



SECURITIES AND EXCHANGE COMMISSION

Secretariat Building, PICC Complex, Roxas Boulevard, Pasay City, 1307 Metro Manila Philippines

Tel: (632) 818-0921 Fax: (632) 818-5293 Email: mis@sec.gov.ph



The following document has been received:

Receiving: ICTD ERMD 11

Receipt Date and Time: September 30, 2022 11:05:46 AM

Company Information

SEC Registration No.: CS201215846

Company Name: SUN LIFE PROSPERITY DYNAMIC FUND, INC.

Industry Classification: J67020

Company Type: Stock Corporation

Document Information

Document ID: OST1093020228790860

Document Type: General Information Sheet

Document Code: GIS

Period Covered: July 13, 2022

Submission Type: Amendment, Annual Meeting

Remarks: None

Acceptance of this document is subject to review of forms and contents

AMENDED GENERAL INFORMATION SHEET (GIS) FOR THE YEAR 2022 STOCK CORPORATION			
GENERAL INSTRUCTIONS: 1. FOR USER CORPORATION: THIS GIS SHOULD BE SUBMITTED WITHIN THIRTY (30) CALENDAR DAYS FROM THE DATE OF THE ANNUAL STOCKHOLDERS' MEETING. DO NOT LEAVE ANY ITEM BLANK. WRITE "N.A." IF THE INFORMATION REQUIRED IS NOT APPLICABLE TO THE CORPORATION OR "NONE" IF THE INFORMATION IS NON-EXISTENT. IF THE ANNUAL STOCKHOLDERS' MEETING IS HELD ON A DATE OTHER THAN THAT STATED IN THE BY-LAWS, THE GIS SHALL BE SUBMITTED WITHIN THIRTY (30) CALENDAR DAYS AFTER THE ELECTION OF THE DIRECTORS, TRUSTEES AND OFFICERS OF THE CORPORATION AT THE ANNUAL MEMBERS' MEETING. 2. IF NO MEETING IS HELD, THE CORPORATION SHALL SUBMIT THE GIS NOT LATER THAN JANUARY 30 OF THE FOLLOWING YEAR. HOWEVER, SHOULD AN ANNUAL STOCKHOLDERS' MEETING BE HELD THEREAFTER, A NEW GIS SHALL BE SUBMITTED/FILED. 3. THIS GIS SHALL BE ACCOMPLISHED IN ENGLISH AND CERTIFIED AND SWORN TO BY THE CORPORATE SECRETARY OF THE CORPORATION. 4. THE SEC SHOULD BE TIMELY APPRISED OF RELEVANT CHANGES IN THE SUBMITTED INFORMATION AS THEY ARISE. FOR CHANGES RESULTING FROM ACTIONS THAT AROSE BETWEEN THE ANNUAL MEETINGS, THE CORPORATION SHALL SUBMIT AMENDED GIS CONTAINING THE NEW INFORMATION TOGETHER WITH A COVER LETTER SIGNED THE CORPORATE SECRETARY OF THE CORPORATION. THE AMENDED GIS AND COVER LETTER SHALL BE SUBMITTED WITHIN SEVEN (7) DAYS AFTER SUCH CHANGE OCCURED OR BECAME EFFECTIVE. 5. SUBMIT FOUR (4) COPIES OF THE GIS TO THE RECEIVING SECTION AT THE SEC MAIN OFFICE, OR TO SEC SATELLITE OFFICES OR EXTENSION OFFICES. ALL COPIES SHALL UNIFORMLY BE ON A4 OR LETTER-SIZED PAPER. THE PAGES OF ALL COPIES SHALL USE ONLY ONE SIDE 6. ONLY THE GIS ACCOMPLISHED IN ACCORDANCE WITH THESE INSTRUCTIONS SHALL BE CONSIDERED AS HAVING BEEN FILED. 7. THIS GIS MAY BE USED AS EVIDENCE AGAINST THE CORPORATION AND ITS RESPONSIBLE DIRECTORS/OFFICERS FOR ANY VIOLATION OF EXISTING LAWS, RULES AND REGULATIONS			
===== PLEASE PRINT LEGIBLY =====			
CORPORATE NAME: <div style="text-align: center;">SUN LIFE PROSPERITY DYNAMIC FUND, INC.</div>			DATE REGISTERED: <div style="text-align: center;">1/16/2017</div>
BUSINESS/TRADE NAME: <div style="text-align: center;">SUN LIFE PROSPERITY DYNAMIC FUND, INC.</div>			FISCAL YEAR END: <div style="text-align: center;">December 31</div>
