

# Request for Additional Investment

The Sun Life Prosperity Funds, referred to as "Funds," are all managed and distributed by Sun Life Asset Management Company, Inc. (SLAMCI), a member of the Sun Life Financial group of companies.

In this form, *you* and *your* refer to the investor/company's authorized signatory, while *we*, *us*, *our*, and the *Company* refer to SLAMCI.

**IMPORTANT NOTES:**

You must accomplish and submit the completed form and proof of payment through any of our Client Service Centers or our email at RequestSLAMCI@sunlife.com. For inquiries, please send an email to sunlink@sunlife.com.

Please write legibly by using **capital letters**. Write N/A if question is not applicable. Mark the box(es) with an "X" to indicate your choice(s) then sign the form only when completely filled out.

**A General Information**

1. Account Number (e.g. 12345678CF01)	2. Type of Account	Individual Corporate	Joint AND Joint OR
3a. Name of Investor	Last Name		
	First Name		M.I.
3b. Company Name (for Corporate Account)			

**B Additional Investment Details**

You may invest regularly from your account with any of SLAMCI's participating banks. To register, fill out the Auto-Invest Enrollment Form. If investment is coursed through an advisor, always request for an Acknowledgment Receipt (A.R.).

Note: \* Front-end load are charges to be paid by the investor at the time of investment.  
 \*\* Back-end load are charges to be paid by the investor at the time of redemption, based on the number of years invested.

4a. Fund Name ( 1 )	Sales Load:	Front-End Load*	Back-End Load**
	Amount Paid:	PHP USD	, , , .
	Amount in Words:		
Payment Details		Direct Deposit to SLAMCI Bank Account	
Cash		Name of Bank:	
Check (To be processed only upon receipt of cleared funds. To apply for the waived clearing privilege, fill out the Request for Waived Clearing by MF Representative form.)		Transfer from Sun Life Insurance Policy / Plan Agreement	
Check No.:		Telegraphic Transfer	
Drawee Bank:			
4b. Fund Name ( 2 )	Sales Load:	Front-End Load*	Back-End Load**
	Amount Paid:	PHP USD	, , , .
	Amount in Words:		
Payment Details		Direct Deposit to SLAMCI Bank Account	
Cash		Name of Bank:	
Check (To be processed only upon receipt of cleared funds. To apply for the waived clearing privilege, fill out the Request for Waived Clearing by MF Representative form.)		Transfer from Sun Life Insurance Policy / Plan Agreement	
Check No.:		Telegraphic Transfer	
Drawee Bank:			
5. Source of Funds	Existing investments in other instruments (time deposits, etc.)		Inheritance
	Income / bonus from employment		Matured pre-need plan / insurance policy
	Proceeds from sale of assets (real estate, etc.)		Other sources, please specify:
6. Telephone Number (country code, area code, & tel. no., e.g. +63 2 555 8888) Indicate telephone number where we can reach you for any questions or concerns that we may have regarding this additional investment.			

**C Reduced Sales Load Options**

If you wish to avail of lower sales load, select one (1) from the options below:

7. Lower Front-End Sales Load (If you wish to reduce your sales load to 1.5% or lower, VAT exclusive)  
 You may apply for this privilege if you have an aggregate investment of at least PHP 100,000 in your own and qualified related\* mutual fund accounts, subject to SLAMCI's approval.  
 \*Refers to parents, spouse, children, siblings, grandparents, and grandchildren.

Account Number	Full Account Name (Last Name, First Name, M.I.)	Relationship to Investor

8. 0.5% Front-End Sales Load (If you wish to apply 0.5% front-end sales load, VAT exclusive)  
 You may apply for this privilege, if you intend to invest at least PHP 5,000,000 (except Money Market Fund and front-end zero load investments), within the next twelve (12) months, subject to SLAMCI's approval. You will need to submit an Application for Reduced Sales Load form.

**D Terms and Conditions**

**Eligible Investor**

Any person of legal age, regardless of citizenship or nationality, may hold shares of the Fund(s). Please direct any inquiries about the documents to be submitted and any other account-related matter/s to SLAMCI or its Mutual Fund Representatives.

**Purchase Price**

The principal amount of investment shall purchase the corresponding number of Fund shares at the applicable Net Asset Value Per Share (NAVPS), less any sales load and taxes. The end-of-day NAVPS will be used for purchases made within the daily cut-off time.

