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Receipt Date and Time: March 15, 2022 05:57:54 PM

# **Company Information**

SEC Registration No.: CS201725847

Company Name: SUN LIFE PROSPERITY WORLD EQUITY INDEX FEEDER FUND INC.

Industry Classification: J65900 Company Type: Stock Corporation

# **Document Information**

**Document ID: OST1031520228255595** 

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Period Covered: July 14, 2021

Submission Type: Amendment, Annual Meeting

Remarks: None

#### FOR THE YEAR 2021

### STOCK CORPORATION

#### GENERAL INSTRUCTIONS:

- 1. FOR USER CORPORATION: THIS GIS SHOULD BE SUBMITTED WITHIN THIRTY (30) CALENDAR DAYS FROM THE DATE OF THE ANNUAL STOCKHOLDERS' MEETING. DO NOT LEAVE ANY ITEM BLANK. WRITE "N.A." IF THE INFORMATION REQUIRED IS NOT APPLICABLE TO THE CORPORATION OR "NONE" IF THE INFORMATION IS NON-EXISTENT. IF THE ANNUAL STOCKHOLDERS' MEETING IS HELD ON A DATE OTHER THAN THAT STATED IN THE BY-LAWS, THE GIS SHALL BE SUBMITTED WITHIN THIRTY (30) CALENDAR DAYS AFTER THE ELECTION OF THE DIRECTORS, TRUSTEES AND OFFICERS OF THE CORPORATION AT THE ANNUAL MEMBERS' MEETING.
- IF NO MEETING IS HELD, THE CORPORATION SHALL SUBMIT THE GIS NOT LATER THAN JANUARY 30 OF THE FOLLOWING YEAR. HOWEVER, SHOULD
  AN ANNUAL STOCKHOLDERS' MEETING BE HELD THEREAFTER, A NEW GIS SHALL BE SUBMITTED/FILED.
- 3. THIS GIS SHALL BE ACCOMPLISHED IN ENGLISH AND CERTIFIED AND SWORN TO BY THE CORPORATE SECRETARY OF THE CORPORATION.
- 4. THE SEC SHOULD BE TIMELY APPRISED OF RELEVANT CHANGES IN THE SUBMITTED INFORMATION AS THEY ARISE. FOR CHANGES RESULTING FROM ACTIONS THAT AROSE BETWEEN THE ANNUAL MEETINGS, THE CORPORATION SHALL SUBMIT AMENDED GIS CONTAINING THE NEW INFORMATION TOGETHER WITH A COVER LETTER SIGNED THE CORPORATE SECRETARY OF THE CORPORATION. THE AMENDED GIS AND COVER LETTER SHALL BE SUBMITTED WITHIN SEVEN (7) DAYS AFTER SUCH CHANGE OCCURED OR BECAME EFFECTIVE.
- 5. SUBMIT FOUR (4) COPIES OF THE GIS TO THE RECEIVING SECTION AT THE SEC MAIN OFFICE, OR TO SEC SATELLITE OFFICES OR EXTENSION OFFICES.
  ALL COPIES SHALL UNIFORMLY BE ON A4 OR LETTER-SIZED PAPER. THE PAGES OF ALL COPIES SHALL USE ONLY ONE SIDE
- 6. ONLY THE GIS ACCOMPLISHED IN ACCORDANCE WITH THESE INSTRUCTIONS SHALL BE CONSIDERED AS HAVING BEEN FILED.
- 7. THIS GIS MAY BE USED AS EVIDENCE AGAINST THE CORPORATION AND ITS RESPONSIBLE DIRECTORS/OFFICERS FOR ANY VIOLATION OF EXISTING LAWS, RULES AND REGULATIONS