SEC REGISTRATION NUMBER: <div style="text-align: center;">CS201215846</div>			
DATE OF ANNUAL MEETING PER BY-LAWS: <div style="text-align: center;">EVERY FOURTH WEDNESDAY OF JUNE</div>			CORPORATE TAX IDENTIFICATION NUMBER (TIN): <div style="text-align: center;">008-357-526-000</div>
ACTUAL DATE OF ANNUAL MEETING: <div style="text-align: center;">13 July 2022</div>			WEBSITE/URL ADDRESS: <div style="text-align: center;">www.sunlife.com.ph</div>
COMPLETE PRINCIPAL OFFICE ADDRESS: <div style="text-align: center;">SUN LIFE CENTRE, 5TH AVENUE CORNER RIZAL DRIVE, BONIFACIO GLOBAL CITY, 1634 TAGUIG CITY</div>			E-MAIL ADDRESS: <div style="text-align: center;">AnnaKatrina Kabigting-Ibero@sunlife.com</div>
COMPLETE BUSINESS ADDRESS: <div style="text-align: center;">SUN LIFE CENTRE, 5TH AVENUE CORNER RIZAL DRIVE, BONIFACIO GLOBAL CITY, 1634 TAGUIG CITY</div>			FAX NUMBER: <div style="text-align: center;">(632) 8849 9727</div>
OFFICIAL E-MAIL ADDRESS <div style="text-align: center;">sunlife_sec_communications@sunlife.com</div>	ALTERNATE E-MAIL ADDRESS <div style="text-align: center;">sunlife_sec_communications2@sunlife.com</div>	OFFICIAL MOBILE NUMBER <div style="text-align: center;">0999 - 9917178</div>	ALTERNATE MOBILE NUMBER <div style="text-align: center;">0917-8024021</div>
NAME OF EXTERNAL AUDITOR & ITS SIGNING PARTNER: <div style="text-align: center;">NAVARRO AMPER & CO. / DELOITTE TOUCHE TOHMATSU - JOEFFREY MARK FERRER</div>		SEC ACCREDITATION NUMBER (if applicable): <div style="text-align: center;">SEC A.N. 0004-SEC</div>	TELEPHONE NUMBER(S): <div style="text-align: center;">(632) 8555 8888</div>
PRIMARY PURPOSE/ACTIVITY/INDUSTRY PRESENTLY ENGAGED IN: <div style="text-align: center;">INVESTMENT COMPANY</div>		INDUSTRY CLASSIFICATION: <div style="text-align: center;">N.A.</div>	GEOGRAPHICAL CODE: <div style="text-align: center;">N.A.</div>
===== INTERCOMPANY AFFILIATIONS =====			
PARENT COMPANY <div style="text-align: center;">SUN LIFE OF CANADA (PHILIPPINES), INC.</div>	SEC REGISTRATION NO. <div style="text-align: center;">A199911619</div>	ADDRESS <div style="text-align: center;">Z/F SUN LIFE CENTRE 5TH AVE. COR. RIZAL AVE. BONIFACIO GLOBAL CITY, TAGUIG CITY</div>	
SUBSIDIARY/AFFILIATE <div style="text-align: center;">SUN LIFE ASSET MANAGEMENT COMPANY, INC.</div>	SEC REGISTRATION NO. <div style="text-align: center;">A199918034</div>	ADDRESS <div style="text-align: center;">SUN LIFE CENTRE, 5TH AVE. COR. RIZAL DRIVE, BONIFACIO GLOBAL CITY, TAGUIG CITY</div>	
<div style="text-align: center;">SUN LIFE FINANCIAL PLANS, INC.</div>	<div style="text-align: center;">A200014495</div>	<div style="text-align: center;">SUN LIFE CENTRE, 5TH AVE. COR. RIZAL DRIVE, BONIFACIO GLOBAL CITY, TAGUIG CITY</div>	
NOTE: USE ADDITIONAL SHEET IF NECESSARY			

AMENDED GENERAL INFORMATION SHEET

STOCK CORPORATION

===== PLEASE PRINT LEGIBLY =====

Corporate Name: **SUN LIFE PROSPERITY DYNAMIC FUND, INC.**

A. Is the Corporation a covered person under the Anti Money Laundering Act (AMLA), as amended? (Rep. Acts. 9160/9164/10167/10365) ☒ **Yes** ☐ **No**

