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### **Company Information**

SEC Registration No.: A199908711 Company Name: SUN LIFE OF CANADA PROSPERITY PHIL. EQUITY FUND INC. Industry Classification: J66910 Company Type: Stock Corporation

### **Document Information**

Document ID: OST1031520228255478 Document Type: General Information Sheet Document Code: GIS Period Covered: July 14, 2021 Submission Type: Amendment, Annual Meeting Remarks: None

		ED GENERAL INFORM	ATION SHEET (GIS)			
		FOR THE YEAR				
		STOCK CORPOR	ATION			
<ul> <li>GENERAL INSTRUCTIONS:</li> <li>FOR USER CORPORATION: THIS GI MEETING. DO NOT LEAVE ANY ITT THE INFORMATION IS NON-EXIST THE GIS SHALL BE SUBMITTED W CORPORATION AT THE ANNUAL M</li> <li>IF NO MEETING IS HELD, THE COF AN ANNUAL STOCKHOLDERS' MEE</li> <li>THIS GIS SHALL BE ACCOMPLISHEI</li> <li>THE SEC SHOULD BE TIMELY APPI ACTIONS THAT AROSE BETWEEN TOGETHER WITH A COVER LETTE SUBMITTED WITHIN SEVEN (7) DA</li> <li>SUBMIT FOUR (4) COPIES OF THE ALL COPIES SHALL UNIFORMLY BE</li> <li>ONLY THE GIS ACCOMPLISHED IN A</li> <li>THIS GIS MAY BE USED AS EVIDEN LAWS, RULES AND REGULATIONS</li> </ul>	ENT. IF THE ANNUA THIN THIRTY (30) TEMBERS' MEETING. RORATION SHALL S TING BE HELD THER D IN ENGLISH AND C RISED OF RELEVANT THE ANNUAL MEET R SIGNED THE CORI YS AFTER SUCH CHA GIS TO THE RECEIV. ON A4 OR LETTERS ACCORDANCE WITH '	L STOCKHOLDERS' MEET CALENDAR DAYS AFTEF UBMIT THE GIS NOT LAT REAFTER, A NEW GIS SHAI ERTIFIED AND SWORN TO CHANGES IN THE SUBM 'INGS, THE CORPORATION PORATE SECRETARY OF 'INGE OCCURED OR BECAN ING SECTION AT THE SEC IZED PAPER. THE PAGES THESE INSTRUCTIONS SH	IN REQUIRED IS NOT APPLICABL ING IS HELD ON A DATE OTHER THE ELECTION OF THE DIRECT FER THAN JANUARY 30 OF THE I L BE SUBMITTED/FILED. D BY THE CORPORATE SECRETAR ITTED INFORMATION AS THEY A N SHALL SUBMIT AMENDED GIS THE CORPORATION. THE AMENI ME EFFECTIVE. MAIN OFFICE, OR TO SEC SATEI OF ALL COPIES SHALL USE ONLY ALL BE CONSIDERED AS HAVING	E TO THE CORPO THAN THAT ST. ORS, TRUSTEES OLLOWING YEA Y OF THE CORPO RISE. FOR CHAN CONTAINING THE DED GIS AND CO LITE OFFICES OF ONE SIDE BEEN EU ED	DRATION OR "NONE ATED IN THE BY-LA AND OFFICERS OF T R. HOWEVER, SHOU RATION. IGES RESULTING FR IE NEW INFORMATI VER LETTER SHALL R EXTENSION OFFIC	
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CORPORATE NAME:		===== PLEASE PRINT LEGIBL	Y			
	F CANADA PROSPER	RITY PHILIPPINE EQUITY	EUND INC	DATE R	EGISTERED:	
BUSINESS/TRADE NAME:			FUND, INC.		1/17/2000	
	CANADA PROSPER	NTY PHILIPPINE EQUITY		FISCAL	EAR END:	
SEC REGISTRATION NUMBER:	CANADA PROSPER	AT Y PHILIPPINE EQUITY	FUND, INC.	FISCAL	EAR END:	
DATE OF ANNUAL MEETING PER BY-LA		9908711			December 31	
DATE OF ANNUAL MEETING PER BY-LA	IWS:			CORPORAT NUMBER (	TE TAX IDENTIFICATION	
	EVERY FOURT					
ACTUAL DATE OF ANNUAL MEETING:	EVERY FOURTH	H MONDAY OF JUNE			4-843-965-000	
	14 1					
	uly 2021	×		URL ADDRESS:		
COMPLETE PRINCIPAL OFFICE ADDRES	S:	uly 2021 +	×	ww	w.sunlife.com.ph	
	SS:		AL CITY, 1634 TAGUIG CITY	E-MAIL AD	w.sunlife.com.ph DRESS: aKatrina.Kabigting-	
COMPLETE PRINCIPAL OFFICE ADDRESS SUN LIFE CENTRE, 5TH AVE COMPLETE BUSINESS ADDRESS:	SS:		AL CITY, 1634 TAGUIG CITY	E-MAIL AD Anna Ibe	w.sunlife.com.ph DRESS: aKatrina.Kabigting- aro@sunlife.com	
SUN LIFE CENTRE, 5TH AVE	SS: NUE CORNER RIZAL	DRIVE, BONIFACIO GLOBA		E-MAIL AD Anna Iba FAX NUMBI	w.sunlife.com.ph DRESS: aKatrina.Kabigting- aro@sunlife.com ER:	
SUN LIFE CENTRE, 5TH AVE COMPLETE BUSINESS ADDRESS: SUN LIFE CENTRE, 5TH AVE	S: NUE CORNER RIZAL	DRIVE, BONIFACIO GLOB/ DRIVE, BONIFACIO GLOB/	AL CITY, 1634 TAGUIG CITY	E-MAIL AD Anni Ibi FAX NUMBI (6	w.sunlife.com.ph DRESS: aKatrina.Kabigting- aro@sunlife.com	
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SUN LIFE CENTRE, 5TH AVE COMPLETE BUSINESS ADDRESS: SUN LIFE CENTRE, 5TH AVE OFFICIAL E-MAIL ADDRESS surlife_sec_communications@surlife.com	S: NUE CORNER RIZAL NUE CORNER RIZAL ALTERNATE sunlife_sec_comm GNING PARTNER:	DRIVE, BONIFACIO GLOB/ DRIVE, BONIFACIO GLOB/ E <b>E-MAIL ADDRESS</b> unications2@sunlife.com	AL CITY, 1634 TAGUIG CITY OFFICIAL MOBILE NUMBE 0999 – 9917178 SEC ACCREDITATION NUMBER (If appl	E-MAIL AD Anni Ibi FAX NUMBI (6 R ALTERN 0 cable): TELEPHONI	w.sunlife.com.ph DRESS: aro@sunlife.com aro@sunlife.com ER: 32) 8849 9727 IATE MOBILE NUMBER 917-8024021 E NUMBER(S):	
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AMENDED GENERAL INFORMATION SITET     STOCK CORPORTION     STOR CAPPORTING     COMPORTION     SITET     STOR CORPORTION     COMPORTION     SITET     STOR CORPORTION     COMPORTION	AMENDED CENTRAL INFOR	
Comparies         SUN LIFE OF CANADA PROSPERITY PHILIPPINE EQUITY FUND, INC.           A is the Corporation a covered person under the Anti Money Laundering Act (AMLA), as amended? (Rep. Acts. 9160/9164/10167/10365)         © Yes         No           Please check the appropriate box:         •         •         •         No           •         a. Banks         •         •         •         No           •         b. Offshore Banking Units         •         •         •         •         No           •         A. Banks         •         •         •         No         No           •         Money Changers         •         >		
Corporate Name:       SUN LIFE OF CANADA PROSPERITY PHILIPPINE EQUITY FUND, INC.         A. Is the Corporation a covered person under the Anti Money Laundering       © Yes       No         Please check the appropriate box:       .       .       No         1.       .       .       .       .       .       No         Please check the appropriate box:       .       .       .       No         1.       .       .       .       .       .       .       No         Please check the appropriate box:       .       .       .       .       .       No         1.       .		
A is the Corporation a covered person under the Anti Mone youndering <ul> <li>A is the Corporation a covered person under the Anti Mone youndering</li> <li>C (AMLA), as amended? (Rep. Acts. 9160/9164/10167/10365)</li> </ul> Please check the appropriate box: <ul> <li>a. Banks</li> <li>b. Offshore Banking Units</li> <li>c. Quasi-Banks</li> <li>d. Trust Entities</li> <li>e. Non-Stock Savings and Loan Associations</li> <li>f. Pownshops</li> <li>g. Foreign Exchage Dealers</li> <li>b. Money Changers</li> <li>i. Remittance Agents</li> <li>c. Electronic Money Issuers</li> <li>k. Financial institutions which Under Special Laws are subject to Bangto Sentral ng Pilipinas (BSP) supervision and/or regulation,</li> <li>c. Insurance Agents</li> <li>b. Insurance Agents</li> <li>c. Insurance Professional Reinsurers</li> <li>g. Roman Reinsurers</li> <li>g. Holding Company Systems</li> <li>f. Holding Company Systems</li> <li>g. Holding Company Systems</li> <li>g. Holding Company Systems</li> <li>g. Holding Company Systems</li> <li>g. Including their absociation in relation to other juridical persons</li> <li>g. Recurities Balesman</li> <li>G. Securities Salesman</li> <li>G. Securities Salesman</li> <li>G. Companies and other similar entities</li> <li>g. Other entities administering or otherwise dealing in cass Network deal of the following services or a couns</li> <li>g. Chernent Houses</li> <li>g. Transfer Companies and other similar entities</li> <li>g. Chernent Houses and theris similar entities</li> <li>g. Cher entities administering or othe</li></ul>		
