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Company Information

SEC Registration No.: A199908715

Company Name: SUN LIFE OF CANADA PROSPERITY BOND FUND INC.

Industry Classification: J66910 Company Type: Stock Corporation

Document Information

Document ID: OST1093020228790674

Document Type: General Information Sheet

Document Code: GIS

Period Covered: July 13, 2022

Submission Type: Amendment, Annual Meeting

Remarks: None

Acceptance of this document is subject to review of forms and contents

FOR THE YEAR 2022

STOCK CORPORATION

GENERAL INSTRUCTIONS:

- 1. FOR USER CORPORATION: THIS GIS SHOULD BE SUBMITTED WITHIN THIRTY (30) CALENDAR DAYS FROM THE DATE OF THE ANNUAL STOCKHOLDERS' MEETING. DO NOT LEAVE ANY ITEM BLANK. WRITE "N.A." IF THE INFORMATION REQUIRED IS NOT APPLICABLE TO THE CORPORATION OR "NONE" IF THE INFORMATION IS NON-EXISTENT. IF THE ANNUAL STOCKHOLDERS' MEETING IS HELD ON A DATE OTHER THAN THAT STATED IN THE BY-LAWS, THE GIS SHALL BE SUBMITTED WITHIN THIRTY (30) CALENDAR DAYS AFTER THE ELECTION OF THE DIRECTORS, TRUSTEES AND OFFICERS OF THE CORPORATION AT THE ANNUAL MEMBERS' MEETING.
- IF NO MEETING IS HELD, THE CORPORATION SHALL SUBMIT THE GIS NOT LATER THAN JANUARY 30 OF THE FOLLOWING YEAR. HOWEVER, SHOULD AN ANNUAL STOCKHOLDERS' MEETING BE HELD THEREAFTER, A NEW GIS SHALL BE SUBMITTED/FILED.
- 3. THIS GIS SHALL BE ACCOMPLISHED IN ENGLISH AND CERTIFIED AND SWORN TO BY THE CORPORATE SECRETARY OF THE CORPORATION.
- 4. THE SEC SHOULD BE TIMELY APPRISED OF RELEVANT CHANGES IN THE SUBMITTED INFORMATION AS THEY ARISE. FOR CHANGES RESULTING FROM ACTIONS THAT AROSE BETWEEN THE ANNUAL MEETINGS, THE CORPORATION SHALL SUBMIT AMENDED GIS CONTAINING THE NEW INFORMATION TOGETHER WITH A COVER LETTER SIGNED THE CORPORATE SECRETARY OF THE CORPORATION. THE AMENDED GIS AND COVER LETTER SHALL BE SUBMITTED WITHIN SEVEN (7) DAYS AFTER SUCH CHANGE OCCURED OR BECAME EFFECTIVE.
- 5. SUBMIT FOUR (4) COPIES OF THE GIS TO THE RECEIVING SECTION AT THE SEC MAIN OFFICE, OR TO SEC SATELLITE OFFICES OR EXTENSION OFFICES. ALL COPIES SHALL UNIFORMLY BE ON A4 OR LETTER-SIZED PAPER. THE PAGES OF ALL COPIES SHALL USE ONLY ONE SIDE
- 6. ONLY THE GIS ACCOMPLISHED IN ACCORDANCE WITH THESE INSTRUCTIONS SHALL BE CONSIDERED AS HAVING BEEN FILED.
- 7. THIS GIS MAY BE USED AS EVIDENCE AGAINST THE CORPORATION AND ITS RESPONSIBLE DIRECTORS/OFFICERS FOR ANY VIOLATION OF EXISTING LAWS, RULES AND REGULATIONS