Please check the appropriate box:

<p>1.</p> <p><input type="checkbox"/> a. Banks</p> <p><input type="checkbox"/> b. Offshore Banking Units</p> <p><input type="checkbox"/> c. Quasi-Banks</p> <p><input type="checkbox"/> d. Trust Entities</p> <p><input type="checkbox"/> e. Non-Stock Savings and Loan Associations</p> <p><input type="checkbox"/> f. Pawnshops</p> <p><input type="checkbox"/> g. Foreign Exchange Dealers</p> <p><input type="checkbox"/> h. Money Changers</p> <p><input type="checkbox"/> i. Remittance Agents</p> <p><input type="checkbox"/> j. Electronic Money Issuers</p> <p><input type="checkbox"/> k. Financial Institutions which Under Special Laws are subject to Bangko Sentral ng Pilipinas' (BSP) supervision and/or regulation, including their subsidiaries and affiliates.</p>	<p>4. <input type="checkbox"/> Jewelry dealers in precious metals, who, as a business, trade in precious metals</p>
<p>2.</p> <p><input type="checkbox"/> a. Insurance Companies</p> <p><input type="checkbox"/> b. Insurance Agents</p> <p><input type="checkbox"/> c. Insurance Brokers</p> <p><input type="checkbox"/> d. Professional Reinsurers</p> <p><input type="checkbox"/> e. Reinsurance Brokers</p> <p><input type="checkbox"/> f. Holding Companies</p> <p><input type="checkbox"/> g. Holding Company Systems</p> <p><input type="checkbox"/> h. Pre-need Companies</p> <p><input type="checkbox"/> i. Mutual Benefit Association</p> <p><input type="checkbox"/> j. All Other Persons and entities supervised and/or regulated by the Insurance Commission (IC)</p>	<p>5. <input type="checkbox"/> Jewelry dealers in precious stones, who, as a business, trade in precious stone</p>
<p>3.</p> <p><input type="checkbox"/> a. Securities Dealers</p> <p><input type="checkbox"/> b. Securities Brokers</p> <p><input type="checkbox"/> c. Securities Salesman</p> <p><input type="checkbox"/> d. Investment Houses</p> <p><input type="checkbox"/> e. Investment Agents and Consultants</p> <p><input type="checkbox"/> f. Trading Advisors</p> <p><input type="checkbox"/> g. Other entities managing Securities or rendering similar services</p> <p><input checked="" type="checkbox"/> h. Mutual Funds or Open-end Investment Companies</p> <p><input type="checkbox"/> i. Close-end Investment Companies</p> <p><input type="checkbox"/> j. Common Trust Funds or Issuers and other similar entities</p> <p><input type="checkbox"/> k. Transfer Companies and other similar entities</p> <p><input type="checkbox"/> l. Other entities administering or otherwise dealing in currency, commodities or financial derivatives based there on</p> <p><input type="checkbox"/> m. Entities administering or otherwise dealing in valuable objects</p> <p><input type="checkbox"/> n. Entities administering or otherwise dealing in cash Substitutes and other similar monetary instruments or property supervised and/or regulated by the Securities and Exchange Commission (SEC)</p>	<p>6. Company service providers which, as a business, provide any of the following services to third parties:</p> <p><input type="checkbox"/> a. acting as a formation agent of juridical persons</p> <p><input type="checkbox"/> b. acting as (or arranging for another person to act as) a director or corporate secretary of a company, a partner of a partnership, or a similar position in relation to other juridical persons</p> <p><input type="checkbox"/> c. providing a registered office, business address or accommodation, correspondence or administrative address for a company, a partnership or any other legal person or arrangement</p> <p><input type="checkbox"/> d. acting as (or arranging for another person to act as) a nominee shareholder for another person</p>
<p>B. Has the Corporation complied with the requirements on Customer Due Diligence (CDD) or Know Your Customer (KYC), record-keeping, and submission of reports under the AMLA, as amended, since the last filing of its GIS?</p>	<p><input checked="" type="radio"/> Yes <input type="radio"/> No</p>
<p>7. Persons who provide any of the following services:</p> <p><input type="checkbox"/> a. managing of client money, securities or other assets</p> <p><input type="checkbox"/> b. management of bank, savings or securities accounts</p> <p><input type="checkbox"/> c. organization of contributions for the creation, operation or management of companies</p> <p><input type="checkbox"/> d. creation, operation or management of juridical persons or arrangements, and buying and selling business entities</p>	<p>8. <input type="checkbox"/> None of the above</p> <p>Describe nature of business: Investment Company</p>