Act (AMLA), as a mended? (Rep. Acts. 9160/9164/10167/10365)       Iters C. No         Image: Comparison of the system		nev Laundering
Please check the appropriate box:         1.         a. Banks         b. Offshore Banking Units         c. Quasi-Banks         d. Trust Entities         A. Done Stock Savings and Loan Associations         f. Pawnshops         g. Forcing Exchage Dealers         b. Money Changers         l. Remittance Agents         j. Electronic Money Issuers         k. Financial Institutions which Under Special Laws are subject to Bangko Sentrul ng Pipinas' (BSP) supervision and/or regulation. Including their subsidiaries and affiliates.         2.         a. Insurance Companies         b. Insurance Agents         c. Insurance Professonal Reinsurers         c. Insurance Companies         b. Insurance Companies         j. All Other Persons and entities supervised and/or regulated by the Insurance Companies supervised and/or regulated by the Insurance Companies         j. All Other Persons and entities supervised and/or regulated by the Insurance Companies         j. All Other Persons and entities supervised and/or regulated by the Insurance Companies         j. All Other Persons and entities supervised and/or regulated by the Insurance Companies         j. All Other Persons and entities supervised and/or regulated by the Insurance Companies         j. All Other Persons and Consultants         g. Other entities administering or otherwise dealing in caski Sustitu	Act (AMLA), as amended? (Rep. Acts. 9160/9164/1016	67/10365) • Yes C No
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k       Financial Institutions which Under Special Laws are subject to Bangko Sentral ng Pilipinas' (BSP) supervision and/or regulation, including their subsidiaries and affiliates.       Company service providers which, as a business, provide any of the following services to third parties:         2.       a. Insurance Companies       b. Insurance Agents       c. Insurance Brokers       c. Insurance Brokers         d. Professional Reinsurers       a. acting as a formation agent of juridical persons         g. Holding Company Systems       b. acting as (or arranging for another person to act as) a nominee shareholder for another person to accounts         c. Investment Agents and Consultants       c. organization of contributions for the creation, operation or management of juridical persons or arrangements, and buying and selling		
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<ul> <li>a. Securities Dealers</li> <li>b. Securities Brokers</li> <li>c. Securities Salesman</li> <li>d. Investment Houses</li> <li>e. Investment Agents and Consultants</li> <li>f. Trading Advisors</li> <li>g. Other entities managing Securities or rendering similar services</li> <li>j. Common Trust Funds or Open-end Investment Companies</li> <li>j. Common Trust Funds or Issuers and other similar entities</li> <li>k. Transfer Companies and other similar entities</li> <li>l. Other entities administering or otherwise dealing in currency, commodities or financial derivatives based there on</li> <li>m. Entities administering or otherwise dealing in valuable objects and other similar monetary instruments or property supervised and/or regulated by the Securities and Exchange Commission</li> </ul>		legal person or arrangement
<ul> <li>b. Securities Brokers</li> <li>c. Securities Salesman</li> <li>d. Investment Houses</li> <li>e. Investment Agents and Consultants</li> <li>f. Trading Advisors</li> <li>g. Other entities managing Securities or rendering similar services</li> <li>h. Mutual Funds or Open-end Investment Companies</li> <li>j. Common Trust Funds or Issuers and other similar entities</li> <li>k. Transfer Companies and other similar entities</li> <li>j. Other entities administering or otherwise dealing in valuable objects</li> <li>m. Entities administering or otherwise dealing in valuable objects and other similar monetary instruments or property supervised and/or regulated by the Securities and Exchange Commission</li> </ul>		
<ul> <li>a. Securities Salesman</li> <li>c. Securities Salesman</li> <li>d. Investment Houses</li> <li>e. Investment Agents and Consultants</li> <li>f. Trading Advisors</li> <li>g. Other entities managing Securities or rendering similar services</li> <li>i. Close-end Investment Companies</li> <li>j. Common Trust Funds or Issuers and other similar entities</li> <li>k. Transfer Companies and other similar entities</li> <li>j. Other entities administering or otherwise dealing in currency, commodities or financial derivatives based there on</li> <li>m. Entities administering or otherwise dealing in cash Substitutes and other similar monetary instruments or property supervised and/or regulated by the Securities and Exchange Commission</li> </ul>		
7.       Persons who provide any of the following services:         a.       Investment Houses         c.       Investment Agents and Consultants         f.       Trading Advisors         g.       Other entities managing Securities or rendering similar services         i.       Investment Companies         j.       Conson Trust Funds or Open-end Investment Companies         j.       Common Trust Funds or Issuers and other similar entities         k.       Transfer Companies and other similar entities         j.       Other entities administering or otherwise dealing in currency, commodities or financial derivatives based there on         m.       Entities administering or otherwise dealing in cash Substitutes and other similar monetary instruments or property supervised and/or regulated by the Securities and Exchange Commission		as) a nominee shareholder for another person
