



SECURITIES AND EXCHANGE COMMISSION

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The following document has been received:

Receiving: Aldrin Pagal

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Company Information

SEC Registration No.: A200014495

Company Name: SUN LIFE FINANCIAL PLANS, INC.

Industry Classification: J68140

Company Type: Stock Corporation

Document Information

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Period Covered: March 02, 2022

Submission Type: Annual Meeting

Remarks: None

GENERAL INFORMATION SHEET (GIS)

FOR THE YEAR **2022**

STOCK CORPORATION

GENERAL INSTRUCTIONS:

- FOR USER CORPORATION: THIS GIS SHOULD BE SUBMITTED WITHIN THIRTY (30) CALENDAR DAYS FROM THE DATE OF THE ANNUAL STOCKHOLDERS MEETING. DO NOT LEAVE ANY ITEM BLANK. WRITE "N.A." IF THE INFORMATION REQUIRED IS NOT APPLICABLE TO THE CORPORATION OR "NONE" IF THE INFORMATION IS NON-EXISTENT. IF THE ANNUAL STOCKHOLDERS MEETING IS HELD ON A DATE OTHER THAN THAT STATED IN THE BY-LAWS, THE GIS SHALL BE SUBMITTED WITHIN THIRTY (30) CALENDAR DAYS AFTER THE ELECTION OF THE DIRECTORS, TRUSTEES AND OFFICERS OF THE CORPORATION AT THE ANNUAL MEMBERS MEETING.
- IF NO MEETING IS HELD, THE CORPORATION SHALL SUBMIT THE GIS NOT LATER THAN JANUARY 30 OF THE FOLLOWING YEAR. HOWEVER, SHOULD AN ANNUAL STOCKHOLDERS MEETING BE HELD THEREAFTER, A NEW GIS SHALL BE SUBMITTED/FILED.
- THIS GIS SHALL BE ACCOMPLISHED IN ENGLISH AND CERTIFIED AND SWORN TO BY THE CORPORATE SECRETARY OF THE CORPORATION.
- THE SEC SHOULD BE TIMELY APPRISED OF RELEVANT CHANGES IN THE SUBMITTED INFORMATION AS THEY ARISE. FOR CHANGES RESULTING FROM ACTIONS THAT AROSE BETWEEN THE ANNUAL MEETINGS, THE CORPORATION SHALL SUBMIT AMENDED GIS CONTAINING THE NEW INFORMATION TOGETHER WITH A COVER LETTER SIGNED THE CORPORATE SECRETARY OF THE CORPORATION. THE AMENDED GIS AND COVER LETTER SHALL BE SUBMITTED WITHIN SEVEN (7) DAYS AFTER SUCH CHANGE OCCURRED OR BECAME EFFECTIVE.
- SUBMIT FOUR (4) COPIES OF THE GIS TO THE RECEIVING SECTION AT THE SEC MAIN OFFICE, OR TO SEC SATELLITE OFFICES OR EXTENSION OFFICES. ALL COPIES SHALL UNIFORMLY BE ON A4 OR LETTER-SIZED PAPER. THE PAGES OF ALL COPIES SHALL USE ONLY ONE SIDE.
- ONLY THE GIS ACCOMPLISHED IN ACCORDANCE WITH THESE INSTRUCTIONS SHALL BE CONSIDERED AS HAVING BEEN FILED.
- THIS GIS MAY BE USED AS EVIDENCE AGAINST THE CORPORATION AND ITS RESPONSIBLE DIRECTORS/OFFICERS FOR ANY VIOLATION OF EXISTING LAWS, RULES AND REGULATIONS