AMENDED GENERAL INFORMATION SHEET

STOCK CORPORATION

===== PLEASE PRINT LEGIBLY =====

CORPORATE NAME:		SUN LIFE PROSPERITY DYNAMIC FUND, INC.					
CAPITAL STRUCTURE							
AUTHORIZED CAPITAL STOCK							
	TYPE OF SHARES *	NUMBER OF SHARES	PAR/STATED VALUE	AMOUNT (PhP) (No. of shares X Par/Stated Value)			
	Common	5,200,000.000	0.01	52,000,000.00			
TOTAL		5,200,000.000	TOTAL P	52,000,000.00			
SUBSCRIBED CAPITAL							
FILIPINO	NO. OF STOCK-HOLDERS	TYPE OF SHARES *	NUMBER OF SHARES	NUMBER OF SHARES IN THE HANDS OF THE PUBLIC **	PAR/STATED VALUE	AMOUNT (PhP)	% OF OWNERSHIP
	1,903	common	877,076.404	877,076.404	0.01	8,770,764.04	65.38%
TOTAL		877,076.404	TOTAL	TOTAL P	8,770,764.04		65.38%
FOREIGN (INDICATE BY NATIONALITY)	NO. OF STOCK-HOLDERS	TYPE OF SHARES *	NUMBER OF SHARES	NUMBER OF SHARES IN THE HANDS OF THE PUBLIC **	PAR/STATED VALUE	AMOUNT (PhP)	% OF OWNERSHIP
Dutch	1	common	451,789.223	451,789.223	0.01	4,517,892.23	33.68%
Chinese	27	common	10,209.808	10,209.808	0.01	102,098.08	0.76%
British	1	common	1,069.290	1,069.290	0.01	10,692.90	0.08%
Singaporean	4	common	564.920	564.920	0.01	5,649.20	0.04%
Malaysian	1	common	268.528	268.528	0.01	2,685.28	0.02%
Norwegian	2	common	241.054	241.054	0.01	2,410.54	0.02%
American	3	common	218.102	218.102	0.01	2,181.02	0.02%
Canadian	2	common	107.532	107.532	0.01	1,075.32	0.01%
Japanese	1	common	53.781	53.781	0.01	537.81	0.00%
Percentage of Foreign Equity :		TOTAL	464,522.238	TOTAL	TOTAL P	4,645,222.38	34.62%
TOTAL SUBSCRIBED					P	13,415,986.42	
PAID-UP CAPITAL							
FILIPINO	NO. OF STOCK-HOLDERS	TYPE OF SHARES *	NUMBER OF SHARES	PAR/STATED VALUE	AMOUNT (PhP)		% OF OWNERSHIP
	1,903	common	877,076.404	0.01	8,770,764.04		65.38%
TOTAL		877,076.404	TOTAL	P	8,770,764.04		65.38%
FOREIGN (INDICATE BY NATIONALITY)	NO. OF STOCK-HOLDERS	TYPE OF SHARES *	NUMBER OF SHARES	PAR/STATED VALUE	AMOUNT (PhP)		% OF OWNERSHIP
Dutch	1	common	451,789.223	0.01	4,517,892.23		33.68%
Chinese	27	common	10,209.808	0.01	102,098.08		0.76%
British	1	common	1,069.290	0.01	10,692.90		0.08%
Singaporean	4	common	564.920	0.01	5,649.20		0.04%
Malaysian	1	common	268.528	0.01	2,685.28		0.02%
Norwegian	2	common	241.054	0.01	2,410.54		0.02%
American	3	common	218.102	0.01	2,181.02		0.02%
Canadian	2	common	107.532	0.01	1,075.32		0.01%
Japanese	1	common	53.781	0.01	537.81		0.00%
34.62 %		TOTAL	464,522.238	TOTAL	P	4,645,222.38	34.62%
TOTAL PAID-UP				P	13,415,986.42		