<ul> <li>e. Investment Agents and Consultants</li> <li>f. Trading Advisors</li> <li>g. Other entities managing Securities or rendering similar services</li> <li>h. Mutual Funds or Open-end Investment Companies</li> <li>i. Close-end Investment Companies</li> <li>j. Common Trust Funds or Issuers and other similar entities</li> <li>k. Transfer Companies and other similar entities</li> <li>l. Other entities administering or otherwise dealing in currency, commodities or financial derivatives based there on</li> <li>m. Entities administering of otherwise dealing in valuable objects</li> <li>n. Entities administering or otherwise dealing in cash Substitutes and other similar monetary instruments or property supervised and/or regulated by the Securities and Exchange Commission</li> </ul>		7. Persons who provide any of the following services:
<ul> <li>e. Investment Agents and Consultants</li> <li>f. Trading Advisors</li> <li>g. Other entities managing Securities or rendering similar services</li> <li>M. Mutual Funds or Open-end Investment Companies</li> <li>i. Close-end Investment Companies</li> <li>j. Common Trust Funds or Issuers and other similar entities</li> <li>k. Transfer Companies and other similar entities</li> <li>k. Transfer Companies and other similar entities</li> <li>I. Other entities administering or otherwise dealing in currency, commodities or financial derivatives based there on</li> <li>m. Entities administering of otherwise dealing in cash Substitutes and other similar monetary instruments or property supervised and/or regulated by the Securities and Exchange Commission</li> </ul>		a. managing of client money, securities or other
g. Other entities managing Securities or rendering similar services		
<ul> <li>h. Mutual Funds or Open-end Investment Companies         <ul> <li>i. Close-end Investment Companies</li> <li>j. Common Trust Funds or Issuers and other similar entities</li> <li>k. Transfer Companies and other similar entities</li> <li>l. Other entities administering or otherwise dealing in currency, commodities or financial derivatives based there on</li> <li>m. Entities administering of otherwise dealing in cash Substitutes and other similar monetary instruments or property supervised and other similar monetary instruments or property supervised and/or regulated by the Securities and Exchange Commission</li> </ul> </li> </ul>		b. management of bank, savings or securities
<ul> <li>i. Close-end Investment Companies</li> <li>j. Common Trust Funds or Issuers and other similar entities</li> <li>k. Transfer Companies and other similar entities</li> <li>l. Other entities administering or otherwise dealing in currency, commodities or financial derivatives based there on</li> <li>m. Entities administering of otherwise dealing in valuable objects</li> <li>n. Entities administering or otherwise dealing in cash Substitutes and other similar monetary instruments or property supervised and/or regulated by the Securities and Exchange Commission</li> </ul>		
<ul> <li>j. Common Trust Funds or Issuers and other similar entities</li> <li>k. Transfer Companies and other similar entities</li> <li>l. Other entities administering or otherwise dealing in currency, commodities or financial derivatives based there on</li> <li>m. Entities administering of otherwise dealing in valuable objects</li> <li>n. Entities administering or otherwise dealing in cash Substitutes and other similar monetary instruments or property supervised and/or regulated by the Securities and Exchange Commission</li> </ul>		1977 The State of
<ul> <li>k. Transfer Companies and other similar entities</li> <li>l. Other entities administering or otherwise dealing in currency, commodities or financial derivatives based there on</li> <li>m. Entities administering of otherwise dealing in valuable objects</li> <li>n. Entities administering or otherwise dealing in cash Substitutes and other similar monetary instruments or property supervised and/or regulated by the Securities and Exchange Commission</li> <li>d. creation, operation or management of juridical persons or arrangements, and buying and selling business entities</li> <li>Describe nature of business:</li> </ul>		operation or management of companies
<ul> <li>I. Other entities administering or otherwise dealing in currency, commodities or financial derivatives based there on</li> <li>m. Entities administering of otherwise dealing in valuable objects</li> <li>n. Entities administering or otherwise dealing in cash Substitutes and other similar monetary instruments or property supervised and/or regulated by the Securities and Exchange Commission</li> <li>I. Other entities administering or otherwise dealing in currency, commodities or financial derivatives based there on</li> <li>m. Entities administering or otherwise dealing in cash Substitutes and other similar monetary instruments or property supervised and/or regulated by the Securities and Exchange Commission</li> </ul>		d creation operation or management of instal
commodities or financial derivatives based there on       business entities         m. Entities administering of otherwise dealing in valuable objects       8.       None of the above         n. Entities administering or otherwise dealing in cash Substitutes and other similar monetary instruments or property supervised and/or regulated by the Securities and Exchange Commission       Describe       Investment Company		
<ul> <li>n. Entities administering or otherwise dealing in cash Substitutes and other similar monetary instruments or property supervised and/or regulated by the Securities and Exchange Commission</li> <li>Describe nature of business:</li> </ul>	commodities or financial derivatives based there on	
<ul> <li>n. Entities administering or otherwise dealing in cash Substitutes and other similar monetary instruments or property supervised and/or regulated by the Securities and Exchange Commission</li> <li>Describe nature of business:</li> </ul>	. m. Entities administering of otherwise dealing in valuable objects	8. None of the above
and other similar monetary instruments or property supervised nature of and/or regulated by the Securities and Exchange Commission business:	n. Entities administering or otherwise dealing in cash Substitutes	
	and other similar monetary instruments or property supervised	nature of
(356)		business:
P. Hostha Comparation councils desided		
B. Has the Corporation complied with the requirements on Customer Due Diligence (CDD) or Know Your Customer (KYC), record-keeping, and submission of reports <b>• Yes • No</b>	CDD) or Know Your Customer (KVC) record knowing and an	
(CDD) or Know Your Customer (KYC), record-keeping, and submission of reports (Yes C No under the AMLA, as amended, since the last filing of its GIS?	under the AMLA, as amended, since the last filing of its GIS?	Vinission of reports (* Yes ( No