**Redemption and Fund Switch**

SLAMCI shall honor valid redemption and fund switch requests any day it is open for business. A duly-endorsed stock certificate, if issued, shall serve as proof of redemption. Shares of the Fund will be redeemed using the NAVPS at the close of business on the same day, less any applicable charges and taxes, if SLAMCI receives the redemption and fund switch request by 12:00 noon. If the request is received after 12:00 noon, the price will be the end-of-day NAVPS of the next business day. NAVPS to be used for switch-in will be based on the applicable settlement date of each Fund. Proceeds from the request, less fees and taxes (if any), shall be available within seven (7) banking days from the receipt of the request.

**Right to Accept or Reject/Subscriptions; Adjustment of Account**

SLAMCI reserves the right to accept or reject any application at its sole discretion, as determined by the law or its policies. If this application is not accepted in whole or in part, SLAMCI will refund the corresponding investment to the investor without interest, within ten (10) days from the date of submission of this application. SLAMCI is authorized to effect any adjustments on any of your Account(s), to correct any error or mistake committed, without need for any further instrument or consent.

**Delivery of Stock Certificate**

A stock certificate shall be issued upon a written request from the investor. Shareholders who do not elect to receive stock certificates have the same rights of ownership as if certificates had been issued to them.

**Applicable Laws**

This application and related documents shall be governed by and interpreted in accordance with local or foreign laws that apply to SLAMCI. Any legal action arising thereupon shall be filed exclusively before the courts of Makati City, Philippines.

This application is governed by the terms and conditions of the Prospectus of the Sun Life Prosperity Funds.

**Compliance with Foreign Account Tax Compliance Act (FATCA)**

You will inform us within thirty (30) calendar days of any change in your circumstances, including but not limited to citizenship, and submit the applicable documents accordingly.

You likewise acknowledge SLAMCI's statutory responsibility to provide your information, including but not limited to local or foreign tax status, to the appropriate authority.

**Compliance with Data Privacy Act of 2012 (Republic Act No. 10173)**

You acknowledge that SLAMCI, its employees, duly authorized representatives, related companies, third party service providers, and vendors shall process and share your information with any person or organization to (i) service this account, (ii) process transactions and enforce this contract, and (iii) pursue its legitimate and lawful rights and interests and other purposes allowed under privacy laws and regulations.

Your personal data shall be retained throughout the existence of your account(s) and/or until expiration of the retention limit set by laws and regulations from account closure and the period set for destruction or disposal of records. You certify that you have read, understood, and agreed with the declarations and authorizations above, including Sun Life's privacy policy found in <https://apps.sunlife.com.ph/privacy>.

**E Investor's Acknowledgment and Agreement**

You are fully aware and agree that:

- Investments under the "front-end sales load" in Index and Dollar Wellspring Funds are subject to a holding period. Redemptions made during this time shall carry a fee. Investments under the "back-end sales load" will be subject to deferred sales fees corresponding to the amount and actual holding period.
- SLAMCI will process your investment after submission of complete requirements and after payments have been posted.
- The funds used for this investment are not from illegal activities as listed in the Anti-Money Laundering Act.
- The "sales load" you choose is of your own choice and not solely based on the advice of your Mutual Fund Representative.
- You understand, accept, and comply with all the terms and conditions of this form, the Funds' latest prospectus, and all the laws/regulations/policies governing the investment.
- You declare that your financial transactions are based on your continuing declaration and representation that your co-investor(s) is/are still living and SLAMCI may, at its discretion rely on such continuing declaration and representation. SLAMCI reserves the right to seek legal action in the event of any misrepresentation or fraud with regard to this request.

9. Printed Name and Signature of Investor/Authorized Representative	10. Date of Signing (e.g. 08-AUG-2008)	Day	Month	Year
		—	—	
11. Printed Name and Signature of Co-Investor/Authorized Representative	12. Date of Signing (e.g. 08-AUG-2008)	Day	Month	Year
		—	—	

**F Declaration of Mutual Fund Representative/Relationship Manager/Broker**

You guarantee that to the best of your knowledge, the investor is not engaged in any unlawful activity as mentioned in the Anti-Money Laundering Act (AMLA); and any amount that the investor will invest comes from legitimate sources. If at any time, in your opinion, the credibility of the investor's financial sources becomes questionable, you are required to immediately inform SLAMCI in writing to provide any other information needed to comply with local and foreign laws.

13. Printed Name and Signature of MF Representative/Relationship Manager/Broker	14. A.R. No. Issued	15. Date of Signing (e.g. 08-AUG-2008)
		Day Month Year
		— — —

16. Would you like to receive personalized communications and product offers from SLAMCI, Sun Life of Canada (Philippines), Inc. (SLOCPI)?  
 Yes                      No