



# SECURITIES AND EXCHANGE COMMISSION

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## Company Information

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**SEC Registration No.:** CS200417434

**Company Name:** SUN LIFE PROSPERITY DOLLAR ABUNDANCE FUND, INC.

**Industry Classification:** J68000

**Company Type:** Stock Corporation

## Document Information

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SEC Number: CS200417434

File Number: \_\_\_\_\_

**SUN LIFE PROSPERITY DOLLAR ABUNDANCE FUND, INC.**

(Company's Full Name)

**Sun Life Centre, 5<sup>th</sup> Avenue corner Rizal Drive  
Bonifacio Global City, Taguig City, Philippines**

-----  
(Company's Address)

**8555-8888**

-----  
(Telephone No.)

**December 31**

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(Fiscal Year Ending)  
(Month & Day)

**SEC FORM 17- A ANNUAL REPORT**

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Form Type

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Amendment Designation (If applicable)

**December 31, 2025**

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Period Ended Date

**OPEN-END INVESTMENT COMPANY**

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Secondary License Type and File Number

SECURITIES AND EXCHANGE COMMISSION

SEC FORM 17-A, AS AMENDED

ANNUAL REPORT PURSUANT TO SECTION 17  
OF THE SECURITIES REGULATION CODE AND SECTION 141  
OF CORPORATION CODE OF THE PHILIPPINES

1. For the fiscal year ended **December 31, 2025**
2. SEC Identification Number **CS200417434**      3. BIR Tax Identification No. **234-718-559-000**
4. Exact name of registrant as specified in its charter

**Sun Life Prosperity Dollar Abundance Fund, Inc.**

5. **Metro Manila, Philippines**      6. (SEC Use Only)  
Province, Country or other jurisdiction of      Industry Classification Code:  
incorporation or organization
7. **Sun Life Centre, 5<sup>th</sup> Avenue cor. Rizal Drive, Bonifacio Global City, Taguig City 1634**  
Address of principal office      Postal Code
8. **(02) 8555-8888**  
Registrant's telephone number, including area code
9. **Not Applicable**  
Former name, former address, and former fiscal year, if changed since last report.
10. Securities registered pursuant to Sections 8 and 12 of the SRC, or Sec. 4 and 8 of the RSA

Title of Each Class	Number of Shares of Common Stock Outstanding and Amount of Debt Outstanding <u>(as of December 31, 2025)</u>
<b><u>Common Shares, PHP1.00 Par Value</u></b>	<b><u>2,623,952 shares</u></b>

11. Are any or all of these securities listed on the Philippine Stock Exchange?

Yes            No     

12. Check whether the issuer:

(a) Has filed all reports required to be filed by Section 17 of the SRC and SRC Rule 17.1 thereunder or Section 11 of the RSA and RSA Rule 11(a)-1 thereunder, and Sections 26 and 141 of The Corporation Code of the Philippines during the preceding twelve (12) months (or for such shorter period that the registrant was required to file such reports).

Yes            No     

(b) Has been subject to such filing requirements for the past 90 days.

Yes            No

## PART I - BUSINESS AND GENERAL INFORMATION

### Item 1. Business

The Sun Life Prosperity Dollar Abundance Fund, Inc. (the "Fund") is a registered open-end investment company under the Investment Company Act (R.A. 2629) and the Securities Regulation Code (R.A.8799). It was incorporated on November 3, 2004 under SEC Registration No. CS200417434.

Sun Life Asset Management Company, Inc. ("SLAMCI"), a wholly owned subsidiary of Sun Life of Canada (Philippines), Inc. ("SLOCPI"), acts as the Fund Manager. For 2025, income of the Fund is composed of the following:

Interest Income	15,043.04%
Other Income	1.79%
Trading loss	-15,144.83%

The Fund is designed to provide regular returns through investments in a diversified portfolio of US Dollar-denominated fixed income instruments issued by the Philippine government, other major economies, or corporations operating therein or through diversified investment companies invested in such securities.

The Fund was incorporated on November 3, 2004 with 2,000,000 authorized shares at an initial par value of P1.00 per share.

On March 11, 2011, the Board of Directors approved the increase in authorized share capital of 8,000,000 shares (from 2,000,000 shares to 10,000,000 shares both with par value of P1.00), which was approved by the shareholders on June 28, 2012.

On June 29, 2012, the Fund submitted its application with the SEC for increase in authorized share capital of 8,000,000 shares (from 2,000,000 shares to 10,000,000 shares both with par value of P1.00).

As at December 31, 2025, the Fund has 2,623,952 issued and outstanding shares out of the 10,000,000 ACS with a par value of P1.00 per share.

The Fund's common shares are available through SLAMCI's registered representatives and eligible securities dealers that have entered into an agreement with SLAMCI to sell shares.

The Fund is part of the seventeen (17) Sun Life Prosperity Funds which offer excellent value to investors as a result of SLAMCI's collective experience in fund management, strong investment philosophy, remarkable investment performance and strong organizational structure. However, it should be noted that past performance of any fund manager is no guarantee of future results. It is only an indication of their capabilities to deal with rapid changes in the economy and market conditions in the future.

The Sun Life Prosperity Funds make investing simple, accessible and affordable. The Sun Life Prosperity Funds offer a unique "family of funds" to choose from. The "family of funds" concept allows investors to modify their investment strategies over time, by letting them transfer from one fund to another, as their needs dictate, as much as ten times a year without paying documentary stamp taxes.

The financial statements have been prepared on the historical cost basis, except for certain financial assets measured at fair value. Fair value changes therefore affect the ratios related to the Fund's total comprehensive income. The change to marked-to-market thus provides equitable treatment between investors coming in and out of the Fund.

The Fund participates in the mutual funds sector which is a sub-sector of the financial services industry. There are no local geographical boundaries as the nature of the industry and prevailing technology make it possible for the various players to offer their services to almost any place in the country. The Fund principally competes directly with other mutual funds in the Philippines and with the Unit Investment Trust Funds (“UITFs”) offered by commercial banks, in terms of returns and the associated risks of the return. The Fund’s market strength is its wide distribution network that provides strategic distribution of Fund shares and the financial stability and reputation of its Investment Company Adviser, SLAMCI. The Fund intends to compete principally based on the reputation of SLAMCI for superior investment performance and corporate governance coupled with its distribution network and superior backroom operations.

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The Fund does not employ personnel. Day-to-day operations of the Fund are carried out by SLAMCI and governed by the terms and conditions of the Management Agreements between SLAMCI and the Funds.

There are many potential advantages to investing in mutual funds. However, in deciding to invest, the investor is strongly advised to also consider the risks involved, as well as the risks that the Fund faces given its underlying assets whose respective values essentially affect the Fund’s overall net asset value.

**Market Risks:** The Fund is invested in fixed income securities. Interest Rate Risk is applicable to fixed income investments of the Fund when the value of an investment of the Fund decreases due to movement in market factors such as changes in interest rates. Changes in interest rates applicable to fixed income investments refer to the period when interest rates rise, the market price of the bonds held by the Fund, if any, may fall. The longer the overall maturity of the bonds held by the Fund, the more sensitive the prices of the bonds will be to changes in interest rates. This risk is minimized by closely monitoring the direction of interest rates and aligning it with the appropriate strategy of the Fund for fixed income investments.

The fund will manage interest rate risk by actively monitoring the prevailing interest rate environment and spreads on Philippine bonds. During periods of rising rates and widening of credit spreads, the fund manage will reduce the duration of the portfolio. During periods of falling rates and narrowing credit spreads, the fund manager will increase the duration of the portfolio.

**Credit Risk:** Investments in bonds carry the risk that the issuer of the bonds might not be able to meet its interest and principal payments. In which case, the value of the bonds will be adversely affected and may result in a write-off of the concerned asset held by the Fund, resulting to a significant decrease in its NAVPS. To mitigate the risk, each Issuer/Borrower/Counterparty passes through a stringent credit process to determine whether its credit quality complies with the prescribed standards of the Fund. The credit quality of the Issuer/Borrower/Counterparty is reviewed periodically to ensure that excellent credit standing is maintained.

**Foreign Exchange Risk:** The investments of the fund will be held in fixed income securities denominated in US Dollars. The value the USD fluctuates constantly against the Philippine peso due to a myriad of factors, principally interest rates and inflation rates in their respective domiciles. These in turn will affect the value of the fixed income instruments, ultimately impacting the NAVPS of the fund.

**Fund Manager Risk:** The performance of the Fund is also dependent on the Fund Manager’s skills. Hence, the Fund may underperform in the market and/or in comparison with similar funds due to investment decisions made by the Fund Manager, and may also fail to meet the Fund’s investment

objectives. The Board of Directors of the Issuer, however, shall ensure that all investment policies and restrictions enumerated in the Prospectus are strictly followed.

**Liquidity Risk:** The Fund is usually able to service redemptions of investors within seven (7) banking days after receiving the notice of redemption by paying out redemptions from available cash or cash equivalents. When redemptions exceed these liquid holdings, the Funds will have to sell less-liquid assets, and during periods of extreme market volatility, the Funds may not be able to find a buyer for such assets. As such, the Funds may not be able to generate sufficient cash from its sale of assets to meet the redemptions within the normal seven (7) banking day period. To mitigate this, the Fund maintains adequate highly liquid assets in the form of cash, cash equivalents and near cash assets in its portfolio.

**Dilution Risk:** Being an open-end mutual fund, various investors may effectively subscribe to any amount of shares of the Fund. As such, investors face the risk of their investments being diluted as more investors subscribe to shares of the Fund. The influence that the investors can exert over the control and management of the Fund decreases proportionately.

**Large Transaction Risk:** If an investor in a Fund makes a large transaction, the Fund's cash flow may be affected. For example, if an investor redeems a large number of shares of a Fund, that Fund may be forced to sell securities at unfavorable prices to pay for the proceeds of redemption. This unexpected sale may have a negative impact on the net asset value of the Fund.

**Non-guarantee:** Unlike deposits made with banks, an investment in the Fund is neither insured nor guaranteed by the Philippine Deposit Insurance Corporation ("PDIC"). Hence, investors carry the risk of losing the value of their investment, without any guaranty in the form of insurance. Moreover, as with any investment, it is important to note that past performance of the Fund does not guarantee its future success.

**Regulatory Risk:** The Fund's investments and operations are subject to various regulations affecting among others, accounting of assets and taxation. These regulations occasionally change, and may result in lower returns or even losses borne by the investors. For example, a higher tax imposed on the sale or purchase of underlying assets of the Fund may result in lower net asset value of the Fund. To mitigate this risk, SLAMCI adopts global best practices. Further, it maintains regular communications with the relevant government agencies to keep itself abreast of the issues giving them concern, and to have the opportunity to help them set standards for good governance. SLAMCI also takes an active participation in the Philippine Investment Funds Association, Inc. ("PIFA"), an association of mutual fund companies in the Philippines.

**Operational Risk:** This is the risk of loss resulting from inadequate or failed internal processes, controls, people and systems. Categories of operational risks may fall under: sales and distribution, human resources, information technology, processes and people, accounting and finance, model risk, legal and regulatory and third party relationships. The Fund ensures that the internal controls and practices are consistent with enterprise wide policies supporting the management of operational risks. The Fund has established business specific guidelines. Comprehensive investment program, including appropriate level of self-insurance, is maintained to provide protection against potential losses.

**Foreign Investment Risk:** The Fund invests in securities issued by corporations in, or governments of, countries other than the Philippines. Investing in foreign securities can be beneficial in expanding your investment opportunities and portfolio diversification, but there are risks associated with foreign investments, including:

- companies outside of the Philippines may be subject to different regulations, standards, reporting practices and disclosure requirements than those that apply in the Philippines;
- the legal systems of some foreign countries may not adequately protect investor rights;
- political, social or economic instability may affect the value of foreign securities;
- foreign governments may make significant changes to tax policies, which could affect the value of foreign securities; and

- foreign governments may impose currency exchange controls that prevent a Fund from taking money out of the country.

**Geographic Concentration Risk:** Some Funds may invest a relatively large portion of their assets in issuers located in a single country, a small number of countries, or a particular geographic region. As a result, the performance of these Funds could be closely tied to the market, currency, economic, political, regulatory, geopolitical or other conditions in such countries or region, and could be more volatile than the performance of funds with more geographically-diversified holdings.

**Underlying Fund Risk:** Some Funds may pursue its investment objectives indirectly by investing in shares of other mutual funds, including exchange traded funds, in order to gain access to the strategies pursued by those underlying funds. There can be no assurance that any use of such multi layered fund of fund structures will result in any gains for a Fund. If an underlying fund that is not traded on an exchange suspends redemptions, a Fund will be unable to value part of its portfolio and may be unable to redeem shares. Underlying funds that are traded on an exchange are subject to the following risks that do not apply to conventional mutual funds: (i) an exchange-traded fund's units often trade on the exchange at a premium or discount to the net asset value of such units; (ii) an active trading market for an exchange-traded fund's units may not develop or be maintained, and (iii) there is no assurance that the exchange-traded fund will continue to meet the listing requirements of the exchange.

The above risk factors are by no means exhaustive. New and/or unidentified risks may arise given the fast changing financial markets and economic environment.

**Classification of the Fund into high, moderate or low risk investment:** In furtherance of its investment objective, that is to provide regular returns through investments in a diversified portfolio of US Dollar-denominated fixed income instruments issued by the Philippine government, other major economies, or corporations operating therein or through diversified investment companies invested in such securities. The fund is classified as a moderate risk investment.

## **Item 2. Properties**

The Fund has financial assets in the form of cash in banks, fixed income securities and global mutual funds only. As prescribed by SEC Rules, all of its assets are held by its custodian bank, Citibank, N.A..

Office space of the Fund is provided by SLAMCI pursuant to the Management Agreement. The Fund does not intend to acquire any real property in the course of its business.

## **Item 3. Legal Proceedings**

There is no material pending legal proceeding to which the Fund or any of its affiliates is a party, or of which any of their property is the subject.

## **Item 4. Submission of Matters to a Vote of Security Holders**

During the Annual Stockholders' Meeting held on 14 August 2025, the following were elected as directors for the term 2025-2026: Benedicto C. Sison, Valerie N. Pama, Cesar Luis F. Bate (independent), Cielito F. Habito (independent), and Oscar S. Reyes (independent). The stockholders present or represented unanimously approved the following:

1. The minutes of the Annual Stockholders' Meeting held on 16 July 2024;
2. The minutes of the Continuation Meeting of the Stockholders held on 09 September 2024;
3. All acts and proceedings of the Board and Corporate Officers (confirmation and ratification thereof);
4. Appointment of Navarro, Amper & Co. (Deloitte Touche Tohmatsu) as External Auditor for 2025; and

5. Voluntary Revocation of the Certificate of Registration of Securities and Permit to Sell Securities to the Public.

## PART II - OPERATIONAL AND FINANCIAL INFORMATION

### Item 5. Market for Issuer's Common Equity and Related Stockholder Matters

#### 1. Market Information

The shares of the Fund are traded over-the-counter. The Fund's common stocks are available through registered representatives and eligible securities dealers that have entered into an agreement to sell shares with the Fund's Principal Distributor, SLAMCI.

The following table shows the ranges of high and low prices (NAVPS) of the Fund's common shares for each quarter within the last two calendar years:

	2025		2024	
	High	Low	High	Low
Q1	2.8227	2.6973	2.8339	2.7249
Q2	2.8421	2.7166	2.7661	2.6605
Q3	2.9451	2.8223	2.9284	2.7299
Q4	2.9677	2.9182	2.9118	2.7406

The Fund's NAVPS is published daily through Business World, Business Mirror, and Sun Life Websites.

Below table shows the investment company return information of the Fund in the last five (5) recently completed fiscal years:

Year on year yield (1-year)	6.7174%
3 Year - Simple	9.7814%
5 Year - Simple	-7.3279%

#### 2. Holders

The Fund has approximately 2,664 shareholders as of December 31, 2025.

On 7 March 2013, SEC *en banc* approved the confidential treatment of the list of Top 20 shareholders of the Fund, including its 5% and 10% beneficial owners. This is to protect the investors' privacy which is a privilege they enjoy when they invest in other shared investment vehicles such as unit investment trust funds and bank deposits.

The following table shows the number of institutional and retail investors and the percentage of their investments, and the geographic concentration of investments as of December 31, 2025.

% Ownership of Institutional Investors	% Ownership of Retail Investors
42.10%	57.90%

Area	Percentage of Investments
LUZON	95%
VISAYAS	3%
MINDANAO	2%
TOTAL	100%

### 3. Dividends

The Fund has not declared cash dividends to date. Stock dividends of two percent (2%) as of record date were declared in 2006, 2007 and 2008. It has no restrictions that may limit its ability to pay stock or cash dividends in the future.

The Board of Directors of the Fund has the power to fix and determine the amount to be reserved or provided for declaration and payment of dividends from the Fund's unrestricted retained earnings. The amount of such dividends (either in cash, stock, property or a combination of the foregoing) will depend on the Fund's profits, cash flows, capital expenditure, financial condition, and will follow SEC's guidelines on determining retained earnings available for dividend declaration. The existence of surplus profit arising from the operation of the Fund is needed before a dividend can be declared. The surplus profits or income must be a bona fide income founded upon actual earnings or profits. Actual earnings or profits shall be the net income for the year based on the audited financial statements, adjusted for unrealized items, which are considered not available for dividend declaration. Taking into account the Fund's cash flows, capital expenditure, investment objectives and financial condition, at least 10% of actual earnings or profits may be declared by the Board of Directors as dividends.

Dividends so declared will be automatically reinvested in additional shares on behalf of the shareholders, without sales charges, at the NAVPS on the payment date established for such dividends. As such, shareholders realize their gains when shares are redeemed. Shareholders may also elect not to have dividends reinvested and receive payment in cash, net of tax.

### Item 6. Management's Discussion and Analysis or Plan of Operation.

The Performance of the Fund could be measured by the following indicators:

1. **Increase/Decrease in Net Assets Value per Share (NAVPS.)** NAVPS is computed by dividing net assets (total assets less total liabilities) by the total number of shares issued and outstanding plus for conversion to shares, if any, as of the end of the reporting day. Any increase or decrease in NAVPS translates to a prospective capital gain or capital loss, respectively, for the Fund's shareholders.
2. **Net Investment Income.** Represents the total earnings of the Fund from its investment securities, less operating expenses and income tax. This gauges how efficiently the Fund has utilized its resources in a given time period.
3. **Assets Under Management (AUM).** The assets under the Fund's disposal. This measures the profitability of the Fund (increase/decrease brought about by its operational income) as well as investor confidence (increase/decrease brought about by investor subscriptions/redemptions).
4. **Cash Flow.** Determines whether the Fund was able to achieve the optimal level of liquidity by being able to meet all its scheduled payments, while maintaining at the same time the maximum investments level and minimum cash level.

### Accounting Policies for Financial Assets at Fair Value Through Profit and Loss

#### Financial assets

#### Initial recognition and measurement

Financial assets and financial liabilities are recognized when the entity becomes a party to the contractual provisions of the instrument. Regular way purchases and sales of financial assets are recognized on trade-date, the date on which the Fund commits to purchase or sell the asset.

At initial recognition, the Fund measures a financial asset or financial liability at its fair value plus or minus, in the case of a financial asset or financial liability not at fair value through profit or loss (FVTPL), transaction costs that are incremental and directly attributable to the acquisition or issue of the

financial asset or financial liability. Transaction costs of financial assets and financial liabilities carried at FVTPL are expensed in profit or loss.

### **Classification and Subsequent Measurement**

The Fund classifies its financial assets in the following measurement categories:

- FVTPL,
- Amortized cost

Classification of financial assets will be driven by the entity's business model for managing the financial assets and the contractual cash flows of the financial assets.

A financial asset is to be measured at amortized cost if: a) the objective of the business model is to hold the financial asset for the collection of the contractual cash flows, and b) the contractual cash flows under the instrument represent solely payment of principal and interest (SPPI).

All other debt and equity instruments must be recognized at fair value.

All fair value movements on financial assets are taken through the statement of comprehensive income, except for equity investments that are not held for trading, which may be recorded in the statement of comprehensive income or in reserves (without subsequent recycling to profit or loss).

#### Debt instruments

Subsequent measurement of debt instruments depends on the Fund's business model for managing the asset and the cash flow characteristics of the asset. There are two measurement categories into which the group classifies its debt instruments:

- Amortized cost. Assets that are held for collection of contractual cash flows where those cash flows represent SPPI are measured at amortized cost. A gain or loss on a debt investment that is subsequently measured at amortized cost and is not part of a hedging relationship is recognized in profit or loss when the asset is derecognized or impaired. Interest income from these financial assets is included in finance income using the effective interest rate method.
- FVTPL. Assets that do not meet the criteria for amortized cost are measured at FVTPL. A gain or loss on a debt investment that is subsequently measured at FVTPL and is not part of a hedging relationship is recognized in profit or loss and presented net in the statements of comprehensive income within other gains/(losses) in the period in which it arises. Interest income from these financial assets is included in finance income.

#### *Amortized cost and effective interest method*

The effective interest method is a method of calculating the amortized cost of a financial asset and of allocating interest income over the relevant period.

For financial instruments, the effective interest rate is the rate that exactly discounts estimated future cash receipts (including all fees and points paid or received that form an integral part of the effective interest rate, transaction costs and other premiums or discounts) excluding expected credit losses (ECL), through the expected life of the debt instrument, or, where appropriate, a shorter period, to the gross carrying amount of the debt instrument on initial recognition.

Interest income is recognized using the effective interest method for debt instruments measured subsequently at amortized cost. For financial instruments other than POCI financial assets, interest income is calculated by applying the effective interest rate to the gross carrying amount of a financial asset, except for financial assets that have subsequently become credit-impaired.

#### Equity instruments

The Fund subsequently measures all equity investments at FVTPL, except where the Fund's Management has elected, at initial recognition, to irrevocably designate an equity instrument at

FVTOCI. The Fund's policy is to designate equity investments as FVTOCI when those investments are held for the purposes other than to generate investment returns. As at December 31, 2025 and 2024, the Fund does not have financial assets classified as FVTOCI.

Changes in the fair value of financial assets measured at FVTPL are recognized in the statements of profit or loss. These changes are reported as either net realized gains (losses) or unrealized gains (losses) on investments, as appropriate.

#### *FINANCIAL MARKETS REVIEW (202*

#### **INVESTMENT APPROACH**

The investment mandate of the fund is focused on USD-denominated sovereign and corporate fixed income securities which deliver both accrual income and capital gains. Interest rate risk exposure is managed through portfolio duration relative to that of the benchmark. Credit risk, on the other hand, is managed through a stringent adjudication process on a group level. Optimum levels of cash are maintained to support investment and client liquidity requirements.

#### **PERFORMANCE REVIEW**

Our USD-denominated bond funds posted positive returns for 2025 with the US central bank (Fed) cutting its policy rate by 75 basis points (bp). Risks towards further US labor market weakening prompted the Fed to bring its policy rate closer to a neutral stance as inflation is expected to move towards their 2% target after price increases from tariffs subside. The outlook on monetary policy easing drove the bid for riskier assets with credit spreads now hovering at all-time lows/tights. The yield on 10Y US Treasuries (USTs) fell by 39bp to end the year at 4.17%. Meanwhile, USD-denominated Philippine government bonds (ROPs) outperformed against USTs with the 10Y ROP credit spread tightening by 40bp to 57bp over 10Y UST.

#### **OUTLOOK**

With the Fed Funds rate now at 3.5%-3.75%, the Fed has signaled two more 25bp cuts for the remainder of the cutting cycle with one cut each for 2026 and 2027, and a terminal rate of 3%. The market consensus is currently pricing for the Fed to approach terminal in 2026. We are of the view that the likelihood of a deeper cutting cycle is underpriced as risks to further labor market weakness persist. As such, we expect USD-denominated bonds to deliver another year of positive returns with bond yields expected to fall further as more rate cuts get priced in.

## FINANCIAL STATEMENTS ANALYSIS

### Material Changes in the 2025 Financial Statements

#### Statement of Financial Position and Statements of Changes in Equity – 31 December 2025 and 31 December 2024

For the Period Ended	31-Dec-25	31-Dec-24	Movement	Percentage (%)	MDAS
	Audited	Audited			
Cash in banks	\$208,752	\$194,186	\$14,566	7.50%	Liquidity requirements are still met.
Financial assets at fair value through profit or loss	7,440,381	8,287,754	(847,373)	-10.22%	The decrease was mainly due to disposal of fixed-income securities and investment in mutual funds during the period.
Accrued interest receivable	96,080	114,435	(18,355)	-16.04%	Collection of interest depends on the scheduled interest payments of each asset.
<b>Total Assets</b>	<b>\$7,745,213</b>	<b>\$8,596,375</b>	<b>(\$851,162)</b>	<b>-9.90%</b>	
Accrued expenses and other payables	\$38,207	\$128,305	(\$90,098)	-70.22%	The decrease was mainly due to settlement of prior year's proceeds payable to investors for redemption of their investments processed on or before end of the reporting period, which are usually settled four (4) days after the transaction date.
Payable to fund manager	11,802	13,357	(1,555)	-11.64%	The decrease was due to lower AUM for the period compared with the year-end in the prior year.
Income tax payable	219	76	143	188.16%	This account pertains to income tax payable for the period
<b>Total Liabilities</b>	<b>50,228</b>	<b>141,738</b>	<b>(91,510)</b>	<b>-64.56%</b>	
Share capital	135,309	135,309	-	0.00%	
Additional paid in capital	18,082,429	18,484,932	(402,503)	-2.18%	
Retained earnings	2,120,890	1,507,796	613,094	40.66%	Net income for the period.
Treasury Shares	(12,643,643)	(11,673,400)	(970,243)	8.31%	Due to net acquisition of treasury shares during the period.
<b>Net Assets</b>	<b>\$7,694,985</b>	<b>\$8,454,637</b>	<b>(\$759,652)</b>	<b>-8.99%</b>	Decrease was due to acquisition of treasury shares and partly offset by net income for the period.
<b>Net Assets Value per Share</b>	<b>\$2.9326</b>	<b>\$2.7480</b>	<b>\$0.1846</b>	<b>6.72%</b>	

There were no known trends, demands, commitments, events or uncertainties that will result in or that are reasonably likely to result in increasing or decreasing the Fund's liquidity in any material way.

There was no contingent liability reflected in the accompanying audited financial statements.

The Fund does not anticipate having any cash flow or liquidity problems as it complies with the liquidity requirements per ICA-IRR 6.10. The Fund was able to meet all its monetary obligations to its shareholders (for redemption) and creditors for the period covered. It does not foresee any event that could trigger a direct or contingent financial obligation that is material to its operations.

There were no material off-balance sheet transactions, arrangements, obligations (including contingent obligations), and other relationships of the Fund with unconsolidated entities/other persons created during the reporting period. Likewise, there are no material commitments for capital expenditures, known trends, events, or uncertainties that have had or that are reasonably expected to have a material impact on net income/revenue from the continuing operations of the Fund.

There are no other significant events and transactions from the last annual reporting period that is required for disclosure this year.

**Statement of Comprehensive Income for the Years Ended – 31 December 2025 and 31 December 2024**

For the Period Ended	31-Dec-25	31-Dec-24	Movement	Percentage (%)	MDAS
	Audited	Audited			
Investment Income (Loss)	(\$2,400)	\$306,346	(\$308,746)	-100.78%	The decrease was due to higher trading losses incurred from the disposal of foreign and local government bonds and due to lower interest income earned for the current period as compared with the prior year.
Operating Expenses	179,878	206,972	(27,094)	-13.09%	This is mainly due to the decrease in management and transfer fees, and distribution fees brought by lower average AUM.
Net Unrealized Gains (Losses) on Investments	795,786	(440,311)	1,236,097	-280.73%	Increase is due to favorable market conditions of foreign investments during the period
Provision for Income Tax	414	1,128	(714)	-63.30%	Income tax under MCIT and final taxes on interest income earned from cash and cash equivalents and fixed income investments.
<b>Net Investment Income (Loss)</b>	<b>\$613,094</b>	<b>(\$342,064)</b>	<b>\$955,159</b>	<b>-279.23%</b>	

Average daily net asset value in 2025 and in 2024 is \$8,752,110 and \$10,428,701, respectively.

The Fund has no unusual nature of transactions or events that affect assets, liabilities, equity, net income or cash flows.

There were no commitments, guarantees and contingent liabilities that arise in the normal course of operations of the Fund which are not reflected in the accompanying audited financial statements. The management of the Fund is of the opinion that there were no income or losses from these items that will have any material effect on its audited financial statements.

There were no known material events subsequent to the end of the annual reporting period that have not been reflected in the Fund's audited financial statements as at the period ended December 31, 2025. There were no significant elements of income or loss that did not arise from the Fund's continuing operations. There were no changes in estimates of amount reported in the current financial year or changes in estimates of amounts reported in prior financial years.

There were no seasonal aspects that had a material effect on the financial condition or results of operations of the Fund.

The Fund is also governed by the following fundamental investment policies:

1. It does not issue senior securities.
2. It does not intend to incur any debt or borrowing. In the event that borrowing is necessary, it can do so only if, at the time of its incurrence or immediately thereafter, there is asset coverage of at least 300% for all its borrowings.
3. It does not participate in any underwriting or selling group in connection with the public distribution of securities, except for its own share capital.
4. It generally maintains a diversified portfolio. Industry concentrations may vary at any time depending on the investment manager's view on the prospects.
5. It does not invest more than twenty percent (20%) of its net assets in real estate properties and developments, subject to investment restrictions and/or limitations under applicable law, if any.
6. It does not purchase or sell commodity futures contracts.
7. The asset mix in each type of security is determined from time to time, as warranted by economic and investment conditions.
8. Subscribers are required to settle their subscriptions in full upon submission of their application for subscriptions.
9. It may use various techniques to hedge investment risks.
10. It does not change its investment objectives without the prior approval of a majority of its shareholders and prior notice to the SEC.

The Investment Policies refer to the following:

- a. Investment Objective - to provide regular returns through investments in a diversified portfolio of US Dollar-denominated fixed income instruments issued by the Philippine government, other major economies, or corporations operating therein or through diversified investment companies invested in such securities.
- b. Benchmark - 98% Bloomberg Barclays EM USD Sovereign: Philippines Total Return Index Unhedged USD and 2% 30-day US Dollar Deposit Savings Rate.
- c. Asset Allocation Range - the Fund allocates its funds available for investments among cash and other deposit substitutes and fixed-income securities based on certain proportion as approved by Management.

#### **Item 7. Financial Statements**

Copies of the following audited financial statements are attached as Exhibits:

1. Statements of Financial Position, 2025, 2024
2. Statements of Comprehensive Income, 2025, 2024, 2023
3. Statements of Changes in Equity, 2025, 2024, 2023
4. Statements of Cash Flows, 2025, 2024, 2023
5. Notes to Financial Statements

#### **Item 8. Changes in and Disagreements with Accountants on Accounting and Financial Disclosure**

Navarro Amper & Co. /Deloitte Touche Tohmatsu, with address at 19/F Net Lima Plaza, 5th Avenue corner 26th Street, Bonifacio Global City, Taguig City, Philippines, has been the Fund's external auditor since its incorporation in 2004.

There has been no disagreement with the accountants on any accounting and financial disclosures.

#### **External Audit Services/Audit and Audit-Related Fees**

For 2025 and 2024, aggregate fees billed for professional services rendered by the external auditor for the audit of the Fund's annual financial statements and services normally provided by external auditors in connection with statutory and regulatory filings amounted to \$3,479 and \$3,486, respectively. There were no other payments made to the auditor for any other service, including assurance, tax and related services.

External auditors of the Fund are designated in accordance with Section 29 of the Investment Company Act subject to ratification at the annual stockholders' meeting by the vote of a majority of the outstanding voting securities attending.

The Fund's Board of Directors has an Audit and Compliance Committee, which is composed of Mr. Cesar Luis F. Bate, (Committee Chairperson and independent director), Atty. Teresita J. Herbosa (independent director), Dr. Cielito F. Habito (independent director) as members. The Audit and Compliance Committee has considered and endorsed for the approval of the Board of Directors the external auditor's service fees, which were so approved.

### **PART III - CONTROL AND COMPENSATION INFORMATION**

#### **Item 9. Directors and Executive Officers of the Issuer**

##### **1. Directors and Executive Officers**

The Board of Directors is responsible for conducting all businesses of the Fund. It exercises general supervision over the duties performed by the Fund Manager, Distributor, Administrator, Transfer Agent and Custodian of the Fund.

The following are the incumbent Directors and Executive Officers of the Fund:

Name	Citizenship	Position	Age	Term of Office	Period Served
Benedicto C. Sison		Director/Chairman		July 2018 – present	8 terms
Valerie N. Pama		Director/ President		March 2022 – present	4 terms
Cesar Luis F. Bate		Independent Director		November 2021 – present	5 terms
Teresita J. Herbosa		Independent Director		November 2025- present	1 term
Cielito F. Habito		Independent Director		April 2019 – present	7 terms
Candy S. Esteban		Treasurer		May 2025 - present	1 term
Maria Teresa A. Co		Chief Compliance Officer		August 2023 – present	3 terms
Anna Katrina C. Kabigting-Ibero		Corporate Secretary		April 2020 – present	6 terms
		Assistant Corporate Secretary		March 2025 - present	1 term
Ria V. Mercado		Chief Risk Officer		2015-present	11 terms

A brief write-up on the business experience of the incumbent directors and executive officers of the Fund follows:

**BENEDICTO C. SISON**

Chairman (2018 to present)

Mr. Benedicto C. Sison is the CEO and Country Head of the Sun Life group of companies in the Philippines from 01 July 2018. He is the Chairman and Director of the eighteen Sun Life Prosperity Funds i.e., Sun Life of Canada Prosperity Balanced Fund, Inc., Sun Life of Canada Prosperity Bond Fund, Inc., Sun Life of Canada Prosperity Philippine Equity Fund, Inc., Sun Life Prosperity Dollar Abundance Fund, Inc., Sun Life Prosperity Dollar Advantage Fund, Inc., Sun Life Prosperity Dynamic Fund, Inc., Sun Life Prosperity Philippine Stock Index Fund, Inc., Sun Life Prosperity GS Fund, Inc., Sun Life Prosperity Money Market Fund, Inc., Sun Life Prosperity Dollar Wellspring Fund, Inc., Sun Life Prosperity World Voyager Fund, Inc., (September 2015 to present), Sun Life Prosperity Dollar Starter Fund, Inc., Sun Life Prosperity World Equity Index Feeder Fund, Inc. (2017 to present), and Sun Life Prosperity Achiever Fund 2028, Inc., Sun Life Prosperity Achiever Fund 2038, Inc., Sun Life Prosperity Achiever Fund 2048, Inc. (2018 to present), Sun Life Prosperity Peso Voyager Feeder Fund, Inc. (January 2022 to present) and Sun Life Prosperity World Income Fund, Inc. (August 2022 to present). He is also the Chairman and Director of Sun Life Financial Philippine Holding Company, Inc. (06 December 2021 to present) and Sun Life Financial Plans, Inc. In addition, he is also a Director of Sun Life Asset Management Company, Inc., and Grepalife Asset Management Corporation (01 July 2018 to present). He is also the Director and Chairman of the Grepalife Funds such as Grepalife Balanced Fund Corporation, Grepalife Dollar Bond Fund Corporation, and Grepalife Fixed Income Fund Corporation (September 2015 to present). He is the Chairman of Sun Life Financial – Philippines Foundation, Inc., (September 2019 to present) where he also serves as Trustee. He was a Senior Advisor to the Board of Trustees of the Philippine Investment Funds Association (PIFA) and served as the President of the Philippine Life Insurance Association (PLIA).