***** PLEASE PRINT LEGIBLY *****

CORPORATE NAME: SUN LIFE FINANCIAL PLANS, INC.		DATE REGISTERED: 9/18/2000	
BUSINESS/TRADE NAME: SUN LIFE FINANCIAL PLANS, INC.		FISCAL YEAR END: December 31	
SEC REGISTRATION NUMBER: A200014495		CORPORATE TAX IDENTIFICATION NUMBER (TIN): 208-531-639-000	
DATE OF ANNUAL MEETING PER BY-LAWS: LAST FRIDAY OF MAY		WEBSITE/URL ADDRESS: www.sunlife.com.ph	
ACTUAL DATE OF ANNUAL MEETING: 02 March 2022		E-MAIL ADDRESS: AnnaKabrina.Kabogling-ibero@sunlife.com	
COMPLETE PRINCIPAL OFFICE ADDRESS: SUN LIFE CENTRE, 5TH AVENUE CORNER RIZAL DRIVE BONIFACIO GLOBAL CITY, TAGUIG CITY		FAX NUMBER: 8849-9727	
OFFICIAL E-MAIL ADDRESS	ALTERNATE E-MAIL ADDRESS	OFFICIAL MOBILE NUMBER	ALTERNATE MOBILE NUMBER
sunlife_sec_communications@sunlife.com	sunlife_sec_communications2@sunlife.com	0999 - 9917178	0917 - 8024021
NAME OF EXTERNAL AUDITOR & ITS SIGNING PARTNER: NAVARRO AMPER & CO./DELOITTE TOUCHE TOHMATSU - BONIFACIO F. LUMACANG JR.		SEC ACCREDITATION NUMBER (if applicable): SEC A. N1793-A	TELEPHONE NUMBER(S): 8581-9000
PRIMARY PURPOSE/ACTIVITY/INDUSTRY PRESENTLY ENGAGED IN: SELLING PRE-NEED PLANS		INDUSTRY CLASSIFICATION: PRE-NEED	GEOGRAPHICAL CODE: N.A.
***** INTERCOMPANY AFFILIATIONS *****			
PARENT COMPANY	SEC REGISTRATION NO.	ADDRESS	
SUN LIFE OF CANADA (PHILIPPINES), INC.	A199911619	2/F SUN LIFE CENTRE 5TH AVE. COR. RIZAL DRIVE, BONIFACIO GLOBAL CITY, TAGUIG CITY	
SUBSIDIARY/AFFILIATE	SEC REGISTRATION NO.	ADDRESS	
SUN LIFE ASSET MANAGEMENT COMPANY, INC.	A199918034	SUN LIFE CENTRE, 5TH AVE. COR. RIZAL DRIVE, BONIFACIO GLOBAL CITY, TAGUIG CITY	
SUN LIFE PROSPERITY DYNAMIC FUND, INC.	CS201215846	SUN LIFE CENTRE, 5TH AVE. COR. RIZAL DRIVE, BONIFACIO GLOBAL CITY, TAGUIG CITY	
NOTE: USE ADDITIONAL SHEET IF NECESSARY			

GENERAL INFORMATION SHEET

STOCK CORPORATION

PLEASE PRINT LEGIBLY

Corporate Name: **SUN LIFE FINANCIAL PLANS, INC.**

A. Is the Corporation a covered person under the Anti Money Laundering Act (AMLA), as amended? (Rep. Acts. 9160/9164/10167/10365) Yes No

Please check the appropriate box:

