person(s) having the ability to exert a dominant influence over the management or policies of the corporation.
- E Natural person(s) whose directions, instructions, or wishes in conducting the affairs of the corporation are carried out by majority of the members of the board of directors of such corporation who are accustomed or under an obligation to act in accordance with such person's directions, instructions or wishes.
- F Natural person(s) acting as stewards of the properties of corporations, where such properties are under the care or administration of said natural person(s).
- G Natural person(s) who actually own or control the reporting corporation through nominee shareholders or nominee directors acting for or on behalf of such natural persons.
- H Natural person(s) ultimately owning or controlling or exercising ultimate effective control over the corporation through other means not falling under any of the foregoing categories.
- I Natural person(s) exercising control through positions held within a corporation (i.e., responsible for strategic decisions that fundamentally affect the business practices or general direction of the corporation such as the members of the board of directors or trustees or similar body within the corporation; or exercising executive control over the daily or regular affairs of the corporation through a senior management position). This category is only applicable in exceptional cases where no natural person is identifiable who ultimately owns or exerts control over the corporation, the reporting corporation having exhausted all reasonable means of identification and provided there are no grounds for suspicion.

COMPLETE NAME (Surname, Given Name, Middle Name, Name Extension (i.e., Jr., Sr., III))	SPECIFIC RESIDENTIAL ADDRESS	NATIONALITY	DATE OF BIRTH	TAX IDENTIFICATION NO.	OWNERSHIP <sup>1</sup> / % OF VOTING RIGHTS <sup>2</sup>	TYPE OF BENEFICIAL <sup>3</sup> OWNER	CATEGORY OF BENEFICIAL OWNERSHIP
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						Direct (D) or Indirect (I)	
lease see attached							

Note: This page is not for uploading on the SEC iView.

For Stock Corporations.

2 For Non-Stock Corporations.

For Stock Corporations.