**VALERIE N. PAMA**

President / Director (March 2022 – present)

Ms. Valerie N. Pama is the President and Director of eighteen (18) Sun Life Prosperity Funds, i.e. Sun Life of Canada Prosperity Balanced Fund, Inc., Sun Life of Canada Prosperity Philippine Equity Fund, Inc., Sun Life of Canada Prosperity Bond, Inc., Sun Life Prosperity Dollar Advantage Fund, Inc., Sun Life Prosperity Peso Starter Fund, Inc., Sun Life Prosperity Dollar Abundance Fund, Inc., Sun Life Prosperity GS Fund, Inc., Sun Life Prosperity Dynamic Fund, Inc., Sun Life Prosperity Philippine Stock Index Fund, Inc., Sun Life Prosperity Dollar Wellspring Fund, Inc., Sun Life Prosperity World Voyager Fund, Inc., Sun Life Prosperity Dollar Starter Fund, Inc., Sun Life Prosperity World Equity Index Feeder Fund, Inc., Sun Life Prosperity Achiever Fund 2028, Inc., Sun Life Prosperity Achiever Fund 2038, Inc., Sun Life Prosperity Achiever Fund 2048, Inc., Sun Life Prosperity Peso Voyager Feeder Fund, Inc. (March 2022 to present) and Sun Life Prosperity World Income Fund, Inc. (August 2022 to present).

Ms. Pama also is a Member (2015 to present) and Trustee (2018-2023) of Filipina CEO Circle, Member of Management Association of the Philippines (2015 to present), Financial Executives of the Philippines (2013 to present) and Makati Business Club (August 2019 to present).

Ms. Pama was previously the Director and President of Sun Life Investment Management and Trust Corporation (September 2020 to June 2021), responsible for its establishment and preparations for operations. She was a Director and President of Sun Life Asset Management Company, Inc. ("SLAMCI") and Director and/or President of thirteen (13) Sun Life Prosperity Funds (2011 to 2020). She was also a Director and/or President of three (3) Grepalife Funds i.e. Grepalife Dollar Bond Fund Corporation, Grepalife Balanced Fund Corporation (formerly, "Grepalife Bond Fund Corporation"), and Grepalife Fixed Income Fund Corporation (2011 to 2018). Ms. Pama was formerly the President of the Sun Life Prosperity Funds and the Grepalife Funds (2011 to 2013). She was also a Director of BESTSERVE Financial Ltd. (HKG) (2021 to 2022).

She also served as the Chief Operating Officer of SLAMCI (2011 to 2012) before being appointed as its President in 2013. With over seven years as President of SLAMCI, Ms. Pama has made tremendous contributions by leading it into becoming the number one non-bank asset management company. Under her vision and leadership, the company achieved great milestones: growing from three (3) mutual funds to sixteen (16) mutual funds to over Php100 Billion in Assets Under Management, launch of the Sun Life Prosperity Card, expanding the sales force into having the most number of Mutual Fund-licensed advisors in the industry and garnering numerous awards from the Philippine Investment Funds Association (PIFA). Another noteworthy recognition for SLAMCI under her management was being named the best asset management company for the Philippines from Euromoney's 2018 Private Banking and Wealth Management survey.

Ms. Pama is a veteran banker, having been in the industry for 20 years. She started her career with Citibank N.A. in 1990 as a Management Associate, wherein she obtained exposure in various segments of the business, assuming progressively senior roles over the years. She had worked in treasury/capital markets, loans, equity sales, customer funding sales, brokerage and money market sales. By the time she retired from Citibank N.A. in 2009, Ms. Pama was the President of Citicorp Financial Services and Insurance Brokerage Inc.

Prior to joining Sun Life, Ms. Pama was a Product Development consultant for ING Bank's Investment Management Group.

Ms. Pama was a member of the Board of Trustees of PIFA from 2011 to 2020 and served as its Chairman from 2013 to 2015. This enabled her to represent the mutual fund industry in advocating investor literacy, customer protection and regulatory advancements to government agencies, key market players and the general public.

Ms. Pama is a graduate of the Ateneo de Manila University with a Bachelor of Science degree in Management Engineering. She obtained her Masters in Business Administration in International Business and Finance, with a minor in Business Economics from Katholieke Universiteit Leuven in Belgium. She was awarded With Distinction by the university for her exemplary academic performance on her final year.

**TERESITA J. HERBOSA**

Independent Director (November 2025 to present)

Atty. Teresita J. Herbosa is an Independent Director of eighteen (18) Sun Life Prosperity Funds namely: Sun Life of Canada Prosperity Balanced Fund, Inc., Sun Life of Canada Prosperity Philippine Equity Fund, Inc., Sun Life of Canada Prosperity Bond, Inc., Sun Life Prosperity Dollar Advantage Fund, Inc., Sun Life Prosperity Peso Starter Fund, Inc., Sun Life Prosperity Dollar Abundance Fund, Inc., Sun Life Prosperity GS Fund, Inc., Sun Life Prosperity Dynamic Fund, Inc., Sun Life Prosperity Philippine Stock Index Fund, Inc., Sun Life Prosperity Dollar Wellspring Fund, Inc., Sun Life Prosperity World Voyager Fund, Inc., Sun Life Prosperity Dollar Starter Fund, Inc., Sun Life Prosperity World Equity Index Feeder Fund, Inc., Sun Life Prosperity Achiever Fund 2028, Inc., Sun Life Prosperity Achiever Fund 2038, Inc., Sun Life Prosperity Achiever Fund 2048, Inc., Sun Life Prosperity Peso Voyager Feeder Fund, Inc. and Sun Life Prosperity World Income Fund, Inc.

Presently, she is a Director of Telecommunications Connectivity Inc. (December 2019 to present). She was an Independent Director of Grepalife Balanced Fund Corporation, Grepalife Dollar Bond Fund Corporation and Grepalife Fixed Income Fund Corporation, Inc. (December 2021 to July 2025). She has been an independent director of the Philippine Bank of Communications since November 2022 to the present.

She was the Chairperson of the Securities and Exchange Commission ("SEC") from May 2011 to June 2018, and as such occupied other positions such as member of the Anti-Money Laundering Council, Chairperson of the Credit Information Corporation, the Microfinance NGO Regulatory Council, and the Capital Market Development Forum.

As SEC Chairperson, Atty. Herbosa was involved in the Asean Capital Markets Forum as Chair of its Committee on Corporate Governance responsible for the first Asean Top 50 Publicly Listed Companies. She initiated and proposed significant amendments to the Corporation Code of 1985 to Congress culminating in the enactment of the Revised Corporation Code in February 2019. She also participated in the passage of the Personal Property Securities Act.

As a consequence of the various reforms under Atty. Herbosa's leadership, for two consecutive years, 2015 and 2016, SEC emerged, based on two nationwide surveys, as no.1 in the sincerity rating particularly in the fight against corruption among 35 government agencies.

Prior to her SEC post, she engaged in the private practice of law at the Angara Abello Concepcion Regala & Cruz Law Offices ("ACCRALAW") specializing in litigation and dispute resolution for 33 years and often cited by foreign legal publications as one of the top litigation lawyers of the country. One year after her stint as SEC Chairperson, in July 2019, she re-joined ACCRALAW as Of Counsel and continues as such up to the present

She is an accredited MCLE lecturer and has been teaching commercial law at private law schools. In the 2022 bar examinations, she was one of the examiners for commercial law.

Atty. Herbosa co-authored in 2019 "The Revised Corporation Code of the Philippines Its Theories and Applications," and relatedly gives lectures on the recent amendments to the law. In line with her advocacy, she participated in the UNICEF-sponsored lecture series "Trainers' Course on Child Sensitive Investigation" for three years for more than 1,500 policemen and in the preparation of the video-taped materials shown at the PHILJA training of Family Court judges. She lectured for PHILJA on financial crimes and corporate and securities fraud.

She has written numerous law articles such as "Comments involving Crimes of Moral Turpitude" and the Foreword Vol 92 July 2019, for the Philippine Law Journal, others on various legal topics for the Philippine Star and contributed "Balancing Ethics and Regulation" for the FINEX anniversary publication "Ethics Black While or Gray" in 2018 and "Cyber Ethics and Data Privacy" for its second publication "Ethics: Enduring or Evolving?". From October 2019 to March 2020, she wrote a comprehensive training module for the investigation and prosecution of violations of the Securities Regulation Code in connection with the Anti-Money Laundering Act.

Atty. Herbosa finished AB Political Science cum laude at the University of the Philippines – Diliman, and

her Bachelor of Laws cum laude and class salutatorian from the UP College of Law. She also has a Master of Comparative Law degree from the University of Michigan. In 2014, she was awarded the UP Distinguished Alumni Award for Public Service.

**CIELITO F. HABITO**

Independent Director (April 2019 to present)

Dr. Cielito F. Habito is an Independent Director of eighteen (18) Sun Life Prosperity Funds namely: Sun Life of Canada Prosperity Balanced Fund, Inc., Sun Life of Canada Prosperity Philippine Equity Fund, Inc., Sun Life of Canada Prosperity Bond, Inc., Sun Life Prosperity Dollar Advantage Fund, Inc., Sun Life Prosperity Peso Starter Fund, Inc., Sun Life Prosperity Dollar Abundance Fund, Inc., Sun Life Prosperity GS Fund, Inc., Sun Life Prosperity Dynamic Fund, Inc., Sun Life Prosperity Philippine Stock Index Fund, Inc., Sun Life Prosperity Dollar Wellspring Fund, Inc., Sun Life Prosperity World Voyager Fund, Inc., Sun Life Prosperity Dollar Starter Fund, Inc., Sun Life Prosperity World Equity Index Feeder Fund, Inc., Sun Life Prosperity Achiever Fund 2028, Inc., Sun Life Prosperity Achiever Fund 2038, Inc., Sun Life Prosperity Achiever Fund 2048, Inc., Sun Life Prosperity Peso Voyager Feeder Fund, Inc. and Sun Life Prosperity World Income Fund, Inc.

He is Chairman and Founding Partner of Brain Trust Inc.; Chairman of Operation Compassion Philippines, Independent Director of First Gen Corporation, PHINMA Corporation and Manila Exposition Complex Inc; and Member of the Advisory Committee of the Japan International Cooperation Agency (JICA), and the World Bank-Philippines Civil Society Advisory Group. For 23 years until May 2024, he was a Professor of Economics at the Ateneo de Manila University, where he is also Senior Fellow of the Ateneo Center for Economic Research and Development.

He had also previously served in the Boards of Manila Water Company, Metropolitan Bank & Trust Company, Metrobank Card Corporation, Frontier Oil Corporation, Mutual Fund Company of the Philippines, One Wealthy Nation (OWN) Fund, Steel Corporation of the Philippines, Philsteel Holdings, Solidbank and Lepanto Consolidated Mining Co.

He served in the Cabinet of former President Fidel V. Ramos throughout his presidency in 1992-1998 as Secretary of Socioeconomic Planning, and Director-General of the National Economic and Development Authority (NEDA). Before joining government, he was Professor and Chairman at the Department of Economics of the University of the Philippines-Los Baños (UPLB). He also worked as Visiting Fellow of the Asian Development Bank Institute in Tokyo, Visiting Professor at the Asian Institute of Management and De La Salle Graduate School of Business, Visiting Research Fellow at the Center for Southeast Asian Studies in Kyoto University, Teaching Fellow at Harvard University and Research Consultant at the World Bank.

Dr. Habito is the recipient of numerous awards including the Presidential Award (2019) and Most Outstanding Alumnus Award (1993) of the UPLB Alumni Association, Philippine Legion of Honor (1998), The Outstanding Young Men (TOYM) of 1991, and the Gawad Lagablab (Outstanding Alumnus Award) of the Philippine Science High School (1991).

He graduated with a Bachelor of Science in Agriculture (Major in Agricultural Economics), Summa cum laude from the University of the Philippines-Los Baños in 1975. He earned a Master of Economics from the University of New England (Australia) in 1978 and Master of Arts in Economics (1981) and Ph.D. in Economics (1984) from Harvard University.

**CESAR LUIS F. BATE**

Independent Director (November 2021 to present)

Mr. Cesar Luis F. Bate is an Independent Director of eighteen (18) Sun Life Prosperity Funds namely: Sun Life of Canada Prosperity Balanced Fund, Inc., Sun Life of Canada Prosperity Philippine Equity Fund, Inc., Sun Life of Canada Prosperity Bond, Inc., Sun Life Prosperity Dollar Advantage Fund, Inc., Sun Life Prosperity Peso Starter Fund, Inc., Sun Life Prosperity Dollar Abundance Fund, Inc., Sun Life Prosperity GS Fund, Inc., Sun Life Prosperity Dynamic Fund, Inc., Sun Life Prosperity Philippine Stock Index Fund, Inc., Sun Life Prosperity Dollar Wellspring Fund, Inc., Sun Life Prosperity World Voyager Fund, Inc., Sun

Life Prosperity Dollar Starter Fund, Inc., Sun Life Prosperity World Equity Index Feeder Fund, Inc., Sun Life Prosperity Achiever Fund 2028, Inc., Sun Life Prosperity Achiever Fund 2038, Inc., Sun Life Prosperity Achiever Fund 2048, Inc., Sun Life Prosperity Peso Voyager Feeder Fund, Inc. and Sun Life Prosperity World Income Fund, Inc.

Currently, Mr. Bate is the Managing Director of LMN Advisors/Partners Inc. ("LMN"). He established the consultancy firm LMN in October 2006 after being a stockbroker for 20 years. Prior to LMN, Mr. Bate was head of the Philippine operations of Macquarie Securities Philippines from 2004 to 2006. From 1995 to 2004, he was President of Dutch-owned ING Securities Philippines which was the country's largest stock brokerage house for years under his ten-year tenure. Mr. Bate started his career as a stockbroker with the local house A&A Securities in 1987 and joined British-owned Baring Securities as Head of Philippine sales in 1992.

Mr. Bate is the President of the Celisons Property Co. Inc. (2018 to present), an Independent Director of RM Commercial REIT, Inc. (2021 to present) and Director of Acacia Lane, Inc. (1980 to present). He is concurrently a Trustee of the Metropolitan Museum of Manila (2023 to present). He was also a Member of the Board of Trustees of Jose Rizal University (2007 to 2016).

Mr. Bate graduated with a Bachelor of Science in Management Engineering from Ateneo de Manila University in 1983.

#### **CANDY S. ESTEBAN**

Treasurer (May 2025 to present)

Ms. Candy S. Esteban is the Treasurer of the eighteen Sun Life Prosperity Funds i.e., Sun Life of Canada Prosperity Balanced Fund, Inc., Sun Life of Canada Prosperity Bond Fund, Inc., Sun Life of Canada Prosperity Philippine Equity Fund, Inc., Sun Life Prosperity Dollar Abundance Fund, Inc., Sun Life Prosperity Dollar Advantage Fund, Inc., Sun Life Prosperity Dollar Starter Fund, Inc., Sun Life Prosperity Dynamic Fund, Inc., Sun Life Prosperity Philippine Stock Index Fund, Inc., Sun Life Prosperity GS Fund, Inc., Sun Life Prosperity Peso Starter Fund, Inc. (formerly Sun Life Prosperity Money Market Fund, Inc.), Sun Life Prosperity Dollar Wellspring Fund, Inc., Sun Life Prosperity World Voyager Fund, Inc., Sun Life Prosperity World Equity Index Feeder Fund, Inc., Sun Life Prosperity Achiever Fund 2048, Inc., Sun Life Prosperity Achiever Fund 2038, Inc., Sun Life Prosperity Achiever Fund 2028, Inc., Sun Life Prosperity Peso Voyager Feeder Fund, Inc., Sun Life Prosperity World Income Fund, Inc. since May 2025.

She is the Treasurer and Chief Financial Officer of Sun Life of Canada (Philippines), Inc. (December 2021 to present). She is also the Treasurer of Sun Life Asset Management Company, Inc. (March 2025 to present); Sun Life Grepa Financial, Inc. (07 December 2021 to present).

Ms. Esteban was the President and Director of sixteen Sun Life Prosperity Funds i.e. Sun Life of Canada Prosperity Balanced Fund, Inc., Sun Life of Canada Prosperity Bond Fund, Inc., Sun Life of Canada Prosperity Philippine Equity Fund, Inc., Sun Life Prosperity Dollar Abundance Fund, Inc., Sun Life Prosperity Dollar Advantage Fund, Inc., Sun Life Prosperity Dollar Starter Fund, Inc., Sun Life Prosperity Dynamic Fund, Inc., Sun Life Prosperity Philippine Stock Index Fund, Inc., Sun Life Prosperity GS Fund, Inc., Sun Life Prosperity Peso Starter Fund, Inc., Sun Life Prosperity Dollar Wellspring Fund, Inc., Sun Life Prosperity World Voyager Fund, Inc., Sun Life Prosperity World Equity Index Feeder Fund, Inc., Sun Life Prosperity Achiever Fund 2048, Inc., Sun Life Prosperity Achiever Fund 2038, Inc., Sun Life Prosperity Achiever Fund 2028, Inc., and three Grepalife Mutual Funds i.e. Grepalife Balanced Fund Corporation, Grepalife Dollar Bond Fund Corporation, Grepalife Fixed Income Fund Corporation (November 2021 to January 2022).

Ms. Esteban was also the Head of Financial Planning and Analysis for Sun Life Financial Philippines (September 2014 to December 2021). She was responsible for all financial planning, management reporting and analysis for the Sun Life group of companies, as well as the Finance Business Partner for Sun Life Asset Management Company, Inc.

Prior to joining Sun Life, Ms. Esteban held various positions in Citibank and American Express Bank Philippines, two of the leading global banking institutions. Ms. Esteban has nearly 15 years of experience in the areas of controllership, profitability management, financial planning and management reporting, investments, insurance and loans in consumer, corporate banking, wealth management, commercial credit cards business and project management.

Ms. Esteban is a graduate of the Ateneo de Manila University with a Bachelor of Science degree in Management Engineering, and she holds a Master's degree in Business Administration from INSEAD in Singapore and France.

**MARIA TERESA A. CO**

Chief Compliance Officer, Money Laundering Reporting Officer,  
and Data Protection Officer (August 2023 to present)

Maria Teresa Co is the Chief Compliance Officer, Money Laundering Reporting Officer, and Data Protection Officer of Sun Life Philippines, including the Sun Life Prosperity Funds, with effect on 14 August 2023.

Ms. Co has more than thirty (30) years of work experience in the fields of Accounting, Operations, Compliance and Internal Audit with multinational companies. She is a Certified Public Accountant and a Securities and Exchange Commission (SEC) Certified Compliance Officer for Pre-need companies (education and pension plans).

Her career started with Citibank, N. A. under Philippines Operations before working with Sun Life Philippines from 2002 to 2007, overseeing life, asset management and pre-need compliance including exposure to Regional Internal Audit role.

Ms. Co's overseas career covered vast experiences as Regional Compliance professional in AXA China Insurance Limited, New York Life International, Prudential Corporation Asia Regional, Chubb (formerly ACE Life), and Group AIA, overseeing corporate and distribution compliance, regulatory developments, issues, and projects across Asia.

Before returning to Sun Life Philippines, Ms. Co was the Head of Compliance for Pru Life Insurance Corporation of U.K. (Pru Life UK), responsible for various mandates in the fields of Regulatory and Sales Compliance, AML, Financial Crimes, Fraud, Anti-Bribery and Corruption, Data Privacy, and Quality Assurance reviews.

**ANNA KATRINA C. KABIGTING-IBERO**

Corporate Secretary (April 2020 to present)

Atty. Anna Katrina C. Kabigting-Ibero is the Corporate Secretary of the eighteen Sun Life Prosperity Funds i.e., Sun Life of Canada Prosperity Balanced Fund, Inc., Sun Life of Canada Prosperity Bond Fund, Inc., Sun Life of Canada Prosperity Philippine Equity Fund, Inc., Sun Life Prosperity Dollar Abundance Fund, Inc., Sun Life Prosperity Dollar Advantage Fund, Inc., Sun Life Prosperity Dollar Starter Fund, Inc., Sun Life Prosperity Dynamic Fund, Inc., Sun Life Prosperity Philippine Stock Index Fund, Inc., Sun Life Prosperity GS Fund, Inc., Sun Life Prosperity Peso Starter Fund, Inc. (formerly Sun Life Prosperity Money Market Fund, Inc.), Sun Life Prosperity Dollar Wellspring Fund, Inc., Sun Life Prosperity World Voyager Fund, Inc., Sun Life Prosperity World Equity Index Feeder Fund, Inc., Sun Life Prosperity Achiever Fund 2048, Inc., Sun Life Prosperity Achiever Fund 2038, Inc., Sun Life Prosperity Achiever Fund 2028, Inc., (April 2020 to present), Sun Life Prosperity Peso Voyager Feeder Fund, Inc. (January 2022 to present), Sun Life Prosperity World Income Fund, Inc. (August 2022 to present).

Atty. Ibero is also the Corporate Secretary of Sun Life of Canada (Philippines), Inc., Sun Life Asset Management Company, Inc., Sun Life Financial Plans, Inc., Sun Life Financial Philippine Holding Company, Inc., Sun Life Financial – Philippines Foundation, Inc., (April 2020 to present); Sun Life Investment Management and Trust Corporation (September 2020 to present), and Assistant Corporate Secretary of Sun Life Grepa Financial, Inc. (April 2020 to present). She was the Corporate Secretary of Grepalife Asset Management Corporation, and the three Grepalife Mutual Funds i.e., Grepalife

Balanced Fund Corporation, Grepalife Dollar Bond Fund Corporation Grepalife Fixed Income Fund Corporation.

Prior to joining Sun Life in 2014, Atty. Ibero worked as an Associate Lawyer at the David Cui-David Buenaventura and Ang Law Offices (2006 to 2010). She later joined the Bank of the Philippine Islands as Legal and Compliance Officer of the Bank's Asset Management and Trust Group (2010 to 2014).

Atty. Ibero received her Bachelor of Arts Major in Legal Management (2000) and Bachelor of Laws (2005) from the University of Santo Tomas. She was called to the Bar in 2006.

**RAIZULLI M. NOLASCO**

Assistant Corporate Secretary (March 2025 to March 2026)

Atty. Raizulli M. Nolasco is the Assistant Corporate Secretary of the eighteen Sun Life Prosperity Funds i.e., Sun Life of Canada Prosperity Balanced Fund, Inc., Sun Life of Canada Prosperity Bond Fund, Inc., Sun Life of Canada Prosperity Philippine Equity Fund, Inc., Sun Life Prosperity Dollar Abundance Fund, Inc., Sun Life Prosperity Dollar Advantage Fund, Inc., Sun Life Prosperity Dollar Starter Fund, Inc., Sun Life Prosperity Dynamic Fund, Inc., Sun Life Prosperity Philippine Stock Index Fund, Inc., Sun Life Prosperity GS Fund, Inc., Sun Life Prosperity Peso Starter Fund, Inc. (formerly Sun Life Prosperity Money Market Fund, Inc.), Sun Life Prosperity Dollar Wellspring Fund, Inc., Sun Life Prosperity World Voyager Fund, Inc., Sun Life Prosperity Achiever Fund 2048, Inc., Sun Life Prosperity Achiever Fund 2038, Inc., Sun Life Prosperity Achiever Fund 2028, Inc., Sun Life Prosperity World Equity Index Feeder Fund, Inc., and Sun Life Prosperity Peso Voyager Feeder Fund, Inc. Sun Life Prosperity World Income Fund, Inc.

He is a Counsel and the Assistant Corporate Secretary of Sun Life of Canada (Philippines), Inc. (March 2025 to present). He is also the Assistant Corporate Secretary of Sun Life Asset Management Company, Inc. (March 2025 to present).

Prior to joining Sun Life in February 2025, Atty. Nolasco worked as a Head of Legal and Corporate Secretary of Generali Philippines. Before joining Generali, Atty. Nolasco worked as Litigation Officer with the Philippine Deposit Insurance Corporation.

Atty. Nolasco received his law degree from the University of the Cordilleras, in Baguio City. He was admitted to the Bar in May 2014.

**RIA V. MERCADO**

Chief Risk Officer (2015 to present)

Ms. Ria V. Mercado has been the Head of Risk Management of Sun Life Philippines since 2015. She is also the Chief Risk Officer of the eighteen Sun Life Prosperity Funds i.e., Sun Life of Canada Prosperity Balanced Fund, Inc., Sun Life of Canada Prosperity Bond Fund, Inc., Sun Life of Canada Prosperity Philippine Equity Fund, Inc., Sun Life Prosperity Dollar Abundance Fund, Inc., Sun Life Prosperity Dollar Advantage Fund, Inc., Sun Life Prosperity Dollar Starter Fund, Inc., Sun Life Prosperity Dynamic Fund, Inc., Sun Life Prosperity Philippine Stock Index Fund, Inc., Sun Life Prosperity GS Fund, Inc., Sun Life Prosperity Money Market Fund, Inc., Sun Life Prosperity Dollar Wellspring Fund, Inc., Sun Life Prosperity World Voyager Fund, Inc., Sun Life Prosperity World Equity Index Feeder Fund, Inc., Sun Life Prosperity Achiever Fund 2028, Inc., Sun Life Prosperity Achiever Fund 2038, Inc., Sun Life Prosperity Achiever Fund 2048, Inc., (2015 to present), Sun Life Prosperity Peso Voyager Feeder Fund, Inc. (January 2022 to present), Sun Life Prosperity World Income Fund, Inc. (August 2022 to present) and the three Grepalife Mutual Funds i.e., Grepalife Balanced Fund Corporation, Grepalife Dollar Bond Fund Corporation and Grepalife Fixed Income Fund Corporation, (July 2019 to present). She is also the Chief Risk Officer of Sun Life Asset Management Company, Inc., Grepalife Asset Management Corporation, Sun Life of Canada (Philippines), Inc., Sun Life Grepa Financial, Inc. (July 2015 to present) and Sun Life Financial – Philippines Foundation, Inc. (December 2019 to present).

Prior to joining Sun Life in 2015, she was with Deutsche Knowledge Services (DKS), where she was Debt and Client Risk & Control Lead. In this capacity, she was responsible for risk and control initiatives and for proactively identifying and mitigating operations risks through quality assurance initiatives. Prior to

DKS, she was with Standard Chartered Bank where she rose from Graduate Associate to AVP – Unit Operational Risk Manager.

Ms. Mercado holds a Master’s in Business Management degree from the Asian Institute of Management. She is a BS Business Administration graduate of the University of the Philippines (Diliman).

## **2. Incorporators**

The following are the incorporators of the Fund:

- Esther C. Tan
- Caesar P. Altarejos, Jr.
- Raoul Littaua
- Rizalina G. Mantaring
- Roy Emil S. Yu

## **3. Significant Employees**

The Fund has no significant employees.

## **4. Family Relationships**

There are no family relationships up to fourth civil degree either by consanguinity or affinity among directors, executive officers, or persons nominated by the Fund to become its directors or executive officers.

## **5. Material Pending Legal Proceedings**

The Fund has no knowledge of any material pending legal proceedings to which any of the directors and executive officers of the Fund is a party, or of which any of their property is the subject.

The Fund has no knowledge of any material pending legal proceedings, for the past five (5) years and to date, to which any of the directors and executive officers of the Fund is a party, or of which any of their property is the subject.

There was no bankruptcy petition filed by or against any business of which any of the directors and executive officers of the Fund was a general partner or executive officer either at the time of bankruptcy or within 2 years prior to that time.

No director or executive officer of the Fund was convicted by final judgment in a criminal proceeding, domestic or foreign, and neither is any director or officer subject to any pending criminal proceeding, domestic or foreign, excluding traffic violations and other minor offenses.

No director or executive officer of the Fund is subject to any order, judgment or decree not subsequently reversed, suspended or vacated, of any court of competent jurisdiction, domestic or foreign, permanently or temporarily enjoining, barring, suspending or otherwise limiting his involvement in any type of business, securities, commodities, or banking activities.

No director or executive officer of the Fund is being found by a domestic or foreign court of competent jurisdiction (in a civil action), the Commission or comparable foreign body, or a domestic or foreign exchange or other organized trading market or self-regulatory organization, to have violated a securities or commodities law or regulation and the judgment has not been reversed, suspended, or vacated.

## **Item 10. Executive Compensation**

### **1. Compensation of Executive Officers.**

The executive officers of the Fund do not receive any form of compensation from their appointment up to the present.

## 2. Compensation of Directors.

The directors do not receive any form of compensation from inception up to the present other than a P20,000.00 per diem for meetings attended. Only the members of the Board who are “external directors”, *i.e.*, those who are not officers and/or employees of SLOCPI, receive remuneration for their attendance in regular or special meetings of the Board at the rate of P20,000.00 to each director for every meeting attended. Their contributed efforts to the Fund are on a voluntary basis only. Also, there are no bonuses, profit sharing or other compensation plan, pension or retirement plan, contract or arrangement in which any director or nominee for election as a director or executive officer of the Fund will participate.

Starting January 01, 2010, each external director, as defined above, shall also receive a retainer’s fee not to exceed P15,000 per quarter. Payment of such retainer’s fee shall be shared by the Fund with the other Sun Life Prosperity Funds which the external director also serves, provided that each external director shall receive only a maximum of P15,000 per quarter from all the Sun Life Prosperity Funds which he serves as director.

Total per diem received by the Fund’s directors for the year 2025 and 2024 are \$7,882 and \$4,484, respectively as presented in the audited financial statements.

The Board has four (4) regular quarterly meetings for 2025 including the organizational board meeting after the annual shareholders’ meeting. For the four (4) meetings and with four (4) members of the Board who are external directors entitled to receive per diem, the Fund forecasts a total directors’ per diem of PHP 320,000 (approximately \$5,443) for the year 2026. The external directors are also forecasted to receive a total of P13,333 (approximately \$227) as retainer’s fees for 2026.

### Item 11. Security Ownership of Certain Beneficial Owners and Management

#### 1. Security ownership of more than 5% of the Fund’s outstanding capital stock as of December 31, 2025:

<i>Title of Class</i>	<i>Name of Record Owner/ Address</i>	<i>Relationship with the Issuer</i>	<i>Name of Beneficial Owner/Relationship with Record Owner</i>	<i>Citizenship</i>	<i>Number of Shares Held</i>	<i>Percent of Class</i>
Common	SLAMCI 8 <sup>th</sup> Floor Sun Life Centre, 5 <sup>th</sup> Ave. Corner Rizal Drive, Bonifacio Global City, Taguig City	More than 5% ownership	Both the Record (R) & Beneficial (B) Owner	Filipino	752,582	28.68%

Mr. Gerald L. Bautista, President of SLAMCI, has the power to vote or dispose of the shares or direct the voting or disposition of the shares held by SLAMCI. The directors may be reached through the Corporate Secretary, 6<sup>th</sup> Floor, Sun Life Centre, 5<sup>th</sup> Avenue corner Rizal Drive, Bonifacio Global City, Taguig City.

On 14 September 2016, the SEC *en banc* approved the confidential treatment of the list of Top 20 shareholders of the Sun Life Prosperity Funds, including its the 5% and 10% beneficial owners. This is to protect the investors’ privacy, which is a privilege they enjoy when they invest in other shared investment vehicles, such as unit investment trust funds, and when they invest in bank deposits.

This list includes shareholders owning more than 5% of the Fund’s outstanding capital stock as of December 31, 2025, if any.

## 2. Security Ownership of Management as of December 31, 2025:

Title of Class	Name of Beneficial Owner	Number of Shares <sup>1</sup>	Nature of Ownership	Citizenship	Percent of Class
Common	Benedicto C. Sison	1	Beneficial (B) and Record (R)		0.00%
Common	Teresita J. Herbosa	1	B & R		0.00%
Common	Cesar Luis F. Bate	1	B & R		0.00%
Common	Valerie N. Pama	1	B & R		0.00%
Common	Cielito F. Habito	1	B & R		0.00%

The above individual owners can be reached at c/o the Corporate Secretary, 6/F, Sun Life Centre, 5<sup>th</sup> Avenue corner Rizal Drive, Bonifacio Global City, Taguig City.

## 3. Voting Trust Holders of 5% or More

No holder of 5% or more of the Fund's common shares has any voting trust or similar agreement that vest voting rights or other powers to a voting trustee.

## 4. Change in Control

The Fund has no knowledge of any arrangement that may result in a change of control of the Fund.

## Item 12. Certain Relationships and Related Transactions

The following are the interlocking directors and officers of SLAMCI and the Fund:

Director/Officer	Fund	SLAMCI
Benedicto C. Sison	Chairman	Director
Maria Teresa A., Co	Chief Compliance Officer, Money Laundering Reporting Officer, and Data Protection Officer	Chief Compliance Officer, Money Laundering Reporting Officer, and Data Protection Officer
Candy S. Esteban	Treasurer	Treasurer
Anna Katrina C. Kabigting-Ibero	Corporate Secretary	Corporate Secretary
Raizulli M. Nolasco	Assistant Corporate Secretary	Assistant Corporate Secretary
Ria V. Mercado	Chief Risk Officer	Chief Risk Officer

Other than these interlocking directors and officers, management and members of the Board of Directors of the Fund are not involved in any companies that the Fund deals with.

## PART IV - CORPORATE GOVERNANCE

### Item 13. Corporate Governance

#### Compliance with Leading Practice on Corporate Governance

The Fund is committed to performing its obligations following sound standards of business and financial practices and assesses the level of compliance of the Board of Directors and top-level management with its Manual on Corporate Governance through the Corporate Governance Self-Rating Form.

Likewise, the Fund requires the directors to answer a Board Effectiveness Questionnaire to determine their outlook on current practices and further enhance their performance. Internal audit and

<sup>1</sup> Number of shares held in their capacity as Director or Chairperson.

compliance units of the Fund also actively ensure that the Fund meets its regulatory and moral obligations to the government agencies and the general public, respectively.

There has been no reported incident of any deviation from the Fund's Manual on Corporate Governance. A strong ethical business culture in the performance of duties is continuously upheld and promoted. Nonetheless, the Fund makes an effort to improve corporate governance of the company by holding training sessions for its Board and officers whenever possible.

#### **Compliance with Foreign Account Tax Compliance Act (FATCA)**

In accordance with the requirements of the US Internal Revenue Service ("IRS") and the Intergovernmental Agreement ("IGA") between the Government of the United States of America and the Government of the Republic of the Philippines to Improve International Tax Compliance and to Implement FATCA which was signed last July 13, 2015, the Fund has registered with the Internal Revenue Service (IRS) and has obtained its own Global Intermediary Identification Number ("GIIN") as a sponsored entity. Sun Life Asset Management Company, Inc. ("SLAMCI") continues to assume responsibilities for the Fund's FATCA compliance as the Sponsoring Entity and has implemented FATCA onboarding processes and procedures as well as system enhancements to monitor its new and pre-existing account holders who are U.S. Persons and have U.S. Indicia.

The Fund, together with its Sponsoring Entity, SLAMCI, is preparing to comply for FATCA reporting on the date which will be set by the Bureau of Internal Revenue as soon as the IGA has been ratified by the Senate.

### **PART V – EXHIBITS AND SCHEDULES**

#### **Item 14. Exhibits and Reports on SEC Form 17-C**

##### **A. Exhibits**

1. Statements of Financial Position, 2025, 2024
2. Statements of Comprehensive Income, 2025, 2024, 2023
3. Statements of Changes in Equity, 2025, 2024, 2023
4. Statements of Cash Flows, 2025, 2024, 2023
5. Notes to Financial Statements

##### **B. Reports on SEC Form 17-C**

**- SIGNATURE PAGE FOLLOWS –**

**SIGNATURES**

Pursuant to the requirements of Section 17 of the Code and Section 141 of the Corporation Code, this report is signed on behalf of the issuer by the undersigned, thereunto duly authorized, in the City of

**MAKATI CITY** on **APR 29 2026**

**SUN LIFE PROSPERITY DOLLAR ABUNDANCE FUND, INC.**

Issuer

By:

[Signature Box]

**Valerie N. Pama**

Principal Executive Officer / President

[Signature Box]

**Gerald L. Bautista**

Principal Operating Officer / SLAMCI President

[Signature Box]

**Candy S. Esteban**

Principal Accounting Officer / Treasurer / CFO

[Signature Box]

**Jeanemar S. Talaman**

Principal Financial Officer / Comptroller

[Signature Box]

**Anna Katrina C. Kabigting-Ibero**

Corporate Secretary

**APR 29 2026**

**SUBSCRIBED AND SWORN** to before me this \_\_\_\_ day of \_\_\_\_\_ 2026, affiants exhibiting their government issued identification cards, as follows:

Name	Government ID No.	Valid Until	Place of Issue
Valerie N. Pama	[Redacted]	[Redacted]	[Redacted]
Gerald L. Bautista			
Candy S. Esteban			
Jeanemar S. Talaman			
Anna Katrina C. Kabigting-Ibero			

Doc. No. 147  
Page No. 31  
Book No. 28  
Series of 2026.