<p>1.</p> <p><input type="checkbox"/> a. Banks</p> <p><input type="checkbox"/> b. Offshore Banking Units</p> <p><input type="checkbox"/> c. Quasi-Banks</p> <p><input type="checkbox"/> d. Trust Entities</p> <p><input type="checkbox"/> e. Non-Stock Savings and Loan Associations</p> <p><input type="checkbox"/> f. Pawnshops</p> <p><input type="checkbox"/> g. Foreign Exchange Dealers</p> <p><input type="checkbox"/> h. Money Changers</p> <p><input type="checkbox"/> i. Remittance Agents</p> <p><input type="checkbox"/> j. Electronic Money Issuers</p> <p><input type="checkbox"/> k. Financial Institutions which Under Special Laws are subject to Bangko Sentral ng Pilipinas' (BSP) supervision and/or regulation, including their subsidiaries and affiliates.</p>	<p>4. <input type="checkbox"/> Jewelry dealers in precious metals, who, as a business, trade in precious metals</p>
<p>2.</p> <p><input type="checkbox"/> a. Insurance Companies</p> <p><input type="checkbox"/> b. Insurance Agents</p> <p><input type="checkbox"/> c. Insurance Brokers</p> <p><input type="checkbox"/> d. Professional Reinsurers</p> <p><input type="checkbox"/> e. Reinsurance Brokers</p> <p><input type="checkbox"/> f. Holding Companies</p> <p><input type="checkbox"/> g. Holding Company Systems</p> <p><input checked="" type="checkbox"/> h. Pre-need Companies</p> <p><input type="checkbox"/> i. Mutual Benefit Association</p> <p><input type="checkbox"/> j. All Other Persons and entities supervised and/or regulated by the Insurance Commission (IC)</p>	<p>5. <input type="checkbox"/> Jewelry dealers in precious stones, who, as a business, trade in precious stone</p>
<p>3.</p> <p><input type="checkbox"/> a. Securities Dealers</p> <p><input type="checkbox"/> b. Securities Brokers</p> <p><input type="checkbox"/> c. Securities Salesman</p> <p><input type="checkbox"/> d. Investment Houses</p> <p><input type="checkbox"/> e. Investment Agents and Consultants</p> <p><input type="checkbox"/> f. Trading Advisors</p> <p><input type="checkbox"/> g. Other entities managing Securities or rendering similar services</p> <p><input type="checkbox"/> h. Mutual Funds or Open-end Investment Companies</p> <p><input type="checkbox"/> i. Close-end Investment Companies</p> <p><input type="checkbox"/> j. Common Trust Funds or Issuers and other similar entities</p> <p><input type="checkbox"/> k. Transfer Companies and other similar entities</p> <p><input type="checkbox"/> l. Other entities administering or otherwise dealing in currency, commodities or financial derivatives based there on</p> <p><input type="checkbox"/> m. Entities administering or otherwise dealing in valuable objects</p> <p><input type="checkbox"/> n. Entities administering or otherwise dealing in cash Substitutes and other similar monetary instruments or property supervised and/or regulated by the Securities and Exchange Commission (SEC)</p>	<p>6. Company service providers which, as a business, provide any of the following services to third parties:</p> <p><input type="checkbox"/> a. acting as a formation agent of juridical persons</p> <p><input type="checkbox"/> b. acting as (or arranging for another person to act as) a director or corporate secretary of a company, a partner of a partnership, or a similar position in relation to other juridical persons</p> <p><input type="checkbox"/> c. providing a registered office, business address or accommodation, correspondence or administrative address for a company, a partnership or any other legal person or arrangement</p> <p><input type="checkbox"/> d. acting as (or arranging for another person to act as) a nominee shareholder for another person</p>
	<p>7. Persons who provide any of the following services:</p> <p><input type="checkbox"/> a. managing of client money, securities or other assets</p> <p><input type="checkbox"/> b. management of bank, savings or securities accounts</p> <p><input type="checkbox"/> c. organization of contributions for the creation, operation or management of companies</p> <p><input type="checkbox"/> d. creation, operation or management of juridical persons or arrangements, and buying and selling business entities</p>
	<p>8. <input type="checkbox"/> None of the above</p>
	<p>Describe nature of business: Selling Pre-need Plans</p>

B. Has the Corporation complied with the requirements on Customer Due Diligence (CDD) or Know Your Customer (KYC), record-keeping, and submission of reports under the AMLA, as amended, since the last filing of its GIS?

