

Client Suitability Assessment (CSA) Waiver Form



IMPORTANT NOTES & INSTRUCTIONS: Please submit the accomplished **Client Suitability Assessment (CSA) Waiver Form** via email to your SLIMTC Relationship Manager or to your Certified UITF Sales Personnel (CUSP), along with the required documents. All signed documents shall be treated as original copies.

For Joint Accounts, Secondary Account Holder/s acknowledges that SLIMTC uses the Primary Account Holder's CSA Score which, together with this Waiver, is binding on all of them. All accountholders must sign below to signify that they understand and agree to this.

FOR SLIMTC USE ONLY	ACCOUNT NUMBER
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Account Name	Date Accomplished
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CLIENT ACKNOWLEDGEMENT

In reference to my duly accomplished Client Suitability Assessment Form (CSA Form) dated _____, I do not agree with the recommended investment products presented corresponding to my resulting risk profile.

By signing below, I acknowledge the following:

- a. I do not agree with or accept the investment portfolio/strategy recommendation based on the results of the suitability assessment and I want to avail of the investment product/portfolio/strategy other than that which is consistent with the results of the suitability assessment;
- b. I acknowledge that SLIMTC has advised me of the protections I may lose and conversely, of the risks that I will be exposed to with respect to the investment portfolio/strategy that I want to engage in;
- c. Knowing the risks involved, I still wish to proceed with the investment portfolio/strategy despite the result of the suitability assessment;
- d. I fully understand, are willing, and have the capacity to take the risks attendant to the investment portfolio/strategy to be availed of;
- e. I represent that I have the sufficient risk management process and tools in place to monitor and manage any risks in connection with the investment portfolio/strategy with higher risk profiles I want to engage in; and
- f. I shall hold SLIMTC, its representatives, employees, officers, directors and successor-in-interest, free and harmless from any and all, liabilities, claims, opportunity cost and causes of action of whatever kind or nature in connection with the foregoing investment portfolio/strategy

Primary Account Holder's Full Name	Date Signed
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Signature <input style="width:90%;" type="text"/>	City, Country of Signing
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Secondary Account Holder's Full Name	Date Signed
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Signature <input style="width:90%;" type="text"/>	City, Country of Signing
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Secondary Account Holder's Full Name	Date Signed
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Signature <input style="width:90%;" type="text"/>	City, Country of Signing
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Secondary Account Holder's Full Name	Date Signed
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Signature <input style="width:90%;" type="text"/>	City, Country of Signing
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Secondary Account Holder's Full Name	Date Signed
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Signature <input style="width:90%;" type="text"/>	City, Country of Signing
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RELATIONSHIP MANAGER/ CUSP ATTESTATION

Relationship Manager/ CUSP Name	RM/CUSP Code
Signature <input style="width:90%;" type="text"/>	Date Signed

FOR SLIMTC USE ONLY

Reviewed & Signature Verified By:	Approved By:
SLIMTC Personnel Name	SLIMTC Personnel Name
Signature <input style="width:90%;" type="text"/>	Signature <input style="width:90%;" type="text"/>
Date Signed	Date Signed

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 For inquiries, feedback, requests, or complaint, you may contact us through (02) 8849 9888 or email us at SLIMTC.Communications.Notice@sunlife.com Sun Life Investment Management and Trust Corporation (SLIMTC) is regulated by the Bangko Sentral ng Pilipinas (BSP). BSP Telephone Number (02) 8708 7087; Email: consumeraffairs@bsp.gov.ph; BSP Webchat: <http://www.bsp.gov.ph>