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# AMENDED GENERAL INFORMATION SHEET STOCK CORPORATION

	AME:	SUN LIFE OF CANA	DA PROSPERITY	PHILIPPINE EQ	UITY FUND, IN	IC.	
AUTHORIZED CA	DITAL CTOC		CAPIT	AL STRUCTURE			
AUTHORIZED CA	APITAL STOCK		NUMBER OF	1			
		TYPE OF SHARES *	SHARES	PAR/STATI	ED VALUE	AMOUNT (Phi (No. of shares X Par/St	
		Common	4,500,000,000		0.01	45,000,000.0	0
		τοται	4,500,000,000		TOTAL P	45,000,000.0	
SUBSCRIBED CAP	PITAL		1,300,000,000		TOTAL P	43,000,000.0	0
FILIPINO	NO. OF STOCK- HOLDERS	TYPE OF SHARES *	NUMBER OF SHARES	NUMBER OF SHARES IN THE HANDS OF THE PUBLIC**	PAR/STATED VALUE	AMOUNT (PhP)	% OF OWNERSHI
	41,428	Common	2,167,341,188	2,167,341,188	0.01	21,673,411.88	97.68
		TOTAL	2,167,341,188	TOTAL	TOTAL P	21,673,411.88	
F O R E I G N (INDICATE BY NATIONALITY)	NO. OF STOCK- HOLDERS	TYPE OF SHARES •	NUMBER OF SHARES	NUMBER OF SHARES IN THE HANDS OF THE PUBLIC **	PAR/STATED VALUE	AMOUNT (PhP)	% OF OWNERSHI
Chinese	131	Common	37,613,047	37,613,047	0.01	376,130.47	1.70
American British	67 32	Common	4,053,675 3,232,025	4,053,675 3,232,025	0.01	40.536.75	0.15
Japanese	19	Common	2,090,425	2,090,425	0.01	20,904.25	0.19
Malaysian	5	Common	1,660,513	1,660,513	0.01	16,605.13	0.07
Singaporean Canadian	4	Common	742,991 577,963	742,991 577,963	0.01	7,429.91	0.03
Australian	11	Common	390,783	390,783	0,01	5,779.63 3,907.83	0.03
German	4	Common	326,131	326,131	0.01	3,261.31	0.01
lrish Indian		Common Common	317,853	317,853	0.01	3,178.53	0.01
Swedish	1	Common	147,211 107,382	147,211 107,382	0.01	1,472.11 1,073.82	0.01
Taiwanese	2	Common	87,586	87,586	0.01	875.86	0.00
Indonesian	3	Common	68,933	68,933	0.01	689.33	0.00
Austrian Norwegian	2	Common	37,435 21,990	37,435	0.01	374.35 219.90	0.00
Spanish	1	Common	5,961	5,961	0.01	59.61	0.00
Dutch	5	Common	3,202	3,202	0.01	32.02	0.00
Korean		Common	1,167	1.192-		11.67	0.00
	eign Equity :	TOTAL	51,486,273		TOTAL P	514,862.73	
Percentage of For					SUBSCRIBED P	22,188,274.61	
	AL					22,100,274.01	
	AL NO, OF STOCK- HOLDERS	TYPE OF SHARES •	NUMBER OF SHARES	, PAR/STATEI	D VALUE	AMOUNT (PhP)	% OF OWNERSHIP
PAID-UP CAPIT	NO. OF STOCK-	TYPE OF SHARES •		ť	D VALUE	10	OWNERSHIP
PAID-UP CAPIT	NO, OF STOCK- HOLDERS		SHARES	, PAR/STATEI		AMOUNT (PhP)	OWNERSHIP
PAID-UP CAPIT	NO, OF STOCK- HOLDERS		SHARES	, PAR/STATEI		AMOUNT (PhP)	OWNERSHIP
PAID-UP CAPIT. FILIPINO	NO, OF STOCK- HOLDERS 41.428		SHARES	, PAR/STATEI		AMOUNT (PhP)	% OF OWNERSHIP 97.68
F O R E I G N (INDICATE BY NATIONALITY)	NO, OF STOCK- HOLDERS 	Common TOTAL TYPE OF SHARES •	SHARES 	, PAR/STATEI 2.167,341,188 PAR/STATEI	0.01 TOTAL P	AMOUNT (PhP) 21.673.411.88 21.673.411.88 21.673.411.88 AMOUNT (PhP)	97.68 97.68 97.68 97.68
FILIPINO FILIPINO FOREIGN (INDICATE BY	NO, OF STOCK- HOLDERS 	Common	SHARES 	, PAR/STATEI 2.167.341,188	0.01 	AMOUNT (PhP) 21,673,411.88 21,673,411.88 AMOUNT (PhP) 376,130.47	0WNERSHI 97.68 97.68 97.68 97.68 97.68 97.68 97.68 97.68 97.68 97.68 97.68 97.68
FILIPINO FILIPINO FOREIGN (INDICATE BY NATIONALITY) Chinese American British	NO. OF STOCK- HOLDERS - 41.428 	Common TOTAL TYPE OF SHARES • Common Common	SHARES 2,167,341,188 2,167,341,188 2,167,341,188 NUMBER OF SHARES 37,613,047 4,053,675 3,232,025	, PAR/STATEI 2.167,341,188 PAR/STATEI 37,613,047 	0.01 TOTAL P	AMOUNT (PhP) 21.673.411.88 21.673.411.88 21.673.411.88 AMOUNT (PhP)	0WNERSHII 97.68 97.68 97.68 97.68 97.68 97.68 97.68 97.68 97.68 97.68
FILIPINO FILIPINO FOREIGN (INDICATE BY NATIONALITY) Chinese British British Japanese	NO. OF STOCK- HOLDERS 	Common TOTAL TYPE OF SHARES • Common Common Common Common	SHARES 2,167,341,188 2,167,341,188 NUMBER OF SHARES 37,613,047 4,053,675 3,232,025 2,090,425	, PAR/STATEI 2.167,341,188 PAR/STATEI 37,613,047 	0.01 TOTAL P 0.01 0.01 0.01 0.01 0.01 0.01	AMOUNT (PhP) 21,673,411.88 21,673,411.88 AMOUNT (PhP) 376,130,47 40,536,75 32,220,25 20,904,25	0WNERSHI 97.68 97.69 97.68 97.69 97.
FOREIGN FOREIGN (NDICATE BY NATIONALITY) Chinese American British Japanese Malaysian	NO. OF STOCK- HOLDERS 	Common TOTAL TYPE OF SHARES • Common Common Common Common Common Common	SHARES 2,167,341,188 2,167,341,188 2,167,341,188 NUMBER OF SHARES 37,613,047 4,053,675 3,232,025 3,232,025 2,099,425 1,660,513	, PAR/STATEI 2.167.341.188 PAR/STATEI 37.613.047 	0.01 TOTAL P DVALUE 0.01 0.01 0.01 0.01 0.01	AMOUNT (PhP) 21,673,411.88 21,673,411.88 AMOUNT (PhP) 376,130,47 .40,536,75 .20,904,25 .20,904,25 .16605,13	0WNERSHII 97.68 97.68 97.68 97.68 97.68 97.68 97.68 97.68 97.68 97.68 97.68 97.68 97.68 97.68 97.68 97.68 97.68 97.68
FOREIGN (INDICATE BY NATIONALITY) Chinese British Japanese Malaysian Ganadian	NO. OF STOCK- HOLDERS 	Common Common Common Common Common Common Common Common Common Common Common Common Common Common	SHARES 2,167,341,188 2,167,341,188 NUMBER OF SHARES 37,613,047 4,053,675 3,232,025 2,090,425	, PAR/STATEI 2.167,341,188 PAR/STATEI 37,613,047 	0.01 TOTAL P 0.01 0.01 0.01 0.01 0.01 0.01	AMOUNT (PhP) 21,673,411.88 21,673,411.88 AMOUNT (PhP) 376,130,472 40,536,75 32,320,25 20,904,25 16,605,13 7,429,91	0WNERSHI 97.68