	********	=== PLEASE PRINT LEGIBLY			II.	
CORPORATE NAME:	DATE REGISTERED:					
SUN LIFE PRO	8/4/2017					
BUSINESS/TRADE NAME:						
SUN LIFE PRO	SPERITY WORLD E	QUITY INDEX FEEDER F	UND, INC		FISCAL YEAR END:	
SEC REGISTRATION NUMBER:						
	December 31					
DATE OF ANNUAL MEETING PER BY-LAW	CORPORATE TAX IDENTIFICATION NUMBER (TIN)					
	EVERY FOURTH W	EDNESDAY OF JUNE			009-766-502	
ACTUAL DATE OF ANNUAL MEETING:					WEBSITE/URL ADDRESS:	
	14 Jul	y 2021			www.sunlife.com.ph	
COMPLETE PRINCIPAL OFFICE ADDRESS		,			E-MAIL ADDRESS:	
SUN LIFE CENTRE, 5TH AVEN	AnnaKatrina.Kabigting- lbero@sunlife.com					
COMPLETE BUSINESS ADDRESS:					FAX NUMBER:	
SUN LIFE CENTRE, 5TH AVEN	(632) 8849 9727					
OFFICIAL E-MAIL ADDRESS	ALTERNATE MOBILE NUMBER					
sunlife_sec_communications@sunlife.com	sunlife_sec_commu	0917-8024021				
NAME OF EXTERNAL AUDITOR & ITS SIG	NING PARTNER:		SEC ACCR	EDITATION NUMBER (if	TELEPHONE NUMBER(S):	
NAVARRO AMPER & CO. / DELOITTE TOU	(632) 8555 8888					
PRIMARY PURPOSE/ACTIVITY/INDUSTR	GEOGRAPHICAL CODE:					
INVESTME		N.A.		N.A.		
=======================================	====== INT	ERCOMPANY AFFILIATION	ONS ===	=======================================		
PARENT COMPANY		SEC REGISTRATION NO. AI		DDRESS		
	SUN LIFE ASSET MANAGEMENT COMPANY, INC. 199918034				COR. RIZAL DRIVE, BGC, TAGUIG CITY	
	SUBSIDIARY/AFFILIATE SEC REGISTRATIO				DDRESS	
SUN LIFE PROSPERITY PHIL. STOCK INI				SUN LIFE CENTRE 5TH AVE. C	COR. RIZAL DRIVE, BGC, TAGUIG CITY	
	SUN LIFE PROSPERITY WORLD VOYAGER FUND, INC. CS201517723		SUN LIFE CENTRE 5TH AVE. 0		COR. RIZAL DRIVE, BGC, TAGUIG CITY	
	SUN LIFE PROSPERITY DOLLAR WELLSPRING FUND, INC. CS201517778				. COR. RIZAL DRIVE, BGC, TAGUIG CITY . COR. RIZAL DRIVE, BGC, TAGUIG CITY	
				OR. RIZAL DRIVE, BGC, TAGUIG CITY		
SUN LIFE PROSPERITY ACHIEVER FUND 2048, INC. CS201739629				I SUN LIFE CENTRE STH AVE. C	UK. KICAL DRIVE, DUC, TAUDIU CITT	
SUN LIFE PROSPERITY ACHIEVER FOR SUN LIFE PROSPERITY ACHIEVER FOR	ID 2038, INC.	CS201739630 CS201739631		SUN LIFE CENTRE 5TH AVE. O	OR. RIZAL DRIVE, BGC, TAGUIG CITY OR. RIZAL DRIVE, BGC, TAGUIG CITY	

AMENDED GENERAL INFORMA							
STOCK CORPORATION  ===================================							
Corporate Name: SUN LIFE PROSPERITY WORLD EQUITY INDEX FEEDER FUND, INC.							
A. Is the Corporation a covered person under the Anti Mone (AMLA), as amended? (Rep. Acts. 9160/9164/10167/103	, 1E2 / 180						
Please check the appropriate box:							
1.							
a. Banks	Jewelry dealers in precious metals, who, as a						
b. Offshore Banking Units	business, trade in precious metals						
C. Quasi-Banks							
d. Trust Entities							
e. Non-Stock Savings and Loan Associations							
f. Pawnshops	Jewelry dealers in precious stones, who, as a						
g. Foreign Exchage Dealers h. Money Changers	business, trade in precious stones						
i. Remittance Agents	business, trade in precious stone						
j. Electronic Money Issuers							
k. Financial Institutions which Under Special Laws are subject to							
Bangko Sentral ng Pilipinas' (BSP) supervision and/or regulation,	Company service providers which, as a business,						
including their subsidiaries and affiliates.	<ol><li>provide any of the following services to third</li></ol>						
2.	parties:						
a. Insurance Companies							
b. Insurance Agents	a. acting as a formation agent of juridical persons						
c. Insurance Brokers	b. acting as (or arranging for another person to act as)						
d. Professional Reinsurers	a director or corporate secretary of a company, a partner of a partnership, or a similar position in						
e. Reinsurance Brokers	relation to other juridical persons						
f. Holding Companies	, common de la com						
g. Holding Company Systems h. Pre-need Companies	c. providing a registered office, business address or						
i. Mutual Benefit Association	accommodation, correspondence or administrative						
j. All Other Persons and entities supervised and/or regulated by the	address for a company, a partnership or any other						
Insurance Commission (IC)	legal person or arrangement						
3.	_						
a. Securities Dealers	d. acting as (or arranging for another person to act as)						
b. Securities Brokers	a nominee shareholder for another person						
c. Securities Salesman	7. Persons who provide any of the following services:						
d. Investment Houses	a. managing of client money, securities or other assets						
e. Investment Agents and Consultants  f. Trading Advisors	b. management of bank, savings or securities						
g. Other entities managing Securities or rendering similar services	accounts						
h. Mutual Funds or Open-end Investment Companies	c. organization of contributions for the creation,						
i. Close-end Investment Companies	operation or management of companies						
<ul> <li>j. Common Trust Funds or Issuers and other similar entities</li> </ul>							
k. Transfer Companies and other similar entities	d. creation, operation or management of juridical						
l. Other entities administering or otherwise dealing in currency,	persons or arrangements, and buying and selling						
commodities or financial derivatives based there on	business entities						
m. Entities administering of otherwise dealing in valuable objects	8. None of the above						
n. Entities administering or otherwise dealing in cash Substitutes and	Describe Investment Company						
other similar monetary instruments or property supervised and/or regulated by the Securities and Exchange Commission (SEC)	nature of business:						
regulated by the occurred and exchange commission (obc)							
B. Has the Corporation complied with the requirements on Custor	ner Due Diligence						
(CDD) or Know Your Customer (KYC), record-keeping, and subj							
under the AMLA, as amended, since the last filing of its GIS?							