		= PLEASE PRINT LEGIBLY	========	******************	i	
CORPORATE NAME:	DATE REGISTERED:					
SUN LI		1/19/2000				
BUSINESS/TRADE NAME: SUN LI	FISCAL YEAR END:					
SEC REGISTRATION NUMBER:			Series .			
	December 31					
DATE OF ANNUAL MEETING PER BY-LAW	CORPORATE TAX IDENTIFICATION					
DATE OF ANNUAL MEETING PER BI-LAW	NUMBER (TIN)					
	EVERY FOURTH F	DIDAY OF HINE			204-843-519	
ACTUAL DATE OF ANNUAL MEETING:	EVERT FOURTH	RIDAT OF JUNE			WEBSITE/URL ADDRESS:	
	13 July	2022			www.sunlife.com.ph	
COMPLETE PRINCIPAL OFFICE ADDRESS					E-MAIL ADDRESS:	
SUN LIFE CENTRE, 5TH AVEN	UE CORNER RIZAL DR	IVE, BONIFACIO GLOBA	L CITY, 1	634 TAGUIG CITY	AnnaKatrina.Kabigting- lbero@sunlife.com	
COMPLETE BUSINESS ADDRESS:					FAX NUMBER:	
SUN LIFE CENTRE, 5TH AVEN	UE CORNER RIZAL DR	IVE, BONIFACIO GLOBA	L CITY, 1	634 TAGUIG CITY	(632) 8849 9727	
OFFICIAL E-MAIL ADDRESS	ALTERNATE E	-MAIL ADDRESS	OFFICIAL MOBILE NUMBER		ALTERNATE MOBILE NUMBER	
sunlife_sec_communications@sunlife.com	sunlife_sec_commur	nications2@sunlife.com	0999 - 9917178		0917-8024021	
NAME OF EXTERNAL AUDITOR & ITS SIG	NING PARTNER:		SEC ACCR	EDITATION NUMBER (if applicable):	TELEPHONE NUMBER(S):	
NAVARRO AMPER & CO. / DELOITTE TOU	CHE TOHMATSU - JOE	FFREY MARK FERRER		SEC A.N. 0004-SEC	(632) 8555 8888	
PRIMARY PURPOSE/ACTIVITY/INDUSTR	Y PRESENTLY ENGAG	GED IN:	INDUST	RY CLASSIFICATION:	GEOGRAPHICAL CODE:	
INVESTME	NT COMPANY			N.A.	N.A.	
***************************************	======= INTEI					
PARENT COMPANY		SEC REGISTRATIO	N NO.	AD	DRESS	
N.A		N.A	on i Nobel		N.A DRESS	
SUBSIDIARY/AFFILIAT	SUBSIDIARY/AFFILIATE SEC REGISTRATION NO.					
N.A N.A					N.A	
	N/OWN 1	HER ADDITIONAL CUPETA	NECECC	IDV.		
	NOTE	USE ADDITIONAL SHEET I	MELESSA	MI		

AMENDED GENERAL INFORM STOCK CORPORAT ====================================	TION
	PROSPERITY BOND FUND, INC.
A. Is the Corporation a covered person under the Anti Mon Act (AMLA), as amended? (Rep. Acts. 9160/9164/10167	ney Laundering 🕟 Yes 🧠 No
Please check the appropriate box:	
1.	*
 a. Banks b. Offshore Banking Units c. Quasi-Banks d. Trust Entities 	4. Jewelry dealers in precious metals, who, as a business, trade in precious metals
 e. Non-Stock Savings and Loan Associations f. Pawnshops g. Foreign Exchage Dealers h. Money Changers i. Remittance Agents j. Electronic Money Issuers 	5. Jewelry dealers in precious stones, who, as a business, trade in precious stone
 k. Financial Institutions which Under Special Laws are subject to Bangko Sentral ng Pilipinas' (BSP) supervision and/or regulation, including their subsidiaries and affiliates. 2. 	Company service providers which, as a business, provide any of the following services to third parties:
a. Insurance Companies b. Insurance Agents c. Insurance Brokers d. Professional Reinsurers e. Reinsurance Brokers f. Holding Companies g. Holding Company Systems h. Pre-need Companies i. Mutual Benefit Association j. All Other Persons and entities supervised and/or regulated by	 a. acting as a formation agent of juridical persons b. acting as (or arranging for another person to act as) a director or corporate secretary of a company, a partner of a partnership, or a similar position in relation to other juridical persons c. providing a registered office, business address or accommodation, correspondence or administrative address for a company, a partnership or any other
the Insurance Commission (IC)	legal person or arrangement
3. a. Securities Dealers b. Securities Brokers	d. acting as (or arranging for another person to act as) a nominee shareholder for another person
C. Securities Salesman	Persons who provide any of the following services:
d. Investment Houses e. Investment Agents and Consultants f. Trading Advisors	a. managing of client money, securities or other assets b. management of bank, savings or securities accounts
 □ g. Other entities managing Securities or rendering similar services □ h. Mutual Funds or Open-end Investment Companies □ i. Close-end Investment Companies 	c. organization of contributions for the creation, operation or management of companies
 j. Common Trust Funds or Issuers and other similar entities k. Transfer Companies and other similar entities l. Other entities administering or otherwise dealing in currency, commodities or financial derivatives based there on 	 d. creation, operation or management of juridical persons or arrangements, and buying and selling business entities
m. Entities administering of otherwise dealing in valuable objects	8. None of the above
 n. Entities administering or otherwise dealing in cash Substitutes and other similar monetary instruments or property supervised and/or regulated by the Securities and Exchange Commission (SEC) 	Describe Investment Company nature of business:
B. Has the Corporation complied with the requirements on Cust (CDD) or Know Your Customer (KYC), record-keeping, and su under the AMLA, as amended, since the last filing of its GIS?	tomer Due Diligence this ion of reports Yes No