Yes No

GENERAL INFORMATION SHEET

STOCK CORPORATION

===== PLEASE PRINT LEGIBLY =====

CORPORATE NAME:		SUN LIFE FINANCIAL PLANS, INC.					
CAPITAL STRUCTURE							
AUTHORIZED CAPITAL STOCK							
	TYPE OF SHARES *	NUMBER OF SHARES	PAR/STATED VALUE	AMOUNT (PhP) (No. of shares X Par/Stated Value)			
	common	3,000,000	25.00	75,000,000.00			
	preferred	2,000,000	25.00	50,000,000.00			
TOTAL		5,000,000	TOTAL P	125,000,000.00			
SUBSCRIBED CAPITAL							
FILIPINO	NO. OF STOCK-HOLDERS	TYPE OF SHARES *	NUMBER OF SHARES	NUMBER OF SHARES IN THE HANDS OF THE PUBLIC **	PAR/STATED VALUE	AMOUNT (PhP)	% OF OWNERSHIP
	4	common	4	0	25.00	100.00	0.00%
TOTAL			4	TOTAL	TOTAL P	100.00	
FOREIGN (INDICATE BY NATIONALITY)	NO. OF STOCK-HOLDERS	TYPE OF SHARES *	NUMBER OF SHARES	NUMBER OF SHARES IN THE HANDS OF THE PUBLIC **	PAR/STATED VALUE	AMOUNT (PhP)	% OF OWNERSHIP
Dutch	1	common	2,999,995	0	25.00	74,999,875.00	60.00%
		preferred	2,000,000	0	25.00	50,000,000.00	40.00%
Chinese	1	common	1	0	25.00	25.00	0.00%
Percentage of Foreign Equity :			TOTAL	4,999,996	TOTAL P	124,999,900.00	
					TOTAL SUBSCRIBED P	125,000,000.00	
PAID-UP CAPITAL							
FILIPINO	NO. OF STOCK-HOLDERS	TYPE OF SHARES *	NUMBER OF SHARES	PAR/STATED VALUE	AMOUNT (PhP)	% OF OWNERSHIP	
	4	common	4	25.00	100.00	0.00%	
TOTAL			4	TOTAL P	100.00		
FOREIGN (INDICATE BY NATIONALITY)	NO. OF STOCK-HOLDERS	TYPE OF SHARES *	NUMBER OF SHARES	PAR/STATED VALUE	AMOUNT (PhP)	% OF OWNERSHIP	
Dutch	1	common	2,999,995	25.00	74,999,875.00	60.00%	
		preferred	2,000,000	25.00	50,000,000.00	40.00%	
Chinese	1	common	1	25.00	25.00	0.00%	
100.00 %			TOTAL	4,999,996	TOTAL P	124,999,900.00	
					TOTAL PAID-UP P	125,000,000.00	

NOTE: USE ADDITIONAL SHEET IF NECESSARY

* Common, Preferred or other classification

** Other than Directors, Officers, Shareholders owning 10% of outstanding shares.

GENERAL INFORMATION SHEET

STOCK CORPORATION

PLEASE PRINT LEGIBLY

CORPORATE NAME: **SUN LIFE FINANCIAL PLANS, INC.**

DIRECTORS / OFFICERS

NAME/CURRENT RESIDENTIAL ADDRESS	NATIONALITY	INC'R	BOARD	GENDER	STOCK HOLDER	OFFICER	EXEC. COMM.	TAX IDENTIFICATION NUMBER
1. CHIA-LING CHOU aka LUCY CHOU [REDACTED]	Chinese	N	C	F	Y	Chairman	ACCG/M and RPT/M	[REDACTED]
2. BENEDICTO C. SISON [REDACTED]	Filipino and American	N	M	M	Y	N	N	[REDACTED]
3. ALEXANDER S. NARCISO [REDACTED]	Filipino	N	M	M	Y	President	N	[REDACTED]
4. FRANCISCO S.A. SANDEJAS [REDACTED]	Filipino	N	I	M	Y	N	ACCG/C and RPT/M	[REDACTED]
5. OSCAR S. REYES [REDACTED]	Filipino	N	I	M	Y	N	ACCG/M and RPT/C	[REDACTED]
6. SHERWIN S. SAMPANG [REDACTED]	Filipino	N	N	M	N	Treasurer	N	[REDACTED]
7. ANNA KATRINA C. KABIGTING-IBERO [REDACTED]	Filipino	N	N	F	N	Corporate Secretary	N	[REDACTED]
8. WINLOVE APPLE R. SANDALO-ESPERANZA [REDACTED]	Filipino	N	N	F	N	Assistant Corporate Secretary	N	[REDACTED]
9. MA. JEMILYN S. CAMANIA [REDACTED]	Filipino	N	N	F	N	Compliance Officer, Data Protection Officer and Money Laundering Reporting Officer	N	[REDACTED]
10. JOEL O. BUNGABONG [REDACTED]	Filipino	N	N	M	N	Internal Auditor	N	[REDACTED]
11. nothing follows								
12.								
13.								
14.								
15.								