NOTE: USE ADDITIONAL SHEET IF NECESSARY

* Common, Preferred or other classification

** Other than Directors, Officers, Shareholders owning 10% of outstanding shares.

AMENDED GENERAL INFORMATION SHEET

STOCK CORPORATION

===== PLEASE PRINT LEGIBLY =====

CORPORATE NAME: SUN LIFE PROSPERITY DYNAMIC FUND, INC.								
DIRECTORS / OFFICERS								
NAME/CURRENT RESIDENTIAL ADDRESS	NATIONALITY	INC'R	BOARD	GENDER	STOCK HOLDER	OFFICER	EXEC. COMM.	TAX IDENTIFICATION NUMBER
1. BENEDICTO C. SISON [REDACTED]	[REDACTED]	N	C	M	Y	Chairman	CG/M	[REDACTED]
2. VALERIE N. PAMA [REDACTED]	[REDACTED]	Y	M	F	Y	President	N.A.	[REDACTED]
3. CESAR LUIS F. BATE [REDACTED]	[REDACTED]	N	I	M	Y	N	A&C/M	[REDACTED]
4. ALELI ANGELA G. QUIRINO [REDACTED]	[REDACTED]	Y	I	F	Y	N	A&C/M and CG/C	[REDACTED]
5. OSCAR S. REYES [REDACTED]	[REDACTED]	Y	I	M	Y	N	A&C/C and CG/M	[REDACTED]
6. MA. JEMILYN S. CAMANIA [REDACTED]	[REDACTED]	N	N	F	N	Compliance Officer, Data Protection Officer & Money Laundering Reporting Officer	N.A.	[REDACTED]
7. JEANEMAR S. TALAMAN [REDACTED]	[REDACTED]	N	N	F	N	Treasurer	N.A.	[REDACTED]
8. ANNA KATRINA C. KABIGTING-IBERO [REDACTED]	[REDACTED]	N	N	F	N	Corporate Secretary	N.A.	[REDACTED]
9. FRANCES IANNA S. CANTO [REDACTED]	[REDACTED]	N	N	F	N	Asst. Corporate Secretary	N.A.	[REDACTED]
10. nothing follows								
11.								
12.								
13.								
14.								
15.								

INSTRUCTION:

FOR SEX COLUMN, PUT "F" FOR FEMALE, "M" FOR MALE.

FOR BOARD COLUMN, PUT "C" FOR CHAIRMAN, "M" FOR MEMBER, "I" FOR INDEPENDENT DIRECTOR.

FOR INC'R COLUMN, PUT "Y" IF AN INCORPORATOR, "N" IF NOT.

FOR STOCKHOLDER COLUMN, PUT "Y" IF A STOCKHOLDER, "N" IF NOT.

FOR OFFICER COLUMN, INDICATE PARTICULAR POSITION IF AN OFFICER, FROM VP UP INCLUDING THE POSITION OF THE TREASURER, SECRETARY, COMPLIANCE OFFICER AND/OR ASSOCIATED PERSON.

FOR EXECUTIVE COMMITTEE, INDICATE "C" IF MEMBER OF THE COMPENSATION COMMITTEE; "A" FOR AUDIT COMMITTEE; "N" FOR NOMINATION AND DISCIPLINE COMMITTEE. ADDITIONALLY WRITE "C" AFTER SLASH IF CHAIRMAN AND "M" IF MEMBER.