  
**ATTY. ROMEO M. MONFORT**

Notary Public City of Makati

Until December 31, 2027

Appointment No. M-029 (2026-2027)

PTR No. 10765527 January 3, 2026

IBP No. 557367 Issued on November 3, 2025

MCLE Compliance No. VIII-0040638 Roll No. 27932

Amorsolo Street, Legazpi Village  
Makati City

This document contains key information clients of Sun Life Prosperity Dollar Abundance Fund should know about. More information can be found in the Fund's prospectus. Ask a Sun Life Financial Advisor or contact Sun Life Asset Management Company, Inc. (SLAMCI), at 8-849-9888 or PHIL-MF-Products@sunlife.com or visit www.sunlifefunds.com.

<b>Launch Date</b>	March 1, 2005	<b>Fund Structure</b>	Mutual Fund (Shares)	<b>Minimum Holding Period</b>	None
<b>Fund Size</b>	USD 7,696,440.48	<b>Fund Classification</b>	Fixed Income Fund	<b>Early Redemption Fee</b>	None
<b>Net Asset Value Per Share</b>	2.9331	<b>Minimum Subscription</b>	USD 500	<b>Redemption Settlement</b>	T+4 business days
<b>Benchmark</b>	98% Bloomberg Barclays EM USD Sovereign: Philippines Total Return Index Unhedged USD + 2% 30-Day US Dollar Deposit Savings Rate	<b>Minimum Subsequent</b>	USD 100	<b>Bloomberg Ticker</b>	SNLPRDA PM Equity
		<b>Management and Distribution Fee</b>	1.50%		
		<b>Transfer Agency Fee</b>	0.15%		

## What does the Fund invest in?

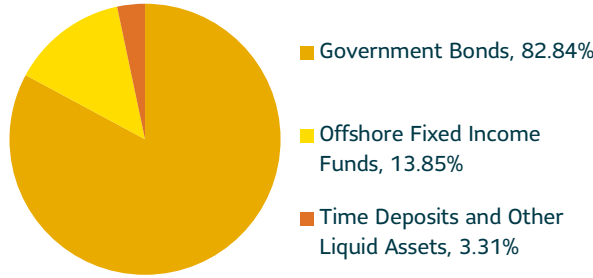
The **Sun Life Prosperity Dollar Abundance Fund** aims to provide returns through investments in a diversified portfolio of US Dollar denominated fixed income instruments issued by the Philippine government, other major economies or corporations operating therein or through diversified investment companies invested in such securities.

The Fund is suitable for investors with a **moderate risk profile** and a medium-term investment horizon. This is for investors who want relatively stable and reasonable returns in US Dollars.

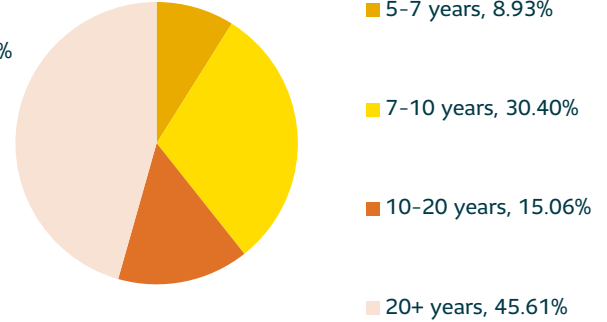
### Top Fixed Income Holdings\*

1. ROP 2042, 12.48%
2. ROP 2033, 10.74%
3. ROP 2055, 8.75%
4. MFS Meridian Funds - Emerging Markets Debt Fund I1 USD, 8.09%
5. ROP 2046, 6.67%

### Investment Mix



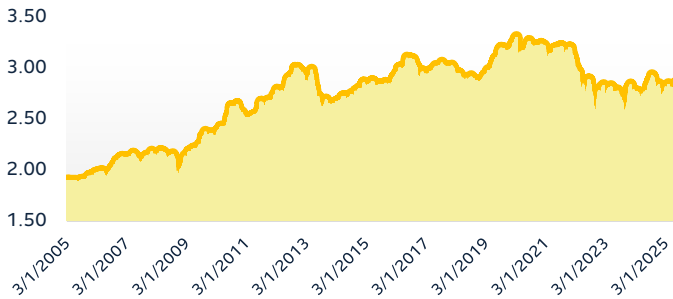
### Maturity Profile



\*Disclaimer: SLAMCI is not a distributor of the underlying securities; this material does not constitute a solicitation for the purchase of the underlying securities.

## How has the Fund performed?

NAVPS Since Inception



### CUMULATIVE PERFORMANCE

	MoM	YTD	1-Year	3-Year	5-Year
<b>Fund</b>	-0.43%	6.98%	6.98%	7.62%	-9.03%
<b>Benchmark</b>	0.15%	8.53%	8.53%	19.96%	4.97%

#### Notes:

- Year-to-date (YTD) returns are computed as the return from the last business day of the previous year to the last business day of the reporting month.
- Benchmark data were based on available information as of extraction date.

## Market Review and Outlook

- US Treasury (UST) yields were mixed with the 2Y yield lower by 9 basis points while yields further out were higher by 1 to 5 basis points. Meanwhile, USD-denominated Philippine government bonds (ROP) outperformed against US treasury benchmarks. UST returns were negative while ROP returns were flat.
- The US government shutdown on Oct. 1, which delayed official data releases for the month of September while distorting data for 4Q2025.
- The US government reopened in November with data for September rolling out while data releases for October were cancelled. Notably, the November unemployment rate ticked higher to 4.6%.
- The fund currently maintains a duration that is higher than the benchmark given the outlook on monetary policy.
- On a gross of fees basis, the Fund is slightly trailing the BM year-to-date due to security selection.

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 For consumer concerns, contact SEC at +63 2 8818-5952; 5322-7696 loc. 114; or email CGFD@sec.gov.ph.

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<b>Launch Date</b>	March 1, 2005	<b>Fund Structure</b>	Mutual Fund (Shares)	<b>Minimum Holding Period</b>	None
<b>Fund Size</b>	USD 7,845,587.57	<b>Fund Classification</b>	Fixed Income Fund	<b>Early Redemption Fee</b>	None
<b>Net Asset Value Per Share</b>	2.9457	<b>Minimum Subscription</b>	USD 500	<b>Redemption Settlement</b>	T+4 business days
<b>Benchmark</b>	98% Bloomberg Barclays EM USD Sovereign: Philippines Total Return Index Unhedged USD + 2% 30-Day US Dollar Deposit Savings Rate	<b>Minimum Subsequent</b>	USD 100	<b>Bloomberg Ticker</b>	SNLPRDA PM Equity
		<b>Management and Distribution Fee</b>	1.50%		
		<b>Transfer Agency Fee</b>	0.15%		

## What does the Fund invest in?

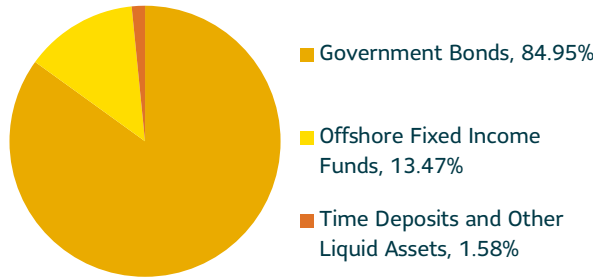
The **Sun Life Prosperity Dollar Abundance Fund** aims to provide returns through investments in a diversified portfolio of US Dollar denominated fixed income instruments issued by the Philippine government, other major economies or corporations operating therein or through diversified investment companies invested in such securities.

The Fund is suitable for investors with a **moderate risk profile** and a medium-term investment horizon. This is for investors who want relatively stable and reasonable returns in US Dollars.

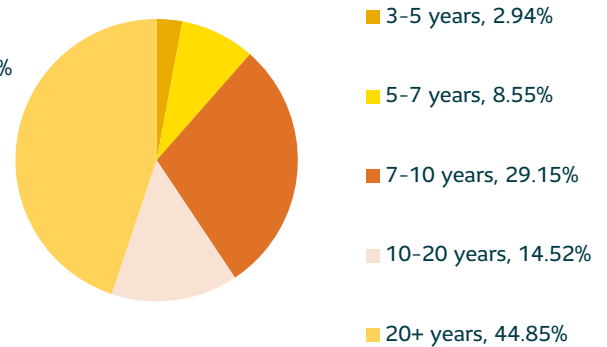
### Top Fixed Income Holdings\*

1. ROP 2042, 12.33%
2. ROP 2033, 10.54%
3. ROP 2055, 8.77%
4. MFS Meridian Funds - Emerging Markets Debt Fund I1 USD, 7.87%
5. ROP 2046, 6.62%

### Investment Mix



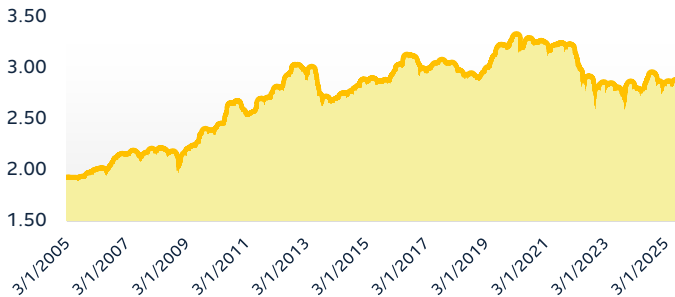
### Maturity Profile



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## How has the Fund performed?

NAVPS Since Inception



### CUMULATIVE PERFORMANCE

	MoM	YTD	1-Year	3-Year	5-Year
<b>Fund</b>	-0.27%	7.44%	4.80%	8.12%	-8.44%
<b>Benchmark</b>	-0.10%	8.37%	6.73%	21.53%	5.26%

**Notes:**

- Year-to-date (YTD) returns are computed as the return from the last business day of the previous year to the last business day of the reporting month.
- Benchmark data were based on available information as of extraction date.

## Market Review and Outlook

- US Treasury (UST) yields were mixed with 2-10Y maturities lower by 7-10 basis points while 30Y was 1bp higher. Meanwhile, USD-denominated Philippine government bonds (ROP) lagged the move lower in UST yields. UST returns were positive while ROP returns were negative given the risk-off environment.
- The US government shutdown on Oct. 1, which delayed official data releases for the month of September while distorting data for 4Q2025.
- The US government reopened in November with data for September rolling out while data releases for October were cancelled. Notably, the September unemployment rate ticked higher to 4.4%, the highest print since Oct 2021. This led to markets pricing in ~100% chance of a 25bp cut for the December Fed rate decision.
- The fund currently maintains a duration that is higher versus the benchmark given the outlook on monetary policy.
- On a gross of fees basis, the Fund is slightly trailing the BM year-to-date due to security selection.

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<b>Launch Date</b>	March 1, 2005	<b>Fund Structure</b>	Mutual Fund (Shares)	<b>Minimum Holding Period</b>	None
<b>Fund Size</b>	USD 7,951,671.99	<b>Fund Classification</b>	Fixed Income Fund	<b>Early Redemption Fee</b>	None
<b>Net Asset Value Per Share</b>	2.9537	<b>Minimum Subscription</b>	USD 500	<b>Redemption Settlement</b>	T+4 business days
<b>Benchmark</b>	98% Bloomberg Barclays EM USD Sovereign: Philippines Total Return Index Unhedged USD + 2% 30-Day US Dollar Deposit Savings Rate	<b>Minimum Subsequent</b>	USD 100	<b>Bloomberg Ticker</b>	SNLPRDA PM Equity
		<b>Management and Distribution Fee</b>	1.50%		
		<b>Transfer Agency Fee</b>	0.15%		

## What does the Fund invest in?

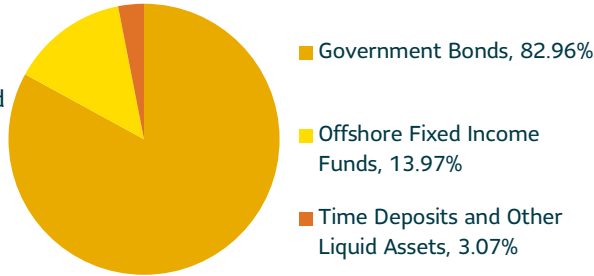
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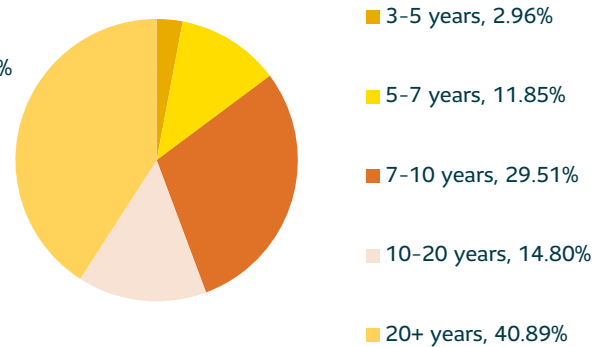
### Top Fixed Income Holdings\*

1. ROP 2042, 12.28%
2. ROP 2033, 10.43%
3. MFS Meridian Funds - Emerging Markets Debt Fund I1 USD, 7.73%
4. ROP 2049, 7.64%
5. ROP 2031, 7.64%

### Investment Mix



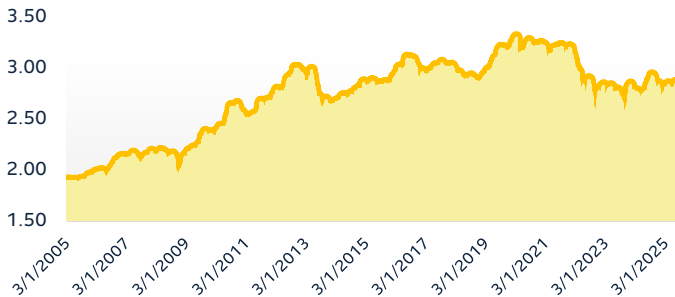
### Maturity Profile



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## How has the Fund performed?

NAVPS Since Inception



### CUMULATIVE PERFORMANCE

	MoM	YTD	1-Year	3-Year	5-Year
<b>Fund</b>	0.66%	7.73%	4.80%	14.01%	-6.89%
<b>Benchmark</b>	0.73%	8.47%	6.78%	27.01%	6.68%

**Notes:**

- Year-to-date (YTD) returns are computed as the return from the last business day of the previous year to the last business day of the reporting month.
- Benchmark data were based on available information as of extraction date.

## Market Review and Outlook

- U.S. Treasury (UST) yields were lower by 2-8 basis points for the month of October. Maturities 10Y and longer outperformed shorter dated bonds. Meanwhile, USD-denominated Philippine government bonds (ROP) tracked the move lower in UST yields. USD Fixed Income returns were positive from both price appreciation and interest income.
- The US government shutdown in Oct. 1, which delayed official data releases for the month of September while distorting data for October.
- Absent official government data on US labor, markets looked to private data, which pointed to an ongoing cooling in the labor market. Additionally, US CPI for September was in-line with expectations, which cleared the way for the Fed to cut policy rates by 25 basis points in their October meeting.
- The fund currently maintains a duration that is higher versus the benchmark given the outlook on monetary policy.
- On a gross of fees basis, the Fund is trailing the BM year-to-date due to security selection.

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 For consumer concerns, contact SEC at +63 2 8818-5952; 5322-7696 loc. 114; or email CGFD@sec.gov.ph.



# SECURITIES AND EXCHANGE COMMISSION

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## Company Information

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**SEC Registration No.:** CS200417434

**Company Name:** SUN LIFE PROSPERITY DOLLAR ABUNDANCE FUND, INC.

**Industry Classification:** J68000

**Company Type:** Stock Corporation

## Document Information

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**Document ID:** OST104142026811217658

**Document Type:** Financial Statement

**Document Code:** FS

**Period Covered:** December 31, 2025

**Submission Type:** Annual

**Remarks:** None

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REPUBLIC OF THE PHILIPPINES  
DEPARTMENT OF FINANCE  
**BUREAU OF INTERNAL REVENUE**

## FILING REFERENCE NO.

<b>TIN</b>	: 234-718-559-000
<b>Name</b>	: SUN LIFE PROSPERITY DOLLAR ABUNDANCE FUND, INC.
<b>RDO</b>	: 044
<b>Form Type</b>	: 1702
<b>Reference No.</b>	: <b>462600071943894</b>
<b>Amount Payable (Over Remittance)</b>	: 12,960.00
<b>Accounting Type</b>	: C - Calendar
<b>For Tax Period</b>	: 12/31/2025
<b>Date Filed</b>	: 04/10/2026
<b>Tax Type</b>	: IT

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### eFPS Payment Details

**TIN** : 234 - 718 - 559 - 000  
**Name** : SUN LIFE PROSPERITY DOLLAR ABUNDANCE FUND, INC.  
**Tax Period** : 12/31/2025  
**Reference Number** : 462600071943894  
**Tax Type** : IT - Annual Income Tax Return (REGULAR)

<b>Payment Transaction Number</b>	: 265952604						
<b>Date</b>	: 04/10/2026						
<b>Cash Amount Paid</b>	: 12,960.00						
<b>Bank</b>	: 026000 - RCBC						
Origin	Bank Code	Amount	Number	Date	Status	Message	CBR BCS No.
Pending Online Confirmation	026000	12,960.00	-		Unknown	60 - Details of Payment were redirected to the corresponding Bank. Please verify with your Bank.	0
Batch Confirmation	026000	12,960.00	04102026145309386407	04/10/2026	Authorized	0 - Successful	0
Batch Acknowledgment	026000	12,960.00	04102026145309386407	04/10/2026	Authorized	0 - Successful	0

**Total Payments (Successful/Unsuccessful): 12,960.00**

**Total Payments (Successful) : 12,960.00**



**STATEMENT OF MANAGEMENT’S RESPONSIBILITY  
FOR FINANCIAL STATEMENTS**

The Management of Sun Life Prosperity Dollar Abundance Fund, Inc. (the “Company”) is responsible for the preparation and fair presentation of the financial statements, including the schedules attached therein, as at December 31, 2025 and 2024, and for the years ended December 31, 2025, 2024 and 2023, in accordance with the prescribed financial reporting framework indicated therein and for such internal control as Management determines is necessary to enable the preparation of financial statements that are free from material misstatement, whether due to fraud or error.

In preparing the financial statements, Management is responsible for assessing the Company’s ability to continue as a going concern, disclosing, as applicable matters related to going concern and using the going concern basis of accounting unless Management either intends to liquidate the Company or to cease operations, or has no realistic alternative but to do so.

The Board of Directors is responsible for overseeing the Company’s financial reporting process.

The Board of Directors reviews and approves the financial statements including the schedules attached therein and submits the same to the Shareholders.

Navarro Amper & Co., the independent auditor appointed by the Shareholders for the years ended December 31, 2025 and 2024, has audited the financial statements of the Company in accordance with Philippine Standards on Auditing, and in its report to the Board of Directors and Shareholders has expressed its opinion on the fairness of presentation upon completion of such audit.

[Redacted signature box]

**Benedicto C. Sison**, Chairman of the Board

[Redacted signature box]

**Valerie N. Pama**, President

[Redacted signature box]

**Candy S. Esteban**, Treasurer

Signed this 25th day of March 2026.



MAR 25 2026

MAKATI CITY

Subscribed and sworn to me before this \_\_\_ day of \_\_\_\_\_ 2026 at \_\_\_\_\_, affiants exhibiting to me competent evidence of identity, as follows:

Name	Government Issued ID	Date/Place Issued
Benedicto C. Sison		
Valerie N. Pama		
Candy S. Esteban		

**WITNESS MY HAND AND SEAL** on the date and place above written:

Doc. No. 16  
Page No. 5  
Book No. 21  
Series of 2026.

**ATTY. ROMEO M. MONFORT**  
Notary Public City of Makati  
Until December 31, 2027  
Appointment No. M-029 (2026-2027)  
PTR No. 10765527 January 3, 2026  
IBP No. 557367 Issued on November 3, 2025  
MCLE Compliance No. VIII-0040638 Roll No. 27932  
Amorsolo Street, Legazpi Village  
Makati City

## INDEPENDENT AUDITOR'S REPORT

To the Board of Directors and Shareholders  
SUN LIFE PROSPERITY DOLLAR ABUNDANCE FUND, INC.  
(An Open-end Investment Company)  
Sun Life Centre, 5<sup>th</sup> Avenue corner Rizal Drive  
Bonifacio Global City, Taguig City

### Report on the Audit of the Financial Statements

#### *Opinion*

We have audited the financial statements of Sun Life Prosperity Dollar Abundance Fund, Inc. (the Company), which comprise the statements of financial position as at December 31, 2025 and 2024, and the statements of comprehensive income, statements of changes in equity and statements of cash flows for the years ended December 31, 2025, 2024 and 2023, and notes to the financial statements, including material accounting policy information.

In our opinion, the accompanying financial statements present fairly, in all material respects, the financial position of the Company as at December 31, 2025 and 2024, and its financial performance and its cash flows for the years ended December 31, 2025, 2024 and 2023, in accordance with Philippine Financial Reporting Standards (PFRS) Accounting Standards.

#### *Basis for Opinion*

We conducted our audits in accordance with Philippine Standards on Auditing (PSAs). Our responsibilities under those standards are further described in the *Auditor's Responsibilities for the Audit of the Financial Statements* section of our report. We are independent of the Company in accordance with the Code of Ethics for Professional Accountants in the Philippines (Code of Ethics), as applicable to audits of financial statements of public interest entities, together with the ethical requirements that are relevant to audits of the financial statements of public interest entities in the Philippines. We have also fulfilled our other ethical responsibilities in accordance with these requirements and the Code of Ethics. We believe that the audit evidence we have obtained is sufficient and appropriate to provide a basis for our opinion.

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**Deloitte.**

### *Other Information*

Management is responsible for the other information. The other information comprises the information included in the Securities and Exchange Commission (SEC) Form 17-A Annual Report submission to the SEC, which is prepared by the Management and submitted after the issuance of the audited financial statements with our auditor's report attached thereon.

The SEC Form 17-A is expected to be made available to us after the date of this auditor's report. Our opinion on the financial statements does not cover the other information and we will not express any form of assurance conclusion thereon.

In connection with our audit of the financial statements, our responsibility is to read the other information identified above when it becomes available and, in doing so, consider whether the other information is materially inconsistent with the financial statements or our knowledge obtained in the audit, or otherwise appears to be materially misstated. When we read the other information identified above which have not yet been received, if we conclude that there is a material misstatement therein, we are required to communicate the matter to those charged with governance.

### *Responsibilities of Management and Those Charged with Governance for the Financial Statements*

Management is responsible for the preparation and fair presentation of these financial statements in accordance with PFRS Accounting Standards, and for such internal control as Management determines is necessary to enable the preparation of financial statements that are free from material misstatement, whether due to fraud or error.

In preparing the financial statements, Management is responsible for assessing the Company's ability to continue as a going concern, disclosing, as applicable, matters related to going concern and using the going concern basis of accounting unless Management either intends to liquidate the Company or to cease operations, or has no realistic alternative but to do so.

Those charged with governance are responsible for overseeing the Company's financial reporting process.

### *Auditor's Responsibilities for the Audit of the Financial Statements*

Our objectives are to obtain reasonable assurance about whether the financial statements as a whole are free from material misstatement, whether due to fraud or error, and to issue an auditor's report that includes our opinion. Reasonable assurance is a high level of assurance, but is not a guarantee that an audit conducted in accordance with PSAs will always detect a material misstatement when it exists. Misstatements can arise from fraud or error and are considered material if, individually or in the aggregate, they could reasonably be expected to influence the economic decisions of users taken on the basis of financial statements.



As part of an audit in accordance with PSAs, we exercise professional judgment and maintain professional skepticism throughout the audit. We also:

- Identify and assess the risks of material misstatement of the financial statements, whether due to fraud or error, design and perform audit procedures responsive to those risks, and obtain audit evidence that is sufficient and appropriate to provide a basis for our opinion. The risk of not detecting a material misstatement resulting from fraud is higher than for one resulting from error, as fraud may involve collusion, forgery, intentional omissions, misrepresentations, or the override of internal control.
- Obtain an understanding of internal control relevant to the audit in order to design audit procedures that are appropriate in the circumstances, but not for the purpose of expressing an opinion on the effectiveness of the Company's internal control.
- Evaluate the appropriateness of accounting policies used and the reasonableness of accounting estimates and related disclosures made by Management.
- Conclude on the appropriateness of Management's use of the going concern basis of accounting and, based on the audit evidence obtained, whether a material uncertainty exists related to events or conditions that may cast significant doubt on the Company's ability to continue as a going concern. If we conclude that a material uncertainty exists, we are required to draw attention in our auditor's report to the related disclosures in the financial statements or, if such disclosures are inadequate, to modify our opinion. Our conclusions are based on the audit evidence obtained up to the date of our auditor's report. However, future events or conditions may cause the Company to cease to continue as a going concern.
- Evaluate the overall presentation, structure and content of the financial statements, including the disclosures, and whether the financial statements represent the underlying transactions and events in a manner that achieves fair presentation.

We communicate with those charged with governance regarding, among other matters, the planned scope and timing of the audit and significant audit findings, including any significant deficiencies in internal control that we identify during our audits.



**Report on Other Legal and Regulatory Requirements**

*Report on the Supplementary Information Required by the Bureau of Internal Revenue*

Our audits were conducted for the purpose of forming an opinion on the basic financial statements taken as a whole. The supplementary information in Note 19 to the financial statements is presented for purposes of filing with the Bureau of Internal Revenue and is not a required part of the basic financial statements. Such supplementary information is the responsibility of Management and has been subjected to the auditing procedures applied in our audits of the basic financial statements. In our opinion, the supplementary information is fairly stated in all material respects in relation to the basic financial statements taken as a whole.

**Navarro Amper & Co.**

BOA/PRC ACR. No. 0004, October 1, 2024; valid until September 22, 2027

SEC ACR 0004-SEC (Group A), December 7, 2021; valid to audit 2021 to 2025 financial statements



Joeffrey Mark P. Ferrer  
Partner

CPA  
BOA  
SEC  
st  
BIR A  
TIN 2  
PTR



October 1, 2024; valid until September 22, 2027

August 2, 2022; valid to audit 2021 to 2025 financial

July 16, 2024; effective until July 15, 2027

Taguig City

Taguig City, Philippines  
March 31, 2026



**SUN LIFE PROSPERITY DOLLAR ABUNDANCE FUND, INC.**

(An Open-end Investment Company)

**STATEMENTS OF FINANCIAL POSITION**

(In US Dollars)

		<b>December 31</b>	
	<b>Notes</b>	<b>2025</b>	<b>2024</b>
<b>ASSETS</b>			
<b>Current Assets</b>			
Cash in banks	6	\$ 208,752	\$ 194,186
Financial assets at fair value through profit or loss	7	7,440,381	8,287,754
Accrued interest receivable	7	96,080	114,435
		<b>\$7,745,213</b>	<b>\$8,596,375</b>
<b>LIABILITIES AND EQUITY</b>			
<b>Current Liabilities</b>			
Accrued expenses and other payables	8	\$ 38,207	\$ 128,305
Payable to fund manager	9	11,802	13,357
Income tax payable		219	76
Total Current Liabilities		<b>50,228</b>	<b>141,738</b>
<b>Equity</b>			
Share capital	10	135,309	135,309
Additional paid-in capital	11	18,082,429	18,484,932
Retained earnings		2,120,890	1,507,796
		<b>20,338,628</b>	<b>20,128,037</b>
Treasury shares	10	<b>(12,643,643)</b>	<b>(11,673,400)</b>
Total Equity		<b>7,694,985</b>	<b>8,454,637</b>
		<b>\$ 7,745,213</b>	<b>\$8,596,375</b>
<b>Net Asset Value Per Share</b>	12	<b>\$ 2.9326</b>	<b>\$ 2.7480</b>

*See Notes to Financial Statements.*

**SUN LIFE PROSPERITY DOLLAR ABUNDANCE FUND, INC.**

(An Open-end Investment Company)

**STATEMENTS OF COMPREHENSIVE INCOME**

(In US Dollars)

For the Years Ended December 31

	Notes	2025	2024	2023
<b>Investment Income (Loss) - net</b>				
Interest income	13	<b>\$361,033</b>	\$455,423	\$400,450
Net realized losses on investments	7	<b>(363,476)</b>	(149,077)	(309,916)
Other income		<b>43</b>	-	-
<b>Net Investment Income (Loss)</b>		<b>(2,400)</b>	306,346	90,534
<b>Operating Expenses</b>				
Management and transfer fees	9	<b>88,213</b>	105,035	99,728
Distribution fees	9	<b>73,511</b>	87,529	83,107
Directors' fees	9	<b>7,882</b>	4,484	4,580
Professional fees		<b>3,479</b>	3,486	3,427
Custodian fees		<b>3,489</b>	3,554	4,060
Taxes and licenses		<b>1,777</b>	1,793	1,258
Printing and supplies		<b>124</b>	111	32
Miscellaneous		<b>1,403</b>	980	787
		<b>179,878</b>	206,972	196,979
<b>Profit (Loss) Before Net Unrealized Gains (Losses)</b>				
<b>on Investments</b>		<b>(182,278)</b>	99,374	(106,445)
<b>Net Unrealized Gains (Losses) on Investments</b>	7	<b>795,786</b>	(440,311)	424,879
<b>Profit (Loss) Before Tax</b>		<b>613,508</b>	(340,937)	318,434
<b>Income Tax Expense</b>	16	<b>414</b>	1,128	2,466
<b>Total Comprehensive Income (Loss) for the Year</b>		<b>\$613,094</b>	(\$342,064)	\$315,968
<b>Basic Earnings (Loss) Per Share</b>	14	<b>\$0.198</b>	(\$0.090)	\$0.086

See Notes to Financial Statements.

**SUN LIFE PROSPERITY DOLLAR ABUNDANCE FUND, INC.**

(An Open-end Investment Company)

**STATEMENTS OF CHANGES IN EQUITY**

(In US Dollars)

**For the Years Ended December 31**

	<b>Note</b>	<b>Share Capital</b>	<b>Additional Paid-in Capital</b>	<b>Retained Earnings</b>	<b>Treasury Shares</b>	<b>Total</b>
Balance, January 1, 2023		\$135,309	\$19,169,800	\$1,533,892	(\$12,813,847)	\$8,025,154
Total comprehensive income for the period		-	-	315,968	-	315,968
Transactions with owners:						
Reissuance of treasury shares during the year	10	-	(395,378)	-	4,720,650	4,325,272
Acquisition of treasury shares during the year	10	-	-	-	(1,991,564)	(1,991,564)
Total transactions with owners		-	(395,378)	-	2,729,086	2,333,708
Balance, December 31, 2023		135,309	18,774,422	1,849,860	(10,084,761)	10,674,830
Total comprehensive loss for the period		-	-	(342,064)	-	(342,064)
Transactions with owners:						
Reissuance of treasury shares during the year	10	-	(289,490)	-	2,454,375	2,164,885
Acquisition of treasury shares during the year	10	-	-	-	(4,043,014)	(4,043,014)
Total transactions with owners		-	(289,490)	-	(1,588,639)	(1,878,129)
Balance, December 31, 2024		135,309	18,484,932	1,507,796	(11,673,400)	8,454,637
Total comprehensive income for the period		-	-	613,094	-	613,094
Transactions with owners:						
Reissuance of treasury shares during the year	10	-	(402,503)	-	3,749,108	3,346,605
Acquisition of treasury shares during the year	10	-	-	-	(4,719,351)	(4,719,351)
Total transactions with owners		-	(402,503)	-	(970,243)	(1,372,746)
<b>Balance, December 31, 2025</b>		<b>\$135,309</b>	<b>\$18,082,429</b>	<b>\$2,120,890</b>	<b>(\$12,643,643)</b>	<b>\$7,694,985</b>

*See Notes to Financial Statements.*

**SUN LIFE PROSPERITY DOLLAR ABUNDANCE FUND, INC.**

(An Open-end Investment Company)

**STATEMENTS OF CASH FLOWS**

(In US Dollars)

**For the Years Ended December 31**

	Notes	2025	2024	2023
<b>Cash Flows from Operating Activities</b>				
Profit (Loss) before tax		<b>\$613,508</b>	(\$340,937)	\$318,434
Adjustments for:				
Interest income	13	<b>(361,033)</b>	(455,423)	(400,450)
Net realized losses on investments	7	<b>363,476</b>	149,077	309,916
Net unrealized (gains) losses on investments	7	<b>(795,786)</b>	440,311	(424,879)
Operating cash flows before working capital changes		<b>(179,835)</b>	(206,972)	(196,979)
Increase (Decrease) in:				
Accrued expenses and other payables		<b>(90,099)</b>	49,402	38,799
Payable to fund manager		<b>(1,555)</b>	5,655	(4,407)
Cash generated from (used in) operations		<b>(271,489)</b>	151,915	(162,587)
Acquisition of financial assets at fair value through profit or loss		<b>(14,733,054)</b>	(20,470,265)	(87,610,722)
Proceeds from disposal of financial assets at fair value through profit or loss		<b>16,012,738</b>	22,106,793	85,104,449
Interest received		<b>379,388</b>	485,596	331,483
Income taxes paid		<b>(271)</b>	(1,215)	(2,388)
Net cash generated from (used in) operating activities		<b>1,387,312</b>	1,968,994	(2,339,765)
<b>Cash Flows from Financing Activities</b>				
Payments on acquisition of treasury shares	10	<b>(4,719,351)</b>	(4,043,014)	(1,991,564)
Proceeds from reissuance of treasury shares	10	<b>3,346,605</b>	2,164,885	4,325,272
Net cash generated from (used in) financing activities		<b>(1,372,746)</b>	(1,878,129)	2,333,708
<b>Net Increase (Decrease) in Cash in banks</b>		<b>14,566</b>	90,865	(6,057)
<b>Cash in banks, Beginning</b>		<b>194,186</b>	103,321	109,378
<b>Cash in banks, End</b>		<b>\$208,752</b>	\$ 194,186	\$ 103,321

*See Notes to Financial Statements.*

# **SUN LIFE PROSPERITY DOLLAR ABUNDANCE FUND, INC.**

(An Open-end Investment Company)

## **NOTES TO FINANCIAL STATEMENTS**

**AS AT DECEMBER 31, 2025 AND 2024 AND FOR THE YEARS ENDED  
DECEMBER 31, 2025, 2024 AND 2023**

(In US Dollars)

### **1. CORPORATE INFORMATION**

Sun Life Prosperity Dollar Abundance Fund, Inc. (the "Company") was incorporated and registered with the Philippine Securities and Exchange Commission (SEC) on November 3, 2004 and started commercial operations on March 1, 2005. The Company is a registered open-end investment company under the Investment Company Act (Republic Act "R.A." No. 2629) and the Securities Regulation Code (R.A. No. 8799), formerly known as the Revised Securities Act (B.P. No. 178). It is engaged in the sale of redeemable shares and is designed to generate total long-term returns consisting of current income and capital growth in United States Dollars through investments in foreign-currency denominated fixed-income investments issued by the Philippines, United States and other foreign governments and by Philippine and foreign corporations, common stocks and related securities, such as preferred stock, convertible securities, depository receipts issued by Philippine and foreign corporations and US-dollar denominated deposits. As an open-end investment company, its shares are redeemable anytime based on the Net Asset Value Per Share (NAVPS) at the time of redemption.