INSTRUCTION:

FOR SEX COLUMN, PUT "F" FOR FEMALE, "M" FOR MALE.
 FOR BOARD COLUMN, PUT "C" FOR CHAIRMAN, "M" FOR MEMBER, "I" FOR INDEPENDENT DIRECTOR,
 FOR INC'R COLUMN, PUT "Y" IF AN INCORPORATOR, "N" IF NOT.
 FOR STOCKHOLDER COLUMN, PUT "Y" IF A STOCKHOLDER, "N" IF NOT.
 FOR OFFICER COLUMN, INDICATE PARTICULAR POSITION IF AN OFFICER, FROM VP UP INCLUDING THE POSITION OF THE TREASURER, SECRETARY, COMPLIANCE OFFICER AND/OR ASSOCIATED PERSON.
 FOR EXECUTIVE COMMITTEE, INDICATE "C" IF MEMBER OF THE COMPENSATION COMMITTEE; "A" FOR AUDIT COMMITTEE; "N" FOR NOMINATION AND ELECTION COMMITTEE. ADDITIONALLY WRITE "C" AFTER SLASH IF CHAIRMAN AND "M" IF MEMBER.

GENERAL INFORMATION SHEET

STOCK CORPORATION

===== PLEASE PRINT LEGIBLY =====

CORPORATE NAME: SUN LIFE FINANCIAL PLANS, INC.						
TOTAL NUMBER OF STOCKHOLDERS: 6			NO. OF STOCKHOLDERS WITH 100 OR MORE SHARES EACH: 1			
TOTAL ASSETS BASED ON LATEST AUDITED FINANCIAL STATEMENTS:			Php 5,461,322,026			
STOCKHOLDER'S INFORMATION						
NAME, NATIONALITY AND CURRENT RESIDENTIAL ADDRESS	SHARES SUBSCRIBED				AMOUNT PAID (PhP)	TAX IDENTIFICATION NUMBER
	TYPE	NUMBER	AMOUNT (PhP)	% OF OWNER-SHIP		
1. SUN LIFE OF CANADA (PHILIPPINES), INC. Dutch 2/F Sun Life Centre, 5th Ave. cor. Rizal Drive, Bonifacio Global City, Taguig City	Common	2,999,995	74,999,875.00	60.00%	74,999,875.00	
	Preferred	2,000,000	50,000,000.00	40.00%	50,000,000.00	
	TOTAL	4,999,995	124,999,875.00			
2. CHIA-LING CHOU aka LUCY CHOU Chinese [REDACTED]	Common	1	25.00	0.00%	25.00	
	TOTAL	1	25.00			
3. BENEDICTO C. SISON Filipino and American [REDACTED]	Common	1	25.00	0.00%	25.00	
	TOTAL	1	25.00			
4. ALEXANDER S. NARCISO Filipino [REDACTED]	Common	1	25.00	0.00%	25.00	
	TOTAL	1	25.00			
5. FRANCISCO S.A. SANDEJAS Filipino [REDACTED]	Common	1	25.00	0.00%	25.00	
	TOTAL	1	25.00			
6. OSCAR S. REYES Filipino [REDACTED]	Common	1	25.00	0.00%	25.00	
	TOTAL	1	25.00			
7. Nothing Follows						
TOTAL AMOUNT OF SUBSCRIBED CAPITAL			125,000,000.00	100.00%	125,000,000.00	
TOTAL AMOUNT OF PAID-UP CAPITAL						

INSTRUCTION: SPECIFY THE TOP 20 STOCKHOLDERS AND INDICATE THE REST AS OTHERS

Note: For PDTC Nominee included in the list, please indicate further the beneficial owners owning more than 5% of any class of the company's voting securities. Attach separate sheet, if necessary.