FOREIGN FOREIGN (INDICATE BY NATIONALITY) Chinese American British Japanese Malaysian Singaporean Canadian	NO. OF STOCK- HOLDERS 	Common Common Common Common Common Common Common Common Common Common Common Common Common Common Common Common	SHARES 2,167,341,188 2,167,341,188 NUMBER OF SHARES 37,613,047 4,053,675 3,232,025 2,090,425 1,660,513 742,991 577,963 390,783	PAR/STATE 2,167,341,188 PAR/STATE 37,613,047 	0.01 TOTAL P 0.01 0.01 0.01 0.01 0.01 0.01 0.01 0.01 0.01 0.01 0.01	AMOUNT (PhP) 21,673,411.88 21,673,411.88 AMOUNT (PhP) 376,130,47 40,536,75 32,320,25 20,904,25 16,605,13 7,429,91 5,779,63 3,907,83	0WNERSHI 97.68 97.69 97.68 97.69 97.09 97.00 97.
FOREIGN (INDICATE BY NATIONALITY) Chinese American British Japanese Malaysian Singaporean Canadian	NO. OF STOCK- HOLDERS - 41.428 	Common TOTAL TYPE OF SHARES • Common Common Common Common Common Common Common Common Common Common Common Common Common Common	SHARES 2,167,341,188 2,167,341,188 2,167,341,188 NUMBER OF SHARES 37,613,047 4,053,675 3,232,025 2,090,425 2,090,425 1,660,513 742,991 577,963 390,78 390,78	, PAR/STATEI 2.167.341,188 PAR/STATEI 37,613,047 	0.01 TOTAL P OVALUE 0.01 0.01 0.01 0.01 0.01 0.01 0.01 0.01 0.01 0.01 0.01 0.01 0.01	AMOUNT (PhP) 21,673,411.88 21,673,411.88 AMOUNT (PhP) 376,130,47 40,536,75 32,320,25 20,904,25 16,605,13 7,429,91 5,779,63 3,907,83 3,907,83 3,261,31	0WNERSHI 97.68 97.68 94 OF 0WNERSHIF 0.059 0.059 0.07 0.03 0.03 0.03 0.03 0.03 0.03 0.03
FOREIGN FOREIGN (INDICATEBY NATIONALITY) Chinese American British Japanese Malaysian Singaporean Canadian Australian Cerman Irish Indian	NO. OF STOCK- HOLDERS 	Common Common Common Common Common Common Common Common Common Common Common Common Common Common Common Common	SHARES 2,167,341,188 2,167,341,188 NUMBER OF SHARES 37,613,047 4,053,675 3,232,025 2,090,425 1,660,513 742,991 577,963 390,783	PAR/STATE 2,167,341,188 PAR/STATE 37,613,047 	0.01 TOTAL P 0.01 0.01 0.01 0.01 0.01 0.01 0.01 0.01 0.01 0.01 0.01	AMOUNT (PhP) 21,673,411.88 21,673,411.88 AMOUNT (PhP) 376,130,47 40,536,75 32,320,25 20,904,25 16,605,13 7,429,91 5,779,63 3,907,83	0WNERSHI 97.68 97.99 97.68 97.68 97.69 97.68 97.69 97.69 97.68 97.69 97.
FOREIGN FOREIGN (INDICATE BY NATIONALITY) Chinese American British Japanese Malaysian Slogaporean Canadian German Irish Indian Swedish	NO. OF STOCK- HOLDERS - 41.428 	Common Common	SHARES 2,167,341,188 2,167,341,188 2,167,341,188 NUMBER OF SHARES 37,613,047 4,053,675 3,232,025 2,090,425 1,660,513 7,42,991 577,963 330,783 326,131 317,853 147,211 107,382	PAR/STATEI 2.167.341.188 PAR/STATEI 37.613.047 4.053.675 2920.425 2920.425 	0.01 TOTAL P 0.01 0.0	AMOUNT (PhP) 21,673,411.88 21,673,411.88 AMOUNT (PhP) 376,130,47 40,536,75 32,320,25 20,904,25 16,605,13 7,42,991 5,779,63 3,3907,83 3,261,311 3,178,53 1,472,111 4,073,82	0WNERSHII 97.68 97.68 97.68 97.68 97.68 97.69 97.99 97
FOREIGN FOREIGN (NDICATE BY NATIONALITY) Chinese American British Japanese Malaysian Singaporean Canadian Australian German Irish Indian Swedish Taiwanese	NO. OF STOCK- HOLDERS 	Common TOTAL TYPE OF SHARES* Common Comm Comm	SHARES 2,167,341,188 2,167,341,188 2,167,341,188 NUMBER OF SHARES 37,613,047 4,053,675 3,232,025 2,090,425 2,090,425 1,660,513 742,991 577,963 330,478 330,783 326,131 317,853 147,211 107,382 87,586	PAR/STATE 2.167.341,188 2.167.341,188 PAR/STATE 37,613,047 4.053.675 290,425 	0.01           TOTAL         P           DVALUE         0.01           0.01         0.01           0.01         0.01           0.01         0.01           0.01         0.01           0.01         0.01           0.01         0.01           0.01         0.01           0.01         0.01           0.01         0.01           0.01         0.01           0.01         0.01           0.01         0.01           0.01         0.01	AMOUNT (PhP) 21,673,411.88 21,673,411.88 AMOUNT (PhP) 376,130,47 40,536,75 32,220,25 20,904,25 20,904,25 16,605,13 7,429,91 5,779,63 3,907,83 3,907,83 3,261,31 3,178,53 1,472,11 1,073,82 875,86	0WNERSHI 97.68 97.68 0WNERSHI 1.79 0.18 0.09 0.07 0.03 0.02 0.03 0.02 0.01 0.01 0.01 0.01 0.01 0.001
FOREIGN FOREIGN (INDICATE BY NATIONALITY) Chinese American British Japanese Malaysian Slogeporean Canadian Canadian German Hish Indian Swedish	NO. OF STOCK- HOLDERS - 41.428 	Common Common	SHARES 2,167,341,188 2,167,341,188 2,167,341,188 NUMBER OF SHARES 37,613,047 4,053,675 3,232,025 2,090,425 1,660,513 742,991 577,963 390,783 326,131 317,853 147,211 107,382 87,586 68,933	PAR/STATE 2.167.341.188 PAR/STATE 37.613.047 4.053.675 3.232.025 1.660.513 742.991 577.963 390.783 326.131 317.853 1.242.91 1.07.382 1.47.211 1.07.382 	0.01 TOTAL P 0.01 0.0	AMOUNT (PhP) 21,673,411.88 21,673,411.88 AMOUNT (PhP) 376,130,472 40,536,75 32,320,25 20,904,25 16,605,13 7,429,91 5,779,63 3,907,83 3,261,31 3,178,53 1,472,11 40,538,6 1,472,11 40,538,6 8,75,86 689,33	0WNERSHI 97.68 97.69 97.
FOREIGN FOREIGN (INDICATE BY NATIONALITY) Chinese American British Indian Singaporean Ganadian Australian German Inish Indian Swedish Taiwanese Indonesian Australian German Inish Indian Swedish Taiwanese	NO. OF STOCK- HOLDERS 	Common Common	SHARES 2,167,341,188 2,167,341,188 2,167,341,188 NUMBER OF SHARES 37,613,047 4,053,675 3,232,025 2,090,425 1,660,513 7,42,991 577,963 390,783 326,131 317,853 317,853 317,853 317,853 37,435 2,1990	PAR/STATE 2.167.341,188 2.167.341,188 PAR/STATE 37,613,047 4.053.675 290,425 	0.01           TOTAL         P           DVALUE         0.01           0.01         0.01           0.01         0.01           0.01         0.01           0.01         0.01           0.01         0.01           0.01         0.01           0.01         0.01           0.01         0.01           0.01         0.01           0.01         0.01           0.01         0.01           0.01         0.01           0.01         0.01	AMOUNT (PhP) 21,673,411.88 21,673,411.88 AMOUNT (PhP) 376,130,47 40,536,75 32,220,25 20,904,25 20,904,25 16,605,13 7,429,91 5,779,63 3,907,83 3,907,83 3,261,31 3,178,53 1,472,11 1,073,82 875,86	0WNERSHI 97.68 97.68 97.68 0WNERSHI 1.70 0.03 0.05 0.03 0.03 0.03 0.03 0.03 0.0