The Company appointed Sun Life Asset Management Company, Inc. (SLAMCI), an investment management company incorporated in the Philippines and a wholly-owned subsidiary of Sun Life of Canada (Philippines), Inc. (SLOCPI), as its fund manager, adviser, administrator, distributor and transfer agent and provider of management, distribution and all required operational services, as disclosed in Note 9.

The Company's registered office address and principal place of business is at Sun Life Centre, 5<sup>th</sup> Avenue corner Rizal Drive, Bonifacio Global City, Taguig City.

The Company is considered a public company under Rule 3.1 of the Implementing Rules and Regulations of the Revised Securities Regulation Code (SRC), which, among others, defines a public corporation as any corporation with assets of at least P50,000,000 and having 200 or more shareholders, each of whom holds at least 100 shares of its equity securities.

As at December 31, 2025 and 2024, the Company has 2,664 shareholders and 2,641 shareholders, respectively, each holding at least 100 shares of the Company's common shares.

#### Status of Operations

The Company applied with the SEC for voluntary revocation of the certificate of registration of securities and permit to sell of securities to the public, which was approved by the Board of Directors on May 30, 2025 and by the shareholders on August 14, 2025. The application was submitted to the SEC on August 22, 2025. As at December 31, 2025, the Company is awaiting for SEC approval.

### **2. FINANCIAL REPORTING FRAMEWORK AND BASIS OF PREPARATION AND PRESENTATION**

#### **Statement of Compliance**

The financial statements of the Company have been prepared in accordance with the Philippine Financial Reporting Standards (PFRS) Accounting Standards. PFRS Accounting Standards include all applicable PFRS, Philippine Accounting Standards (PAS) and interpretations of the Philippine Interpretations Committee (PIC), Standing Interpretations Committee (SIC) and International Financial Reporting Interpretations Committee (IFRIC) as approved by the Financial and Sustainability Reporting Standards Council (FSRSC) and the Board of Accountancy and adopted by the SEC.

### **Basis of Preparation and Presentation**

The financial statements of the Company have been prepared on the historical cost basis, except for certain financial instruments measured at fair value and certain financial instruments carried at amortized cost.

Historical cost is generally based on the fair value of the consideration given in exchange for goods and services.

### **Functional and Presentation Currency**

These financial statements are presented in United States Dollar (USD), the currency of the primary economic environment in which the Company operates. All amounts are recorded to the nearest dollar, except when otherwise indicated.

## **3. ADOPTION OF NEW AND REVISED ACCOUNTING STANDARDS**

### **Adoption of Amended Accounting Standards Effective in 2025**

In the current year, the Company has applied all amendments to PFRS Accounting Standards that are mandatorily effective for accounting periods beginning on or after January 1, 2025. Their adoption had no material impact on the disclosures or the amounts reported in these financial statements.

#### *Amendments to PAS 21, The Effects of Changes in Foreign Exchange Rates – Lack of Exchangeability*

The amendments clarify how an entity determines whether a currency is exchangeable in another currency and how it estimates the spot exchange rate when exchangeability is lacking. The amendments also introduce additional disclosure requirements relating to currencies that are not exchangeable.

The Company applied the amendments prospectively from January 1, 2025. The adoption of the amendments did not have a material impact on the Company's financial statements.

### **New and Revised Accounting Standards Effective after the Reporting Period Ended December 31, 2025**

At the date of authorization of these financial statements, the company has not applied the following PFRS Accounting Standards pronouncements that have been issued but are not yet effective:

Effective for annual period beginning or after January 1, 2026

- Amendments to PFRS 9, *Financial Instruments* and PFRS 7, *Financial Instruments: Disclosures - Classification and Measurement of Financial Instruments*
- Annual Improvements to PFRS Accounting Standards—Volume 11
- Amendments to PFRS 9, *Financial Instruments* and PFRS 7 *Financial Instruments: Disclosures - Contracts Referencing Nature-dependent Electricity*

Effective for annual period beginning or after January 1, 2027

- PFRS 17, *Insurance Contracts*
- Amendments to PFRS 17, *Insurance Contracts*
- Amendment to PFRS 17, *Insurance Contracts - Initial Application and PFRS 9, Financial Instruments – Comparative Information*
- PFRS 18, *Presentation and Disclosure in Financial Statements*
- PFRS 19, *Subsidiaries without Public Accountability: Disclosures*
- Amendments to PAS 21, *The Effects of Changes in Foreign Exchange Rates - Translation to Hyperinflationary Presentation Currency*

Deferred effectivity

- Amendments to PFRS 10, *Consolidated Financial Statements* and PAS 28, *Investments in Associates and Joint Ventures—Sale or Contribution of Assets between an Investor and its Associate or Joint Venture*

Management anticipates that the adoption of the new or revised PFRS Accounting Standards in future periods will not have a material impact on the financial statements in the period of their initial adoption.

*PFRS 18, Presentation and Disclosures in Financial Statements*

PFRS 18 replaces PAS 1, carrying forward many of the requirements in PAS 1 unchanged and complementing them with new requirements. In addition, some PAS 1 paragraphs have been moved to PAS 8 and PFRS 7. Furthermore, the FSRSC has made minor amendments to PAS 7 and PAS 33 Earnings per Share.

PFRS 18 introduces new requirements to:

- present specified categories and defined subtotals in the statement of profit or loss
- provide disclosures on management-defined performance measures (MPMs) in the notes to the financial statements
- improve aggregation and disaggregation.

An entity is required to apply PFRS 18 for annual reporting periods beginning on or after January 1, 2027, with earlier application permitted. The amendments to PAS 7 and PAS 33, as well as the revised PAS 8 and PFRS 7, become effective when an entity applies PFRS 18. PFRS 18 requires retrospective application with specific transition provisions.

The Company is currently assessing the impact of adopting these standards on its financial instruments.

#### **4. MATERIAL ACCOUNTING POLICIES**

##### **Financial Assets**

##### **Initial Recognition and Measurement**

Financial assets and financial liabilities are recognized when the entity becomes a party to the contractual provisions of the instrument. Regular way purchases and sales of financial assets are recognized on trade-date, the date on which the Company commits to purchase or sell the asset.

At initial recognition, the Company measures a financial asset or financial liability at its fair value plus or minus, in the case of a financial asset or financial liability not at fair value through profit or loss (FVTPL), transaction costs that are incremental and directly attributable to the acquisition or issue of the financial asset or financial liability. Transaction costs of financial assets and financial liabilities carried at FVTPL are expensed in profit or loss.

##### **Classification and Subsequent Measurement**

The Company classifies its financial assets in the following measurement categories:

- FVTPL
- Amortized cost

Classification of financial assets will be driven by the entity's business model for managing the financial assets and the contractual cash flows of the financial assets.

A financial asset is to be measured at amortized cost if: a) the objective of the business model is to hold the financial asset for the collection of the contractual cash flows, and b) the contractual cash flows under the instrument represent solely payment of principal and interest (SPPI).

All other debt and equity instruments must be recognized at fair value.

All fair value movements on financial assets are taken through the statements of comprehensive income, except for equity investments that are not held for trading, which may be recorded in the statements of comprehensive income or in reserves (without subsequent recycling to profit or loss).

### Debt instruments

Subsequent measurement of debt instruments depends on the Company's business model for managing the asset and the cash flow characteristics of the asset. There are two measurement categories into which the group classifies its debt instruments:

- Amortized cost. Assets that are held for collection of contractual cash flows where those cash flows represent SPPI are measured at amortized cost. A gain or loss on a debt investment that is subsequently measured at amortized cost and is not part of a hedging relationship is recognized in profit or loss when the asset is derecognized or impaired. Interest income from these financial assets is included in finance income using the effective interest rate method.
- FVTPL. Assets that do not meet the criteria for amortized cost are measured at FVTPL. A gain or loss on a debt investment that is subsequently measured at FVTPL and is not part of a hedging relationship is recognized in profit or loss and presented net in the statements of comprehensive income within other gains/(losses) in the period in which it arises. Interest income from these financial assets is included in finance income.

### *Amortized cost and effective interest method*

The effective interest method is a method of calculating the amortized cost of a financial asset and of allocating interest income over the relevant period.

For financial instruments, the effective interest rate is the rate that exactly discounts estimated future cash receipts (including all fees and points paid or received that form an integral part of the effective interest rate, transaction costs and other premiums or discounts) excluding expected credit losses (ECL), through the expected life of the debt instrument, or, where appropriate, a shorter period, to the gross carrying amount of the debt instrument on initial recognition.

Interest income is recognized using the effective interest method for debt instruments measured subsequently at amortized cost. For financial instruments other than POCI financial assets, interest income is calculated by applying the effective interest rate to the gross carrying amount of a financial asset, except for financial assets that have subsequently become credit-impaired.

### Equity instruments

The Company subsequently measures all equity investments at FVTPL, except where the Company's Management has elected, at initial recognition, to irrevocably designate an equity instrument at FVTOCI. The Company's policy is to designate equity investments as FVTOCI when those investments are held for purposes other than to generate investment returns. As at December 31, 2025 and 2024, the Company does not have financial assets classified as FVTOCI.

Changes in the fair value of financial assets measured at FVTPL are recognized in the statements of profit or loss. These changes are reported as either net realized gains (losses) or unrealized gains (losses) on investments, as appropriate.

### Derecognition

The Company derecognizes a financial asset only when the contractual rights to the asset's cash flows expire or when the financial asset and substantially all the risks and rewards of ownership of the asset are transferred to another entity. If the Company neither transfers nor retains substantially all the risks and rewards of ownership and continues to control the transferred asset, the Company recognizes its retained interest in the asset and an associated liability for amounts it may have to pay. If the Company retains substantially all the risks and rewards of ownership of a transferred financial asset, the Company continues to recognize the financial asset and also recognizes a collateralized borrowing for the proceeds received.

On derecognition of a financial asset in its entirety, the difference between the asset's carrying amount and the sum of the consideration received and receivable and the cumulative gain/loss that had been recognized in OCI and accumulated in equity is recognized in profit or loss, with the exception of equity investment designated as measured at FVTOCI, where the cumulative gain/loss previously recognized in OCI is not subsequently reclassified to profit or loss, but is transferred to retained earnings.

## **Financial Liabilities and Equity Instruments**

### Financial liabilities

All financial liabilities are measured subsequently at amortized cost using the effective interest method or at FVTPL. Financial liabilities are classified as either financial liabilities 'at FVTPL' or 'other financial liabilities'.

#### *Financial liabilities measured subsequently at amortized cost*

Financial liabilities that are not (i) contingent consideration of an acquirer in a business combination, (ii) held-for-trading, or (iii) designated as at FVTPL, are measured subsequently at amortized cost using the effective interest method.

The Company's financial liabilities classified under this category include accrued expenses and other payables and payable to fund manager.

#### *Derecognition of financial liabilities*

The Company derecognizes financial liabilities when, and only when, the Company's obligations are discharged, cancelled or have expired. The difference between the carrying amount of the financial liability derecognized and the consideration paid and payable is recognized in profit or loss.

### Equity instruments

Equity instruments issued by the Company are recognized at the proceeds received, net of direct issue costs.

#### *Share capital*

Share capital consisting of ordinary shares is classified as equity. Incremental costs directly attributable to the issue of new shares or options are shown in equity as a deduction from the proceeds, net of tax. Any excess of proceeds from the issuance of shares over its par value is recognized as additional paid-in capital.

#### *Retained earnings*

Retained earnings may also include the effect of changes in accounting policy as may be required by the standard's transitional provisions.

#### *Repurchase, disposal and reissuance of share capital (treasury shares)*

When share capital recognized as equity is repurchased, the amount of the consideration paid, which includes directly attributable cost, net of any tax effects, is recognized as a reduction from equity. Repurchased shares are classified as treasury shares and are presented in the reserve for own share account. When treasury shares are sold or reissued subsequently, the amount received is recognized as an increase in equity, and the resulting surplus or deficit on the transaction is presented as additional paid-in capital.

## **Revenue Recognition**

Revenue is measured based on the consideration to which the Company expects to be entitled in a contract with a customer and excludes amounts collected on behalf of third parties. The Company recognizes revenue when it transfers control of a product or service to a customer.

### Interest income

Interest income is accrued on a time proportion basis, by reference to the principal outstanding and at the effective interest rate applicable, which is the rate that exactly discounts estimated future cash receipts through the expected life of the financial asset to that asset's net carrying amount.

### Realized gains or losses

Gains or losses arising on the disposal of investments are determined as the difference between the sales proceeds and the carrying amount of the investments and is recognized in profit or loss.

### Fair value gains or losses

Gains or losses arising from changes in fair values of investments are disclosed under the policy on financial assets.

### Other income

Other income is income generated outside the normal course of business and is recognized when it is probable that the economic benefits will flow to the Company and it can be measured reliably.

## **Expense Recognition**

Expenses in the statements of comprehensive income are presented using the function of expense method. Investment expenses are transaction costs incurred in the purchase and sale of investments. Operating expenses are costs attributable to the administrative and other business expenses of the Company including management fees and custodianship fees.

## **Fair Value**

In estimating the fair value of an asset or a liability, the Company takes into account the characteristics of the asset or liability if market participants would take those characteristics into account when pricing the asset or liability at the measurement date. Fair value for measurement and/or disclosure purposes in these financial statements is determined on such basis.

In addition, for financial reporting purposes, fair value measurements are categorized into Levels 1, 2 or 3 based on the degree to which the inputs to the fair value measurements are observable and the significance of the inputs to the fair value measurement in its entirety, which are described as follows:

- Level 1 inputs are quoted prices (unadjusted) in active markets for identical assets or liabilities that the entity can access at the measurement date;
- Level 2 inputs are inputs, other than quoted prices included within Level 1, that are observable for the asset or liability, either directly or indirectly; and
- Level 3 inputs are unobservable inputs for the asset or liability.

## **Related Party Transactions**

An entity that is a post-employment benefit plan for the employees of the Company and the key management personnel of the Company are also considered to be related parties.

## **Taxation**

Income tax expense represents the sum of the current tax, final tax and deferred tax expense.

### Current tax

The current tax expense is based on taxable profit for the year. Taxable profit differs from net profit as reported in the statements of comprehensive income because it excludes items of income or expense that are taxable or deductible in other years and it further excludes items that are never taxable or deductible.

The Company's current tax expense is calculated using 25% regular corporate income tax (RCIT) rate or 2% minimum corporate income tax (MCIT), whichever is higher.

In 2023, the Company's current tax expense is calculated using 25% RCIT rate or 1% MCIT rate in July 1, 2020 to June 30, 2023 and 25% RCIT rate or 2% MCIT rate, whichever is higher, effective July 1, 2023.

### Final tax

Final tax expense represents final taxes withheld on interest income from cash in banks and cash equivalents.

### Deferred tax

Deferred tax is recognized on temporary differences between the carrying amounts of assets and liabilities in the financial statements and the corresponding tax bases used in the computation of taxable profit. Deferred tax liabilities are generally recognized for all taxable temporary differences. Deferred tax assets are generally recognized for all deductible temporary differences to the extent that it is probable that taxable profits will be available against which those deductible temporary differences can be utilized. Such deferred tax assets and liabilities are not recognized if the temporary difference arises from the initial recognition (other than in a business combination) of assets and liabilities in a transaction that affects neither the taxable profit nor the accounting profit.

The carrying amount of deferred tax assets is reviewed at the end of each reporting period and reduced to the extent that it is no longer probable that sufficient taxable profits will be available to allow all or part of the asset to be recovered.

Deferred tax assets and liabilities are offset when there is a legally enforceable right to offset current tax assets against current tax liabilities and these relate to income taxes levied by the same taxation authority and the Company intends to settle its current tax assets and liabilities on a net basis.

### Current and deferred taxes for the year

Current and deferred taxes are recognized in profit or loss, except when they relate to items that are recognized in OCI or directly in equity, in which case, the current and deferred taxes are also recognized in OCI or directly in equity, respectively.

### **Foreign Currency**

Transactions in currencies other than the functional currency of the Company are recorded at the rates of exchange prevailing on the dates of the transactions. At the end of each reporting period, monetary assets and liabilities that are denominated in foreign currencies are retranslated at the rates prevailing at the end of the reporting period.

Non-monetary assets and liabilities carried at fair value that are denominated in foreign currencies are translated at the rates prevailing at the date the fair value was determined. Gains and losses arising on retranslation are included in profit or loss for the year, except for exchange differences arising on non-monetary assets and liabilities when the gains and losses of such non-monetary items are recognized directly in equity. Non-monetary assets and liabilities that are measured in terms of historical cost in a foreign currency are not retranslated.

Exchange differences on monetary items are recognized in profit or loss.

### **Earnings (Loss) Per Share**

The Company computes its basic earnings per share by dividing profit or loss for the year attributable to ordinary equity holders of the Company by the weighted average number of ordinary shares outstanding during the period.

For the purpose of calculating diluted earnings (loss) per share, profit or loss for the year attributable to ordinary equity holders of the Company and the weighted average number of shares outstanding are adjusted for the effects of deposits for future stock subscriptions which are dilutive potential ordinary shares.

### **Net Asset Value Per Share (NAVPS)**

The Company computes its NAVPS by dividing the total net asset value as at the end of the reporting period by the number of issued and outstanding shares and shares to be issued on deposits for future stock subscriptions.

## **5. CRITICAL ACCOUNTING JUDGMENTS AND KEY SOURCES OF ESTIMATION UNCERTAINTY**

In the application of the Company's accounting policies, Management is required to make judgments, estimates and assumptions about the carrying amounts of assets and liabilities that are not readily apparent from other sources. The estimates and associated assumptions are based on the historical experience and other factors that are considered to be relevant. Actual results may differ from these estimates.

The estimates and underlying assumptions are reviewed on an ongoing basis. Revisions to accounting estimates are recognized in the period in which the estimate is revised if the revision affects only that period, or in the period of the revision and future periods if the revision affects both current and future periods.

### **Critical Judgments in Applying Accounting Policies**

The following are the critical judgments, apart from those involving estimations, that Management has made in the process of applying the Company's accounting policies that have the most significant effect on the amounts recognized in the financial statements.

#### Business model assessment

Classification and measurement of financial assets depends on the results of the SPPI and the business model test. The Company determines the business model at a level that reflects how groups of financial assets are managed together to achieve a particular business objective.

The Company measures its financial assets at amortized cost if the financial asset qualifies for both SPPI and business model test. The Company's business model test is to hold the asset and to collect its cash flows which are SPPI. All other financial assets that do not meet the SPPI and business model test are measured at FVTPL.

As at December 31, 2025 and 2024, the Company's financial assets measured at FVTPL amounted to \$7,440,381 and \$8,287,754, respectively, as disclosed in Note 7.

As at December 31, 2025 and 2024, the Company's financial assets measured at amortized cost amounted to \$304,832 and \$308,621, respectively, composed of cash in banks and accrued interest receivable, as disclosed in Note 6 and Note 7, respectively.

#### Functional currency

Based on the economic substance of the underlying circumstances relevant to the Company, the functional currency of the Company has been determined to be the US Dollar (USD). The USD is the currency of the primary economic environment in which the Company operates. It is the currency being used to report the Company's results of operations.

#### Puttable shares designated as equity instruments

The Company's share capital fulfilled the requirements for equity classification. The Company designated its redeemable share capital as equity instruments since the Company's share capital met the criteria specified in PAS 32, *Financial Instruments: Presentation*, to be presented as equity.

A puttable financial instrument includes a contractual obligation for the issuer to repurchase or redeem that instrument for cash or another financial asset on exercise of the put. As an exception to the definition of a financial liability, an instrument that includes such an obligation is classified as an equity instrument if it has met all the following features:

- a. it entitles the holder to a pro rata share of the entity's net assets in the event of the entity's liquidation. The entity's net assets are those assets that remain after deducting all other claims on its assets;
- b. it is in the class of instruments that is subordinate to all other classes of instruments;
- c. all financial instruments in the class of instruments that is subordinate to all other classes of instruments have identical features;
- d. apart from the contractual obligation for the issuer to repurchase or redeem the instrument for cash or another financial asset, the instrument does not include any contractual obligation to deliver cash or another financial asset to another entity, or to exchange financial assets or financial liabilities with another entity under conditions that are potentially unfavorable to the entity, and it is not a contract that will or may be settled in the entity's own equity instruments; and
- e. the total expected cash flows attributable to the instrument over the life of the instrument are based substantially on the profit or loss, the change in the recognized net assets or the change in the fair value of the recognized and unrecognized net assets of the entity over the life of the instrument (excluding any effects of the instrument).

As at December 31, 2025 and 2024, the recognized amount of share capital representing puttable shares in the statements of financial position amounted to \$135,309 as disclosed in Note 10.

#### **Key Sources of Estimation Uncertainty**

The following are the Company's key assumptions concerning the future and other key sources of estimation uncertainty at the end of each reporting period that have a significant risk of causing a material adjustment to the carrying amounts of assets and liabilities within the next financial year.

#### Deferred tax assets

The Company reviews the carrying amount at the end of each reporting period and reduces deferred tax assets to the extent that it is no longer probable that sufficient taxable profit will be available to allow all or part of the deferred tax assets to be utilized. However, there is no assurance that the Company will generate sufficient taxable profit that will allow all or part of its deferred tax assets to be utilized.

Based on Management's expectation of the Company's future taxable income, the Company did not recognize deferred tax assets as at December 31, 2025 and 2024, as disclosed in Note 16.

## 6. CASH IN BANKS

As at December 31, 2025 and 2024, cash in banks amounting to \$208,752 and \$194,186, respectively.

Cash in bank earned interest amounted to \$13, \$12 and \$26 at average interest rates of 0.01%, 0.01% and 0.03% in 2025, 2024 and 2023, respectively, as disclosed in Note 13.

## 7. FINANCIAL ASSETS AT FAIR VALUE THROUGH PROFIT OR LOSS

This account consists of:

	2025	2024
Investments in fixed-income securities	<b>\$6,373,480</b>	\$8,286,483
Investments in global mutual funds	<b>1,066,901</b>	1,271
	<b>\$7,440,381</b>	\$8,287,754

Financial assets at FVTPL are composed of investments in global traded and fixed-income securities in the form of dollar-denominated government bonds.

Interest income earned on fixed-income securities amounted to \$360,854, \$453,558, and \$387,562 in 2025, 2024 and 2023, respectively, as disclosed in Note 13. Average interest rates earned on these investments are also disclosed in Note 13. Accrued interest receivable amounted to \$96,080 and \$114,435 as at December 31, 2025 and 2024, respectively, as presented as part of accrued interest receivable in the statements of financial position.

Net gains (losses) on investments recognized in profit or loss arising from financial assets at FVTPL are as follows:

	2025	2024	2023
Net realized gains (losses) on investments in:			
Equity securities	<b>\$ 14,969</b>	(\$ 3,471)	\$ 148
Fixed-income securities	<b>(378,445)</b>	(145,606)	(310,064)
	<b>(363,476)</b>	(149,077)	(309,916)
Net unrealized gains (losses) on investments in:			
Equity securities	<b>68,045</b>	(9,327)	7,409
Fixed-income securities	<b>727,741</b>	(430,984)	417,470
	<b>795,786</b>	(440,311)	424,879
	<b>\$432,310</b>	(\$589,388)	\$114,963

The movement in the financial assets at FVTPL are summarized as follows:

	2025	2024	2023
Balance, January 1	<b>\$8,287,754</b>	\$10,513,669	\$ 7,892,433
Additions	<b>14,733,054</b>	20,470,265	87,610,722
Disposals	<b>(16,376,213)</b>	(22,255,869)	(85,414,365)
Unrealized gains (losses)	<b>795,786</b>	(440,311)	424,879
Balance, December 31	<b>\$7,440,381</b>	\$ 8,287,754	\$10,513,669

The following presents the breakdown of the maturity profile of the principal amounts of investment in fixed-income securities:

	2025	2024
Due after one year through five years	<b>\$ -</b>	\$ 584,000
Due after five years through ten years	<b>2,543,000</b>	4,160,000
Due after ten years	<b>4,260,000</b>	4,650,000
	<b>\$6,803,000</b>	\$9,394,000

#### 8. ACCRUED EXPENSES AND OTHER PAYABLES

This account consists of:

	2025	2024
Due to investors	<b>\$33,205</b>	\$124,804
Professional fees	<b>3,475</b>	1,882
Withholding and documentary stamp taxes	<b>1,295</b>	1,326
Custodianship fees	<b>168</b>	273
Printing fees	<b>64</b>	20
	<b>\$38,207</b>	\$128,305

Due to investors account pertains to amounts payable to investors for the redemption of their investments processed on or before the reporting period, which are usually paid four days after the transaction date.

#### 9. RELATED PARTY TRANSACTIONS

In the normal course of business, the Company transacts with companies which are considered related parties under PAS 24, *Related Party Disclosures*.

As at December 31, 2025 and 2024, SLAMCI owned 28.68% and 0.00%, respectively, of the Company's shares. This ownership represents the initial seed capital.

SLAMCI holds the following number and current value of shares of the Company as at December 31, 2025 and 2024:

Related party	2025		2024	
	Number of shares	Current Value	Number of shares	Current Value
SLAMCI	<b>752,582</b>	<b>\$ 2,207,017</b>	-	\$ -

The details of transactions within related parties and the amounts paid or payable are set out below.

Nature of transaction	Transactions during the year			Outstanding Payable		Terms	Condition	Notes
	2025	2024	2023	2025	2024			
SLAMCI -Fund Manager								
Management						Non-interest bearing; 1.65% of average daily net assets; settled in cash on or before 15 <sup>th</sup> day of the following month	Unsecured; Unguaranteed	a
Distribution and Transfer fees	\$161,724	\$192,564	\$182,835	\$11,802	\$13,357			
Key Management Personnel								
Directors' fees	7,882	4,484	4,580	-	-	Payable on demand; Settled in cash	Unsecured; Unguaranteed	b
Entities Under Common Control								
Sun Life Prosperity Dollar								
Starter Fund, Inc.								
Purchase	\$ -	\$ -	\$637,970	\$ -	\$ -	Non-interest bearing; Settled in cash on the day of transaction	Unsecured	c

Details of the Company's related party transactions are as follows:

**a. Investment Management**

The Company appointed SLAMCI as its fund manager, adviser, administrator, distributor and transfer agent that provides management, distribution and all required operational services. Under the Management and Distribution Agreement (MDA), SLAMCI receives aggregate fees for these services at an annual rate of 1.5% (exclusive of VAT) of the net assets attributable to shareholders on each valuation day. Moreover, under the Transfer Agency Agreement, SLAMCI receives aggregate fees for these services at an annual rate of 0.15% (exclusive of VAT) of the net assets attributable to shareholders on each valuation day.

On January 22, 2024, the Company and SLAMCI amended its MDA and Transfer Agency Agreement based on the Implementing Rules and Regulations of the Investment Company Act of 2018 published by the SEC. The agreements shall remain in effect for a period of 2 years from July 31, 2020 and shall continue in effect from year to year as approved by the respective Board of Directors of the Company and SLAMCI.

**b. Remuneration of Directors**

Remuneration of directors is usually paid based on the meetings held and attended. There were no accrued Director's fees as at December 31, 2025 and 2024.

Except for the Board of Directors, the Company has no key management personnel and employees. Pursuant to the Company's MDA with SLAMCI, the latter provides all the staff of the Company, including executive officers and other trained personnel.

**c. Purchase and Sale of Investments**

These types of transactions are buy and sell of the same security between portfolios of two separate affiliated legal entities and whose assets are managed by Investments Department of SLAMCI until July 25, 2021 and Sun Life Investment Management and Trust Corporation from July 26, 2021 onwards. Portfolio managers determine that this is appropriate and in the best interest of certain portfolios and ensure that the trade will be executed in a manner that is fair and equitable to both parties involved in the cross trade.

## 10. EQUITY

Movements are as follows:

	2025		2024		2023	
	Shares	Amount	Shares	Amount	Shares	Amount
Authorized:						
At P1.00 par value						
At January 1 and December 31	<b>10,000,000</b>	<b>\$10,000,000</b>	10,000,000	\$10,000,000	10,000,000	\$10,000,000
Fully paid:						
At January 1 and December 31	<b>6,765,497</b>	<b>\$ 135,309</b>	6,765,497	\$ 135,309	6,765,497	\$ 135,309
Treasury Shares:						
At January 1	<b>3,688,866</b>	<b>\$11,673,400</b>	2,998,330	\$10,084,761	3,816,750	\$12,813,847
Acquisitions	<b>1,647,423</b>	<b>4,719,351</b>	1,449,646	4,043,014	739,685	1,991,564
Reissuance	<b>(1,194,744)</b>	<b>(3,749,108)</b>	(759,110)	(2,454,375)	(1,558,105)	(4,720,650)
At December 31	<b>4,141,545</b>	<b>\$12,643,643</b>	3,688,866	\$11,673,400	2,998,330	\$10,084,761

Fully paid ordinary shares with a par value of P1.00 carry one vote per share and a right to dividends.

### *Incorporation*

On November 3, 2004, the Company was incorporated with 2,000,000 authorized share capital at par value of P1.00 per share.

### *Approved changes*

On March 11, 2011, the Board of Directors approved the increase in authorized share capital of 8,000,000 shares (from 2,000,000 shares to 10,000,000 shares both with par value of P1.00), which was approved by the shareholders on June 28, 2012.

On June 29, 2012, the Company submitted its application with the SEC for increase in authorized share capital of 8,000,000 shares (from 2,000,000 shares to 10,000,000 shares both with par value of P1.00).

### *Current state*

As at December 31, 2025, the Company has 2,623,952 issued and outstanding shares out of the 10,000,000 authorized shares with a par value of P1.00 per share.

The annual summary of the transactions of the Company's outstanding shares is as follows:

Year	NAVPS, end	Subscriptions	Issuances	Redemptions	Balances
2010	\$2.53	-	1,064,417	(1,063,999)	1,999,489
2011	\$2.67	-	1,056,208	(1,056,031)	1,999,666
2012	\$2.96	-	191,590	(193,046)	1,998,210
2013	\$2.65	4,765,497	1,154,809	(1,161,917)	6,756,599
2014	\$2.81	-	313,283	(1,196,540)	5,873,342
2015	\$2.85	-	587,618	(696,791)	5,764,169
2016	\$2.90	-	1,022,403	(780,960)	6,005,612
2017	\$3.01	-	307,005	(1,850,737)	4,461,880
2018	\$2.87	-	67,311	(1,019,692)	3,509,499
2019	\$3.18	-	468,984	(435,368)	3,543,115
2020	\$3.22	-	1,736,043	(1,261,329)	4,017,829
2021	\$3.20	-	69,006	(798,716)	3,288,119
2022	\$2.72	-	165,198	(504,570)	2,948,747
2023	\$2.83	-	1,558,105	(739,685)	3,767,167
2024	\$2.75	-	759,110	(1,449,646)	3,076,631
<b>2025</b>	<b>\$2.93</b>	-	<b>1,194,744</b>	<b>(1,647,423)</b>	<b>2,623,952</b>

The total number of shareholders as at December 31, 2025, 2024 and 2023 is 2,664, 2,641 and 2,578, respectively.

#### *Redeemable shares*

Redeemable shares carry one vote each, and are subject to the following:

a. Distribution of dividends

Each shareholder has a right to any dividends declared by the Company's Board of Directors and approved by 2/3 of its outstanding shareholders.

b. Denial of pre-emptive rights

No shareholder shall, because of his ownership of the shares, have a pre-emptive or other right to purchase, subscribe for, or take any part of shares or of any other securities convertible into or carrying options or warrants to purchase shares of the registrant.

c. Right of redemption

The holder of any share, upon its presentation to the Company or to any of its duly authorized representatives, is entitled to receive, by way of redemption, approximately his proportionate share of the Company's current net assets or the cash equivalent thereof. Shares are redeemable at any time at their net assets value less any applicable sales charges and taxes.

## 11. ADDITIONAL PAID-IN CAPITAL

Additional paid-in capital of \$18,082,429, \$18,484,932 and \$18,774,422 as at December 31, 2025, 2024 and 2023, respectively, pertains to excess payments over par value from investors and from reissuance of treasury shares.

## 12. NET ASSET VALUE PER SHARE (NAVPS)

NAVPS is computed as follows:

	Note	2025	2024
Total equity		<b>\$7,694,985</b>	\$8,454,637
Outstanding shares	10	<b>2,623,952</b>	3,076,631
NAVPS		<b>\$ 2.9326</b>	\$ 2.7480

NAVPS is based on issued, outstanding and fully paid shares minus treasury shares. The expected cash outflow on redemption of these shares is equivalent to computed NAVPS as at reporting period.

## 13. INTEREST INCOME

This account consists of interest income on the following:

	Notes	2025	2024	2023
Fixed-income securities	7	<b>\$360,854</b>	\$453,558	\$387,562
Cash equivalents		<b>166</b>	1,853	12,862
Cash in banks	6	<b>13</b>	12	26
		<b>\$361,033</b>	\$455,423	\$400,450

The Company records interest income at its gross amount, with any applicable final withholding tax recognized as income tax expense.

Average interest rates of investments and cash and cash equivalents in 2025, 2024 and 2023 are as follows:

	2025	2024	2023
Fixed-income securities	<b>4.44%</b>	3.98%	3.98%
Cash in banks	<b>0.01%</b>	0.01%	0.03%
Cash equivalents	-	5.16%	0.00%

Interest income earned on financial assets, analyzed by category, is as follows:

	Notes	2025	2024	2023
Financial assets at FVTPL	7	<b>\$360,854</b>	\$453,558	\$387,562
Cash and cash equivalents	6	<b>179</b>	1,865	12,888
		<b>\$361,033</b>	\$455,423	\$400,450

Cash equivalents are short-term, highly liquid investments that are readily convertible to known amounts of cash and which are subject to an insignificant risk of changes in value. The Company classifies an investment as cash equivalent if that investment has a maturity of three months or less from the date of acquisition.

#### 14. EARNINGS (LOSS) PER SHARE

The calculation of the basic and diluted earnings (loss) per share is based on the following data:

	2025	2024	2023
Total comprehensive income (loss) for the year	<b>\$613,094</b>	(\$342,064)	\$315,968
Weighted average number of shares: Issued and outstanding	<b>3,095,109</b>	3,788,931	3,686,177
Basic earnings (loss) per share	<b>\$ 0.198</b>	(\$ 0.090)	\$ 0.086

As at December 31, 2025, 2024 and 2023, the Company has no dilutive potential ordinary shares.

#### 15. FAIR VALUE OF FINANCIAL INSTRUMENTS

*Assets and liabilities measured at fair value on a recurring basis*

The following table provides an analysis of financial instruments that are measured subsequent to initial recognition at fair value classified under level 1 based on the degree to which the inputs to fair value are observable.

	Note	Level 1
<b>December 31, 2025</b>		
Investments in fixed-income securities	7	<b>\$6,373,480</b>
Investments in global mutual funds	7	<b>1,066,901</b>
		<b>\$7,440,381</b>
December 31, 2024		
Investments in fixed-income securities	7	\$8,286,483
Investments in global mutual funds	7	1,271
		\$8,287,754

The fair values of fixed-income securities classified as Level 1 are based on quoted prices of either done deals or bid rates.

The fair values of investments in global mutual funds are based on published NAVPS of the mutual fund as at December 31, 2025 and 2024.

Total unrealized gain or loss on investments relating to financial assets that are measured at fair value at the end of the reporting period are presented separately in the statements of comprehensive income and disclosed in Note 7.