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STOCK CORPORATION CORPORATE NAME: SUN LIFE PROSPERITY WORLD EQUITY INDEX FEEDER FUND, INC. CAPITAL STRUCTURE AUTHORIZED CAPITAL STOCK AMOUNT (PhP) NUMBER OF TYPE OF SHARES \* PAR/STATED VALUE SHARES (No. of shares X Par/Stated Value) 2,000,000.00 200,000,000 common 200,000,000 TOTAL P 2,000,000.00 TOTAL SUBSCRIBED CAPITAL NUMBER OF NO. OF % OF NUMBER OF SHARES IN THE PAR/STATED TYPE OF SHARES \* AMOUNT (PhP) STOCK-FILIPINO VALUE OWNERSHIP SHARES HANDS OF THE HOLDERS PUBLIC \*\* 0.01 0.00% common TOTAL P TOTAL 6 TOTAL 0.06 NUMBER OF FOREIGN NO. OF PAR/STATED % OF NUMBER OF SHARES IN THE (INDICATE BY STOCK-TYPE OF SHARES \* AMOUNT (PhP) OWNERSHIP HANDS OF THE VALUE SHARES NATIONALITY) HOLDERS PUBLIC \*\* 0.01 499,999,94 99.99% 49,999,994 Dutch 499,999,94 49,999,994 TOTAL TOTAL Percentage of Foreign Equity: TOTAL TOTAL SUBSCRIBED P 500,000.00 100.00% PAID-UP CAPITAL NO. OF NUMBER OF % OF TYPE OF SHARES \* PAR/STATED VALUE AMOUNT (PhP) FILIPINO STOCK-OWNERSHIP SHARES HOLDERS common TOTAL 0.06 TOTAL FOREIGN NO. OF NUMBER OF % OF STOCK-TYPE OF SHARES \* PAR/STATED VALUE AMOUNT (PhP) (INDICATE BY OWNERSHIP SHARES NATIONALITY) HOLDERS Dutch

NOTE: USE ADDITIONAL SHEET IF NECESSARY	
* Common, Preferred or other classification	
** Other than Directors, Officers, Shareholders owning 10% of outstanding shares.	