FOREIGN FOREIGN (INDICATE BY NATIONALITY) Chinese American British Japanese Malaysian Singaporean Canadian Australian German Irish Indion Swedish Taiwanese Indonesian Norwegian Spanish	NO. OF STOCK- HOLDERS 	Common TOTAL TYPE OF SHARES* Common C	SHARES 2,167,341,188 2,167,341,188 3,167,341,188 NUMBER OF SHARES 3,7,613,047 4,053,675 3,232,025 2,090,425 2,090,425 1,660,513 7,42,991 5,77,963 3,390,783 3,26,131 3,17,853 1,47,211 1,107,382 8,7586 6,68,933 3,37,435 2,1,990 5,961	PAR/STATEI 2.167.341,188 PAR/STATEI 37.613,047 	0.01           TOTAL         P           OVALUE         0.01           0.01         0.01           0.01         0.01           0.01         0.01           0.01         0.01           0.01         0.01           0.01         0.01           0.01         0.01           0.01         0.01           0.01         0.01           0.01         0.01           0.01         0.01           0.01         0.01           0.01         0.01           0.01         0.01	AMOUNT (PhP) 21,673,411.88 21,673,411.88 AMOUNT (PhP) 376,130,47 40,536,75 32,220,25 20,904,25 20,904,25 16,605,13 7,429,91 5,779,63 3,907,83 3,261,41 3,178,53 1,472,11 1,073,82 875,86 669,33 374,35 2,19,90 59,61	0WNERSHI 97.68 97.68 97.68 0WNERSHI 1.79 0.38 0.03 0.02 0.03 0.02 0.03 0.02 0.03 0.02 0.03 0.02 0.03 0.02 0.03 0.02 0.03 0.03
FOREIGN FOREIGN (INDICATE BY VATIONALITY) Chinese American British British British British British Canadian Canadian Cerman Indian Swedish Taiwanese Indonesian Australian Swedish Taiwanese Indonesian Norwegian	NO. OF STOCK- HOLDERS 	Common Common	SHARES 2,167,341,188 2,167,341,188 2,167,341,188 NUMBER OF SHARES 37,613,047 4,053,675 3,232,025 1,660,513 742,991 577,963 390,783 326,131 317,853 147,211 107,382 87,586 68,933 3,7,435 2,1990 5,5961 3,202	PAR/STATE 2.167.341.188 PAR/STATE PAR/STATE 37.613.047 4.053.675 3232,025 2090,25 	0.01 TOTAL P 0.01 0.0	AMOUNT (PhP) 21,673,411.88 21,673,411.88 AMOUNT (PhP) 376,130,472 40,536,75 32,320,25 20,904,25 16,605,13 7,429,91 5,779,63 3,926,131 3,178,53 1,472,111 40,73,82 875,86 689,33 374,35 21,90,61 32,02 32,02 1,20,00 59,61 32,02 1,20,00 59,61 32,02 1,20,00 1,	0WNERSHI 97.68 97.69 97.68 97.69 97.
FOREIGN FOREIGN (INDICATE BY VATIONALITY) Chinese American British British British British British Canadian Singaporean Canadian Cerman Indian Swedish Tatwanese Indonesian Australian Swedish Tatwanese Indonesian Norwegian Spanish Dutch Korean	NO. OF STOCK- HOLDERS 	Common Common	SHARES 2,167,341,188 2,167,341,188 3,167,341,188 NUMBER OF SHARES 3,7613,047 4,053,675 3,232,025 2,090,425 1,660,513 7,42,991 5,77,963 3,90,783 3,26,131 3,17,853 1,47,211 1,07,382 87,586 6,8,933 3,7,435 3,17,435 2,1990 5,5961 3,202 1,167 3,202 1,	PAR/STATEI 2.167.341,188 PAR/STATEI 37.613,047 	0.01           TOTAL         P           0.01         0.01           0.01         0.01           0.01         0.01           0.01         0.01           0.01         0.01           0.01         0.01           0.01         0.01           0.01         0.01           0.01         0.01           0.01         0.01           0.01         0.01           0.01         0.01           0.01         0.01           0.01         0.01           0.01         0.01           0.01         0.01           0.01         0.01           0.01         0.01	AMOUNT (PhP) 21,673,411.88 21,673,411.88 AMOUNT (PhP) 376,130,47 40,536,75 32,20,25 20,904,25 16,605,13 7,429,91 5,779,63 3,2907,83 3,261,31 3,178,53 1,472,11 1,477,342 875,86 669,33 374,35 2,19,90 59,61 3,202 11,67	0WNERSHI 97.68 97.69 97.68 97.69 97.
FOREIGN FOREIGN (INDICATE BY Anterican British Bapanese Malaysian Singaporean Canadian Australian Cerman Irish Indian Swedish Taiwanese Indonesian Austrian Norvegian Spanish Dutch	NO. OF STOCK- HOLDERS 	Common TOTAL TYPE OF SHARES Common Co	SHARES 2,167,341,188 2,167,341,188 2,167,341,188 NUMBER OF SHARES 37,613,047 4,053,675 3,232,025 1,660,513 742,991 577,963 390,783 326,131 317,853 147,211 107,382 87,586 68,933 3,7,435 2,1990 5,5961 3,202	PAR/STATE 2.167.341.188 PAR/STATE PAR/STATE 37.613.047 4.053.675 3232,025 2090,25 	0.01           TOTAL         P           0.01         0.01           0.01         0.01           0.01         0.01           0.01         0.01           0.01         0.01           0.01         0.01           0.01         0.01           0.01         0.01           0.01         0.01           0.01         0.01           0.01         0.01           0.01         0.01           0.01         0.01           0.01         0.01           0.01         0.01           0.01         0.01           0.01         0.01	AMOUNT (PhP) 21,673,411.88 21,673,411.88 AMOUNT (PhP) 376,130,472 40,536,75 32,320,25 20,904,25 16,605,13 7,429,91 5,779,63 3,926,131 3,178,53 1,472,111 40,73,82 875,86 689,33 374,35 21,90,61 32,02 32,02 1,20,00 59,61 32,02 1,20,00 59,61 32,02 1,20,00 1,	97.68 97.68 97.68 96 OF 0WNERSHIF 0.159 0.039