AMENDED GENERAL INFORMATION SHEET
STOCK CORPORATION

===== PLEASE PRINT LEGIBLY =====						
CORPORATE NAME:		SUN LIFE PROSPERITY DYNAMIC FUND, INC.				
TOTAL NUMBER OF STOCKHOLDERS:		1,945		NO. OF STOCKHOLDERS WITH 100 OR MORE SHARES EACH:		1,939
TOTAL ASSETS BASED ON LATEST AUDITED FINANCIAL STATEMENTS:				PHP 1,351,305,925		
STOCKHOLDER'S INFORMATION						
NAME, NATIONALITY AND CURRENT RESIDENTIAL ADDRESS	SHARES SUBSCRIBED				AMOUNT PAID (PhP)	TAX IDENTIFICATION NUMBER
	TYPE	NUMBER	AMOUNT (PhP)	% OF OWNERSHIP		
1 BENEDICTO C. SISON [REDACTED]	common	1	0.01	0.00%	0.01	[REDACTED]
TOTAL		1	0.01			
2. VALERIE N. PAMA [REDACTED]	common	1	0.01	0.00%	0.01	[REDACTED]
TOTAL		1	0.01			
3. CESAR LUIS F. BATE [REDACTED]	common	1	0.01	0.00%	0.01	[REDACTED]
TOTAL		1	0.01			
4. ALELI ANGELA G. QUIRINO [REDACTED]	common	1	0.01	0.00%	0.01	[REDACTED]
TOTAL		1	0.01			
5. OSCAR S. REYES [REDACTED]	common	1	0.01	0.00%	0.01	[REDACTED]
TOTAL		1	0.01			
6. SUN LIFE OF CANADA (PHILIPPINES), INC. Dutch 2/F Sun Life Centre 5th Avenue cor. Rizal Drive, Bonifacio Global City, Taguig City	common	451,789,223	4,517,892.23	33.68%	4,517,892.23	204-962-522
TOTAL		451,789,223	4,517,892.23			
7. OTHERS *List of Top 20 shareholders submitted separately (confidential) to the SEC-CGFD on 11 August 2022		889,809,414	8,898,094.14	66.32%	8,898,094.14	
TOTAL		889,809,414	8,898,094.14			
TOTAL AMOUNT OF SUBSCRIBED CAPITAL			13,415,986.42	13,415,986.42		
TOTAL AMOUNT OF PAID-UP CAPITAL						

INSTRUCTION: SPECIFY THE TOP 20 STOCKHOLDERS AND INDICATE THE REST AS OTHERS

Note: For PDTC Nominee included in the list, please indicate further the beneficial owners owning more than 5% of any class of the company's voting securities. Attach separate sheet, if necessary.

AMENDED GENERAL INFORMATION SHEET
STOCK CORPORATION

===== PLEASE PRINT LEGIBLY =====						
CORPORATE NAME:		SUN LIFE PROSPERITY DYNAMIC FUND, INC.				
TOTAL NUMBER OF STOCKHOLDERS:				NO. OF STOCKHOLDERS WITH 100 OR MORE SHARES EACH:		
TOTAL ASSETS BASED ON LATEST AUDITED FINANCIAL STATEMENTS:						
STOCKHOLDER'S INFORMATION						
NAME, NATIONALITY AND CURRENT RESIDENTIAL ADDRESS	SHARES SUBSCRIBED				AMOUNT PAID (PhP)	TAX IDENTIFICATION NUMBER
	TYPE	NUMBER	AMOUNT (PhP)	% OF OWNER- SHIP		
8.						
9.						
10.						
11.						
12.						
13.						
14.						
TOTAL AMOUNT OF SUBSCRIBED CAPITAL						
TOTAL AMOUNT OF PAID-UP CAPITAL						

INSTRUCTION: SPECIFY THE TOP 20 STOCKHOLDERS AND INDICATE THE REST AS OTHERS
<i>Note: For PDTC Nominee included in the list, please indicate further the beneficial owners owning more than 5% of any class of the company's voting securities. Attach separate sheet, if necessary.</i>

AMENDED GENERAL INFORMATION SHEET
STOCK CORPORATION

===== PLEASE PRINT LEGIBLY =====						
CORPORATE NAME:		SUN LIFE PROSPERITY DYNAMIC FUND, INC.				
TOTAL NUMBER OF STOCKHOLDERS:				NO. OF STOCKHOLDERS WITH 100 OR MORE SHARES EACH:		
TOTAL ASSETS BASED ON LATEST AUDITED FINANCIAL STATEMENTS:						
STOCKHOLDER'S INFORMATION						
NAME, NATIONALITY AND CURRENT RESIDENTIAL ADDRESS	SHARES SUBSCRIBED				AMOUNT PAID (PhP)	TAX IDENTIFICATION NUMBER
	TYPE	NUMBER	AMOUNT (PhP)	% OF OWNER- SHIP		
15.						
16.						
17.						
18.						
19.						
20.						
21.						
TOTAL AMOUNT OF SUBSCRIBED CAPITAL						
TOTAL AMOUNT OF PAID-UP CAPITAL						

INSTRUCTION: SPECIFY THE TOP 20 STOCKHOLDERS AND INDICATE THE REST AS OTHERS
<i>Note: For PDTC Nominee included in the list, please indicate further the beneficial owners owning more than 5% of any class of the company's voting securities. Attach separate sheet, if necessary.</i>