STOCK CORPORATION _____ SUN LIFE OF CANADA PROSPERITY BOND FUND, INC. CORPORATE NAME: CAPITAL STRUCTURE AUTHORIZED CAPITAL STOCK NUMBER OF AMOUNT (PhP) TYPE OF SHARES * PAR/STATED VALUE (No. of shares X Par/Stated Value) SHARES 38,000,000.00 0.01 3,800,000,000 Common 38,000,000.00 TOTAL P TOTAL 3,800,000,000 SUBSCRIBED CAPITAL NUMBER OF NO. OF % OF NUMBER OF SHARES IN THE PAR/STATED AMOUNT (PhP) **TYPE OF SHARES *** FILIPINO STOCK-HANDS OF THE VALUE OWNERSHIP SHARES HOLDERS PUBLIC ** 97.34% 0.01 13,628,840.04 1,362,884,004 7,512 Common 1,362,884,004 TOTAL 1,362,884,004 TOTAL TOTAL P 13,628,840.04 NUMBER OF FOREIGN NO. OF % OF NUMBER OF SHARES IN THE PAR/STATED AMOUNT (PhP) TYPE OF SHARES * (INDICATE BY STOCK-OWNERSHIP HANDS OF THE VALUE SHARES HOLDERS NATIONALITY) PUBLIC ** 0.12% 17,052.44 0.01 19 Common 1,705,244 1,705,244 American 0.07% 1,042,697 0.01 10,426.97 1.042.697 British Common 90,557 90,557 0.01 905.57 0.01% Canadian Common 106,647.10 0.76% Common 10,664,710 0.01 20 10,664,710 Chinese 0.01 269.13 0.00% 26,913 26,913 Dutch Common 197.008.44 1.41% Indian 19,700,844 19,700,844 0.01 Common 3,821.29 0.03% 382,129 0.01 382.129 Common Japanese 208,810 208,810 0.01 2,088.10 0.01% South Korean Common 11,332.69 1,133,269 0.01 0.08% 1,133,269 3 Common Malaysian 0.01 9.244.64 0.07% 924,464 924,464 Singaporean Common 13,372.53 0.10% 1,337,253 0.01 Common 1,337,253 37,216,890 TOTAL 372,168.90 Percentage of Foreign Equity: TOTAL TOTAL TOTAL SUBSCRIBED P 14,001,008.94 PAID-UP CAPITAL NO. OF % OF NUMBER OF PAR/STATED VALUE FILIPINO STOCK-TYPE OF SHARES * AMOUNT (PhP) OWNERSHIP SHARES HOLDERS 97.34% 1,362,884,004 0.01 13,628,840.04 7.512 Common 13,628,840.04 TOTAL 1,362,884,004 TOTAL P NO. OF FOREIGN % OF NUMBER OF PAR/STATED VALUE AMOUNT (PhP) TYPE OF SHARES * (INDICATE BY STOCK-OWNERSHIP SHARES NATIONALITY) HOLDERS 0.01 17,052.44 0.12% 1,705,244 Common 19 American 0.01 10,426.97 0.07% British Common 1,042,697 0.01 905.57 0.01% 90,557 Canadian Common 0.01 106.647.10 0.76% Chinese 20 Common 10,664,710 0.01 269.13 0.00% 26.913 Dutch Common 197.008.44 1.41% 0.01 Indian Common 19,700,844 382,129 0.01 3,821.29 0.03% Japanese Common 0.01 2.088.10 0.01% South Korean Common 208,810 0.01 11,332.69 0.08% 1.133.269 Malaysian Common 9,244.64 0.07% 0.01 Singaporean Common 924,464 1,337,253 0.01 13,372.53 0.10% **US** Citizen Common 372,168.90 TOTAL 37,216,890 TOTAL P 2.66 % TOTAL PAID-UP 14,001,008.94