#### 16. INCOME TAXES

Details of income tax expense during the year are as follows:

	2025	2024	2023
Final tax	<b>\$ 27</b>	\$ 280	\$1,934
MCIT	<b>387</b>	848	532
	<b>\$414</b>	\$1,128	\$2,466

The reconciliation between income tax expense and the product of accounting profit (loss) multiplied by 25% in 2025, 2024 and 2023 is as follows:

	<b>2025</b>	2024	2023
Accounting profit (loss)	<b>\$613,508</b>	(\$340,937)	\$318,434
Tax expense (benefit) at 25%	<b>\$153,377</b>	(\$ 85,234)	\$ 79,609
Adjustment for income subject to lower tax rate	<b>(84,834)</b>	(100,594)	(87,601)
Net unrealized loss (gains) on investments	<b>(198,947)</b>	110,078	(106,220)
Net realized loss on investments	<b>90,869</b>	37,269	77,479
Unrecognized Net Operating Loss Carry-Over (NOLCO)	<b>39,562</b>	38,762	38,667
Unrecognized MCIT	<b>387</b>	847	532
	<b>\$ 414</b>	\$ 1,128	\$ 2,466

On March 26, 2021, the Republic Act (RA) 11534 also known as "Corporate Recovery and Tax Incentives for Enterprises Act" or "CREATE" Act was passed into law which reduced the corporate income tax rates and rationalized the current fiscal incentives by making it time-bound, targeted and performance-based.

Among others, the Act includes the following significant revisions:

1. Effective July 1, 2020, domestic corporations with total assets not exceeding P100 million and net taxable income of P5 million and below shall be subject to 20% income tax rate while the other domestic corporations and resident foreign corporations will be subject to 25% tax income tax rate.

Details of the Company's NOLCO are as follows (in Philippine Peso):

Year Incurred	Year of Expiry	Beginning Balance	Addition	Expired	Ending Balance
2022	2025	P 8,946,307	P -	P8,946,307	<b>P -</b>
2023	2026	8,571,442	-	-	<b>8,571,442</b>
2024	2027	8,968,947	-	-	<b>8,968,947</b>
2025	2028	-	9,303,282	-	<b>9,303,282</b>
		P26,486,696	P9,303,282	P8,946,307	<b>P26,843,671</b>

Details of the Company's NOLCO covered by Revenue Regulations (RR) No. 25-2020 are as follows (in Philippine Peso):

Year of Incurrence	Year of Expiry	Beginning Balance	Addition	Expired	Ending Balance
2020	2025	P13,089,767	P -	P13,089,767	<b>P -</b>
2021	2026	10,742,210	-	-	<b>10,742,210</b>
		P23,831,977	P -	P13,089,767	<b>P10,742,210</b>

Pursuant to Section 4 COVID-19 Response and Recovery Interventions paragraph of Republic Act No. 11494 also known as "Bayanihan to Recover As One Act" and to RR No. 25-2020 of Bureau of Internal Revenue, the NOLCO incurred by the Company for taxable years 2021 and 2022 shall be carried over as a deduction from gross income for the next five consecutive taxable years immediately following the year of such loss.

Details of MCIT are as follows (in Philippine Peso):

Year Incurred	Year of Expiry	Amount	Applied Previous Year	Applied Current Year	Expired	Unapplied
2022	2025	P 9,012	P -	P -	P9,012	P -
2023	2026	29,507	-	-	-	29,507
2024	2027	49,003	-	-	-	49,003
2025	2028	22,729	-	-	-	22,729
		P110,251	P -	P -	P9,012	P101,239

Deferred tax assets on NOLCO and MCIT were not recognized since Management believes that future taxable income will not be available against which the deferred tax asset can be utilized.

The Company's interest income arising from cash in banks is already subjected to final tax while interest income arising from fixed-income securities are tax-exempt and are therefore excluded from the computation of taxable income subject to RCIT and MCIT.

## 17. FINANCIAL RISK MANAGEMENT

The Company's activities expose it to a variety of financial risks: market risk, which includes interest rate and equity price risks, credit risk and liquidity risk. The Fund Manager exerts best efforts to anticipate events that would negatively affect the value of the Company's assets and takes appropriate actions to counter these risks. However, there is no guarantee that the strategies will work as intended. The policies for managing specific risks are summarized below.

### Market risk

The Company's activities expose it primarily to the financial risks of changes in interest rates, prices of equity securities in the stock market and movements in NAVPS of investments in global mutual funds. The Company has insignificant exposure to foreign exchange risk since foreign currency denominated transactions are minimal. There has been no change on the manner in which the Company manages and measures these risks.

### *Interest rate risk*

Interest rate risk refers to the possibility that the fair value or future cash flows of a financial instrument will fluctuate because of changes in market interest.

The primary source of the Company's interest rate risk relates to cash and fixed-income securities. Interest rates of the financial assets are disclosed in Note 6 and 13.

The risk is managed by the Fund Manager by actively monitoring the prevailing interest rate environment. The duration of the portfolio is reduced during periods of rising rates and widening credit spreads to maximize interest income potential. Conversely, the same is increased during periods of falling rates and narrowing credit spreads.

A 50 basis points increase or decrease in the interest rates had been determined for sensitivity analysis based on the exposure to interest rates for financial assets at FVTPL at the end of each reporting period. The same is used for reporting interest rate risk internally to key management personnel and represents Management's assessment of the reasonable effect of the maximum possible movement in interest rates.

The following table details the increase or decrease in net profit after tax if interest rates had been 50 basis points higher or lower and all other variables are held constant for the years ended 2025, 2024, and 2023:

Change in Interest rates	Increase (Decrease) in Net Profit or Loss		
	2025	2024	2023
+50 basis	<b>(\$324,586)</b>	(\$336,776)	(\$436,294)
-50 basis	<b>352,505</b>	360,425	470,696

In Management's opinion, the sensitivity analysis is unrepresentative of the inherent interest rate risk because the exposure at the end of the reporting period does not reflect the exposure during the year.

#### *Equity price risk*

The Company is exposed to equity price risks arising from investments in global mutual funds. The risk is managed by the Fund Manager by actively monitoring the movements in NAVPU of investments in global mutual funds.

Based on the exposure to equity price risk at the end of each reporting period, if NAVPU of investments in global mutual funds had been 2% higher or lower, profit or loss would have increased or decreased by \$21,159, \$25 and \$10,364 in 2025, 2024 and 2023, respectively.

Other than interest and equity price risks discussed above, there are no other market risks which significantly affect the Company's performance.

In Management's opinion, the sensitivity analysis is unrepresentative of the inherent equity price risk because the exposure at the end of the reporting period does not reflect the exposure during the year.

#### Credit risk

Credit risk refers to the risk that the counterparty will default on its contractual obligations resulting in financial loss to the Company. The Company has adopted a policy of dealing only with creditworthy counterparties, as a means of mitigating the risk of financial loss from defaults and transacts only with entities that are rated with equivalent of investment grade of "High" down to "Satisfactory". This information is supplied by independent rating agencies, when available. If the information is not available, the Company uses other publicly available financial information and its own trading records to rate its major counterparties. The Company's exposure and the credit ratings of its counterparties are continuously monitored, and the aggregate value of transactions concluded is spread amongst approved counterparties.

The table below summarizes the current internal credit rating equivalence system of the Company.

Summary rating	S&P rating	Internal credit rating
High	AAA	AAA
High	AAA	AA
High	AAA	A
High	AAA	BBB
Satisfactory	AA	BB
Acceptable	B	B
Low	CCC/C	CCC/C

The carrying amount of cash in banks and due from related party recorded in the financial statements represents the Company's maximum exposure to credit risk. The Company determined that the credit quality of cash in bank and due from related party as high grade and low credit risk investments based on the financial institutions being licensed, regulated banks with strong market reputations, and the related party's solid financial position and historical payment performance. Therefore, no ECL is recognized for these financial assets.

#### Liquidity risk

Liquidity risk arises when the Company encounters difficulty in meeting the obligations associated with its financial liabilities that are settled by delivering cash or another financial asset. The Company aims to maintain an appropriate level of liquidity which means having sufficient liquidity to be able to meet all obligations promptly under foreseeable adverse circumstances, while not having excessive liquidity.

The Company maintains at least ten percent of the fund in liquid/semi-liquid assets in the form of cash and cash equivalents, equity securities and accrued interest receivable to assure necessary liquidity. This is also in compliance to Section 6.10 of the Implementing Rules and Regulations of the Investment Company Act series of 2018.

The Fund Manager manages liquidity risks by continuously monitoring forecast and actual cash flows and matching the maturity profiles of financial assets and liabilities.

The following table details the Company's remaining contractual maturity for its non-derivative financial liabilities. The table had been drawn up based on the undiscounted cash flows of financial liabilities based on the earliest date on which the Company can be required to pay.

	<b>Less than One Month</b>	<b>One Month to One Year</b>	<b>Total</b>
<b>2025</b>			
Accrued expenses and other payables	<b>\$33,205</b>	<b>\$3,707</b>	<b>\$36,912</b>
Payable to fund manager	<b>11,802</b>	<b>-</b>	<b>11,802</b>
	<b>\$45,007</b>	<b>\$3,707</b>	<b>\$48,714</b>
<b>2024</b>			
Accrued expenses and other payables	\$124,804	\$2,175	\$126,979
Payable to fund manager	13,357	-	13,357
	\$138,161	\$2,175	\$140,336

The difference between the carrying amount of accrued expenses and other payables disclosed in the statements of financial position and the amount disclosed in this note pertains to withholding and documentary stamp taxes that are not considered financial liabilities.

The following table details the Company's expected maturity for its financial assets. The table had been drawn up based on the contractual maturities of the financial assets including interest that will be earned on those assets, except when the Company anticipates that the cash flows will occur in a different period.

	Average Effective Interest Rate	Less than One Year	One to Five Years	Five to Ten Years	More than Ten Years	Total
<b>2025</b>						
Cash in banks	<b>0.01%</b>	<b>\$208,752</b>	\$ -	\$ -	\$ -	<b>\$ 208,752</b>
Financial assets at FVTPL	<b>4.44%</b>	-	-	<b>2,507,820</b>	<b>3,865,660</b>	<b>6,373,480</b>
Accrued interest receivable		<b>96,080</b>	-	-	-	<b>96,080</b>
		<b>\$304,832</b>	<b>\$ -</b>	<b>\$2,507,820</b>	<b>\$3,865,660</b>	<b>\$6,678,312</b>
<b>2024</b>						
Cash in banks	0.01%	\$ 194,186	\$ -	\$ -	\$ -	\$ 194,186
Financial assets at FVTPL	3.98%	-	561,899	3,677,946	4,046,638	8,286,483
Accrued interest receivable		114,435	-	-	-	114,435
		<b>\$ 308,621</b>	<b>\$561,899</b>	<b>\$3,677,946</b>	<b>\$4,046,638</b>	<b>\$8,595,104</b>

The Company expects to meet its obligations from operating cash flows and proceeds from maturing financial asset.

## 18. CAPITAL RISK MANAGEMENT

The Fund Manager manages the Company's capital to ensure that the Company will be able to continue as a going concern while maximizing the return to stakeholders through the optimization of the mix of high quality financial instruments.

The Company is guided by its Investment Policies and Legal Limitations. All the proceeds from the sale of shares, including the original subscription payments at the time of incorporation constituting the paid-in capital, are held by custodian banks.

The capital structure of the Company consists of issued capital as disclosed in Note 10.

The Fund Manager manages the Company's capital and NAVPS, as disclosed in Notes 10, 11 and 12 to ensure that the Company's net asset value remains competitive and appealing to prospective investors.

The Company is also governed by the following fundamental investment policies:

- a. It does not issue senior securities;
- b. It does not intend to incur any debt or borrowing. In the event that borrowing is necessary, it can do so only if, at the time of its incurrence or immediately thereafter, there is asset coverage of at least 300% for all its borrowings;
- c. It does not participate in any underwriting or selling group in connection with the public distribution of securities, except for its own share capital;
- d. It generally maintains a diversified portfolio. Industry concentrations may vary at any time depending on the investment manager's view on the prospects;
- e. It does not invest more than twenty percent (20%) of its net assets in real estate properties and developments, subject to investment restrictions and/or limitations under applicable law, if any;
- f. It does not purchase or sell commodity futures contracts;

- g. The asset mix in each type of security is determined from time to time, as warranted by economic and investment conditions;
- h. Subscribers are required to settle their subscriptions in full upon submission of their application for subscriptions;
- i. It may use various techniques to hedge investment risks; and
- j. It does not change its investment objectives without the prior approval of a majority of its shareholders and prior notice to the SEC.

The Investment Policies refer to the following:

- a. Investment Objective - to provide regular returns through investments in a diversified portfolio of US Dollar-denominated fixed income instruments issued by the Philippine government, other major economies, or corporations operating therein or through diversified investment companies invested in such securities.
- b. Benchmark - 98% Bloomberg Barclays EM USD Sovereign: Philippines Total Return Index Unhedged USD and 2% 30-day US Dollar Deposit Savings Rate.
- c. Asset Allocation Range - the Company allocates its funds available for investments among cash and other deposit substitutes and fixed-income securities based on certain proportion as approved by Management.

Other matters covered in the investment policy include the fees due to be paid to the Fund Manager with management and distribution fees each set at an annual rate of 1.5% of the net assets attributable to shareholders on each valuation day.

In compliance with SEC Memorandum Circular No. 21, Series of 2019 signed on September 24, 2019 in relation to independent Net Asset Value (NAV) calculation, SLAMCI (Fund Manager) engaged Citibank, N.A. Philippines to service its fund accounting functions including calculation of its NAV every dealing day. In December 2020, SLAMCI implemented the outsourced fund accounting to all Sun Life Prosperity Funds.

As at December 31, 2025 and 2024, the Company is in compliance with the above requirements and minimum equity requirement of the SEC of P50,000,000.

The equity ratio at year-end is as follows:

	<b>2025</b>	2024
Total equity	<b>\$ 7,694,985</b>	\$ 8,454,637
Total assets	<b>7,745,213</b>	8,596,375
Equity ratio	<b>0.9935:1</b>	0.9835:1

Management believes that the above ratios are within the acceptable range.

#### **19. SUPPLEMENTARY INFORMATION REQUIRED BY THE BUREAU OF INTERNAL REVENUE (BIR) UNDER REVENUE REGULATIONS NO. 15-2010**

The following information on taxes, duties and license fees paid or accrued during the 2025 taxable year is presented for purposes of filing with the BIR and is not a required part of the basic financial statements.

##### *Documentary stamp tax*

Documentary stamp taxes incurred by the Company during 2025 amounted to P4,523 representing taxes paid relative to the issuance of the Company's shares certificates to its shareholders. The documentary stamp tax being paid by the Company to the BIR includes those charged against the shareholder's investment for shares certificate issuances in excess of ten (10) inter-fund transfers per calendar year.

*Other taxes and licenses*

Details of other taxes and licenses and permit fees paid or accrued in 2025 are as follows:

<b>Charged to Operating Expenses</b>	
Business tax	<b>P53,884</b>
Filing and registration fees	<b>34,575</b>
Residence or community tax	<b>10,500</b>
	<b>P98,959</b>

*Withholding taxes*

Withholding taxes paid and accrued and/or withheld consist of:

	<b>Paid</b>	<b>Accrued</b>	<b>Total</b>
Expanded withholding taxes	<b>P728,086</b>	<b>P76,196</b>	<b>P804,282</b>

*Deficiency tax assessments*

The Company has no outstanding tax assessments and tax cases as at December 31, 2025.

**20. APPROVAL OF FINANCIAL STATEMENTS**

The accompanying financial statements of the Company were approved and authorized for issue by the Board of Directors on March 25, 2026.

\* \* \*



**SUN LIFE PROSPERITY DOLLAR ABUNDANCE FUND, INC.**  
**RECONCILIATION OF RETAINED EARNINGS**  
**AVAILABLE FOR DIVIDEND DECLARATION**  
As at December 31, 2025

	2025
<b>Unappropriated Retained Earnings, beginning of reporting period</b>	<b>(\$8,988,042)</b>
<b>Add: Category A: Items that are directly credited to Unappropriated Retained Earnings</b>	
Reversal of Retained Earnings Appropriations	-
Effect of restatements or prior-period adjustments	-
Others (describe nature)	-
	-
<b>Less: Category B: Items that are directly dedited to Unappropriated Retained Earnings</b>	
Dividend declaration during the reporting period	-
Retained Earnings appropriated during the reporting period	-
Effect of restatements or prior-period adjustments	-
Others (describe nature)	-
	-
<b>Unappropriated Retained Earnings, as adjusted</b>	<b>(8,988,042)</b>
<b>Add/Less: Net income (loss) for the current year</b>	<b>613,094</b>
<b>Less: Category C.1: Unrealized income recognized in the profit or loss during the reporting period (net of tax)</b>	
1 Equity in net income of associate/joint venture, net of dividends declared	-
1 Unrealized foreign exchange gain (except those attributable to Cash and Cash Equivalents)	-
1 Unrealized fair value adjustment (mark-to-market gains) of financial instruments at fair value through profit or loss (FVTPL)	795,786
1 Unrealized fair value gain of Investment Property	-
1 Other unrealized gains or adjustments to the retained earnings as a result of certain transactions accounted for under the PFRS (describe nature)	-
<b>Sub-total</b>	<b>795,786</b>
<b>Add: Category C.2: Unrealized income recognized in the profit or loss in prior reporting periods but realized in the current reporting period (net of tax)</b>	
1 Realized foreign exchange gain (except those attributable to Cash and Cash Equivalents)	-
1 Realized fair value adjustment (mark-to-market gains) of financial instruments at fair value through profit or loss (FVTPL)	-
1 Realized fair value gain of Investment Property	-
1 Other realized gains or adjustments to the retained earnings as a result of certain transactions accounted for under the PFRS	-
<b>Sub-total</b>	-
<b>Add: Category C.3: Unrealized income recognized in the profit or loss in prior periods but reversed in the current reporting period (net of tax)</b>	
1 Reversal of previously recorded foreign exchange gain (except those attributable to Cash and Cash Equivalents)	-
1 Reversal of previously recorded fair value adjustment (mark-to-market gains) of financial instruments at fair value through profit or loss (FVTPL)	-
1 Reversal of previously recorded fair value gain of Investment Property	-
1 Reversal of other unrealized gains or adjustments to the retained earnings as a result of certain transactions accounted for under the PFRS	-
<b>Sub-total</b>	-
<b>Adjusted Net Income/Loss</b>	<b>(182,692)</b>
<b>Add: Category D: Non-actual losses recognized in profit or loss during the reporting period (net of tax)</b>	
1 Depreciation on revaluation increment (after tax)	-
<b>Sub-total</b>	-
<b>Add/Less Category E: Adjustments related to relief granted by the SEC and BSP</b>	
1 Amortization of the effect of reporting relief	-
1 Total amount of reporting relief granted during the year	-
1 Others (describe nature)	-
<b>Sub-total</b>	-
<b>Add/Less Category F: Other items that should be excluded from the determination of the amount of available for dividends distribution</b>	
1 Net movement of treasury shares (except for reacquisition of redeemable shares)	(970,243)
1 Net movement of deferred tax asset not considered in reconciling items under the previous categories	-
1 Net movement in deferred tax assets and liabilities related to same transactions, e.g., set up of ROU and lease liability, set up of asset and asset retirement obligation, and set-up of service concession asset and concession payable.	-
1 Adjustments due to deviation from PFRS/GAAP - gain (loss)	-
1 Others (describe nature)	-
<b>Sub-total</b>	<b>(970,243)</b>
<b>Total Retained Earnings, end of the reporting period available for dividend</b>	<b>(\$10,140,977)</b>

## INDEPENDENT AUDITOR'S REPORT ON SUPPLEMENTARY SCHEDULES

To the Board of Directors and Shareholders  
SUN LIFE PROSPERITY DOLLAR ABUNDANCE FUND, INC.  
(An Open-end Investment Company)  
Sun Life Centre, 5<sup>th</sup> Avenue corner Rizal Drive  
Bonifacio Global City, Taguig City

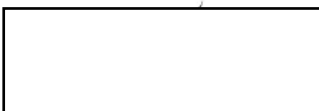
We have audited the financial statements of Sun Life Prosperity Dollar Abundance Fund, Inc. (the Company) as at December 31, 2025 and 2024 and for the years ended December 31, 2025, 2024 and 2023, in accordance with Philippine Standards on Auditing on which we have rendered an unqualified opinion dated March 31, 2026.

Our audits were conducted for the purpose of forming an opinion on the basic financial statements taken as a whole. The supplementary information on the attached schedules A-H, as required by the Securities and Exchange Commission under the revised Securities Regulation Code (SRC) Rule 68, are presented for purposes of additional analysis and is not a required part of the basic financial statements. Such information is the responsibility of Management and has been subjected to the auditing procedures applied in our audits of the basic financial statements and, in our opinion, are fairly stated in all material respects in relation to the basic financial statements taken as a whole.

### Navarro Amper & Co.

BOA/PRC ACR. No. 0004, October 1, 2024; valid until September 22, 2027

SEC ACR 0004-SEC (Group A), December 7, 2021; valid to audit 2021 to 2025 financial statements



Joeffrey Mark P. Ferrer  
Partner

CPA  
BOA  
SEC  
s  
BIR  
TIN  
PTR



October 1, 2024; valid until September 22, 2027

August 2, 2022; valid to audit 2021 to 2025 financial

July 16, 2024; effective until July 15, 2027

, Taguig City

Taguig City, Philippines  
March 31, 2026

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**SUN LIFE PROSPERITY DOLLAR ABUNDANCE FUND, INC.**

Schedule of Financial Soundness Indicators and Financial Ratios

December 31, 2025 and December 31, 2024

	Formula	2025	2024
<i>Current/ Liquidity Ratios</i>			
a. Current ratio	Current Assets/Current Liabilities	<b>154.20:1</b>	60.65:1
b. Quick ratio	Quick Assets/Current Liabilities	<b>154.20:1</b>	60.65:1
c. Cash ratio	Cash/Current Liabilities	<b>4.16:1</b>	1.37:1
d. Days in receivable	Receivable/Revenue * No. of days	<b>N/A</b>	N/A
e. Working capital ratio	(Current Assets-Current Liabilities)/Current Liabilities	<b>153.20:1</b>	59.65:1
f. Net working capital to sales ratio	Working Capital / Total Revenue	<b>-3,206.24:1</b>	27.60:1
g. Defensive Interval Ratio	360* (Quick Assets / Proj. Daily Operating Expense)	<b>15,500.93:1</b>	14,952.24:1
<i>Solvency Ratios</i>			
a. Long-term debt to equity ratio	Noncurrent Liabilities/Total Equity	-	-
b. Debt to equity ratio	Total Liabilities/Total Equity	<b>0.01:1</b>	0.02:1
c. Long term debt to total asset ratio	Noncurrent Liabilities/Total Assets	-	-
d. Total debt to asset ratio	Total Liabilities/Total Assets	<b>0.01:1</b>	0.02:1
Asset to equity ratio	Total Assets/Total Equity	<b>1.01:1</b>	1.02:1
Interest rate coverage ratio	Earning Before Income Tax/Interest Expense	<b>N/A</b>	N/A
<i>Profitability Ratio</i>			
a. Earnings before interest and taxes (EBIT) margin	EBIT/Revenue	<b>-25562.83%</b>	-111.29%
b. Earnings before interest, taxes and depreciation and amortization (EBITDA) margin	EBITDA/Revenue	<b>-25562.83%</b>	-111.29%
c. Pre-tax margin	EBT/Revenue	<b>-25562.83%</b>	-111.29%
d. Effective tax rate	Income Tax/EBIT	<b>0.07%</b>	-0.33%
e. Post-tax margin	Net Income After Tax/Revenue	<b>-25545.58%</b>	-111.66%
f. Return on equity	Net Income After Tax/Average Common Equity	<b>7.59%</b>	-3.58%
g. Return on asset	NIAT/Average Total Assets	<b>7.50%</b>	-3.53%
Capital intensity ratio	Total Assets/Revenue	<b>-3,227.17:1</b>	28.06:1
Fixed assets to total assets	Fixed assets/Total assets	<b>N/A</b>	N/A
Dividend payout ratio	Dividends paid/Net Income	<b>N/A</b>	N/A

**SUN LIFE PROSPERITY DOLLAR ABUNDANCE FUND, INC.**  
Schedule Required under SRC Rule 68

**i. Percentage of Investment in a Single Enterprise to Net Asset Value**  
As of December 31, 2025 and December 31, 2024

	2025			2024		
	Investment (Market Value)	Net Asset Value	% over NAV	Investment (Market Value)	Net Asset Value	% over NAV
<b>Treasury Notes (ISIN)</b>						
PHY7998PAA40	-	7,694,985	0.00%	233,525	8,454,637	2.76%
US718286CB15	958,927	7,694,985	12.46%	876,383	8,454,637	10.37%
US718286CJ41	-	7,694,985	0.00%	1,050,113	8,454,637	12.42%
US718286DG92	410,706	7,694,985	5.34%	-	-	0.00%
US718286CK14	395,944	7,694,985	5.15%	1,026,046	8,454,637	12.14%
US91282CNC19	339,397	7,694,985	4.41%	-	-	0.00%
US718286DH75	290,215	7,694,985	3.77%	-	-	0.00%
US912810UM89	388,448	7,694,985	5.05%	-	-	0.00%
US912810UK24	671,644	7,694,985	8.73%	-	-	0.00%
US718286CN52	173,889	7,694,985	2.26%	160,903	8,454,637	1.90%
US718286CP01	514,043	7,694,985	6.68%	633,744	8,454,637	7.50%
US718286CU95	274,301	7,694,985	3.56%	268,830	8,454,637	3.18%
US718286CW51	826,303	7,694,985	10.74%	788,094	8,454,637	9.32%
US718286CX35	-	7,694,985	0.00%	448,613	8,454,637	5.31%
US718286CY18	-	7,694,985	0.00%	328,374	8,454,637	3.88%
US718286DB06	404,769	7,694,985	5.26%	394,463	8,454,637	4.67%
US718286DC88	361,582	7,694,985	4.70%	902,387	8,454,637	10.67%
US718286DD61	363,312	7,694,985	4.72%	344,128	8,454,637	4.07%
US718286DE45	-	7,694,985	0.00%	386,789	8,454,637	4.57%
US912810UE63	-	7,694,985	0.00%	178,091	8,454,637	2.11%
US91282CLF67	-	7,694,985	0.00%	266,000	8,454,637	3.15%
<b>Mutual Funds</b>						
BLACKROCK ICS US DOLLAR LIQUIDITY FUND	5,222	7,694,985	0.07%	549	8,454,637	0.01%
MFS MERIDIAN FUNDS - GLOBAL HIGH YIELD FUND	-	7,694,985	0.00%	600	8,454,637	0.01%
MFS MERIDIAN FUNDS - EMERGING MARKET DEBT LOCAL CURRENCY FUND	215,195	7,694,985	2.80%	-	-	0.00%
MFS MERIDIAN FUNDS - EMERGING MARKETS DEBT FUND	623,684	7,694,985	8.11%	-	-	0.00%
MORGAN STANLEY INVESTMENT FUNDS - GLOBAL BOND FUND	19,059	7,694,985	0.25%	-	-	0.00%
NOMURA FUNDS IRELAND - NOMURA US HIGH YIELD BOND FUND	-	7,694,985	0.00%	112	8,454,637	0.00%
PIMCO GBL INV GRADE-CRNCY EX	101,044	7,694,985	1.31%	-	-	0.00%
PIMCO GIS GLOBAL BOND FUND	-	7,694,985	0.00%	11	8,454,637	0.00%
PIMCO GIS GLB INV GR CR-H-IN INV GR CR H USD INS ACC NAV	102,598	7,694,985	1.33%	-	-	0.00%
SLM USD MLC MG FOF-C	100	7,694,985	0.00%	-	-	0.00%

**ii. Total Investment of the Fund to the Outstanding Securities of an Investee Company**  
As of December 31, 2025 and December 31, 2024

	2025			2024		
	Total Investment	Outstanding Securities of an Investee Company	% over Investee	Total Investment	Outstanding Securities of an Investee Company	% over Investee
<b>Treasury Notes (ISIN)</b>						
PHY7998PAA40	-	56,770,200,000	0.00%	250,000	56,770,200,000	0.00%
US718286CB15	1,160,000	2,000,000,000	0.06%	1,110,000	2,000,000,000	0.06%
US718286CJ41	-	1,000,000,000	0.00%	1,200,000	1,000,000,000	0.12%
US718286CK14	455,000	1,250,000,000	0.04%	1,275,000	1,250,000,000	0.10%
US718286CN52	200,000	750,000,000	0.03%	200,000	750,000,000	0.03%
US718286CP01	715,000	2,250,000,000	0.03%	915,000	2,250,000,000	0.04%
US718286CU95	260,000	750,000,000	0.03%	260,000	750,000,000	0.03%
US718286DG92	388,000	750,000,000	0.05%	-	-	-
US91282CNC19	335,000	750,000,000	0.04%	-	-	-
US718286DH75	275,000	750,000,000	0.04%	-	-	-
US912810UM89	392,000	750,000,000	0.05%	-	-	-
US912810UK24	678,000	750,000,000	0.09%	-	-	-
US718286CV78	-	750,000,000	0.00%	-	-	-
US718286CW51	805,000	1,250,000,000	0.06%	805,000	1,250,000,000	0.06%
US718286CX35	-	1,250,000,000	0.00%	460,000	1,250,000,000	0.04%
US718286CY18	-	500,000,000	0.00%	334,000	500,000,000	0.07%
US718286DB06	400,000	1,000,000,000	0.04%	400,000	1,000,000,000	0.04%
US718286DC88	360,000	1,100,000,000	0.03%	950,000	1,100,000,000	0.09%
US718286DD61	380,000	900,000,000	0.04%	370,000	900,000,000	0.04%
US718286DE45	-	500,000,000	0.00%	400,000	500,000,000	0.08%
US912810UE63	-	80,067,583,100	0.00%	185,000	80,067,583,100	0.00%
US91282CLF67	-	128,895,363,000	0.00%	280,000	128,895,363,000	0.00%
<b>Mutual Funds</b>						
BLACKROCK ICS US DOLLAR LIQUIDITY FUND	43.52	958,992,967	0.00%	4.52	732,060,129	0.00%
MFS MERIDIAN FUNDS - GLOBAL HIGH YIELD FUND	-	-	0.00%	2.23	1,169,043	0.00%
MFS MERIDIAN FUNDS - EMERGING MARKET DEBT LOCAL CURRENCY FUND	1,233.21	3,771,540	0.03%	-	-	-
MFS MERIDIAN FUNDS - EMERGING MARKETS DEBT FUND	2,044.60	10,932,681	0.02%	-	-	-
NOMURA FUNDS IRELAND - NOMURA US HIGH YIELD BOND FUND	-	-	0.00%	0.28	10,466,194	0.00%
PIMCO GBL INV GRADE-CRNCY EX	5,989.52	483,113,077	0.00%	-	-	-
PIMCO GIS GLB INV GR CR-H-IN INV GR CR H USD INS ACC NAV	4,334.50	332,918,679	0.00%	-	-	-
MORGAN STANLEY INVESTMENT FUNDS - GLOBAL BOND FUND	510.81	31,584,811	0.00%	-	-	-
PIMCO GIS GLOBAL BOND FUND	-	-	0.00%	0.35	523,078,850	0.00%
SLM USD MLC MG FOF-C	99.40	201,612,000	0.00%	-	-	-

**iii Total Investment in Liquid or Semi-Liquid Assets to Total Assets**

As of December 31, 2025 and December 31, 2024

	2025	2024
Total Liquid and Semi-Liquid Assets	7,745,213	8,596,375
TOTAL ASSETS	7,745,213	8,596,375
Total Investment in Liquid or Semi-Liquid Assets to Total Assets	100.00%	100.00%

**iv. Total Operating Expenses to Total Net Worth**

As of December 31, 2025 and December 31, 2024

	2025	2024
Total Operating Expenses	179,878	206,972
Average Daily Net Worth	10,428,701	10,428,701
Total Operating Expenses to Average Daily Net Worth	1.72%	1.98%

**v. Total Assets to Total Borrowings**

As of December 31, 2025 and December 31, 2024

	2025	2024
Total Assets	7,745,213	8,596,375
Total Borrowings	50,008	141,662
Total Assets to Total Borrowings	15487.95%	6068.23%

\*\* Figures not available

**SUN LIFE PROSPERITY DOLLAR ABUNDANCE FUND, INC.**  
**Sun Life Centre, 5th Avenue, Corner Rizal Drive, Bonifacio Global, Taguig City**

**Additional Requirements for Issuers of Securities to the Public**  
**Required by the Securities and Exchange Commission**  
**As at December 31, 2025**

**TABLE OF CONTENTS**

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Table of Contents	
A. Financial Assets	<b>2</b>
B. Amounts Receivable from Directors, Officers, Employees, Related Parties, and Principal Stockholders (Other than Related parties)	<b>N.A.</b>
C. Amounts Receivable from Related Parties which are Eliminated during the Consolidation of Financial Statements	<b>N.A.</b>
D. Intangible Assets - Other Assets	<b>N.A.</b>
E. Long-Term Debt	<b>N.A.</b>
F. Indebtedness to Related Parties	<b>3</b>
G. Guarantees of Securities of Other Issuers	<b>N.A.</b>
H. Capital Stock	<b>4</b>

**SUN LIFE PROSPERITY DOLLAR ABUNDANCE FUND, INC.**  
Sun Life Centre, 5th Avenue, Corner Rizal Drive, Bonifacio Global, Taguig City

**SCHEDULE A - FINANCIAL ASSETS**  
As at December 31, 2025

Name of Issuing Entity and Association of Each Issue	Number of Shares or Principal Amount of Bonds and Notes	Amount shown in the Balance Sheet	Income Received and Accrued
<b>Treasury Bonds and Notes Issued by the Nat'l. Government</b>	6,803,000	\$6,373,480	\$360,854
<b>Global Mutual Funds</b>			
BLACKROCK ICS US DOLLAR LIQUIDITY FUND	43.52	5,222	
MFS MERIDIAN FUNDS - EMERGING MARKET DEBT LOCAL CURRENCY FUND	1,233.21	215,195	
MFS MERIDIAN FUNDS - EMERGING MARKETS DEBT FUND	2,044.60	623,684	
PIMCO GBL INV GRADE-CRNCY EX	5,989.52	101,044	
PIMCO GIS GLB INV GR CR-H-IN INV GR CR H USD INS ACC NAV	4,334.50	102,598	
MORGAN STANLEY INVESTMENT FUNDS - GLOBAL BOND FUND	510.81	19,059	
SLM USD MLC MG FOF-C	99.40	100	
	14,256	1,066,901	-
<b>TOTAL</b>	<b>6,817,256</b>	<b>\$7,440,381</b>	<b>\$360,854</b>

**SUN LIFE PROSPERITY DOLLAR ABUNDANCE FUND, INC.**  
Sun Life Centre, 5th Avenue, Corner Rizal Drive, Bonifacio Global, Taguig City

**SCHEDULE F - INDEBTEDNESS TO RELATED PARTIES**  
As at December 31, 2025

Name of Related Party	Relationship	Balance at beginning of period	Balance at end of period
Sun Life Asset Management Company, Inc.	Fund Manager	\$13,357	\$11,802
<b>TOTAL</b>		<b>\$13,357</b>	<b>\$11,802</b>

**SUN LIFE PROSPERITY DOLLAR ABUNDANCE FUND, INC.**  
Sun Life Centre, 5th Avenue, Corner Rizal Drive, Bonifacio Global, Taguig City

**SCHEDULE H - CAPITAL STOCK**  
As at December 31, 2025

Title of Issue	Number of Shares Authorized	Number of Shares Issued and Outstanding	Number of Shares reserved for options, warrants, conversion and other rights	Number of Shares Held By		
				Related parties	Directors, Officers and Employees	Others
Share Capital						
Ordinary Shares	10,000,000	6,765,497	-	752,852	5	6,012,640
Treasury Shares	-	(4,141,545)	-	-	-	(4,141,545)
<b>TOTAL</b>	<b>10,000,000</b>	<b>2,623,952</b>	<b>-</b>	<b>752,852</b>	<b>5</b>	<b>1,871,095</b>

**SUN LIFE PROSPERITY DOLLAR ABUNDANCE FUND, INC.**  
**SUPPLEMENTARY SCHEDULE OF EXTERNAL AUDITOR**  
**FEE-RELATED INFORMATION**  
**As at December 31, 2025**

	2025	2024
<b>Total Audit Fees</b>	<b>\$ 2,983</b>	<b>\$ 3,032</b>
<b>Non-Audit Service Fees:</b>	-	-
Other assurance service fees	-	-
Tax services	-	-
All other services	-	-
<b>Total Non-audit Fees</b>	-	-
<b>Total Audit and Non-audit Fees</b>	<b>\$ 2,983</b>	<b>\$ 3,032</b>

**Audit and Non-audit Fees of Other Related Entities**

	2025	2024
Audit Fees	\$ -	\$ -
Non-Audit Service Fees:		
Other assurance service fees	-	-
Tax services	-	-
All other services	-	-
<b>Total Audit and Non-audit Fees of Other Related Entities</b>	<b>\$ -</b>	<b>\$ -</b>

**Fee Dependency**

For the years ended December 31, 2025 and 2024, the total fees received by Navarro Amper & Co., the audit firm, from Sun Life Prosperity Dollar Abundance Fund, Inc., the Company, represent not more than 15% of the total fees received by the audit firm.