AMENDED GENERAL INFORMATION SHEET

STOCK CORPORATION

===== PLEASE PRINT LEGIBLY =====			
CORPORATE NAME: SUN LIFE PROSPERITY DYNAMIC FUND, INC.			
1. INVESTMENT OF CORPORATE FUNDS IN ANOTHER CORPORATION	AMOUNT (PhP)	DATE OF BOARD RESOLUTION	
1.1 STOCKS	839,889,625.71	N.A.	
1.2 BONDS/COMMERCIAL PAPER (Issued by Private Corporations)	104,963,259.50	N.A.	
1.3 LOANS/ CREDITS/ ADVANCES	N.A.	N.A.	
1.4 GOVERNMENT TREASURY BILLS	N.A.	N.A.	
1.5 OTHERS	75,000,000.00	N.A.	
2. INVESTMENT OF CORPORATE FUNDS IN ACTIVITIES UNDER ITS SECONDARY PURPOSES (PLEASE SPECIFY:)	DATE OF BOARD RESOLUTION	DATE OF STOCKHOLDERS RATIFICATION	
N.A.	N.A.	N.A.	
3. TREASURY SHARES	NO. OF SHARES	% AS TO THE TOTAL NO. OF SHARES ISSUED	
	2,099,660,329	61.01%	
4. UNRESTRICTED/UNAPPROPRIATED RETAINED EARNINGS AS OF END OF LAST FISCAL YEAR: PHP 59,621,034			
5. DIVIDENDS DECLARED DURING THE IMMEDIATELY PRECEDING YEAR: 0			
TYPE OF DIVIDEND	AMOUNT (PhP)	DATE DECLARED	
5.1 CASH	N.A.	N.A.	
5.2 STOCK	N.A.	N.A.	
5.3 PROPERTY	N.A.	N.A.	
TOTAL	P		
6. ADDITIONAL SHARES ISSUED DURING THE PERIOD: N.A.			
DATE	NO. OF SHARES	AMOUNT	
SECONDARY LICENSE/REGISTRATION WITH SEC AND OTHER GOV'T AGENCY:			
NAME OF AGENCY:	SEC	B S P	I C
TYPE OF LICENSE/REGN.	Certificate of Incorporation/Registration	N.A.	N.A.
DATE ISSUED:	31-Aug-12	N.A.	N.A.
DATE STARTED OPERATIONS:	04 October 2013	N.A.	N.A.
TOTAL ANNUAL COMPENSATION OF DIRECTORS DURING THE PRECEDING FISCAL YEAR (in PhP)	TOTAL NO. OF OFFICERS	TOTAL NO. OF RANK & FILE EMPLOYEES	TOTAL MANPOWER COMPLEMENT
PHP 504,262	6	0	0

NOTE: USE ADDITIONAL SHEET IF NECESSARY

I, ANNA KATRINA C. KABIGTING-IBERO, Corporate Secretary of SUN LIFE PROSPERITY DYNAMIC FUND, INC. declare under penalty of perjury that all matters set forth in this GIS have been made in good faith, duly verified by me and to the best of my knowledge and belief are true and correct.

I hereby attest that all the information in this GIS are being submitted in compliance with the rules and regulations of the Securities and Exchange Commission (SEC) the collection, processing, storage and sharing of said information being necessary to carry out the functions of public authority for the performance of the constitutionally and statutorily mandated functions of the SEC as a regulatory agency.

I further attest that I have been authorized by the Board of Directors/Trustees to file this GIS with the SEC.


I understand that the Commission may place the corporation under delinquent status for failure to submit the reportorial requirements three (3) times, consecutively or intermittently, within a period of five (5) years (*Section 177, RA No. 11232*).

Done this date and place indicated below.


ANNA KATRINA C. KABIGTING-IBERO
(Signature over printed name)


PASAY CITY

29 SEP 2022

SUBSCRIBED AND SWORN TO before me in _____ on _____ by affiant who personally appeared before me and exhibited to me her Driver's License with no.  valid until 01 September 2032.

NOTARY PUBLIC

Doc. No. 79
Page No. 16
Book No. 11
Series of 2022.


ATTY. JAMES R. MILAN
Notary Public
Appointment No. M-66 / Until 12-31-2022
Roll No. 45798 / IBP Lifetime No. 04897 / 7-3-03
PTR O.R. No. 9571012 / 1-4-22 / Pasay City
MCLE No. VI-0016565 / 01-14-19