\*\* Other than Directors, Officers, Shareholders owning 10% of outstanding shares.

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### AMENDED GENERAL INFORMATION SHEET

STOCK CORPORATION

CORPORATE NAME:	SUN LIFE OF	CANADA	A PROSP	PERITY P	HILIPPIN	E EQUITY	FUND, I	NC.
	DIR	ECTO	RS / O	FFICEF	RS			
NAME/CURRENT RESIDENTIAL ADDRESS	NATIONALITY	INC'R	BOARD	GENDER	STOCK HOLDER	OFFICER	EXEC. COMM.	TAX IDENTIFICATION NUMBER
1. BENEDICTO C. SISON	Filipino and American	N	с	М	Y	Chairman	CG/M	
2. VALERIE N. PAMA	Filipino	N	м.	F	Y	President	N.A.	
3. CESAR LUIS F. BATE	Filipino	N	I	М	Y	N	A&C/C	
4. OSCAR S. REYES	Filipino	N	I	м	Y	N	A&C/ M and CG/M	
5. CIELITO F. HABITO	Filipino	N	Ι	М	Y	N	A&C/M and CG/C	
6. MA. JEMILYN S. CAMANIA	Filipino	N	N	F	N	Compliance Officer, Data Protection Officer & Money Laundering Reporting Officer	N.A.	
7. SHERWIN S. SAMPANG	Filipino	N	N	М	N	Treasurer	N.A.	
8. ANNA KATRINA C. KABIGTING-IBERO	Filipino	N	N	F	N	Corporate Secretary	N.A.	
9. FRANCES IANNA S. CANTO	Filipino	N	N	F	N	Asst. Corporate Secretary	N.A.	
0. nothing follows								
1.								
2.								
3.								
4.								
5.								

FOR SEX COLUMN, PUT "F" FOR FEMALE, "M" FOR MALE.

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FOR BOARD COLUMN, PUT "C" FOR CHAIRMAN, "M" FOR MEMBER, "I" FOR INDEPENDENT DIRECTOR.

FOR INC'R COLUMN, PUT "Y" IF AN INCORPORATOR, "N" IF NOT.

FOR STOCKHOLDER COLUMN, PUT "Y" IF A STOCKHOLDER, "N" IF NOT.

FOR OFFICER COLUMN, INDICATE PARTICULAR POSITION IF AN OFFICER, FROM VP UP INCLUDING THE POSITION OF THE TREASURER, SECRETARY, COMPLIANCE OFFICER AND/OR ASSOCIATED PERSON.

FOR EXECUTIVE COMMITTEE, INDICATE "C" IF MEMBER OF THE COMPENSATION COMMITTEE; "A" FOR AUDIT COMMITTEE; "N" FOR NOMINATION GIS STOCKING. EDECTION COMMITTEE. ADDITIONALLY WRITE "C" AFTER SLASH IF CHAIRMAN AND "M" IF MEMBER. Page

### AMENDED GENERAL INFORMATION SHEET

STOCK CORPORATION

		= PLEASE PRIM							
DRPORATE NAME: SUN LIFE OF CANADA PROSPERITY PHILIPPINE EQUITY FUND, INC.									
TOTAL NUMBER OF STOCKHOLDERS:		NO. OF STOCKHOLD SHARES EACH:	F STOCKHOLDERS WITH 100 OR MORE						
TOTAL ASSETS BASED ON LATEST AUDITED FIN	EMENTS:	PHP 8,300,034,101							
	ST	OCKHOLDER'S	INFORMATION						
NAME, NATIONALITY AND CURRENT RESIDENTIAL ADDRESS									
	ТҮРЕ	NUMBER	AMOUNT (PhP)	% OF OWNER- SHIP	AMOUNT PAID (PhP)	TAX IDENTIFICATIO NUMBER			
1 BENEDICTO C. SISON	common	1	0.01						
Filipino and American				0.0004					
				0.00%	0.01				
	TOTAL	1	0.01						
2. CESAR LUIS F. BATE Filipino	common	1	0.01						
rmpmo				0.00%	0.01				
	TOTAL	1	0.01						
3. CIELITO F. HABITO	common	1	0.01						
Filipino									
				0.00%	0.01				
	TOTAL	1	0.01						
4. OSCAR S. REYES Filipino	common	1	0.01						
- mpino				0.00%	0.01				
	TOTAL	1	0.01						
5. VALERIE N. PAMA	<u>common</u>	1	0.01						
Filipino		r.							
		_		0.00%	0.01				
	TOTAL	1	0.01						
6. OTHERS	common	2.218.827.456	22.188,274.56						
*List of Top 20 shareholders submitted				100.00%	22,188,274.56				
separately (confidential) to the SEC-CGFD on 11 August 2021.		2.218.827.456	22.188.274.56						
7. nothing follows									
TOTAL AMOUNT OF	SUBSCRIBE	D CAPITAL	22 400 28 4 44						
			22,188,274.61 JNT OF PAID-UP CA	DITAI	22,	188,274.61			

### INSTRUCTION: SPECIFY THE TOP 20 STOCKHOLDERS AND INDICATE THE REST AS OTHERS

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Note: For PDTC Nominee included in the list, please indicate further the beneficial owners owning more than 5% of any class of the company's voting securities. Attach separate sheet, if necessary.

GIS\_STOCK (v.2020)

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### **AMENDED GENERAL INFORMATION SHEET**