TOTAL PAID-UP P

TOTAL

49,999,994

TOTAL

100.00%

499,999.94

500,000.00

100.00%

STOCK CORPORATION

CORPORATE NAME:	SUN LIFE PRO					FEEDER F	UND, INC	
	DIF	RECTO	RS / C	FFICE				
NAME/CURRENT RESIDENTIAL ADDRESS	NATIONALITY	INC'R	BOARD	GENDER	STOCK HOLDER	OFFICER	EXEC. COMM.	TAX IDENTIFICATION NUMBER
1. BENEDICTO C. SISON	Filipino and American	Y	С	М	Y	Chairman	CG/M	
2. VALERIE N. PAMA	<u>Filipino</u>	Y	<u>M</u>	<u>F</u>	Y	President	<u>N.A.</u>	
3. OSCAR S. REYES	Filipino	N	1	М	Y	N	CG/M and A&C/M	
4. CESAR LUIS F. BATE	Filipino	N	I	М	Y	N	A&C/M	
5. ALELI ANGELA G. QUIRINO	Filipino	Y	1	F	Y	N	CG/C and A&C/C	
6. GERALD L. BAUTISTA	Filipino	N	М	М	Y	N	N.A.	
7. MA. JEMILYN S. CAMANIA	Filipino	N	N	F	N	Compliance Officer, Data Protection Officer & Money Laundering Reporting Officer	N.A.	
8. SHERWIN S. SAMPANG	Filipino	N	N	М	N	Treasurer	N.A.	
9. ANNA KATRINA C. KABIGTING-IBERO	Filipino	N	N	F	N	Corporate Secretary	N.A.	
10. FRANCES IANNA S. CANTO	Filipino	N	N .	F	N	Asst. Corporate Secretary	N.A.	
11. nothing follows 12.								
3.								
4.								
15.								

### INSTRUCTION:

FOR SEX COLUMN, PUT "F" FOR FEMALE, "M" FOR MALE.

FOR BOARD COLUMN, PUT "C" FOR CHAIRMAN, "M" FOR MEMBER, "I" FOR INDEPENDENT DIRECTOR.

FOR INC'R COLUMN, PUT "Y" IF AN INCORPORATOR, "N" IF NOT.

FOR STOCKHOLDER COLUMN, PUT "Y" IF A STOCKHOLDER, "N" IF NOT.

FOR OFFICER COLUMN, INDICATE PARTICULAR POSITION IF AN OFFICER, FROM VP UP INCLUDING THE POSITION OF THE TREASURER, SECRETARY, COMPLIANCE OFFICER AND/OR ASSOCIATED PERSON.

FOR EXECUTIVE COMMITTEE, INDICATE "C" IF MEMBER OF THE COMPENSATION COMMITTEE; "A" FOR AUDIT COMMITTEE; "N" FOR NOMINATION

AND ELECTION COMMITTEE. ADDITIONALLY WRITE "C" AFTER SLASH IF CHAIRMAN AND "M" IF MEMBER. GIS\_STOCK (v.2020)

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STOCK CORPORATION

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CORPORATE NAME:	SUN LIFE		WORLD EQUITY I			INC.	
TOTAL NUMBER OF STOCKHOLDERS:  Z  NO. OF STOCKHOLDERS WITH 100 OR MORE SHARES EACH:  1							
TOTAL ASSETS BASED ON LATEST AUDITED FINANC	IAL STATEME	NTS:	PHP 49,213,406				
	STOC	KHOLDER'S IN	FORMATION				
		SHARES S	SUBSCRIBED		AMOUNT		
NAME, NATIONALITY AND CURRENT RESIDENTIAL ADDRESS	ТҮРЕ	NUMBER	AMOUNT (PhP)	% OF OWNER- SHIP	PAID (PhP)	TAX IDENTIFICATION NUMBER	
1 SUN LIFE ASSET MANAGEMENT COMPANY, INC	common	49,999,994	499,999.94				
Dutch				99.99%	499,999.94	204-845-231	
Sun Life Centre 5th Ave. cor. Rizal Drive, Bonifacio Global City,, Taguig City	TOTAL	49,999,994	499,999,94	99.99%	477,777,74	204045-231	
2. BENEDICTO C. SISON	common	1	0.01				
Filipino and American				0.00%	0.01		
				0.00%	0.01		
	TOTAL	1	0.01				
3. CESAR LUIS F. BATE	common	· 1	0.01				
Filipino				0.00%	0.01		
	TOTAL	1	0.01				
4. OSCAR S. REYES	common	1	0.01				
Filipino	common	1	0.01				
Timpino				0.00%	0.01		
	TOTAL	1	0.01				
5. GERALD L. BAUTISTA	common	1	0.01				
Filipino				0.00%	0.01		
				0.00%	0.01		
	TOTAL	1	0.01				
6. ALELI ANGELA G. QUIRINO	common	1	0.01				
Filipino				0.00%	0.01		
	TOTAL	1	0.01				
7. VALERIE N. PAMA		1	0.01				
Filipino	common		5.01				
			*	0.00%	0.01		
	TOTAL	1	0.01				
TOTAL AMOUNT OF	SUBSCRIBE	D CAPITAL	500,000.00			500,000.00	
TOTAL AMOUNT OF PAID-UP CAPITAL						300,000.00	

INSTRUCTION: SPECIFY THE TOP 20 STOCKHOLDERS AND INDICATE THE REST AS OTHERS

Note: For PDTC Nominee included in the list, please indicate further the beneficial owners owning more than 5% of any class of the company's voting securities.