			_
NOTE: USE ADDITIONAL	SHEET	IF NECESSAR	Y

Common, Preferred or other classification

Other than Directors, Officers, Shareholders owning 10% of outstanding shares.

STOCK CORPORATION

CORPORATE NAME:	SUN LIFE OF C	AND SECTION OF THE PARTY OF	The second second	FFICER				
NAME/CURRENT RESIDENTIAL ADDRESS	NATIONALITY	INC'R		GENDER	STOCK HOLDER	OFFICER	EXEC. COMM.	TAX IDENTIFICATION
1. BENEDICTO C. SISON	H	N	С	М	Υ	Chairman	CG/M	
2. VALERIE N. PAMA		N	м	F	Y	President	N.A	
3. CESAR LUIS F. BATE		N	I	М	Y	N	A&C/C	
4. TERESITA J. HERBOSA		N	I	F	Y	N	A&C/M and CG/C	
5. CIELITO F. HABITO	-	N	I	М	Y	N	A&C/M and CG/M	
6. MA. JEMILYN S. CAMANIA		N	N	F	N	Compliance Officer, Data Protection Officer & Money Laundering Reporting Officer	N.A.	
7. JEANEMAR S. TALAMAN		N	N	E	N	Treasurer	N.A.	
8. ANNA KATRINA C. KABIGTING-IBERO		N	N	F	N	Corporate Secretary	N.A.	
9. FRANCES IANNA S. CANTO		N	N	F	N	Asst. Corporate Secretary	N.A.	
10. nothing follows								
11.								
12.								
13.								
14.								
15.								

FOR SEX COLUMN, PUT "F" FOR FEMALE, "M" FOR MALE.

FOR BOARD COLUMN, PUT "C" FOR CHAIRMAN, "M" FOR MEMBER, "I" FOR INDEPENDENT DIRECTOR.

FOR INC'R COLUMN, PUT "Y" IF AN INCORPORATOR, "N" IF NOT.

FOR STOCKHOLDER COLUMN, PUT "Y" IF A STOCKHOLDER, "N" IF NOT.

FOR OFFICER COLUMN, INDICATE PARTICULAR POSITION IF AN OFFICER, FROM VP UP INCLUDING THE POSITION OF THE TREASURER,

SECRETARY, COMPLIANCE OFFICER AND/OR ASSOCIATED PERSON.

FOR EXECUTIVE COMMITTEE, INDICATE "C" IF MEMBER OF THE COMPENSATION COMMITTEE; "A" FOR AUDIT COMMITTEE; "N" FOR NOMINATION

CIS STAND ELECTION COMMITTEE. ADDITIONALLY WRITE "C" AFTER SLASH IF CHAIRMAN AND "M" IF MEMBER.