# SECURITIES AND EXCHANGE COMMISSION

THE SEC HEADQUARTERS 7907 Makati Avenue, Salcedo Village, Bel-Air, Makati City  
1209 Trunk Line No:02-5322-7696 Email Us:www.sec.gov.ph/imessagemo@sec.gov.ph



**The following document has been received:**

**Receiving:** DONNA ENCARNADO

**Receipt Date and Time:** April 02, 2025 10:37:09 AM

## Company Information

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**SEC Registration No.:** CS200417434

**Company Name:** SUN LIFE PROSPERITY DOLLAR ABUNDANCE FUND, INC.

**Industry Classification:** J68000

**Company Type:** Stock Corporation

## Document Information

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**Document ID:** OST10402202583137645

**Document Type:** Current Report

**Document Code:** SEC\_Form\_17-C

**Period Covered:** March 25, 2025

**Submission Type:** Original Filing

**Remarks:** None

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Acceptance of this document is subject to review of forms and contents

**CERTIFICATION**

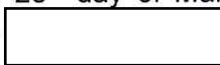
I, **ANNA KATRINA C. KABIGTING-IBERO**, Corporate Secretary of **Sun Life Prosperity Dollar Abundance Fund, Inc.**, a corporation duly registered under and by virtue of the laws of the Republic of the Philippines, with SEC registration number **CS200417434** and with principal office at Sun Life Centre, 5<sup>th</sup> Avenue corner Rizal Drive, Bonifacio Global City, Taguig City, on oath state:

- 1) That I have caused this **SEC Form 17-C** to be prepared on behalf of **Sun Life Prosperity Dollar Abundance Fund, Inc.**;
- 2) That I have read and understood its contents which are true and correct based on my own personal knowledge and/or on authentic records;
- 3) That **Sun Life Prosperity Dollar Abundance Fund, Inc.** will comply with the requirements set forth in SEC Notice dated 12 May 2021 to effect a complete and official submission of reports and/or documents through electronic mail;
- 4) That I am fully aware that submitted documents which require pre-evaluation and/or payment of processing fee shall be considered complete and officially received only upon payment of a filing fee; and
- 5) That the e-mail account designated by the company pursuant to SEC Memorandum Circular No. 28, s. 2020 shall be used by the company in its online submissions to CGFD.

**IN WITNESS WHEREOF**, I have hereunto set my hand this 28<sup>th</sup> day of March 2025.



**ANNA KATRINA C. KABIGTING-IBERO**  
Affiant

**SUBSCRIBED AND SWORN** to before me this 28<sup>th</sup> day of March 2025 in the City of Makati affiant exhibiting to me her Driver's License with ID No.  valid until 01 September 2032.

Doc. No. 431;  
Page No. 88;  
Book No. 6;  
Series of 2025.

**DOCUMENTARY STAMP TAX PAID**  
 **MAR 28 2025**  
SIGNATURE DATE

**ATTY. JOEL L. VILLALON**  
Notary Public for Makati City until 12-31-2026  
Roll No. 51808, Appointment No. M-008  
IBP Lifetime No. 018385, 12-27-17, PPL  
MMCLE Compliance No. VII/0007561  
PTR No. 10465921/1-02-2025  
Unit 203 Carreon Bldg.,  
2746, Zenaida St., Poblacion, Makati City, 1210

**COVER SHEET**

**C S 2 0 0 4 1 7 4 3 4**

SEC Identification Number

**S U N L I F E P R O S P E R I T Y D O L L A R**

**A B U N D A N C E F U N D , I N C .**

(Company's Full Name)

**S U N L I F E C E N T R E 5 T H A V E .**

**C O R . R I Z A L D R I V E , B O N I F A C I O**

**G L O B A L C I T Y , T A G U I G C I T Y**

(Business Address: No. Street City/Town/Province)

**Anna Katrina C. Kabigting-Ibero**

(Contact Person)

**8555-8888 loc. 5699**

(Company Telephone Number)

**SEC 17 C**

**1 2**

Month Day  
(Fiscal Year)

**3 1**

(Form Type)

**4th Wed. of June**

Month Day  
(Annual Meeting)

(Secondary License Type, If Applicable)

Dept. Requiring this Doc.

Amended Articles Number/Section

Total No. of Stockholders

Total Amount of Borrowings

Domestic

Foreign

To be accomplished by SEC Personnel concerned

File Number

\_\_\_\_\_

LCU

Document ID

\_\_\_\_\_

Cashier

STAMPS

**SECURITIES AND EXCHANGE COMMISSION  
SEC FORM 17-C  
CURRENT REPORT UNDER SECTION 17 OF THE SECURITIES REGULATION CODE  
AND SRC RULE 17.2(c) THEREUNDER**

1. **25 March 2025**  
Date of Report (Date of earliest event reported)
2. SEC Identification Number **CS200417434** 3. BIR Tax Identification No. **234-718-559-000**
4. **Sun Life Prosperity Dollar Abundance Fund, Inc.**  
Exact name of issuer as specified in its charter
5. **Metro Manila, Philippines**  
Province, country or other jurisdiction of incorporation
6.  (SEC Use Only)  
Industry Classification Code:
7. **Sun Life Centre, 5<sup>th</sup> Avenue corner Rizal Drive, Bonifacio Global City, Taguig City, 1634**  
Address of principal office Postal Code
8. **(632) 8555-8888**  
Issuer's telephone number, including area code
9. Securities registered pursuant to Sections 8 and 12 of the SRC or Sections 4 and 8 of the RSA

Title of Each Class	Number of Shares of Common Stock Outstanding and Amount of Debt Outstanding (as of 28 February 2025)
<b><u>Common (Unclassified)</u></b>	<b><u>2,963,520</u></b>

10. Indicate the item numbers reported herein: **Item 4 (b) (i) and Item 9 (b).**

During the regular meeting of the Board of Directors of the Issuer held on 25 March 2025 at 12:15 p.m. at the Board Room, 6<sup>th</sup> Floor Sun Life Centre, 5<sup>th</sup> Avenue cor. Rizal Drive, Bonifacio Global City, Taguig City, and simultaneously via Zoom Meeting Conference where a quorum was present and acting throughout, the following matters were unanimously approved:

**Item 4 (b) (i). Resignation, Removal or Election of Registrant's Directors or Officers.**

1. Appointment of Atty. Raizulli M. Nolasco as Assistant Corporate Secretary to replace Atty. Frances Ianna S. Canto, effective 25 March 2025.

Please refer to Annex "A" for a summary of his professional and business experience.

**Item 9 (b) - Other Events.**

1. 2024 Audited Financial Statements, as endorsed by its Audit and Compliance Committee
2. Holding of the Annual Stockholders' Meeting on 15 July 2025 through remote communication [Zoom Video Communications ("Zoom")]
3. Closing of the Issuer's Stock & Transfer Book on 30 April 2025 for the purpose of determining the stockholders entitled to notice of, to attend and vote at the Annual Stockholders' Meeting
4. Related Party Transactions Operating Guidelines, without changes
5. Corporate Governance Committee Charter, without changes
6. Audit and Compliance Committee Charter, without changes
7. Manual on Corporate Governance, without changes
8. Nominations to the Board of Directors for the term 2025-2026.

**SIGNATURES**

Pursuant to the requirements of the Securities Regulation Code, the Issuer has duly caused this report to be signed on its behalf by the undersigned hereunto duly authorized.

**Sun Life Prosperity Dollar Abundance Fund, Inc.**

Issuer



Date: 28 March 2025

**Anna Katrina C. Kabigting ~~Idoso~~, Corporate Secretary**

Signature and Title

**Professional and Business Experience of Atty. Raizulli M. Nolasco***(Summary)*

Atty. Zulli Nolasco is Counsel of Sun Life of Canada (Philippines), Inc. He is also the Assistant Corporate Secretary of the eighteen (18) Sun Life Prosperity Funds i.e., Sun Life of Canada Prosperity Balanced Fund, Inc., Sun Life of Canada Prosperity Bond Fund, Inc., Sun Life of Canada Prosperity Philippine Equity Fund, Inc., Sun Life Prosperity Dollar Abundance Fund, Inc., Sun Life Prosperity Dollar Advantage Fund, Inc., Sun Life Prosperity Dollar Starter Fund, Inc., Sun Life Prosperity Dynamic Fund, Inc., Sun Life Prosperity Philippine Stock Index Fund, Inc., Sun Life Prosperity GS Fund, Inc., Sun Life Prosperity Peso Starter Fund, Inc. (formerly Sun Life Prosperity Money Market Fund, Inc.), Sun Life Prosperity Dollar Wellspring Fund, Inc., Sun Life Prosperity World Voyager Fund, Inc., Sun Life Prosperity Achiever Fund 2048, Inc., Sun Life Prosperity Achiever Fund 2038, Inc., Sun Life Prosperity Achiever Fund 2028, Inc., Sun Life Prosperity World Equity Index Feeder Fund, Inc., Sun Life Prosperity Peso Voyager Feeder Fund, Inc., and Sun Life Prosperity World Income Fund, Inc. He is also the Assistant Corporate Secretary of Sun Life Asset Management Company, Inc.

Prior to joining Sun Life in February 2025, Atty. Nolasco worked as a Head of Legal and Corporate Secretary of Generali Philippines. Before joining Generali, Atty. Nolasco worked as Litigation Officer with the Philippine Deposit Insurance Corporation.

Atty. Nolasco received his law degree from the University of the Cordilleras, in Baguio City. He was admitted to the Bar in May 2014.



# SECURITIES AND EXCHANGE COMMISSION

THE SEC HEADQUARTERS 7907 Makati Avenue, Salcedo Village, Bel-Air, Makati City  
1209 Trunk Line No:02-5322-7696 Email Us:www.sec.gov.ph/lmessagemo@sec.gov.ph



**The following document has been received:**

**Receiving:** DONNA ENCARNADO

**Receipt Date and Time:** May 05, 2025 11:44:18 AM

## Company Information

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**SEC Registration No.:** CS200417434

**Company Name:** SUN LIFE PROSPERITY DOLLAR ABUNDANCE FUND, INC.

**Industry Classification:** J68000

**Company Type:** Stock Corporation

## Document Information

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**Document ID:** OST10505202583257642

**Document Type:** Current Report

**Document Code:** SEC\_Form\_17-C

**Period Covered:** May 01, 2025

**Submission Type:** Original Filing

**Remarks:** None

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Acceptance of this document is subject to review of forms and contents

**CERTIFICATION**

I, **ANNA KATRINA C. KABIGTING-IBERO**, Corporate Secretary of **Sun Life Prosperity Dollar Abundance Fund, Inc.**, a corporation duly registered under and by virtue of the laws of the Republic of the Philippines, with SEC registration number **CS200417434** and with principal office at Sun Life Centre, 5<sup>th</sup> Avenue corner Rizal Drive, Bonifacio Global City, Taguig City, on oath state:

- 1) That I have caused this **SEC Form 17-C** to be prepared on behalf of **Sun Life Prosperity Dollar Abundance Fund, Inc.**;
- 2) That I have read and understood its contents which are true and correct based on my own personal knowledge and/or on authentic records;
- 3) That **Sun Life Prosperity Dollar Abundance Fund, Inc.** will comply with the requirements set forth in SEC Notice dated 12 May 2021 to effect a complete and official submission of reports and/or documents through electronic mail;
- 4) That I am fully aware that submitted documents which require pre-evaluation and/or payment of processing fee shall be considered complete and officially received only upon payment of a filing fee; and
- 5) That the e-mail account designated by the company pursuant to SEC Memorandum Circular No. 28, s. 2020 shall be used by the company in its online submissions to CGFD.

**IN WITNESS WHEREOF**, I have hereunto set my hand this 2<sup>nd</sup> day of May 2025.

[Redacted Signature Box]

**ANNA KATRINA C. KABIGTING-IBERO**  
Affiant

**SUBSCRIBED AND SWORN** to before me this 2<sup>nd</sup> day of May 2025 in the City of Makati affiant exhibiting to me her Driver's License with ID No. [Redacted] valid until 01 September 2032.

Doc. No. 371  
Page No. 76  
Book No. 8  
Series of 2025.

DOCUMENTARY STAMP TAX PAID  
[Signature] MAY 02 2025  
SIGNATURE DATE

**ATTY. JOEL L. VILLALON**  
Notary Public for Makati City until 12-31-2026  
Roll No. 51808, Appointment No. M-008  
IBP Lifetime No. 018385, 12-27-17, PPLM  
MCLE Compliance No. VII-0028633  
PTR No. 10465921/1-02-2025  
Unit 203 Carreon Bldg.,  
2746, Zenaida St., Poblacion, Makati City, 1210

COVER SHEET

C S 2 0 0 4 1 7 4 3 4

SEC Identification Number

S U N L I F E P R O S P E R I T Y D O L L A R
A B U N D A N C E F U N D , I N C .

(Company's Full Name)

S U N L I F E C E N T R E 5 T H A V E .
C O R . R I Z A L D R I V E , B O N I F A C I O
G L O B A L C I T Y , T A G U I G C I T Y

(Business Address: No. Street City/Town/Province)

Anna Katrina C. Kabigting-Ibero

(Contact Person)

8555-8888 loc. 5699

(Company Telephone Number)

SEC 17 C

1 2

Month

(Fiscal Year)

3 1

Day

(Form Type)

4th Wed. of June

Month Day

(Annual Meeting)

(Secondary License Type, If Applicable)

Dept. Requiring this Doc.

Amended Articles Number/Section

Total No. of Stockholders

Total Amount of Borrowings
Domestic Foreign

To be accomplished by SEC Personnel concerned

File Number

LCU

Document ID

Cashier

STAMPS

**SECURITIES AND EXCHANGE COMMISSION  
SEC FORM 17-C  
CURRENT REPORT UNDER SECTION 17 OF THE SECURITIES REGULATION CODE  
AND SRC RULE 17.2(c) THEREUNDER**

1. **01 May 2025**  
Date of Report (Date of earliest event reported)
2. SEC Identification Number **CS200417434** 3. BIR Tax Identification No. **234-718-559-000**
4. **Sun Life Prosperity Dollar Abundance Fund, Inc.**  
Exact name of issuer as specified in its charter
5. **Metro Manila, Philippines**  
Province, country or other jurisdiction of incorporation
6.  (SEC Use Only)  
Industry Classification Code:
7. **Sun Life Centre, 5<sup>th</sup> Avenue corner Rizal Drive, Bonifacio Global City, Taguig City, 1634**  
Address of principal office Postal Code
8. **(632) 8555-8888**  
Issuer's telephone number, including area code
9. Securities registered pursuant to Sections 8 and 12 of the SRC or Sections 4 and 8 of the RSA

Title of Each Class	Number of Shares of Common Stock Outstanding and Amount of Debt Outstanding (as of 30 April 2025)
<b><u>Common (Unclassified)</u></b>	<b>3,219,514</b>

10. Indicate the item numbers reported herein: **Item 4 (b) (i).**

During the regular meeting of the Board of Directors of the Issuer held on 25 March 2025 at 12:15 p.m. at the Board Room, 6<sup>th</sup> Floor Sun Life Centre, 5<sup>th</sup> Avenue cor. Rizal Drive, Bonifacio Global City, Taguig City, and simultaneously via Zoom Meeting Conference where a quorum was present and acting throughout, the following matters were unanimously approved:

**Item 4 (b) (i). Resignation, Removal or Election of Registrant's Directors or Officers.**

1. Resignation of Jeanemar S. Talaman as Treasurer effective close-of-business on 30 April 2025.
2. Appointment of Candy S. Esteban as Treasurer effective 01 May 2025. A summary of her professional and business experience is hereto attached as Annex "A."

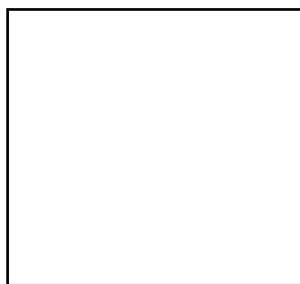
**SIGNATURES**

Pursuant to the requirements of the Securities Regulation Code, the Issuer has duly caused this report to be signed on its behalf by the undersigned hereunto duly authorized.

**Sun Life Prosperity Dollar Abundance Fund, Inc.**  
Issuer

**Anna Katrina C. Kabigting-Hero, Corporate Secretary**  
Signature and Title

Date: **02 May 2025**

**CANDY S. ESTEBAN****Academic Background**

Candy is a Master of Business Administration (MBA) from INSEAD. She also holds a Bachelor's degree in Management Engineering from the Ateneo De Manila University.

**Professional Career**

Candy is the Treasurer and CFO of Sun Life Philippines. She was the Head for Financial Planning and Analysis for the Sun Life Philippines where she led strategic and business planning, management reporting and expense management activities. She returns as Treasurer for Sun Life of Asset Management Company, Inc. (SLAMCI) and the 18 Sun Life Prosperity Funds (SLP Funds). In this role, Candy shall co-manage the day-to-day activities of treasury operations, as well as financial accounting and reporting for SLAMCI and the SLP Funds.

Before joining Sun Life, Candy held various positions in the Philippine business of Citigroup. From 2006 to 2014 – she led business planning and analysis functions for the Wealth Management business of Citibank Philippines covering the Deposits, Investments, Personal Loans and Insurance. Candy was also the Chief Financial Officer for Citibank Savings Incorporated (CSI) from 2011 to March 2014 where she covered the financial management of the legal vehicle CSI, including local regulatory, US GAAP reporting, and compliance to internal and external regulations. She was one of the key members in the sale and transition of CSI to a local Philippine bank in 2014.

Candy also held the position of Lead Finance Office at American Express Bank from 2004-2006 where she supported the Commercial Cards business for the Philippines, Thailand, Indonesia and Malaysia, and the Wealth Management business in the Philippines. Her first role after graduating from the Ateneo was as a Management Reporting Officer/Business Process Analyst for the financial reporting shared services division of Citigroup where she led automation and financial reporting for various countries in Central and Eastern Europe, Middle East and Africa (CEEMEA), and Southeast Asia region.



# SECURITIES AND EXCHANGE COMMISSION

THE SEC HEADQUARTERS 7907 Makati Avenue, Salcedo Village, Bel-Air, Makati City  
1209 Trunk Line No:02-5322-7696 Email Us:www.sec.gov.ph/imessagemo@sec.gov.ph



**The following document has been received:**

**Receiving:** Ma. Theresa Mabuyo

**Receipt Date and Time:** June 03, 2025 04:48:19 PM

## Company Information

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**SEC Registration No.:** CS200417434

**Company Name:** SUN LIFE PROSPERITY DOLLAR ABUNDANCE FUND, INC.

**Industry Classification:** J68000

**Company Type:** Stock Corporation

## Document Information

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**Document ID:** OST10603202583456406

**Document Type:** Current Report

**Document Code:** SEC\_Form\_17-C

**Period Covered:** May 30, 2025

**Submission Type:** Original Filing

**Remarks:** None

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Acceptance of this document is subject to review of forms and contents

**CERTIFICATION**

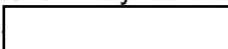
I, **ANNA KATRINA C. KABIGTING-IBERO**, Corporate Secretary of **Sun Life Prosperity Dollar Abundance Fund, Inc.**, a corporation duly registered under and by virtue of the laws of the Republic of the Philippines, with SEC registration number **CS200417434** and with principal office at Sun Life Centre, 5<sup>th</sup> Avenue corner Rizal Drive, Bonifacio Global City, Taguig City, on oath state:

- 1) That I have caused this **SEC Form 17-C** to be prepared on behalf of **Sun Life Prosperity Dollar Abundance Fund, Inc.**;
- 2) That I have read and understood its contents which are true and correct based on my own personal knowledge and/or on authentic records;
- 3) That **Sun Life Prosperity Dollar Abundance Fund, Inc.** will comply with the requirements set forth in SEC Notice dated 12 May 2021 to effect a complete and official submission of reports and/or documents through electronic mail;
- 4) That I am fully aware that submitted documents which require pre-evaluation and/or payment of processing fee shall be considered complete and officially received only upon payment of a filing fee; and
- 5) That the e-mail account designated by the company pursuant to SEC Memorandum Circular No. 28, s. 2020 shall be used by the company in its online submissions to CGFD.

**IN WITNESS WHEREOF**, I have hereunto set my hand this 3<sup>rd</sup> day of June 2025.

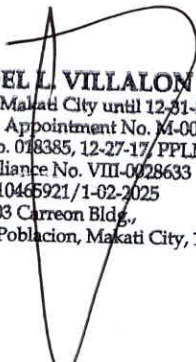


**ANNA KATRINA C. KABIGTING-IBERO**  
Affiant

**SUBSCRIBED AND SWORN** to before me this 3<sup>rd</sup> day of June 2025 in the City of Makati affiant exhibiting to me her Driver's License with ID No  valid until 01 September 2032.

Doc. No. 220  
Page No. 45  
Book No. 10  
Series of 2025.



  
**ATTY. JOEL L. VILLALON**  
Notary Public for Makati City until 12-31-2026  
Roll No. 51808, Appointment No. M-008  
IBP Lifetime No. 078385, 12-27-17/PPLM  
MCLE Compliance No. VIII-0028633  
PTR No. 10465921/1-02-2025  
Unit 203 Carreon Bldg.,  
2746, Zenaida St., Poblacion, Makati City, 1210

**COVER SHEET**

**C S 2 0 0 4 1 7 4 3 4**

SEC Identification Number

**S U N L I F E P R O S P E R I T Y D O L L A R**

**A B U N D A N C E F U N D , I N C .**

(Company's Full Name)

**S U N L I F E C E N T R E 5<sup>TH</sup> A V E .**

**C O R . R I Z A L D R I V E , B O N I F A C I O**

**G L O B A L C I T Y , T A G U I G C I T Y**

(Business Address: No. Street City/Town/Province)

**Anna Katrina C. Kabigting-Ibero**

(Contact Person)

**8555-8888 loc. 5699**

(Company Telephone Number)

**SEC 17 C**

**1 2**

Month Day  
(Fiscal Year)

**3 1**

(Form Type)

**4th Wed. of June**

Month Day  
(Annual Meeting)

(Secondary License Type, If Applicable)

Dept. Requiring this Doc.

Amended Articles Number/Section

Total No. of Stockholders

Total Amount of Borrowings

Domestic

Foreign

To be accomplished by SEC Personnel concerned

File Number

\_\_\_\_\_

LCU

Document ID

\_\_\_\_\_

Cashier

STAMPS

**SECURITIES AND EXCHANGE COMMISSION  
SEC FORM 17-C  
CURRENT REPORT UNDER SECTION 17 OF THE SECURITIES REGULATION CODE  
AND SRC RULE 17.2(c) THEREUNDER**

1. **30 May 2025**  
Date of Report (Date of earliest event reported)
2. SEC Identification Number **CS200417434** 3. BIR Tax Identification No. **234-718-559-000**
4. **Sun Life Prosperity Dollar Abundance Fund, Inc.**  
Exact name of issuer as specified in its charter
5. **Metro Manila, Philippines**  
Province, country or other jurisdiction of incorporation
6.  (SEC Use Only)  
Industry Classification Code:
7. **Sun Life Centre, 5<sup>th</sup> Avenue corner Rizal Drive, Bonifacio Global City, Taguig City, 1634**  
Address of principal office Postal Code
8. **(632) 8555-8888**  
Issuer's telephone number, including area code
9. Securities registered pursuant to Sections 8 and 12 of the SRC or Sections 4 and 8 of the RSA

Title of Each Class	Number of Shares of Common Stock Outstanding and Amount of Debt Outstanding (as of 30 May 2025)
<b><u>Common (Unclassified)</u></b>	<b>3,363,651</b>

10. Indicate the item numbers reported herein: **Item 9**

During the special meeting of the Board of Directors of the Issuer held on 30 May 2025 at 2:30 p.m. at the Board Room, 6th Floor Sun Life Centre, 5th Avenue cor. Rizal Drive, Bonifacio Global City, Taguig City, and simultaneously via Teams Meeting where a quorum was present and acting throughout, the following matters were unanimously approved:

**Item 9 (a). Other Events**

1. Revised schedule of the Annual Stockholders' Meeting from 15 July 2025 to 14 August 2025 also through remote communication [Zoom Video Communications ("Zoom")].

**Item 9 (b). Other Events**

1. Voluntary revocation of the Certificate of Registration of Securities and Permit to Sell Securities to the Public issued by the Securities and Exchange Commission (SEC).
2. Removal of the minimum holding period (resulting to removal of the redemption fee) and the Sales Load.
3. Revised schedule of the closing of the Issuer's Stock & Transfer Book from 30 April 2025 to 25 July 2025 for the purpose of determining the stockholders entitled to notice of, to attend and vote at the Annual Stockholders' Meeting.

**SIGNATURES**

Pursuant to the requirements of the Securities Regulation Code, the Issuer has duly caused this report to be signed on its behalf by the undersigned hereunto duly authorized.

**Sun Life Prosperity Dollar Abundance Fund, Inc.**  
Issuer

Date: **03 June 2025**

**Anna Katrina C. Kabigting-Ibero, Corporate Secretary**  
Signature and Title



# SECURITIES AND EXCHANGE COMMISSION

THE SEC HEADQUARTERS 7907 Makati Avenue, Salcedo Village, Bel-Air, Makati City  
1209 Trunk Line No:02-5322-7696 Email Us:www.sec.gov.ph/imessagemo@sec.gov.ph



**The following document has been received:**

**Receiving:** RICHMOND CARLOS AGTARAP

**Receipt Date and Time:** June 19, 2025 05:07:30 PM

## Company Information

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**SEC Registration No.:** CS200417434

**Company Name:** SUN LIFE PROSPERITY DOLLAR ABUNDANCE FUND, INC.

**Industry Classification:** J68000

**Company Type:** Stock Corporation

## Document Information

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**Document ID:** OST10619202583520036

**Document Type:** Current Report

**Document Code:** SEC\_Form\_17-C

**Period Covered:** June 17, 2025

**Submission Type:** Original Filing

**Remarks:** None

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Acceptance of this document is subject to review of forms and contents

**CERTIFICATION**

I, **ANNA KATRINA C. KABIGTING-IBERO**, Corporate Secretary of **Sun Life Prosperity Dollar Abundance Fund, Inc.**, a corporation duly registered under and by virtue of the laws of the Republic of the Philippines, with SEC registration number **CS200417434** and with principal office at Sun Life Centre, 5<sup>th</sup> Avenue corner Rizal Drive, Bonifacio Global City, Taguig City, on oath state:

- 1) That I have caused this **SEC Form 17-C** to be prepared on behalf of **Sun Life Prosperity Dollar Abundance Fund, Inc.**;
- 2) That I have read and understood its contents which are true and correct based on my own personal knowledge and/or on authentic records;
- 3) That **Sun Life Prosperity Dollar Abundance Fund, Inc.** will comply with the requirements set forth in SEC Notice dated 12 May 2021 to effect a complete and official submission of reports and/or documents through electronic mail;
- 4) That I am fully aware that submitted documents which require pre-evaluation and/or payment of processing fee shall be considered complete and officially received only upon payment of a filing fee; and
- 5) That the e-mail account designated by the company pursuant to SEC Memorandum Circular No. 28, s. 2020 shall be used by the company in its online submissions to CGFD.

**IN WITNESS WHEREOF**, I have hereunto set my hand this \_\_\_ day of June 2025.

[Signature box]

**ANNA KATRINA C. KABIGTING-IBERO**  
Affiant

**JUN 18 2025**

**SUBSCRIBED AND SWORN** to before me this \_\_\_ day of June 2025 in the City of Makati affiant exhibiting to me her Driver's License with ID No. [ ] valid until 01 September 2032.

Doc. No. 62  
Page No. 14  
Book No. 11  
Series of 2025.

DOCUMENTARY STAMP TAX PAID  
SIGNATURE [ ]  
DATE **JUN 18 2025**

**ATTY. JOEL L. VILLALON**  
Notary Public for Makati City until 12-31-2026  
Roll No. 51808, Appointment No. M-008  
IBP Lifetime No. 018385, 12-27-17, PPLM  
MCLE Compliance No. VIII/0028633  
PTR No. 10465921/1-02/2025  
Unit 203 Carreon Bldg.,  
2746, Zenaida St., Poblacion, Makati City, 1210

COVER SHEET

C S 2 0 0 4 1 7 4 3 4

SEC Identification Number

S U N L I F E P R O S P E R I T Y D O L L A R
A B U N D A N C E F U N D , I N C .

(Company's Full Name)

S U N L I F E C E N T R E 5 T H A V E .
C O R . R I Z A L D R I V E , B O N I F A C I O
G L O B A L C I T Y , T A G U I G C I T Y

(Business Address: No. Street City/Town/Province)

Anna Katrina C. Kabigting-Ibero

(Contact Person)

8555-8888 loc. 5699

(Company Telephone Number)

SEC 17 C

1 2

Month

3 1

Day

(Fiscal Year)

(Form Type)

0 7

Month

1 6

Day

(Annual Meeting)

(Secondary License Type, If Applicable)

Dept. Requiring this Doc.

Amended Articles Number/Section

Total No. of Stockholders

Domestic

Foreign

Total Amount of Borrowings

To be accomplished by SEC Personnel concerned

File Number

LCU

Document ID

Cashier

STAMPS

**SECURITIES AND EXCHANGE COMMISSION  
SEC FORM 17-C  
CURRENT REPORT UNDER SECTION 17 OF THE SECURITIES REGULATION CODE  
AND SRC RULE 17.2(c) THEREUNDER**

1. **17 June 2025**  
Date of Report (Date of earliest event reported)
2. SEC Identification Number **CS200417434** 3. BIR Tax Identification No. **234-718-559-000**
4. **Sun Life Prosperity Dollar Abundance Fund, Inc.**  
Exact name of issuer as specified in its charter
5. **Metro Manila, Philippines**  
Province, country or other jurisdiction of incorporation
6.  (SEC Use Only)  
Industry Classification Code:
7. **Sun Life Centre, 5<sup>th</sup> Avenue corner Rizal Drive, Bonifacio Global City, Taguig City, 1634**  
Address of principal office Postal Code
8. **(632) 8555-8888**  
Issuer's telephone number, including area code
9. Securities registered pursuant to Sections 8 and 12 of the SRC or Sections 4 and 8 of the RSA

Title of Each Class	Number of Shares of Common Stock Outstanding and Amount of Debt Outstanding (as of 30 May 2025)
<b><u>Common (Unclassified)</u></b>	<b>3,363,651</b>

10. Indicate the item numbers reported herein: **Item 9 (b). Other Events**

The Board of Directors of the Issuer approved via email on 17 June 2025 the revised schedule of the closing of the Issuer's Stock & Transfer Book from 25 July 2025 to 18 July 2025 for the purpose of determining the stockholders entitled to notice of, to attend and vote at the Annual Stockholders' Meeting.

**SIGNATURES**

Pursuant to the requirements of the Securities Regulation Code, the Issuer has duly caused this report to be signed on its behalf by the undersigned hereunto duly authorized.

**Sun Life Prosperity Dollar Abundance Fund, Inc.**  
Issuer

**Anna Katrina C. Kabigting-Ibero, Corporate Secretary**  
Signature and Title

Date: **18 June 2025**



# SECURITIES AND EXCHANGE COMMISSION

THE SEC HEADQUARTERS 7907 Makati Avenue, Salcedo Village, Bel-Air, Makati City  
1209 Trunk Line No:02-5322-7696 Email Us:www.sec.gov.ph/imessagemo@sec.gov.ph



**The following document has been received:**

**Receiving:** DONNA ENCARNADO

**Receipt Date and Time:** June 27, 2025 05:00:20 PM

## Company Information

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**SEC Registration No.:** CS200417434

**Company Name:** SUN LIFE PROSPERITY DOLLAR ABUNDANCE FUND, INC.

**Industry Classification:** J68000

**Company Type:** Stock Corporation

## Document Information

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**Document ID:** OST10627202583550791

**Document Type:** Current Report

**Document Code:** SEC\_Form\_17-C

**Period Covered:** June 25, 2025

**Submission Type:** Original Filing

**Remarks:** None

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Acceptance of this document is subject to review of forms and contents

**CERTIFICATION**

I, **ANNA KATRINA C. KABIGTING-IBERO**, Corporate Secretary of **Sun Life Prosperity Dollar Abundance Fund, Inc.**, a corporation duly registered under and by virtue of the laws of the Republic of the Philippines, with SEC registration number **CS200417434** and with principal office at Sun Life Centre, 5<sup>th</sup> Avenue corner Rizal Drive, Bonifacio Global City, Taguig City, on oath state:

- 1) That I have caused this **SEC Form 17-C** to be prepared on behalf of **Sun Life Prosperity Dollar Abundance Fund, Inc.**;
- 2) That I have read and understood its contents which are true and correct based on my own personal knowledge and/or on authentic records;
- 3) That **Sun Life Prosperity Dollar Abundance Fund, Inc.** will comply with the requirements set forth in SEC Notice dated 12 May 2021 to effect a complete and official submission of reports and/or documents through electronic mail;
- 4) That I am fully aware that submitted documents which require pre-evaluation and/or payment of processing fee shall be considered complete and officially received only upon payment of a filing fee; and
- 5) That the e-mail account designated by the company pursuant to SEC Memorandum Circular No. 28, s. 2020 shall be used by the company in its online submissions to CGFD.

**IN WITNESS WHEREOF**, I have hereunto set my hand this \_\_\_ day of June 2025.

[Signature box]

**ANNA KATRINA C. KABIGTING-IBERO**  
Affiant

**SUBSCRIBED AND SWORN** to before me this JUN 26 2025 day of June 2025 in the City of Makati affiant exhibiting to me her Driver's License with ID No. [ ] valid until 01 September 2032.

Doc. No. 309  
Page No. 63  
Book No. 11  
Series of 2025.

DOCUMENTARY STAMP TAX PAID  
JUN 26 2025  
SIGNATURE: \_\_\_\_\_  
DATE: \_\_\_\_\_

**ATTY. JOEL L. VILLALON**  
Notary Public for Makati City until 12-31-2026  
Roll No. 51808, Appointment No. M-008  
IBP Lifetime No. 018385, 12-27-17, PPLM  
MCLE Compliance No. VIII-0028633  
PTR No. 10465921/1-02-2025  
Unit 203 Carreon Bldg,  
2746, Zenaida St., Poblacion, Makati City, 1210

COVER SHEET

C S 2 0 0 4 1 7 4 3 4

SEC Identification Number

S U N L I F E P R O S P E R I T Y D O L L A R
A B U N D A N C E F U N D , I N C .