Attach separate sheet, if necessary.

STOCK CORPORATION

	=- PLEA	ASE PRINT LEGIBLY	/ =======	=======			
CORPORATE NAME: SUN LIFE PROSPERI	TY WORL	D EQUITY INDI	EX FEEDER FU	ND, INC.			
1. INVESTMENT OF CORPORATE FUNDS IN ANOTHER CORPORATION		AMOUNT (I	PhP)	DATEO	F BOARD RESOLUTION		
1.1 STOCKS	N.A.			N.A.			
1.2 BONDS/COMMERCIAL PAPER (Issued		N.A.			100 \$ 1.00		
by Private Corporations)		N.A.			N.A.		
1.3 LOANS/ CREDITS/ ADVANCES		. N.A.	9 =		N.A.		
1.4 GOVERNMENT TREASURY BILLS		N.A.			N.A.		
1.5 OTHERS		N.A.			N.A.		
2. INVESTMENT OF CORPORATE FUNDS IN ACT SECONDARY PURPOSES (PLEASE SPECIFY:)		NDER ITS	DATE OF I RESOLU		DATE OF STOCKHOLDERS RATIFICATION		
			N.A.		N.A.		
3. TREASURY SHARES N.A.			NO. OF SI	IARES	% AS TO THE TOTAL NO. OF SHARES ISSUED		
					N.A.		
4. UNRESTRICTED/UNAPPROPRIATED RETAIL	NED EARNI	INGS AS OF END	OF LAST FISCAL	YEAR: N.A.			
5. DIVIDENDS DECLARED DURING THE IMMED	DIATELY PE	RECEDING YEAR:					
TYPE OF DIVIDEND		A	MOUNT (PhP)		DATE DECLARED		
5.1 CASH			N.A.		N.A.		
5.2 STOCK			N.A.		N.A.		
5.3 PROPERTY			N.A.		N.A.		
	TOTAL	P					
6. ADDITIONAL SHARES ISSUED DURING THE		.A	121	illat			
DATE NO. O		NT					
SECONDARY LICENSE/REGISTRATION WITH ST	EC AND OT	HER GOV'T AGE	ICY:				
NAME OF AGENCY: SEC		E	SP		I C		
TYPE OF LICENSE/REGN. Open-End Investment	Open-End Investment Company		N.A.		N.A.		
DATE ISSUED: 10-Mar-20							
DATE STARTED OPERATIONS: 6-Jul-20							
TOTAL ANNUAL COMPENSATION OF DIRECTORS DURING THE PRECEDING FISCAL YEAR (in PhP)		NO. OF OFFICERS TOTAL NO. O			TOTAL MANPOWER COMPLEMENT		
314,616.00		6		0	0		

I, **ANNA KATRINA C. KABIGTING-IBERO.** Corporate Secretary of **Sun Life Prosperity World Equity Index Feeder Fund. Inc.** declare under penalty of perjury that all matters set forth in this GIS have been made in good faith, duly verified by me and to the best of my knowledge and belief are true and correct.

I hereby attest that all the information in this GIS are being submitted in compliance with the rules and regulations of the Securities and Exchange Commission (SEC) the collection, processing, storage and sharing of said information being necessary to carry out the functions of public authority for the performance of the constitutionally and statutorily mandated functions of the SEC as a regulatory agency.

I further attest that I have been authorized by the Board of Directors/Trustees to file this GIS with the SEC.

I understand that the Commission may place the corporation under delinquent status for failure to submit the reportorial requirements three (3) times, consecutively or intermittently, within a period of five (5) years (Section 177, RA No. 11232).

Done this date and place indicated below.

ANNA KATRIMA C. KABIGTING-IBERO

(Signature over printed name)

PASAY CITY

SUBSCRIBED AND SWORN TO before me in \_\_\_\_\_\_ on \_\_\_\_ 15 MAR 2022 by affiant who personally appeared before me and exhibited to me her Driver's License No \_\_\_\_\_ valid until September 1, 2022.

NOTARY PUBLIC

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Series of 2022

ATTY JULES R. MILAN

Appointment No. M-66 / Until 12-31-2022

PTR O.R. No. 8531012 / 1-4-22 / Pasay City MCLF No. VI-0016565 / 01-14-19