GENERAL INFORMATION SHEET

STOCK CORPORATION

===== PLEASE PRINT LEGIBLY =====			
CORPORATE NAME:			
SUN LIFE FINANCIAL PLANS, INC.			
1. INVESTMENT OF CORPORATE FUNDS IN ANOTHER CORPORATION	AMOUNT (PhP)	DATE OF BOARD RESOLUTION	
1.1 STOCKS	N.A.	N.A.	
1.2 BONDS/COMMERCIAL PAPER (Issued by Private Corporations)	N.A.	N.A.	
1.3 LOANS/ CREDITS/ ADVANCES	N.A.	N.A.	
1.4 GOVERNMENT TREASURY BILLS	266,793,100.00	N.A.	
1.5 OTHERS	In Mutual Funds - Ps 15,616,987 and Investment in Trust Funds-Ps 4,996,264,290	N.A.	
2. INVESTMENT OF CORPORATE FUNDS IN ACTIVITIES UNDER ITS SECONDARY PURPOSES (PLEASE SPECIFY:)	DATE OF BOARD RESOLUTION	DATE OF STOCKHOLDERS RATIFICATION	
N.A.	N.A.	N.A.	
3. TREASURY SHARES N.A.	NO. OF SHARES	% AS TO THE TOTAL NO. OF SHARES ISSUED	
	N.A.	N.A.	
4. UNRESTRICTED/UNAPPROPRIATED RETAINED EARNINGS AS OF END OF LAST FISCAL YEAR : PhP (1,079,448,453)			
5. DIVIDENDS DECLARED DURING THE IMMEDIATELY PRECEDING YEAR: N.A.			
TYPE OF DIVIDEND	AMOUNT (PhP)	DATE DECLARED	
5.1 CASH	N.A.	N.A.	
5.2 STOCK	N.A.	N.A.	
5.3 PROPERTY	N.A.	N.A.	
TOTAL	P		
6. ADDITIONAL SHARES ISSUED DURING THE PERIOD: N.A.			
DATE	NO. OF SHARES	AMOUNT	
SECONDARY LICENSE/REGISTRATION WITH SEC AND OTHER GOV'T AGENCY:			
NAME OF AGENCY:	SEC	B S P	I C
TYPE OF LICENSE/REGN.	Certificate of Incorporation	N.A.	PN 2022-02R
DATE ISSUED:	18 September 2000	N.A.	18 February 2022
DATE STARTED OPERATIONS:	01 June 2001	N.A.	N.A.
TOTAL ANNUAL COMPENSATION OF DIRECTORS DURING THE PRECEDING FISCAL YEAR (in PhP)	TOTAL NO. OF OFFICERS	TOTAL NO. OF RANK & FILE EMPLOYEES	TOTAL MANPOWER COMPLEMENT
150,000.00	7	0	0
NOTE: USE ADDITIONAL SHEET IF NECESSARY			

I, **ANNA KATRINA C. KABIGTING-IBERO** Corporate Secretary of **SUN LIFE FINANCIAL PLANS, INC.** declare under penalty of perjury that all matters set forth in this GIS have been made in good faith, duly verified by me and to the best of my knowledge and belief are true and correct.


I hereby attest that all the information in this GIS are being submitted in compliance with the rules and regulations of the Securities and Exchange Commission (SEC) the collection, processing, storage and sharing of said information being necessary to carry out the functions of public authority for the performance of the constitutionally and statutorily mandated functions of the SEC as a regulatory agency.

I further attest that I have been authorized by the Board of Directors/Trustees to file this GIS with the SEC.

I understand that the Commission may place the corporation under delinquent status for failure to submit the reportorial requirements three (3) times, consecutively or intermittently, within a period of five (5) years (Section 177, RA No. 11232).

Done the date and place written below.


ANNA KATRINA C. KABIGTING-IBERO
(Signature over printed name)

SUBSCRIBED AND SWORN TO before me in PASAY CITY on 30 MAR 2022 by affiant who personally appeared before me and exhibited to me his/her competent evidence of identity consisting of a Driver's License with no.  valid until 01 September 2022.

NOTARY PUBLIC

Doc. No. 84 :
Page No. 17 :
Book No. IV :
Series of 2022.


ATTY. JULES R. MILAN
Notary Public
Appointment No. M-66 / Until 12-31-2022
Roll No. 45790 / IBP Lifetime No. 04897 / 7-3-03
PTR O.R. No. 8531012 / 1-4-22 / Pasay City
MCLE No. VI-0016565 / 01-14-10