STOCK CORPORATION

=======================================	=======	PLEASE PRIN	T LEGIBLY =====	======	========	======	
CORPORATE NAME:	SUN LIFE (OF CANADA P	ROSPERITY BOND				
TOTAL NUMBER OF STOCKHOLDERS:	STOCKHOLDERS: 7,591 NO. OF STOCKHOLDERS WITH 10 SHARES EACH:						
TOTAL ASSETS BASED ON LATEST AUDITED FINA	ANCIAL STATI	EMENTS:	PHP 4,696,355,860				
	ST	OCKHOLDER'S	INFORMATION				
00		SHARES	AMOUNT				
NAME, NATIONALITY AND CURRENT RESIDENTIAL ADDRESS	ТҮРЕ	NUMBER	AMOUNT (PhP)	% OF PAID (PhP)		TAX IDENTIFICATION NUMBER	
1 BENEDICTO C. SISON	common	1	0.01		1.		
				0.00%	0.01		
	TOTAL	1	0.01		V43.00.00		
			00000000				
2. CESAR LUIS F. BATE	common	1	0.01				
				0.00%	0.01		
	TOTAL	1	0.01				
3. TERESITA J. HERBOSA	common	1	0.01				
				0.00%	0.01		
	TOTAL	1	0.01	1			
4. CIELITO F. HABITO	common	1	0.01				
				0.00%	0.01		
				i resemble	77.01		
	TOTAL	1	1,000,000				
5. VALERIE N. PAMA	common	1	0.01				
				0.00%	0.01		
6	TOTAL	-	0.01		,		
6. OTHERS	common	1,400,100,889	14,001,008.89				
*List of Top 20 shareholders submitted				100.00%	14,001,008.89		
separately (confidential) to the SEC-CGFD on 11 August 2022	TOTAL	1,400,100,889	14,001,008.89				
7. nothing follows				11			
				-			
	CIPCOTIT	D CARLEAU					
TOTAL AMOUNT OF	SUBSCRIBE		14,001,008.94		1.	4,001,008.94	
		TOTAL AMO	OUNT OF PAID-UP CA	APITAL			

INSTRUCTION: SPECIFY THE TOP 20 STOCKHOLDERS AND INDICATE THE REST AS OTHERS

Note: For PDTC Nominee included in the list, please indicate further the beneficial owners owning more than 5% of any class of the company's voting securities. Attach separate sheet, if necessary.

STOCK CORPORATION

	=======	PLEASE PRIN	T LEGIBLY =====			======
CORPORATE NAME:	SUN LIFE	OF CANADA P	ROSPERITY BON			
TOTAL NUMBER OF STOCKHOLDERS:			NO. OF STOCKHOLE SHARES EACH:	DERS WITH 10	O OR MORE	
TOTAL ASSETS BASED ON LATEST AUDITED FIN.	ANCIAL STAT	EMENTS:				
	ST	OCKHOLDER'S	INFORMATION			
		SHARES	AMOUNT			
NAME, NATIONALITY AND CURRENT RESIDENTIAL ADDRESS	ТҮРЕ	NUMBER	AMOUNT (PhP)	% OF OWNER- SHIP	PAID (PhP)	TAX IDENTIFICATION NUMBER
8.						
				4		
				+		
9.						
12001				1		
10.				-		
				+ 1	,	a a
11.						
				-		
12.						
				_		
12				-		
13.						
N.						
14.						
				-		
TOTAL AMOUNT O	SUBSCRIB	ED CAPITAL				
		TOTAL AMO	OUNT OF PAID-UP	CAPITAL		

INSTRUCTION: SPECIFY THE TOP 20 STOCKHOLDERS AND INDICATE THE REST AS OTHERS

Note: For PDTC Nominee included in the list, please indicate further the beneficial owners owning more than 5% of any class of the company's voting securities. Attach separate sheet, if necessary.

STOCK CORPORATION

=======================================	========	PLEASE PRI	NT LEGIBLY =====		=======	======
CORPORATE NAME:			PROSPERITY BON	ID FUND, IN	c.	
TOTAL NUMBER OF STOCKHOLDERS:	DERS WITH 10	O OR MORE				
TOTAL ASSETS BASED ON LATEST AUDITED FIN	ANCIAL STAT	EMENTS:				
	ST	OCKHOLDER'S	INFORMATION			
		SHARES	SUBSCRIBED	_	AMOUNT	
NAME, NATIONALITY AND CURRENT RESIDENTIAL ADDRESS	ТУРЕ	NUMBER	AMOUNT (PhP)	% OF OWNER- SHIP	PAID (PhP)	TAX IDENTIFICATION NUMBER
15.						
				-		
				1		
16.						
				-		
17.						
]		
18.						
				1		
]		
10			r			
19.	-			4		
	,				-	
20.				1		
				-		
21.						
				4		
TOTAL AMOUNT O	F SUBSCRIB					
		TOTAL AM	OUNT OF PAID-UP	CAPITAL		

INSTRUCTION: SPECIFY THE TOP 20 STOCKHOLDERS AND INDICATE THE REST AS OTHERS

Note: For PDTC Nominee included in the list, please indicate further the beneficial owners owning more than 5% of any class of the company's voting securities. Attach separate sheet, if necessary.