(Company's Full Name)

S U N L I F E C E N T R E 5 T H A V E .
C O R . R I Z A L D R I V E , B O N I F A C I O
G L O B A L C I T Y , T A G U I G C I T Y

(Business Address: No. Street City/Town/Province)

Anna Katrina C. Kabigting-Ibero

(Contact Person)

8555-8888 loc. 5699

(Company Telephone Number)

SEC 17 C

1 2

Month

3 1

Day

(Fiscal Year)

(Form Type)

0 7

Month

1 6

Day

(Annual Meeting)

(Secondary License Type, If Applicable)

Dept. Requiring this Doc.

Amended Articles Number/Section

Total No. of Stockholders

Total Amount of Borrowings
Domestic Foreign

To be accomplished by SEC Personnel concerned

File Number

LCU

Document ID

Cashier

STAMPS

**SECURITIES AND EXCHANGE COMMISSION  
SEC FORM 17-C  
CURRENT REPORT UNDER SECTION 17 OF THE SECURITIES REGULATION CODE  
AND SRC RULE 17.2(c) THEREUNDER**

1. **25 June 2025**  
Date of Report (Date of earliest event reported)
2. SEC Identification Number **CS200417434** 3. BIR Tax Identification No. **234-718-559-000**
4. **Sun Life Prosperity Dollar Abundance Fund, Inc.**  
Exact name of issuer as specified in its charter
5. **Metro Manila, Philippines**  
Province, country or other jurisdiction of incorporation
6.  (SEC Use Only)  
Industry Classification Code:
7. **Sun Life Centre, 5<sup>th</sup> Avenue corner Rizal Drive, Bonifacio Global City, Taguig City, 1634**  
Address of principal office Postal Code
8. **(632) 8555-8888**  
Issuer's telephone number, including area code
9. Securities registered pursuant to Sections 8 and 12 of the SRC or Sections 4 and 8 of the RSA

Title of Each Class	Number of Shares of Common Stock Outstanding and Amount of Debt Outstanding (as of 30 May 2025)
<b><u>Common (Unclassified)</u></b>	<b>3,363,651</b>

10. Indicate the item numbers reported herein: **Item 9 (b). Other Events**

Notice was sent to share holders of the Fund on 25 June 2025, in compliance with Investment Company Act (ICA) Rule 13.1.6.

**SIGNATURES**

Pursuant to the requirements of the Securities Regulation Code, the Issuer has duly caused this report to be signed on its behalf by the undersigned hereunto duly authorized.

**Sun Life Prosperity Dollar Abundance Fund, Inc.**  
Issuer

Date: **26 June 2025**

**Anna Katrina C. Kabigting-Ibero, Corporate Secretary**  
Signature and Title



# SECURITIES AND EXCHANGE COMMISSION

THE SEC HEADQUARTERS 7907 Makati Avenue, Salcedo Village, Bel-Air, Makati City  
1209 Trunk Line No:02-5322-7696 Email Us:www.sec.gov.ph/imessagemo@sec.gov.ph



**The following document has been received:**

**Receiving:** DONNA ENCARNADO

**Receipt Date and Time:** July 03, 2025 05:19:00 PM

## Company Information

---

**SEC Registration No.:** CS200417434

**Company Name:** SUN LIFE PROSPERITY DOLLAR ABUNDANCE FUND, INC.

**Industry Classification:** J68000

**Company Type:** Stock Corporation

## Document Information

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**Document ID:** OST10703202583572716

**Document Type:** Current Report

**Document Code:** SEC\_Form\_17-C

**Period Covered:** July 01, 2025

**Submission Type:** Original Filing

**Remarks:** None

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Acceptance of this document is subject to review of forms and contents

# CERTIFICATION

I, Gerald L. Bautista, President, Sun Life Asset Management Company, Inc. of Sun Life Prosperity Dollar Abundance Fund, Inc. a corporation duly registered under and by virtue of the laws of the Republic of the Philippines, with SEC registration number CS200417434 and with principal office at 8th Floor, Sun Life Centre, 5th Avenue corner Rizal Drive, Bonifacio Global City, Taguig City, on oath state:

- 1) That I have caused the preparation of 17C on behalf of Sun Life Asset Management Company, Inc. / Sun Life Prosperity Dollar Abundance Fund, Inc;
- 2) That I have read and understood its contents which are true and correct based on my own personal knowledge and/or on authentic records;
- 3) That Sun Life Asset Management Company, Inc. and Sun Life Prosperity Dollar Abundance Fund, Inc. will comply with the requirements set forth in SEC Notice dated May 12, 2021 to effect a complete and official submission of reports and/or documents through electronic mail;
- 4) That I am fully aware that submitted documents which require pre-evaluation and/or payment of processing fee shall be considered complete and officially received only upon payment of a filing fee; and
- 5) That the e-mail account/s designated by the companies pursuant to SEC Memorandum Circular No. 28, s. 2020 shall be used by the companies in their online submissions to CGFD.

IN WITNESS WHEREOF, I have hereunto set my hand this \_\_\_\_ day of June 2025.

Gerald L. Bautista

President, Sun Life Asset Management Company,  
Inc.

SUBSCRIBED AND SWORN to me before this JUL 01 2025 day of June 2025, in Taguig City, Philippines.

Name	Government ID No.	Date of Issue	Place of Issue
Gerald L. Bautista			

Doc. No. 448  
 Page No. 91  
 Book No. 11  
 Series of 2025.

DOCUMENTARY STAMP TAX PAID  
**JUL 01 2025**

SIGNATURE \_\_\_\_\_ DATE \_\_\_\_\_

**ATTY. JOEL L. VILLALON**  
 Notary Public for Makati City until 12-31-2026  
 Roll No. 51808, Appointment No. M-008  
 IBP Lifetime No. 018385, 12-27-17, PPLM  
 MCLE Compliance No. VIII-0728633  
 PTR No. 10465921/1-02-2025  
 Unit 203 Carreon Bldg.,  
 7746, Zenaida St., Poblacion, Makati City, 1210

COVER SHEET

C S 2 0 0 4 1 7 4 3 4

SEC Identification Number

S U N L I F E P R O S P E R I T Y D O L L A R
A B U N D A N C E F U N D , I N C .

(Company's Full Name)

S U N L I F E C E N T R E 5 T H A V E .
C O R . R I Z A L D R I V E , B O N I F A C I O
G L O B A L C I T Y , T A G U I G C I T Y

(Business Address: No. Street City/Town/Province)

Anna Katrina C. Kabigting-Ibero

(Contact Person)

8555-8888 loc. 5699

(Company Telephone Number)

SEC 17 C

1 2

Month

3 1

Day

(Fiscal Year)

(Form Type)

0 7

Month

1 6

Day

(Annual Meeting)

(Secondary License Type, If Applicable)

Dept. Requiring this Doc.

Amended Articles Number/Section

Total No. of Stockholders

Domestic

Foreign

Total Amount of Borrowings

To be accomplished by SEC Personnel concerned

File Number

LCU

Document ID

Cashier

STAMPS

**SECURITIES AND EXCHANGE COMMISSION  
SEC FORM 17-C  
CURRENT REPORT UNDER SECTION 17 OF THE SECURITIES REGULATION CODE  
AND SRC RULE 17.2(c) THEREUNDER**

1. **01 July 2025**  
Date of Report (Date of earliest event reported)
2. SEC Identification Number **CS200417434** 3. BIR Tax Identification No. **234-718-559-000**
4. **Sun Life Prosperity Dollar Abundance Fund, Inc.**  
Exact name of issuer as specified in its charter
5. **Metro Manila, Philippines**  
Province, country or other jurisdiction of incorporation
6.  (SEC Use Only)  
Industry Classification Code:
7. **Sun Life Centre, 5<sup>th</sup> Avenue corner Rizal Drive, Bonifacio Global City, Taguig City, 1634**  
Address of principal office Postal Code
8. **(632) 8555-8888**  
Issuer's telephone number, including area code
9. Securities registered pursuant to Sections 8 and 12 of the SRC or Sections 4 and 8 of the RSA

Title of Each Class	Number of Shares of Common Stock Outstanding and Amount of Debt Outstanding (as of 30 June 2025)
<b><u>Common (Unclassified)</u></b>	<b>3,359,337</b>

10. Indicate the item numbers reported herein: **Item 9 (b). Other Events**

On 01 July 2025, the President of the Fund/Issuer and the President of Sun Life Asset Management Company, Inc. approved the following:

1. In relation to the SEC 17C Report dated 30 May 2025 on the removal of the minimum holding period (resulting to removal of the redemption fee) and the Sales Load – this shall apply only to new and additional subscriptions made on 15 July 2025, and onwards. As such, subscriptions made prior to this date will still follow the current sales load options (front-end and back-end), in accordance with the terms agreed upon at the time of purchase.

**SIGNATURES**

Pursuant to the requirements of the Securities Regulation Code, the Issuer has duly caused this report to be signed on its behalf by the undersigned hereunto duly authorized.

**Sun Life Prosperity Dollar Abundance Fund, Inc.**

Issuer

Date: **01 July 2025**

**Gerald L. Bautista, President of Sun Life Asset Management Company, Inc.**

Signature and Title



# SECURITIES AND EXCHANGE COMMISSION

THE SEC HEADQUARTERS 7907 Makati Avenue, Salcedo Village, Bel-Air, Makati City  
1209 Trunk Line No:02-5322-7696 Email Us:www.sec.gov.ph/imessagemo@sec.gov.ph



**The following document has been received:**

**Receiving:** DONNA ENCARNADO

**Receipt Date and Time:** August 27, 2025 01:38:34 PM

## Company Information

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**SEC Registration No.:** CS200417434

**Company Name:** SUN LIFE PROSPERITY DOLLAR ABUNDANCE FUND, INC.

**Industry Classification:** J68000

**Company Type:** Stock Corporation

## Document Information

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**Document ID:** OST10827202583673758

**Document Type:** Current Report

**Document Code:** SEC\_Form\_17-C

**Period Covered:** August 19, 2025

**Submission Type:** Amendment

**Remarks:** None

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Acceptance of this document is subject to review of forms and contents

CERTIFICATION

I, ANNA KATRINA C. KABIGTING-IBERO, Corporate Secretary of Sun Life Prosperity Dollar Abundance Fund, Inc., a corporation duly registered under and by virtue of the laws of the Republic of the Philippines, with SEC registration number CS200417434 and with principal office at Sun Life Centre, 5<sup>th</sup> Avenue corner Rizal Drive, Bonifacio Global City, Taguig City, on oath state:

- 1) That I have caused this SEC Form 17-C to be prepared on behalf of Sun Life Prosperity Dollar Abundance Fund, Inc.;
- 2) That I have read and understood its contents which are true and correct based on my own personal knowledge and/or on authentic records;
- 3) That Sun Life Prosperity Dollar Abundance Fund, Inc. will comply with the requirements set forth in SEC Notice dated 12 May 2021 to effect a complete and official submission of reports and/or documents through electronic mail;
- 4) That I am fully aware that submitted documents which require pre-evaluation and/or payment of processing fee shall be considered complete and officially received only upon payment of a filing fee; and
- 5) That the e-mail account designated by the company pursuant to SEC Memorandum Circular No. 28, s. 2020 shall be used by the company in its online submissions to CGFD.

IN WITNESS WHEREOF, I have hereunto set my hand this AUG 19 2025 day of August 2025.

[Redacted Signature Box]

ANNA KATRINA C. KABIGTING-IBERO  
Affiant

SUBSCRIBED AND SWORN to before me this AUG 19 2025 day of August 2025 in the City of Makati affiant exhibiting to me her Driver's License with ID No. [Redacted] valid until 01 September 2032.

Doc. No. 87  
Page No. 79  
Book No. 74  
Series of 2025.

ATTY. JOEL L. VILLALON  
Notary Public for Makati City until 12-31-2026  
Roll No. 51808, Appointment No. M-008  
IBP Lifetime No. 018385, 12-27-17, PPLM  
MCLE Compliance No. VIII-0028633  
PTR No. 10465921/1-02-2025  
Unit 203 Carreon Bldg.,  
2746, Zenaida St., Poblacion, Makati City, 1210

DOCUMENTARY STAMP TAX PAID  
AUG 19 2025  
SIGNATURE DATE

COVER SHEET

C S 2 0 0 4 1 7 4 3 4

SEC Identification Number

S U N L I F E P R O S P E R I T Y D O L L A R
A B U N D A N C E F U N D , I N C .

(Company's Full Name)

S U N L I F E C E N T R E 5 T H A V E .
C O R . R I Z A L D R I V E , B O N I F A C I O
G L O B A L C I T Y , T A G U I G C I T Y

(Business Address: No. Street City/Town/Province)

Anna Katrina C. Kabigting-Ibero

(Contact Person)

8555-8888 loc. 5699

(Company Telephone Number)

SEC 17 C

1 2

Month

3 1

Day

(Fiscal Year)

(Form Type)

0 7

Month

1 6

Day

(Annual Meeting)

(Secondary License Type, If Applicable)

Dept. Requiring this Doc.

Amended Articles Number/Section

Total No. of Stockholders

Domestic

Foreign

Total Amount of Borrowings

To be accomplished by SEC Personnel concerned

File Number

LCU

Document ID

Cashier

STAMPS

SECURITIES AND EXCHANGE COMMISSION  
SEC FORM 17-C  
CURRENT REPORT UNDER SECTION 17 OF THE SECURITIES REGULATION CODE  
AND SRC RULE 17.2(c) THEREUNDER

1. 19 August 2025  
Date of Report (Date of earliest event reported)
2. SEC Identification Number CS200417434 3. BIR Tax Identification No. 234-718-559-000
4. Sun Life Prosperity Dollar Abundance Fund, Inc.  
Exact name of issuer as specified in its charter
5. Metro Manila, Philippines  
Province, country or other jurisdiction of incorporation
6.  (SEC Use Only)  
Industry Classification Code:
7. Sun Life Centre, 5<sup>th</sup> Avenue corner Rizal Drive, Bonifacio Global City, Taguig City, 1634  
Address of principal office Postal Code
8. (632) 8555-8888  
Issuer's telephone number, including area code
9. Securities registered pursuant to Sections 8 and 12 of the SRC or Sections 4 and 8 of the RSA

Title of Each Class	Number of Shares of Common Stock Outstanding and Amount of Debt Outstanding (as of 31 July 2025)
<u>Common (Unclassified)</u>	<u>3,465,279</u>

10. Indicate the item numbers reported herein: **Items 4(b), 4(b)(ii) and 9(b).**

A. During the Annual Stockholders' Meeting of the Issuer held on 14 August 2025 at 9:00 a.m. via Zoom Video Conference, during which 2,139,562 shares or 54.58% of the outstanding capital stock ("OCS") as of 18 July 2025 were present in person or by proxy, the following events transpired:

**Item 4(b). Election of Directors.** The Issuer met the requirement of 50%+1 share of the OCS present in person or by proxy. Thus, the following have been duly elected as members of the Board of Directors:

- Benedicto C. Sison
- Valerie N. Pama
- Cielito F. Habito (independent)
- Cesar Luis F. Bate (independent)
- Oscar S. Reyes (independent)

The Independent Directors will submit the required Certification within 30 days from date of the Annual Stockholders' Meeting.

**Item 9(b). Other Events.** The stockholders present or represented unanimously approved the following:

1. The minutes of the Annual Stockholders' Meeting held on 16 July 2024;
2. The minutes of the Continuation Meeting of the Stockholders held on 09 September 2024;
3. All acts and proceedings of the Board and Corporate Officers (confirmation and ratification thereof);
4. Appointment of Navarro, Amper & Co. (Deloitte Touche Tohmatsu) as External Auditor for 2025; and
5. Voluntary Revocation of the Certificate of Registration of Securities and Permit to Sell Securities to the Public.

B. During the Organizational Meeting of the Board of Directors immediately after the Annual Stockholders' Meeting, the following events transpired:

**Item 4(b)(ii).** The following were unanimously elected/appointed by the Board:

Chairman:	Benedicto C. Sison
President:	Valerie N. Pama
Treasurer:	Candy S. Esteban
Corporate Secretary:	Anna Katrina C. Kabigting-Ibero
Asst. Corp. Secretary:	Raizulli M. Nolasco
Chief Compliance Officer:	Maria Teresa A. Co
Data Protection Officer:	Maria Teresa A. Co
Money Laundering Reporting Officer:	Maria Teresa A. Co
Chief Risk Officer:	Ria V. Mercado
Internal Auditor:	Joel O. Bungabong
Corporate Governance Committee:	Cielito F. Habito (Chairman), Benedicto C. Sison and Cesar Luis F. Bate; and
Audit and Compliance Committee:	Cesar Luis F. Bate (Chairman), Oscar S. Reyes and Cielito F. Habito
Representatives to the Philippine Investment Funds Association, Inc.:	
Primary:	President/Valerie N. Pama
Alternate:	Any one (1) of the following: Treasurer President (Sun Life Asset Management Company, Inc.) General Counsel (Sun Life Financial Philippines) Treasurer/Chief Financial Officer (SLAMCI) Head (Bank and Alternative Distribution, SLAMCI) Head (MF Agency Sales, SLAMCI)

#### SIGNATURES

Pursuant to the requirements of the Securities Regulation Code, the issuer has duly caused this report to be signed on its behalf by the undersigned hereunto duly authorized.

**Sun Life Prosperity Dollar Abundance Fund, Inc.**

Issuer



**Anna Katrina C. Kabigting-Ibero, Corporate Secretary**

Signature and Title

Date: 19 August 2025



# SECURITIES AND EXCHANGE COMMISSION

THE SEC HEADQUARTERS 7907 Makati Avenue, Salcedo Village, Bel-Air, Makati City  
1209 Trunk Line No:02-5322-7696 Email Us:www.sec.gov.ph/lmessagemo@sec.gov.ph



**The following document has been received:**

**Receiving:** DONNA ENCARNADO

**Receipt Date and Time:** August 27, 2025 08:00:00 AM

## Company Information

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**SEC Registration No.:** CS200417434

**Company Name:** SUN LIFE PROSPERITY DOLLAR ABUNDANCE FUND, INC.

**Industry Classification:** J68000

**Company Type:** Stock Corporation

## Document Information

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**Document ID:** OST10827202583672045

**Document Type:** Current Report

**Document Code:** SEC\_Form\_17-C

**Period Covered:** August 22, 2025

**Submission Type:** Original Filing

**Remarks:** None

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Acceptance of this document is subject to review of forms and contents

COVER SHEET

C S 2 0 0 4 1 7 4 3 4

SEC Identification Number

S U N L I F E P R O S P E R I T Y D O L L A R
A B U N D A N C E F U N D , I N C .

(Company's Full Name)

S U N L I F E C E N T R E 5 T H A V E .
C O R . R I Z A L D R I V E , B O N I F A C I O
G L O B A L C I T Y , T A G U I G C I T Y

(Business Address: No. Street City/Town/Province)

Anna Katrina C. Kabigting-Ibero

(Contact Person)

8555-8888 loc. 5699

(Company Telephone Number)

SEC 17 C

1 2

Month

3 1

Day

(Fiscal Year)

(Form Type)

0 7

Month

1 6

Day

(Annual Meeting)

(Secondary License Type, If Applicable)

Dept. Requiring this Doc.

Amended Articles Number/Section

Total No. of Stockholders

Domestic

Foreign

Total Amount of Borrowings

To be accomplished by SEC Personnel concerned

File Number

LCU

Document ID

Cashier

STAMPS

**CERTIFICATION**

I, **CHRISTINE JASMIN ZARA**, Manager (Product Development and Management) of Sun Life Asset Management Company, Inc., on behalf of **Sun Life Prosperity Dollar Abundance Fund, Inc.**, a corporation duly registered under and by virtue of the laws of the Republic of the Philippines, with SEC registration number **CS200417434** and with principal office at Sun Life Centre, 5<sup>th</sup> Avenue corner Rizal Drive, Bonifacio Global City, Taguig City, on oath state:

- 1) That I have caused this **SEC Form 17-C** to be prepared on behalf of **Sun Life Prosperity Dollar Abundance Fund, Inc.**;
- 2) That I have read and understood its contents which are true and correct based on my own personal knowledge and/or on authentic records;
- 3) That **Sun Life Prosperity Dollar Abundance Fund, Inc.** will comply with the requirements set forth in SEC Notice dated 12 May 2021 to effect a complete and official submission of reports and/or documents through electronic mail;
- 4) That I am fully aware that submitted documents which require pre-evaluation and/or payment of processing fee shall be considered complete and officially received only upon payment of a filing fee; and
- 5) That the e-mail account designated by the company pursuant to SEC Memorandum Circular No. 28, s. 2020 shall be used by the company in its online submissions to CGFD.

**IN WITNESS WHEREOF**, I have hereunto set my hand this 26<sup>th</sup> day of August 2025.

[Signature box]

**CHRISTINE JASMIN ZARA**  
Affiant

**SUBSCRIBED AND SWORN** to before me this 26<sup>TH</sup> day of August 2025 in the City of Makati affiant exhibiting to me her Passport No. [Signature box] valid until 28 August 2028.

Doc. No. 255;  
Page No. 52;  
Book No. 14;  
Series of 2025.

**DOCUMENTARY STAMP TAX PAID**  
**AUG 26 2025**  
SIGNATURE \_\_\_\_\_ DATE \_\_\_\_\_

[Signature]  
**ATTY. JOEL L. VILLALON**  
Notary Public for Makati City until 12-31-2026  
Roll No. 51808, Appointment No./M-008  
IBP Lifetime No. 018385, 12-27-17, PPLM  
MCLE Compliance No. VIII-0028633  
PTR No. 10465921/1-02-2025  
Unit 203 Carreon Bldg.,  
2746. Zenaida St., Poblacion, Makati City, 1210

**SECURITIES AND EXCHANGE COMMISSION  
SEC FORM 17-C  
CURRENT REPORT UNDER SECTION 17 OF THE SECURITIES REGULATION CODE  
AND SRC RULE 17.2(c) THEREUNDER**

1. **22 August 2025**  
Date of Report (Date of earliest event reported)
2. SEC Identification Number **CS200417434** 3. BIR Tax Identification No. **234-718-559-000**
4. **Sun Life Prosperity Dollar Abundance Fund, Inc.**  
Exact name of issuer as specified in its charter
5. **Metro Manila, Philippines**  
Province, country or other jurisdiction of incorporation
6.  (SEC Use Only)  
Industry Classification Code:
7. **Sun Life Centre, 5<sup>th</sup> Avenue corner Rizal Drive, Bonifacio Global City, Taguig City, 1634**  
Address of principal office Postal Code
8. **(632) 8555-8888**  
Issuer's telephone number, including area code
9. Securities registered pursuant to Sections 8 and 12 of the SRC or Sections 4 and 8 of the RSA

Title of Each Class	Number of Shares of Common Stock Outstanding and Amount of Debt Outstanding (as of 31 July 2025)
<b><u>Common (Unclassified)</u></b>	<b>3,465,279</b>

10. Indicate the item numbers reported herein: **Item 9 (b). Other Events**

On 22 August 2025, the President of the Fund/Issuer and the President of Sun Life Asset Management Company, Inc. approved to waive all applicable exit fees for redemptions and switches from the Fund within the holding period for subscriptions made *prior to* 15 July 2025, effective 26 August 2025.

**SIGNATURES**

Pursuant to the requirements of the Securities Regulation Code, the Issuer has duly caused this report to be signed on its behalf by the undersigned hereunto duly authorized.

**Sun Life Prosperity Dollar Abundance Fund, Inc.**  
Issuer

Date: **26 August 2025**

**Christine Jasmin Zara, Product Development and Management**  
Signature and Title



# SECURITIES AND EXCHANGE COMMISSION

Secretariat Building, PICC Complex, Roxas Boulevard, Pasay City, 1307 Metro Manila Philippines

Tel: (632) 818-0921 Fax: (632) 818-5293 Email: mis@sec.gov.ph



**The following document has been received:**

**Receiving:** DONNA ENCARNADO

**Receipt Date and Time:** October 07, 2025 07:23:19 AM

## Company Information

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**SEC Registration No.:** CS200417434

**Company Name:** SUN LIFE PROSPERITY DOLLAR ABUNDANCE FUND, INC.

**Industry Classification:** J68000

**Company Type:** Stock Corporation

## Document Information

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**Document ID:** OST11007202583777822

**Document Type:** Current Report

**Document Code:** SEC\_Form\_17-C

**Period Covered:** October 03, 2025

**Submission Type:** Original Filing

**Remarks:** None

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Acceptance of this document is subject to review of forms and contents

**CERTIFICATION**

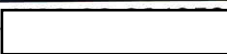
I, **ANNA KATRINA C. KABIGTING-IBERO**, Corporate Secretary of **Sun Life Prosperity Dollar Abundance Fund, Inc.**, a corporation duly registered under and by virtue of the laws of the Republic of the Philippines, with SEC registration number **CS200417434** and with principal office at Sun Life Centre, 5<sup>th</sup> Avenue corner Rizal Drive, Bonifacio Global City, Taguig City, on oath state:

- 1) That I have caused this **SEC Form 17-C** to be prepared on behalf of **Sun Life Prosperity Dollar Abundance Fund, Inc.**;
- 2) That I have read and understood its contents which are true and correct based on my own personal knowledge and/or on authentic records;
- 3) That **Sun Life Prosperity Dollar Abundance Fund, Inc.** will comply with the requirements set forth in SEC Notice dated 12 May 2021 to effect a complete and official submission of reports and/or documents through electronic mail;
- 4) That I am fully aware that submitted documents which require pre-evaluation and/or payment of processing fee shall be considered complete and officially received only upon payment of a filing fee; and
- 5) That the e-mail account designated by the company pursuant to SEC Memorandum Circular No. 28, s. 2020 shall be used by the company in its online submissions to CGFD.

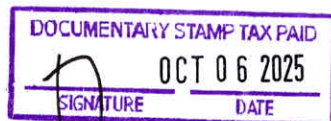
**IN WITNESS WHEREOF**, I have hereunto set my hand this OCT 06 2025 day of October 2025.



**ANNA KATRINA C. KABIGTING-IBERO**  
Affiant

**SUBSCRIBED AND SWORN** to before me this OCT 06 2025 in the City of Makati, affiant exhibiting to me her Driver's License with ID No.  valid until 01 September 2032.

Doc. No. 282  
Page No. 58  
Book No. 16  
Series of 2025.

  
DOCUMENTARY STAMP TAX PAID  
OCT 06 2025  
SIGNATURE DATE

**ATTY. JOEL L. VILLALON**  
Notary Public for Makati City until 12-31-2025  
Roll No. 51808, Appointment No. M-008  
IBP Lifetime No. 018385/12-27-17, PPLM  
MCLE Compliance No. VIII-0028633  
PTR No. 10465921/1-02-2025  
Unit 203 Carreon Bldg.,  
7746, Zenaida St., Poblacion, Makati City, 1210

COVER SHEET

C S 2 0 0 4 1 7 4 3 4

SEC Identification Number

S U N L I F E P R O S P E R I T Y D O L L A R
A B U N D A N C E F U N D , I N C .

(Company's Full Name)

S U N L I F E C E N T R E 5 T H A V E .
C O R . R I Z A L D R I V E , B O N I F A C I O
G L O B A L C I T Y , T A G U I G C I T Y

(Business Address: No. Street City/Town/Province)

Anna Katrina C. Kabigting-Ibero

(Contact Person)

8555-8888 loc. 5699

(Company Telephone Number)

SEC 17 C

1 2

Month Day (Fiscal Year)

3 1

(Form Type)

4th Wed. of June

Month Day (Annual Meeting)

(Secondary License Type, If Applicable)

Dept. Requiring this Doc.

Amended Articles Number/Section

Total No. of Stockholders

Total Amount of Borrowings Domestic Foreign

To be accomplished by SEC Personnel concerned

File Number

LCU

Document ID

Cashier

STAMPS

SECURITIES AND EXCHANGE COMMISSION  
SEC FORM 17-C  
CURRENT REPORT UNDER SECTION 17 OF THE SECURITIES REGULATION CODE  
AND SRC RULE 17.2(c) THEREUNDER

1. 03 October 2025  
Date of Report (Date of earliest event reported)
2. SEC Identification Number CS200417434 3. BIR Tax Identification No. 234-718-559-000
4. Sun Life Prosperity Dollar Abundance Fund, Inc.  
Exact name of issuer as specified in its charter
5. Metro Manila, Philippines  
Province, country or other jurisdiction of incorporation
6.  (SEC Use Only)  
Industry Classification Code:
7. Sun Life Centre, 5<sup>th</sup> Avenue corner Rizal Drive, Bonifacio Global City, Taguig City, 1634  
Address of principal office Postal Code
8. (632) 8555-8888  
Issuer's telephone number, including area code
9. Securities registered pursuant to Sections 8 and 12 of the SRC or Sections 4 and 8 of the RSA

Title of Each Class	Number of Shares of Common Stock Outstanding and Amount of Debt Outstanding (as of 30 September 2025)
<u>Common (Unclassified)</u>	<u>2,943,976</u>

**Item 4 (a). Resignation or Removal of Registrant's Directors or Officers.**

Independent Director Oscar S. Reyes passed away on 03 October 2025. He was 79 years old at the time of his demise. The Company is currently searching for his replacement.

**Item 9(b) Other Events.**

In relation to the recently held 2025 Annual Stockholders' Meeting of the Company, attached herewith as Annexes "A" and "B" are the Certifications of the following Independent Directors, as duly signed and notarized on 06 October 2025:

1. Cesar Luis F. Bate
2. Cielito F. Habito

**SIGNATURES**

Pursuant to the requirements of the Securities Regulation Code, the issuer has duly caused this report to be signed on its behalf by the undersigned hereunto duly authorized.

Sun Life Prosperity Dollar Abundance Fund, Inc.  
Issuer

Date: 06 October 2025

Anna Kathina C. Kabigting-Ibero, Corporate Secretary  
Signature and Title

REPUBLIC OF THE PHILIPPINES )  
MAKATI CITY ) S.S.

**CERTIFICATION OF INDEPENDENT DIRECTOR**

I, **CESAR LUIS F. BATE**, Filipino, of legal age and a resident of 7 Beaterio St., Urdaneta Village, Makati City, after having been duly sworn to in accordance with law do hereby declare that:

1. I am an Independent Director of the following covered companies:

- Sun Life of Canada Prosperity Bond Fund, Inc.,
- Sun Life of Canada Prosperity Philippine Equity Fund, Inc.,
- Sun Life Prosperity Achiever Fund 2028, Inc.,
- Sun Life Prosperity Achiever Fund 2048, Inc.,
- Sun Life Prosperity Dollar Abundance Fund, Inc.,
- Sun Life Prosperity Dollar Advantage Fund, Inc.,
- Sun Life Prosperity Dynamic Fund, Inc.,
- Sun Life Prosperity GS Fund, Inc.,
- Sun Life Prosperity Peso Starter Fund, Inc.,
- Sun Life Prosperity Philippine Stock Index Fund, Inc.,
- Sun Life Prosperity Dollar Wellspring Fund, Inc.,
- Sun Life Prosperity World Income Fund, Inc., and
- Sun Life Prosperity World Voyager Fund, Inc.

2. I am affiliated with the following companies or organizations:

<b>Company/Organization</b>	<b>Position</b>	<b>Period of Service</b>
RM Commercial REIT, Inc.	Independent Director	2021 to present
Celisons Property Co. Inc.	President	2018 to present
LMN Advisors/Partners, Inc.	Managing Director	2006 to present
Metropolitan Museum of Manila	Trustee	2023 to present
Acacia Lane, Inc.	Director	1980 to present

3. I possess all the qualifications and none of the disqualifications to serve as an Independent Director of Sun Life of Canada Prosperity Bond Fund, Inc., Sun Life of Canada Prosperity Philippine Equity Fund, Inc., Sun Life Prosperity Achiever Fund 2028, Inc., Sun Life Prosperity Achiever Fund 2048, Inc., Sun Life Prosperity Dollar Abundance Fund, Inc., Sun Life Prosperity Dollar Advantage Fund, Inc., Sun Life Prosperity Dynamic Fund, Inc., Sun Life Prosperity GS Fund, Inc., Sun Life Prosperity Peso Starter Fund, Inc., Sun Life Prosperity Philippine Stock Index Fund, Inc., Sun Life Prosperity Dollar Wellspring Fund, Inc., Sun Life Prosperity World Income Fund, Inc., and Sun Life Prosperity World Voyager Fund, Inc. (collectively, "Covered Companies") as provided for in Section 38 of the Securities Regulation Code, its Implementing Rules and Regulations and other SEC issuances.

4. I am not related to any director/officer/substantial shareholder of Covered Companies and their subsidiaries and affiliates.
5. To the best of my knowledge, I am not the subject of any pending criminal or administrative investigation or proceeding.
6. **Not Applicable** - (For those in government service/affiliated with government agency or GOCC) I have the required written permission or consent from the (head of the agency/department) to be an independent director in \_\_\_\_\_ pursuant to Office of the President Memorandum Circular No. 17 and Section 12, Rule XVIII of the Revised Civil Service Rules.
7. I shall faithfully and diligently comply with my duties and responsibilities as independent director under the Securities Regulation Code and its Implementing Rules and Regulations, Code of Corporate Governance and other SEC issuances.
8. I shall inform the Corporate Secretary of the Covered Companies of any changes in the abovementioned information within five days from its occurrence.

Done on the date and place written below.

**CESAR LUIS F. BATE**  
Affiant

SUBSCRIBED AND SWORN to before me this OCT 03 2025 in the City of Makati, affiant personally appeared before me and exhibited to me his Driver's License with ID No.  valid until 24 March 2034.

Doc. No. 270  
Page No. 58  
Book No. 76  
Series of 2025.



**ATTY. JOEL L. VILLALON**  
Notary Public for Makati City until 12-31-2026  
Roll No. 51808, Appointment No. M-008  
IBP Lifetime No. 018385, 12-27-17, PPLM  
MCLE Compliance No. VIII-0028633  
PTR No. 10465921/1-02-2025  
Unit 203 Carreon Bldg.,  
2746, Zenaida St., Poblacion, Makati City, 1210

REPUBLIC OF THE PHILIPPINES )  
MAKATI CITY ) S.S.

### CERTIFICATION OF INDEPENDENT DIRECTOR

I, **CIELITO F. HABITO**, Filipino, of legal age, and a resident of 10071 Mt. Pulog St. Los Baños Subdivision, Los Baños, Laguna, after having been duly sworn to in accordance with law do hereby declare that:

1. I am an Independent Director of the following covered companies:

- Sun Life of Canada Prosperity Balanced Fund, Inc.,
- Sun Life of Canada Prosperity Bond Fund, Inc.,
- Sun Life of Canada Prosperity Philippine Equity Fund, Inc.,
- Sun Life Prosperity Achiever Fund 2028, Inc.,
- Sun Life Prosperity Achiever Fund 2038, Inc.,
- Sun Life Prosperity Achiever Fund 2048, Inc.,
- Sun Life Prosperity Dollar Abundance Fund, Inc.,
- Sun Life Prosperity Dollar Advantage Fund, Inc.,
- Sun Life Prosperity Dollar Starter Fund, Inc.,
- Sun Life Prosperity GS Fund, Inc.,
- Sun Life Prosperity Peso Starter Fund, Inc.,
- Sun Life Prosperity Peso Voyager Feeder Fund, Inc.,
- Sun Life Prosperity Philippine Stock Index Fund, Inc.,
- Sun Life Prosperity World Equity Index Feeder Fund, Inc., and
- Sun Life Prosperity World Voyager Fund, Inc.