STOCK CORPORATION

	=- PLE	ASE PRINT LEGIBL	.Y	==========			
CORPORATE NAME:							
SUN LIFE OF CANAD	A PROSPI	ERITY PHILIPP	PINE	E EQUITY FUN	D, INC.		
1. INVESTMENT OF CORPORATE	AMOUNT	AMOUNT (PhP) DATE OF BOARD			OF BOARD RESOLUTION		
FUNDS IN ANOTHER CORPORATION		AMOUNT	1 m	)	DATE	OF BOARD RESOLUTION	
1.1 STOCKS		7,908,525,0	89.0	03	N.A.		
1.2 BONDS/COMMERCIAL PAPER (Issued		N.A.					
by Private Corporations)		N.A.					
1.3 LOANS/ CREDITS/ ADVANCES		N.A.		<u>.</u>		N.A.	
1.4 GOVERNMENT TREASURY BILLS		167,527,33	30.00	)	N.A.		
1.5 OTHERS		6,972,000	0.00			N.A.	
2. INVESTMENT OF CORPORATE FUNDS IN ACT SECONDARY PURPOSES (PLEASE SPECIFY:)	TIVITIES U	NDER ITS		DATE OF BO RESOLUTI		DATE OF STOCKHOLDERS RATIFICATION	
N.A.				N.A.		N.A.	
3. TREASURY SHARES				NO. OF SHA	RES	% AS TO THE TOTAL NO. OF SHARES ISSUED	
				2,016,076,350 44.809			
4. UNRESTRICTED/UNAPPROPRIATED RETAIN	ED EARNI	NGS AS OF END	OF L	AST FISCAL YE	AR 0		
5. DIVIDENDS DECLARED DURING THE IMMEDI	LATELY PR	ECEDING YEAR:	0				
TYPE OF DIVIDEND		А	мо	MOUNT (PhP) DATE DECLARED			
5.1 CASH			N.A. N.A.				
5.2 STOCK				N.A.	N.A.		
5.3 PROPERTY				N.A.		N.A.	
	TOTAL	Р					
6. ADDITIONAL SHARES ISSUED DURING THE P	ERIOD: N.	А.					
DATE NO. OF	SHARES	đ	AMOUNT				
SECONDARY LICENSE/REGISTRATION WITH SEC	CANDOT	IED COULT ACT	ICV				
NAME OF AGENCY: SEC	CANDUIT		S P			10	
TYPE OF Certificate of						I C	
LICENSE/REGN. Incorporation/Regist	tration	Ν	N.A.			N.A.	
DATE ISSUED: 17-Jan-00	DATE ISSUED:					N.A.	
DATE STARTED OPERATIONS: 1-May-00		Ν	I.A.			N.A.	
TOTAL ANNUAL COMPENSATION OF DIRECTORS DURING THE PRECEDING FISCAL YEAR (in PhP)	TOTAL	NO. OF OFFICER	s	TOTAL NO. OF FILE EMPLO		TOTAL MANPOWER COMPLEMENT	
315,455.00		<u>6</u>		0		0	
NOTE: U	SE ADDITI	ONAL SHEET IF N	VECE	SSARY			

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GIS\_STOCK (v.2020)

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Doc. No. Page No. Book No. Series of 2022

ANNA KATRI (Signature over printed name)

PASAY CITY

1 5 MAR 2022

SUBSCRIBED AND SWORN TO before me in \_ by affiant who on personally appeared before me and exhibited to me her Driver's License No. valid until September 1, 2022.

## I, ANNA KATRINA C. KABIGTING-IBERO. Corporate Secretary of Sun Life of Canada Properity

Philippine Equity Fund. Inc. declare under penalty of perjury that all matters set forth in this GIS have been made in good faith, duly verified by me and to the best of my knowledge and belief are true and correct.

I hereby attest that all the information in this GIS are being submitted in compliance with the rules and regulations of the Securities and Exchange Commission (SEC) the collection, processing, storage and sharing of said information being necessary to carry out the functions of public authority for the performance of the constitutionally and statutorily mandated functions of the SEC as a regulatory agency.

I further attest that I have been authorized by the Board of Directors/Trustees to file this GIS with the SEC.

I understand that the Commission may place the corporation under delinquent status for failure to submit the reportorial requirements three (3) times, consecutively or intermittently, within a period of five (5) years (Section 177, RA No. 11232).

Done this date and place indicated below.

NOTARY PUBLIC MILAN

ABIGTING-IBERO

Nolarv ublic Appointm ent No 66 / Until 12-31-2022 Roll No. 45790 / IBP Lifetime No. 04897 / 7-3-03 PTR O.R. No. 8531012 / 1-4-22 / Pasay City MCLF No. VI-0016565 / 01-14-19

### BENEFICIAL OWNERSHIP DECLARATION FOR THE YEAR: 2021

SEC REGISTRATION NUMBER: A199908711 CORPORATE NAME: SUN LIFE OF (

### SUN LIFE OF CANADA PROSPERITY PHILIPPINE EQUITY FUND, INC.

### Instructions:

- 1. Identify the Beneficial Owner/s of the corporation as described in the Categories of Beneficial Ownership in items A to I below. List down as many as you can identify. You may use an additional sheet if necessary.
- 2. Fill in the required information on the beneficial owner in the fields provided for.
- 3. In the "Category of Beneficial Ownership" column, indicate the letter(s) corresponding thereto. In the event that the person identified as beneficial owner falls under several categories, indicate all the letters corresponding to such categories.
- 4. If the category is under letter "I", indicate the position held (i.e., Director/Trustee, President, Chief Executive Officer, Chief Operating Officer, Chief Financial Officer, etc.).
- 5. Do not leave any item blank. Write "N/A" if the information required is not applicable or "NONE" if non-existent.

"Beneficial Owner" refers to any natural person(s) who ultimately own(s) or control(s) or exercise(s) ultimate effective control over the corporation. This definition covers the natural person(s) who actually own or control the corporation as distinguished from the legal owners. Such beneficial ownership may be determined on the basis of the following:

#### Category

#### Description

- A Natural person(s) owning, directly or indirectly or through a chain of ownership, at least twenty-five percent (25%) of the voting rights, voting shares or capital of the reporting corporation.
- Natural person(s) who exercise control over the reporting corporation, alone or together with others, through any contract, understanding, relationship, intermediary or tiered entity.
- C Natural person(s) having the ability to elect a majority of the board of directors/trustees, or any similar body, of the corporation.
- **D** Natural person(s) having the ability to exert a dominant influence over the management or policies of the corporation.
- E Natural person(s) whose directions, instructions, or wishes in conducting the affairs of the corporation are carried out by majority of the members of the board of directors of such corporation who are accustomed or under an obligation to act in accordance with such person's directions, instructions or wishes.
- F Natural person(s) acting as stewards of the properties of corporations, where such properties are under the care or administration of said natural person(s).
- **G** Natural person(s) who actually own or control the reporting corporation through nominee shareholders or nominee directors acting for or on behalf of such natural persons.
- H Natural person(s) ultimately owning or controlling or exercising ultimate effective control over the corporation through other means not falling under any of the foregoing categories.
- Natural person(s) exercising control through positions held within a corporation (i.e., responsible for strategic decisions that fundamentally affect the business practices or general direction of the corporation such as the members of the board of directors or trustees or similar body within the corporation; or exercising executive control over the daily or regular affairs of the corporation through a senior management position). This category is only applicable in exceptional cases where no natural person is identifiable who ultimately owns or exerts control over the corporation, the reporting corporation having exhausted all reasonable means of identification and provided there are no grounds for suspicion.

COMPLETE NAME (Surname, Given Name, Middle Name, Name Extension (i.e., Jr., Sr., III)	SPECIFIC RESIDENTIAL ADDRESS	NATIONALITY	DATE OF BIRTH	TAX IDENTIFICATION NO.	% OF OWNERSHIP <sup>1</sup> / % OF VOTING RIGHTS <sup>2</sup>	TYPE OF BENEFICIAL OWNER <sup>3</sup> Direct (D) or Indirect (I)	CATEGORY OF BENEFICIAL OWNERSHIP
*List of Top 20 shareholders submitted separately (confidential) to the SEC- CGFD on 11 August 2021.							

#### Note: This page is not for uploading on the SEC iView.

<sup>1</sup> For Stock Corporations.

<sup>2</sup> For Non-Stock Corporations.

<sup>3</sup> For Stock Corporations.