STOCK CORPORATION

		TI SCHOOL SHE	SE PRINT LEGIPLY			
CORPORATE NAME: SU	N LIFE OF CANADA	7. 141120000	SE PRINT LEGIBLY RITY BOND FUN	D, INC.		
1. INVESTMENT OF CORPORATE			AMOUNT (Ph	P)	DATE OF	F BOARD RESOLUTION
FUNDS IN ANOTHER COF	RPORATION					Selfonsk
1.1 STOCKS			636,727,078.	17		N.A.
1.2 BONDS/COMMERCI by Private Corporat	tions)		685,783,040.	39		N.A.
1.3 LOANS/ CREDITS/	ADVANCES		247,700,593.	04		N.A.
1.4 GOVERNMENT TRE	ASURY BILLS		2,211,663,274	.12		N.A.
1.5 OTHERS			N.A.			N.A.
2. INVESTMENT OF CORPO SECONDARY PURPOSES		VITIES U	NDER ITS	DATE OF BO RESOLUTION		DATE OF STOCKHOLDERS RATIFICATION
	N.A.		1	N.A.		N.A.
3. TREASURY SHARES				NO. OF SHA	RES	% AS TO THE TOTAL NO. OF SHARES ISSUED
			2,328,424,866			62.45%
4. UNRESTRICTED/UNAPP	ROPRIATED RETAINS	ED EARNI	NGS AS OF END OF	LAST FISCAL YE	EAR Php 3,7	786,558,440
5. DIVIDENDS DECLARED I						
V. 4003-0400-1-010	DIVIDEND			OUNT (PhP)		DATE DECLARED
5.1 CASH				N.A.		N.A.
5.2 STOCK					N.A.	
5.3 PROPERTY				N.A.		N.A.
		TOTAL	P			
6. ADDITIONAL SHARES IS	The state of the s	- Carrier of the State	.A.		AMOU	NT
DATE	NO. OF	SHARES			AMOU	N I
SECONDARY LICENSE/REGI NAME OF AGENCY:	STRATION WITH SEC	CAND OT				IC
TYPE OF	pen End Investment C	ompany	BSP N.A.		N.A.	
DATE ISSUED:	19-Jan-00		N.	Α.	N.A.	
DATE STARTED OPERATIONS:	1-May-00		N.	Α.		N.A.
TOTAL ANNUAL COM DIRECTORS DURING THE YEAR (in F	PRECEDING FISCAL	TOTAL	L NO. OF OFFICERS TOTAL NO. FILE EMP		하게 하는 사람들이 가게 되었다. 하는 사람들은 사람들은 사람들은 사람들이 되었다. 그 사람들은 사람들은 사람들은 사람들은 사람들은 사람들은 사람들이 되었다.	
421,308	3		6	0		0

I, <u>ANNA KATRINA C. KABIGTING-IBERO</u>. Corporate Secretary of <u>Sun Life of Canada Prosperity Bond</u> <u>Fund. Inc.</u> declare under penalty of perjury that all matters set forth in this GIS have been made in good faith, duly verified by me and to the best of my knowledge and belief are true and correct.

I hereby attest that all the information in this GIS are being submitted in compliance with the rules and regulations of the Securities and Exchange Commission (SEC) the collection, processing, storage and sharing of said information being necessary to carry out the functions of public authority for the performance of the constitutionally and statutorily mandated functions of the SEC as a regulatory agency.

I further attest that I have been authorized by the Board of Directors/Trustees to file this GIS with the SEC.

I understand that the Commission may place the corporation under delinquent status for failure to submit the reportorial requirements three (3) times, consecutively or intermittently, within a period of five (5) years (Section 177, RA No. 11232).

Done this date and place indicated below.

ANNA KATRINAC, KABIGTING-IBERO
(Signature over printed name)

SUBSCRIBED AND SWORN TO before me in ______ on _____ by affiant who personally appeared before me and exhibited to me her Driver's License No. _____ valid until September 1, 2032.

Doc. No. Page No. 70

Book No. _/√

Series of 2022

NOTARY PUBLIC

ATTY JULES R. MILAN

Appointment No. M-66 / Until 12-31-2022

PTR O.R. No. 9521012 / 1-4-22 / Pasay City MCLF No. VI-0016565 / 01-14-19