2. I am affiliated with the following companies or organizations (including Government Owned and Controlled Corporations):

<b>Company / Organization</b>	<b>Position / Relationship</b>	<b>Period of Service</b>
First Philippine Holdings, Inc.	Independent Director	2025 - Present
PHINMA Corporation	Independent Director	2024 - Present
Manila Exposition Complex, Inc.	Independent Director	2023 - Present

Japan International Cooperation Agency- Philippines	Chairman	Present
Operation Compassion Philippines, Inc.	Chairman; Board of Trustees	2006 - Present
Brain Trust: Knowledge and Options for Sustainable Development Inc.	Chairman	2004 - Present
Philippine Daily Inquirer	Op-Ed Columnist (" <i>No Free Lunch</i> ")	2003 - Present
Life Learning Organization of PEACE (formerly CAHBRIBA Foundation)	Chairman, Board of Directors	1999 - Present

3. I possess all the qualifications and none of the disqualifications to serve as an Independent Director of Sun Life of Canada Prosperity Balanced Fund, Inc. Sun Life of Canada Prosperity Bond Fund, Inc., Sun Life of Canada Prosperity Philippine Equity Fund, Inc., Sun Life Prosperity Achiever Fund 2028, Inc., Sun Life Prosperity Achiever Fund 2038, Inc., Sun Life Prosperity Achiever Fund 2048, Inc., Sun Life Prosperity Dollar Abundance Fund, Inc. Sun Life Prosperity Dollar Advantage Fund, Inc., Sun Life Prosperity Dollar Starter Fund, Inc., Sun Life Prosperity GS Fund, Inc., Sun Life Prosperity Peso Starter Fund, Inc., Sun Life Prosperity Peso Voyager Feeder Fund, Inc., Sun Life Prosperity Philippine Stock Index Fund, Inc., Sun Life Prosperity World Equity Index Feeder Fund, Inc., and Sun Life Prosperity World Voyager Fund, Inc. collectively, "Covered Companies"), as provided for in Section 38 of the Securities Regulation Code, its Implementing Rules and Regulations and other SEC issuances.
4. I am not related to any director/officer/substantial shareholder of Covered Companies and their subsidiaries and affiliates other than the relationship provided under Rule 38.2.3 of the Securities Regulation Code.
5. To the best of my knowledge, I am not the subject of any pending criminal or administrative investigation or proceeding.
6. **Not applicable.** (For those in government service/affiliated with government agency or GOCC) I have the required written permission or consent from the (head of the agency/department) to be an independent director in \_\_\_\_\_ pursuant to Office of the President Memorandum Circular No. 17 and Section 12, Rule XVIII of the Revised Civil Service Rules.
7. I shall faithfully and diligently comply with my duties and responsibilities as independent director under the Securities Regulation Code and its Implementing Rules and Regulations, Code of Corporate Governance and other SEC issuances.

8. I shall inform the Corporate Secretary of Covered Companies of any changes in the abovementioned information within five days from its occurrence.

Done on the date and place indicated below.

[Redacted Signature Box]

**CIELITO F. HABITO**  
Affiant

**SUBSCRIBED AND SWORN** to before me this OCT 03 2025 in the City of Makati, affiant personally appeared before me and exhibiting to me his Passport with No. [Redacted] valid until 16 June 2029 issued in DFA San Pablo.

Doc. No. 271;  
Page No. 56;  
Book No. 16;  
Series of 2025.

DOCUMENTARY STAMP TAX PAID  
[Signature] OCT 03 2025  
SIGNATURE DATE

**ATTY. JOEL L. VILLALON**  
Notary Public for Makati City until 12-31-2026  
Roll No. 51808, Appointment No. M-008  
IBP Lifetime No. 018385, 12-27-17, PPLM  
MCLB Compliance No. VII-0028633  
PTR No. 10445921/1-02-2025  
Unit 203 Carreon Bldg.,  
2746, Zenaida St., Poblacion, Makati City, 1210



# SECURITIES AND EXCHANGE COMMISSION

Secretariat Building, PICC Complex, Roxas Boulevard, Pasay City, 1307 Metro Manila Philippines

Tel: (632) 818-0921 Fax: (632) 818-5293 Email: mis@sec.gov.ph



**The following document has been received:**

**Receiving:** DONNA ENCARNADO

**Receipt Date and Time:** November 12, 2025 02:07:36 PM

## Company Information

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**SEC Registration No.:** CS200417434

**Company Name:** SUN LIFE PROSPERITY DOLLAR ABUNDANCE FUND, INC.

**Industry Classification:** J68000

**Company Type:** Stock Corporation

## Document Information

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**Document ID:** OST11112202583815379

**Document Type:** Current Report

**Document Code:** SEC\_Form\_17-C

**Period Covered:** November 11, 2025

**Submission Type:** Original Filing

**Remarks:** None

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Acceptance of this document is subject to review of forms and contents

**CERTIFICATION**


I, **ANNA KATRINA C. KABIGTING-IBERO**, Corporate Secretary of **Sun Life Prosperity Dollar Abundance Fund, Inc.**, a corporation duly registered under and by virtue of the laws of the Republic of the Philippines, with SEC registration number **CS200417434** and with principal office at Sun Life Centre, 5<sup>th</sup> Avenue corner Rizal Drive, Bonifacio Global City, Taguig City, on oath state:

- 1) That I have caused this **SEC Form 17-C** to be prepared on behalf of **Sun Life Prosperity Dollar Abundance Fund, Inc.**;
- 2) That I have read and understood its contents which are true and correct based on my own personal knowledge and/or on authentic records;
- 3) That **Sun Life Prosperity Dollar Abundance Fund, Inc.** will comply with the requirements set forth in SEC Notice dated 12 May 2021 to effect a complete and official submission of reports and/or documents through electronic mail;
- 4) That I am fully aware that submitted documents which require pre-evaluation and/or payment of processing fee shall be considered complete and officially received only upon payment of a filing fee; and
- 5) That the e-mail account designated by the company pursuant to SEC Memorandum Circular No. 28, s. 2020 shall be used by the company in its online submissions to CGFD.


**IN WITNESS WHEREOF**, I have hereunto set my hand this NOV 12 2025.



**ANNA KATRINA C. KABIGTING-IBERO**  
Affiant

**SUBSCRIBED AND SWORN** to before me this NOV 12 2025 in the City of Makati, affiant exhibiting to me her Driver's License with ID No.  valid until 01 September 2032.

Doc. No. 192 ;  
Page No. 40 ;  
Book No. 18 ;  
Series of 2025.

DOCUMENTARY STAMP TAX PAID  
  
SIGNATURE      NOV 12 2025  
DATE

**ATTY. JOEL L. VILLALON**  
Notary Public for Makati City until 12-31-2026  
Roll No. 51808, Appointment No. M-008  
IBP Lifetime No. J18385, 12-27-17, PPLM  
MCLE Compliance No. VIII-0028633  
PTR No. 10465921/1-02-2025  
Unit 203 Carreon Bldg.,  
7746, Zenaida St., Poblacion, Makati City, 1210

COVER SHEET

C S 2 0 0 4 1 7 4 3 4

SEC Identification Number

S U N L I F E P R O S P E R I T Y D O L L A R
A B U N D A N C E F U N D , I N C .

(Company's Full Name)

S U N L I F E C E N T R E 5 T H A V E .
C O R . R I Z A L D R I V E , B O N I F A C I O
G L O B A L C I T Y , T A G U I G C I T Y

(Business Address: No. Street City/Town/Province)

Anna Katrina C. Kabigting-Ibero

(Contact Person)

8555-8888 loc. 5699

(Company Telephone Number)

SEC 17 C

1 2

Month Day (Fiscal Year)

3 1

(Form Type)

4th Wed. of June

Month Day (Annual Meeting)

(Secondary License Type, If Applicable)

Dept. Requiring this Doc.

Amended Articles Number/Section

Total No. of Stockholders

Total Amount of Borrowings Domestic Foreign

To be accomplished by SEC Personnel concerned

File Number

LCU

Document ID

Cashier

STAMPS

SECURITIES AND EXCHANGE COMMISSION  
SEC FORM 17-C  
CURRENT REPORT UNDER SECTION 17 OF THE SECURITIES REGULATION CODE  
AND SRC RULE 17.2 (c) THEREUNDER

1. 11 November 2025  
Date of Report (Date of earliest event reported)
2. SEC Identification Number CS200417434      3. BIR Tax Identification No. 234-718-559-000
4. Sun Life Prosperity Dollar Abundance Fund, Inc.  
Exact name of issuer as specified in its charter
5. Metro Manila, Philippines  
Province, country or other jurisdiction of incorporation
6.  (SEC Use Only)  
Industry Classification Code:
7. Sun Life Centre, 5<sup>th</sup> Avenue corner Rizal Drive, Bonifacio Global City, Taguig City, 1634  
Address of principal office Postal Code
8. (632) 8555-8888  
Issuer's telephone number, including area code
9. Securities registered pursuant to Sections 8 and 12 of the SRC or Sections 4 and 8 of the RSA

Title of Each Class	Number of Shares of Common Stock Outstanding and Amount of Debt Outstanding (as of 31 October 2025)
<u>Common (Unclassified)</u>	2,692,104

10. Indicate the item numbers reported herein: **Item 4 (b) (i). Resignation, Removal or Election of Registrant's Directors or Officers.**

During the Special Meeting of the Board of Directors of the Issuer held on 11 November 2025 at 3:12 p.m. via MS Teams Meeting Conference where a quorum was present and acting throughout, the following matter was unanimously approved:

1. Election of Atty. Teresita J. Herbosa as Replacement Director, effective November 11, 2025, to fill the vacancy left by Mr. Oscar S. Reyes.

Please refer to Annex "A" for a summary of her professional and business experience.

**SIGNATURES**

Pursuant to the requirements of the Securities Regulation Code, the Issuer has duly caused this report to be signed on its behalf by the undersigned hereunto duly authorized.

Sun Life Prosperity Dollar Abundance Fund, Inc.  
Issuer

Date: 12 November 2025

Anna Katrina C. Kabigting-Ibero, Corporate Secretary  
Signature and Title

## **TERESITA J. HERBOSA**

Independent Director (*November 2025 – present*)

Atty. Teresita J. Herbosa is currently an Independent Director of the following Sun Life Prosperity Funds, namely: Sun Life of Canada Prosperity Bond Fund, Inc.; Sun Life Prosperity Peso Starter Fund, Inc.; Sun Life Prosperity GS Fund, Inc. (July 2021 to present); Sun Life Prosperity Peso Voyager Feeder Fund, Inc. (January 2022 to present); Sun Life Prosperity Dollar Starter Fund, Inc. (July 2022 to present); Sun Life Prosperity Achiever Fund 2028, Inc. (July 2022 to present); Sun Life Prosperity Achiever Fund 2038, Inc. (July 2022 to present); Sun Life Prosperity Achiever Fund 2048, Inc. (July 2024 to present); Sun Life Prosperity World Income Fund, Inc. (August 2022 to present); Sun Life of Canada Prosperity Balanced Fund, Inc. (July 2025 to present); Sun Life Prosperity Dollar Wellspring Fund, Inc. (July 2025 to present); Sun Life Prosperity Dynamic Fund, Inc. (July 2025 to present); Sun Life Prosperity Philippine Stock Index Fund, Inc. (July 2025 to present); Sun Life Prosperity World Voyager Fund, Inc. (July 2025 to present); Sun Life Prosperity World Equity Index Feeder Fund, Inc. (July 2025 to present); Sun Life of Canada Prosperity Philippine Equity Fund, Inc. (November 2025 to present); Sun Life Prosperity Dollar Abundance Fund, Inc. (November 2025 to present); and Sun Life Prosperity Dollar Advantage Fund, Inc. (November 2025 to present). Presently, she is a Director of Telecommunications Connectivity Inc. (December 2019 to present). She has been an Independent Director of the Philippine Bank of Communications since November 2022 to the present.

She was the Chairperson of the Securities and Exchange Commission (“SEC”) from May 2011 to June 2018, and as such occupied other positions such as member of the Anti-Money Laundering Council, Chairperson of the Credit Information Corporation, the Microfinance NGO Regulatory Council, and the Capital Market Development Forum.

As SEC Chairperson, Atty. Herbosa was involved in the Asean Capital Markets Forum as Chair of its Committee on Corporate Governance responsible for the first Asean Top 50 Publicly Listed Companies. She initiated and proposed significant amendments to the Corporation Code of 1985 to Congress culminating in the enactment of the Revised Corporation Code in February 2019. She also participated in the passage of the Personal Property Securities Act.

As a consequence of the various reforms under Atty. Herbosa’s leadership, for two consecutive years, 2015 and 2016, SEC emerged, based on two nationwide surveys, as no.1 in the sincerity rating particularly in the fight against corruption among 35 government agencies.

Prior to her SEC post, she engaged in the private practice of law at the Angara Abello Concepcion Regala & Cruz Law Offices (“ACCRALAW”) specializing in litigation and dispute resolution for 33 years and often cited by foreign legal publications as one of the top litigation lawyers of the country. One year after her stint as SEC Chairperson, in July 2019, she re-joined ACCRALAW as of Counsel and continues as such up to the present.

She is an accredited MCLE lecturer and has been teaching commercial law at private law schools. In the 2022 bar examinations, she was one of the examiners for commercial law.

Atty. Herbosa co-authored in 2019 "The Revised Corporation Code of the Philippines Its Theories and Applications," and relatedly gives lectures on the recent amendments to the law. In line with her advocacy, she participated in the UNICEF-sponsored lecture series "Trainers' Course on Child Sensitive Investigation" for three years for more than 1,500 policemen and in the preparation of the video-taped materials shown at the PHILJA training of Family Court judges. She lectured for PHILJA on financial crimes and corporate and securities fraud.

She has written numerous law articles such as "Comments involving Crimes of Moral Turpitude" and the Foreword Vol 92 July 2019, for the Philippine Law Journal, others on various legal topics for the Philippine Star and contributed "Balancing Ethics and Regulation" for the FINEX anniversary publication "Ethics Black White or Gray" in 2018 and "Cyber Ethics and Data Privacy" for its second publication "Ethics: Enduring or Evolving?". From October 2019 to March 2020, she wrote a comprehensive training module for the investigation and prosecution of violations of the Securities Regulation Code in connection with the Anti-Money Laundering Act.

Atty. Herbosa finished AB Political Science cum laude at the University of the Philippines - Diliman, and her Bachelor of Laws cum laude and class salutatorian from the UP College of Law. She also has a Master of Comparative Law degree from the University of Michigan. In 2014, she was awarded the UP Distinguished Alumni Award for Public Service.



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Re: CGFD\_Sun Life Prosperity Dollar Abundance Fund, Inc.\_ SEC Form 17-C\_05February2025

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From ICTD Submission <ictdsubmission+canned.response@sec.gov.ph>

Date Wed 2/5/2025 5:45 PM

To sunlife\_sec\_communications <sunlife\_sec\_communications@sunlife.com>

**CAUTION** This email originated from outside the organization. Please proceed only if you trust the sender.

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Thank you for reaching out to [ictdsubmission@sec.gov.ph](mailto:ictdsubmission@sec.gov.ph)!

Your submission is subject for Verification and Review of the Quality of the Attached Document only for Secondary Reports. The Official Copy of the submitted document/report with Barcode Page (Confirmation Receipt) will be made available after 7 working days via order through the SEC Express at <https://secexpress.ph/>. For further clarifications, please call (02) 8737-8888.

----- NOTICE TO COMPANIES -----

Please be informed of the reports that shall be filed only through [ictdsubmission@sec.gov.ph](mailto:ictdsubmission@sec.gov.ph).

Pursuant to SEC MC Circular No. 3 s 2021, scanned copies of the printed reports with wet signature and proper notarization shall be filed in PORTABLE DOCUMENT FORMAT (pdf) through email at [ictdsubmission@sec.gov.ph](mailto:ictdsubmission@sec.gov.ph) such as the following SECONDARY REPORTS:

1. 17-A 6. ICA-QR 11. IHAR 16. 39-AR 21. Monthly Reports
2. 17-C 7. 23-A 12. AMLA-CF 17. 36-AR 22. Quarterly Reports
3. 17-L 8. 23-B 13. NPM 18. PNFS 23. Letters
4. 17-Q 9. GIS-G 14. NPAM 19. MCG 24. OPC (Alternate Nominee)
5. ICASR 10. 52-AR 15. BP-FCLC 20. S10/SEC-NTCE-EXEMPT

Further, notice is hereby given that effective 1 January 2025, the **Manual on Corporate Governance (MCG)** and **Mutual Fund Sales Report (ICASR)** must be submitted through [eFAST](#). The submission of MCG and ICASR through the [ictdsubmission@sec.gov.ph](mailto:ictdsubmission@sec.gov.ph) shall no longer be accepted. For guidance on the filing of reports, please access the "[Notice](#)" as published in the [SEC website](#) dated 6 November 2024 – *Submission of Manual on Corporate Governance (MCG) and Mutual Fund Sales Report (ICASR)*.

Likewise, the following reports shall be filed through the Electronic Filing and Submission Tool (eFAST) at <https://efast.sec.gov.ph/user/login> :

1. AFS 12. IHFS 24. SSF
2. GIS 13. LCFS 25. AFS with Affidavit of No Operation
3. BDFS 14. LCIF 26. AFS with NSPO Form 1,2, and 3
4. FCFS 15. OPC\_AO 27. AFS with NSPO Form 1,2,3 and 4,5,6
5. FCIF 16. PHFS 28. FS - Parent
6. GFFS 17. SFFS 29. FS – Consolidated
7. FORM 1 - MC 19 18. Certificate-SEC Form MCG- 2009
8. FORM 2- MC 19 19. Certificate-SEC Form MCG- 2002, 2020 ETC.
9. ACGR 20. Certification of Attendance in Corporate Governance
10. I-ACGR 21. Secretary's Certificate Meeting of Board Directors (Appointment) 22. Completion Report
11. MRPT 23. FORM MC 18

For the submission and processing of compliance in the filing of Memorandum Circular No. 28 Series of 2020, please visit this link – <https://apps010.sec.gov.ph/>

For your information and guidance.

Thank you.

**From:** [sunlife\\_sec\\_communications](#)  
**To:** [ICTD Submission](#); [CGFD Account](#)  
**Cc:** [PHIL-FIN\\_FAR2](#); [Jeanemar Talaman](#)  
**Subject:** CGFD\_Sun Life Prosperity Dollar Abundance Fund, Inc.\_ SEC Form 17-C\_05February2025  
**Date:** Wednesday, February 5, 2025 5:45:23 PM  
**Attachments:** [Sun Life Prosperity Dollar Abundance Fund, Inc. SEC Form 17-C\\_05February2025.pdf](#)

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To: CORPORATE GOVERNANCE AND FINANCE DEPARTMENT (CGFD)

Good day.

In compliance with the 2018 ICA IRR and SEC Memorandum Circular No. 33 series of 2020, please see attached SEC Form 17-C of Sun Life Prosperity Dollar Abundance Fund, Inc. reporting a valuation error.

Please let me know once you receive this e-mail and its attachment.

Official email address: [sunlife\\_sec\\_communications@sunlife.com](mailto:sunlife_sec_communications@sunlife.com)

Alternative email address: [sunlife\\_sec\\_communications2@sunlife.com](mailto:sunlife_sec_communications2@sunlife.com)

Official email address of authorized filer: [Mariel.Javal@sunlife.com](mailto:Mariel.Javal@sunlife.com)

Best Regards,

**Mariel Javal** | Financial Accounting & Reporting | Finance | Sun Life PH

**T:** 632 8555 8888 | **E:** [Mariel.Javal@sunlife.com](mailto:Mariel.Javal@sunlife.com)

5F Sun Life Centre, Fifth Ave. cor. Rizal Drive, Bonifacio Global City, Taguig 1634





### Certification

I, Jeanemar S. Talaman, the Treasurer of Sun Life Asset Management Company, Inc., a corporation duly registered under and by virtue of the laws of the Republic of the Philippines, with SEC registration number A199918034 and with principal office at Sun Life Center, 5th Ave. Cor. Rizal Drive Bonifacio Global City, Taguig City, on oath state:

- 1) That I have caused this SEC Form 17-C to be prepared on behalf of Sun Life Prosperity Dollar Abundance Fund Inc.;
- 2) That I have read and understood its contents which are true and correct based on my own personal knowledge and/or on authentic records;
- 3) That the company Sun Life Prosperity Dollar Abundance Fund Inc. will comply with the requirements set forth in SEC Notice dated 14 May 2021 to effect a complete and official submission of reports and/or documents through electronic mail;
- 4) That I am fully aware that submitted documents which require pre-evaluation and/or payment of processing fee shall be considered complete and officially received only upon payment of a filing fee; and
- 5) That the e-mail account designated by the company pursuant to SEC Memorandum Circular No. 28, s. 2020 shall be used by the company in its online submissions to CGFD.

IN WITNESS WHEREOF, I have hereunto set my hand this 05<sup>th</sup> day of February, 2025.

Jeanemar S. Talaman  
Treasurer

**FEB 05 2025**

**MAKATI CITY**

SUBSCRIBED AND SWORN to before me this \_\_\_\_\_ day of \_\_\_\_\_, 2025, in \_\_\_\_\_ City, affiant exhibiting his/her government issued identification card:

Name	Government ID No.	Valid Until	Place of Issue
Jeanemar S. Talaman			

Doc. No. 101;  
Page No. 20;  
Book No. 51;  
Series of 2025.

**ATTY. ROMEO M. MONFORT**  
Notary Public, City of Makati  
Until December 31, 2025  
Appointment No. M-032 (2024-2025)  
PTR No. 10466008 Jan. 2 2025/Makati City  
IBP No. 483534 Dec. 27, 2024  
MCLE NO. VII-0027570 Roll No. 27932  
101 Urban Ave. Campos Kuoda Bldg.  
Brgy. Pio Del Pilar, Makati City



SECURITIES AND EXCHANGE COMMISSION

SEC FORM 17-C

CURRENT REPORT UNDER SECTION 17 OF THE SECURITIES REGULATION CODE AND SRC RULE 17.2(c) THEREUNDER

- 1. 05 February 2025  
Date of Report (Date of earliest event reported)
- 2. SEC Identification Number CS200417434
- 3. BIR Tax Identification No. 234-718-559-000
- 4. Sun Life Prosperity Dollar Abundance Fund, Inc.  
Exact name of issuer as specified in its charter
- 5. Metro Manila, Philippines  
Province, country or other jurisdiction of incorporation
- 6.  (SEC Use Only)  
Industry Classification Code:
- 7. 8th Floor Sun Life Centre 5th Avenue cor Rizal Drive Bonifacio Global City, Taguig City 1634  
Address of principal office Postal Code
- 8. (02) - 8555-8888  
Issuer's telephone number, including area code
- 9. Securities registered pursuant to Sections 8 and 12 of the SRC or Sections 4 and 8 of the RSA

Title of Each Class	Number of Shares of Common Stock Outstanding and Amount of Debt Outstanding (as of 31 January 2025)
<u>Common (Unclassified)</u>	<u>3,066,995</u>

10. Indicate the item numbers reported herein: **Item 9, Other Events**

On 30 January 2025, the Fund discovered that there was unrecorded trade of Fixed-income securities, specifically corporate bonds with a face value of \$300,000, which resulted to a valuation error that affected the Fund's daily net asset value per share (NAVPS) from the date of purchase on January 21, 2025, due to unrecognized unrealized market loss on the said asset.

The unrealized market loss is deemed immaterial and did not significantly impact the daily NAVPS of the Fund, falling below the 0.5% threshold to be considered as reportable within twenty-four (24) hours as stated in Rule 8.6 (b) of the Revised Implementing Rules and Regulations of the Investment Company Act (ICA-IRR). The Fund took prompt action to rectify the situation on January 30, 2025.

There were no investors with subscriptions and/or redemptions that were affected prior to the adjustment. Additional controls were implemented by the Fund Manager, Investment Manager, and the independent NAV calculator to prevent the recurrence of this incident.

This incident will also be reported to the Fund's Independent Oversight Entity (IOE) within five (5) business days from the valuation error or incorrect pricing was found in compliance to the Section 18 Rule 8.6 of SEC Memorandum Circular No. 33 series of 2020.

SIGNATURES

Pursuant to the requirements of the Securities Regulation Code, the issuer has duly caused this report to be signed on its behalf by the undersigned hereunto duly authorized.

Sun Life Prosperity Dollar Abundance Fund, Inc.

Issuer

Jeanemar Talamon, Treasurer  
Signature and Title

Date: 05 February 2025



---

**SEC eFast Initial Acceptance**

---

**From** noreply-cifssost@sec.gov.ph <noreply-cifssost@sec.gov.ph>

**Date** Tue 4/7/2026 8:30 PM

**CAUTION** This email originated from outside the organization. Please proceed only if you trust the sender.

---

Dear **SUN LIFE PROSPERITY DOLLAR ABUNDANCE FUND, INC.**,

Greetings!

This serves as a temporary receipt of your submission, subject to verification of the form and the quality of the image of the submitted report.

**SEC Registration No:** CS200417434

**Company Name:** SUN LIFE PROSPERITY DOLLAR ABUNDANCE FUND, INC.

**Document Code:** SEC\_Form\_17-L

A separate email will be sent as proof of review and/or final acceptance.

Thank you.

SECURITIES AND EXCHANGE COMMISSION  
SEC Headquarters, 7907 Makati Avenue,  
Salcedo Village, Barangay Bel-Air, Makati City,  
1209, Metro Manila, Philippines

**REMINDER:**

TO ALL FILERS OF REPORTS IN THE e-FAST

Please strictly follow the instructions stated in the form. Filings not in accordance with the prescribed template for the following reports will be automatically reverted by the system to the filer: 1. General Information Sheet (GIS-Stock); 2. General Information Sheet (GIS-Non-stock); 3. General Information Sheet (GIS- Foreign stock & non-stock); 4. Broker Dealer Financial Statements (BDFS); 5. Financing Company Financial Statements (FCFS); 6. Investment Houses Financial Statements (IHFS); 7. Publicly – Held Company Financial Statement; 8. General Form for Financial Statements; 9. Financing Companies Interim Financial Statements (FCIF); 10. Lending Companies Interim Financial Statements (LCIF).

Per Section 18 of SEC Memorandum Circular No. 3 series of 2021, the reckoning date of receipt of reports is the date the report was initially submitted to the eFAST, if the filed report is compliant with the existing requirements. A report, which was reverted or rejected, is considered not filed or not received. A notification will be sent to the filer, stating the reason for the report's rejection in the remarks box.

THIS IS AN AUTOMATED MESSAGE - PLEASE DO NOT REPLY DIRECTLY TO THIS EMAIL



# SECURITIES AND EXCHANGE COMMISSION

THE SEC HEADQUARTERS 7907 Makati Avenue, Salcedo Village, Bel-Air, Makati City  
1209 Trunk Line No:02-5322-7696 Email Us:www.sec.gov.ph/imessagemo@sec.gov.ph



**The following document has been received:**

**Receiving:** DONNA ENCARNADO

**Receipt Date and Time:** April 07, 2026 08:30:04 PM

## Company Information

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**SEC Registration No.:** CS200417434

**Company Name:** SUN LIFE PROSPERITY DOLLAR ABUNDANCE FUND, INC.

**Industry Classification:** J68000

**Company Type:** Stock Corporation

## Document Information

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**Document ID:** OST104072026811162679

**Document Type:** Notice of Inability to File AR or QR

**Document Code:** SEC\_Form\_17-L

**Period Covered:** December 31, 2025

**Submission Type:** As needed

**Remarks:** None

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Acceptance of this document is subject to review of forms and contents



## Certification

I, Candy S. Esteban, the Treasurer of Sun Life Asset Management Company, Inc., a corporation duly registered under and by virtue of the laws of the Republic of the Philippines, with SEC registration number A199918034 and with principal office at Sun Life Center, 5th Ave. Cor. Rizal Drive Bonifacio Global City, Taguig City, on oath state:

- 1) That I have caused this SEC Form 17-L to be prepared on behalf of Sun Life Prosperity Funds (17 Mutual Fund Companies):
  - i. Sun Life of Canada Prosperity Bond Fund, Inc.
  - ii. Sun Life of Canada Prosperity Balanced Fund, Inc.
  - iii. Sun Life of Canada Prosperity Philippine Equity Fund, Inc.
  - iv. Sun Life Prosperity Dollar Advantage Fund, Inc.
  - v. Sun Life Prosperity Peso Starter Fund, Inc.
  - vi. Sun Life Prosperity Dollar Abundance Fund, Inc.
  - vii. Sun Life Prosperity GS Fund, Inc.
  - viii. Sun Life Prosperity Dynamic Fund, Inc.
  - ix. Sun Life Prosperity Philippine Stock Index Fund, Inc.
  - x. Sun Life Prosperity Dollar Wellspring Fund, Inc.
  - xi. Sun Life Prosperity World Voyager Fund, Inc.
  - xii. Sun Life Prosperity Dollar Starter Fund, Inc.
  - xiii. Sun Life Prosperity Achiever Fund 2028, Inc.
  - xiv. Sun Life Prosperity Achiever Fund 2038, Inc.
  - xv. Sun Life Prosperity Achiever Fund 2048, Inc.
  - xvi. Sun Life Prosperity World Equity Index Feeder Fund, Inc.
  - xvii. Sun Life Prosperity World Income Fund, Inc.
- 2) That I have read and understood its contents which are true and correct based on my own personal knowledge and/or on authentic records;
- 3) That the 17 Mutual Fund companies or the Sun Life Prosperity Funds will comply with the requirements set forth in SEC Notice dated 14 May 2021 to effect a complete and official submission of reports and/or documents through electronic mail;
- 4) That I am fully aware that submitted documents which require pre-evaluation and/or payment of processing fee shall be considered complete and officially received only upon payment of a filing fee; and
- 5) That the e-mail account designated by the company pursuant to SEC Memorandum Circular No. 28, s. 2020 shall be used by the company in its online submissions to CGFD.

IN WITNESS WHEREOF, I have hereunto set my hand this 6th day of April 2026.

[Signature Box]

Candy S. Esteban  
Affiant

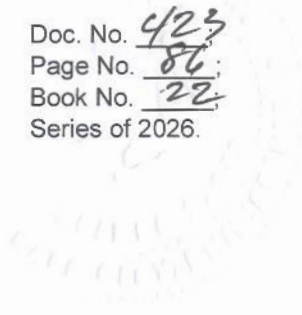
**APR 06 2026**

**MAKATI CITY**

SUBSCRIBED AND SWORN to before me this \_\_\_ day of \_\_\_\_\_, 2026, in \_\_\_\_\_ City, Philippines. Affiant exhibiting his/her government issued identification card:

Name	Government ID No.	Valid Until	Place of Issue
Candy S. Esteban			

Doc. No. 423  
Page No. 86  
Book No. 22  
Series of 2026.



  
**ATTY. ROMEO M. MONFORT**  
Notary Public City of Makati  
Until December 31, 2027  
Appointment No. M-029 (2026-2027)  
PTR No. 10765527 January 3, 2026  
IBP No. 557367 Issued on November 3, 2025  
MCLE Compliance No. VIII-0040638 Roll No. 27932  
Amorsolo Street, Legazpi Village  
Makati City

**SECURITIES AND EXCHANGE COMMISSION**

**SEC FORM 17-L**

**NOTIFICATION OF INABILITY TO FILE ALL OR  
ANY PORTION OF SEC FORM 17-A OR 17-Q**

**GENERAL INSTRUCTIONS**

1. This Form may be signed by an executive officer of the issuer or by any other duly authorized representative. The name and title of the person signing the form shall be typed or printed beneath the signature. If the statement is signed on behalf of the issuer by an authorized representative other than an executive officer, evidence of the representative's authority to sign on behalf of the issuer shall be filed with the Form.
2. One signed original and four conformed copies of this Form and attachments thereto must be completed and filed with the Commission and, where any class of the issuer's securities are listed on a Stock Exchange, one with that Stock Exchange, in accordance with SRC Rule 17-1. The information contained in or filed with the Form will be made a matter of the public record in the Commission's and the Exchange's files.
3. A manually signed copy of the Form and amendments thereto shall be filed with the Stock Exchange if any class of securities of the issuer is listed thereon.
4. One signed original and four conformed copies of amendments to the notifications must also be filed on SEC Form 17-L but need not restate information that has been correctly furnished. The Form shall be clearly identified as an amended notification.
5. If the deadline for filing SEC Form 17-A or 17-Q specified in paragraph 2(b)(ii) of SRC Rule 17-1 is not complied with, a fine will be imposed for each day thereafter that the Form is not filed.

SECURITIES AND EXCHANGE COMMISSION

SEC FORM 17-L

NOTIFICATION OF INABILITY TO FILE ALL OR ANY PORTION OF SEC FORM 17-A OR 17-Q

Check One:

Form 17-A [ ✓ ] Form 17-Q [ ]

Period-Ended Date of required filing December 31, 2025

Date of this report April 06, 2026

Nothing in this Form shall be construed to imply that the Commission has verified any information contained herein.

If this notification relates to a portion or portions of the filing checked above, identify the item(s) to which the notification relates: SEC FORM 17-A

1. SEC Identification Number CS200417434 2. BIR Tax Identification No. : 234-718-559-000

3. Sun Life Prosperity Dollar Abundance Fund, Inc.  
Exact name of issuer as specified in its charter

4. Bonifacio Global City, Taguig City  
Province, country or other jurisdiction of incorporation

5. Industry Classification Code:  (SEC Use Only)

6. 8F Sun Life Centre 5th Avenue cor Rizal Drive Bonifacio Global City, Taguig City 1634

.....  
Address of principal office

.....  
Postal Code

7. (02) – 8555 8888  
Issuer's telephone number, including area code

8. N. A.  
Former name, former address, and former fiscal year, if changed since last report.

9. Are any of the issuer's securities listed on a Stock Exchange?

Yes [ ] No [ X ]

If yes, disclose the name of such Stock Exchange and the class of securities listed therein:  
.....

**Part I - Representations**

If the subject report could not be filed without unreasonable effort or expense and the issuer seeks relief pursuant to SRC Rule 17-1, the following should be completed. (Check box if appropriate)

(a) The reasons described in reasonable detail in Part II of this Form could not be estimated without unreasonable effort or expense. [ ]

(b) The subject annual report on SEC Form 17-A, or portion thereof, will be filed on or before the fifteenth calendar day following the prescribed due date; or the subject quarterly report on SEC Form 17-Q, or portion thereof, will be filed on or before the fifth day following the prescribed due date. [ ✓ ]

(c) The accountant's statement or other exhibit required by paragraph 3 of SRC Rule 17-1 has been attached if applicable. [ ]

**Part II - Narrative**

State below in reasonable detail the reasons why SEC Form 17-A or SEC Form 17-Q, or portion thereof, could not be filed within the prescribed period. (Attach additional sheets if needed.)

**The Company's SEC Form 17-A for the year ended December 31, 2025 could not be completed and filed within the prescribed period. The Company has yet to complete the review of its audited financial statements and required notes disclosures. The Company undertakes to submit the report within fifteen (15) calendar days after the prescribed deadline to the Securities and Exchange Commission.**

**Part III - Other Information**

(a) Name, address and telephone number, including area code, and position/title of person to contact in regard to this notification

**Candy S. Esteban  
Treasurer, Sun Life Asset Management Company, Inc.  
Sun Life Centre 5th Avenue cor Rizal Drive Bonifacio Global City, Taguig City 1634  
8555-8888**

(b) Have all other periodic reports required under Section 17 of the Code and under Sections 26 and 141 of the Corporation Code of the Philippines during the preceding 12 months, or for such shorter period that the issuer was required to file such report(s), been filed? If the answer is no, identify the report(s).

Yes [ ✓ ] No [ ] Reports: .....

(c) Is it anticipated that any significant change in results of operations from the corresponding period for the last fiscal year will be reflected by the earnings statements to be included in the subject report or portion thereof?

Yes [ ] No [ ✓ ]

If so, attach an explanation of the anticipated change, both narratively and quantitatively, and, if appropriate, state the reasons why a reasonable estimate of the results cannot be made.

**SIGNATURE**

Pursuant to the requirements of the SRC Rule 17-1, the issuer has duly caused this report to be signed on its behalf by the undersigned hereunto duly authorized.

**Sun Life Prosperity Dollar Abundance Fund, Inc.**

Registrant's full name as contained in charter

**CANDY S. ESTEBAN**

**Treasurer, Sun Life Asset Management Company, Inc.**

Date: **April 06, 2026**