

# **ANNUAL STATEMENT**

OF

## **TRUST FUND**

# SUN LIFE FINANCIAL PLANS, INC.

5th Floor, Sun Life Centre, 5th Avenue comer Rizal Drive, Bonifacio Global City, Taguig City 1634

Submitted to the

### **INSURANCE COMMISSION**

Manila, Philippines

For the Year Ended

**DECEMBER 31, 2016** 

**EDUCATION PLAN** 

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		SUN LIFE FINANCIAL PLANS, INC.	
		EDUCATION PLAN	
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ANNUAL STATE	MENT for the Year Ended Decem	iber 31, 2016 of Sun Life Financ	ilai Plans, inc.	
	EDUC	ATION PLAN		
icense Number	PN-2016-16-R	Date of Issue	December 29, 2015	
	A200014495	Date of Issue	September 18, 2000	
	September 18, 2000	Commenced Business on	June 1, 2001	
ax Identification Number	208-551-659-000			
	2 <sup>nd</sup> Floor, Sun Life Centre, 5 <sup>th</sup> Avenue	corner Rizal Drive, Bonifacio Globa	l City, Taguig City	
	555-8888	FAX Number	849	9619
Email Address		Website	www.sun	ife.com.ph
	MEMBERS OF THE E	BOARD, OFFICERS AND EMPLOY		of Office
Position	Name	Nationality	From	To
Chairman	Karim Gilani	Canadian	March 7, 2016	March 23, 2017
Vice-Chairman				
Members	Rizalina G. Mantaring	Filipino	March 7, 2016	March 23, 2017
Independent Directors:	Francisco S. A Sandejas	Filipino	March 7, 2016	March 23, 2017
	Oscar S. Reyes	Filipino	March 7, 2016	March 23, 2017
President	Alexander S. Narciso	Filipino	March 7, 2016	March 23, 2017
Vice-President		<del></del>		
Secretary	Jemilyn S. Camania	Filipino	March 7, 2016	March 23, 2017
Asst.Corp Secretary	Maria Cecilia V. Soria	Filipino	March 7, 2016	December 4, 2016
	Anna Katrina C. Kabigting- Ibero	Filipino	December 5, 2016	March 23, 2017
Treasurer	Maria Josefina A. Castillo	Filipino	March 7, 2016	March 23,2017
General Manager				
Actuary			·	
Department Heads:			•	
Underwriting		· · · · · · · · · · · · · · · · · · ·		<del> </del>
Sales				
Investments				
Administrations				
Claims			ii	
			i	
Chief Accountant				
Internal Auditor	Joel O. Bungabong	Filipino	March 7, 2016	March 23, 2017
External Auditor	Navarro Amper & Co.			
Compliance Officer:	Conchitina D. L Gregorio	Filipino	March 7, 2016 (	March 22, 2017
·	Ajee T.Co	Filipino	March 23, 2017	
Trustees:				
			· ·	
Number of Salaried Officers	s 0	Number of Salaried Employees	,	0
Number of Branches	47	Number of Sales Counselors		SER
	71			336
Branch Office Address:		Name of Manager:		•
	<del> </del>		<del>: : : : : : : : : : : : : : : : : : : </del>	
		-	1	

### ANNUAL STATEMENT of Trust Fund for the Year Ended December 31, 2016 of Sun Life Financial Plans, Inc.

### **EDUCATION PLAN**

### **EXHIBIT 1 - BALANCE SHEET**

	ASSETS	Current Year	% to Total Equity	Previous Year	% to Total Equity
1	Government Securities (Schedule 1)	1,724,552,347	78.58%	1,945,400,490	88.51%
2	Cash in Savings/Time Deposits (Schedule 2)	196,115,254	8.94%	226,981,746	10.33%
3	Mutual Funds/UITF (Schedule 3)			-	
4	Short Term Investments (Schedule 4)			-	
5	Corporate Bonds (Schedule 5)	60,354,985	2.75%	-	
6	Mortgage Loans (Schedule 6)			-	
7	Planholders' Loans (Schedule 7)			-	
8	Stocks (Schedule 8)	187,400,208	8.54%	-	
9	Real Estate (Schedule 9)			-	
10	Other Investments (Schedule 10)			-	
11	Accrued Investment Income (Schedule 11)	25,025,178	1.14%	26,748,907	1.22%
12	Other Assets (Schedule 12)	1,847, <u>243</u>	0.08%		0.00%
13	Total Assets	2,195,295,215		2,199,131,144	
	LIABILITIES				
14	Accrued Trust Fees (Schedule 13)	557,383	-0.03%	1,212,589	-0.06%
16	Other Liabilities (Schedule 15)	1,562	0.00%	· -	0.00%
	Total Liabilities	558,944		1,212,589	_
	FUND EQUITY				
18	Fund Balance (beginning)	1,111,036,235		1,213,307,837	
19	Additional Contribution (current year) (Exhibit 4)	61,624,044		54,548,807	
20	Withdrawals (current year) (Exhibit 5)	(153,723,513)	)	(156,820,409	)
21	Adjustments (prior periods) (Schedule 1-15)	,			
22	Fund Balance (ending)	1,018,936,766		1,111,036,235	
23	Retained Earnings (beginning)	951,595,728		837,769,512	
24	Net Income (Loss) - (curent year) (Exhibit 2)	142,143,287		113,826,216	l
25	Retained Earnings (end)	1,093,739,015		951,595,728	;
26	Net Unrealized Income (Loss) (Schedule 1-10)	82,060,490	_	135,286,592	<u>.                                    </u>
27	Total Fund Equity	2,194,736,271	_	2,197,918,555	<u> </u>
28	Total Liabilities & Fund Equity	2,195,295,215		2,199,131,144	ļ

# ANNUAL STATEMENT of Trust Fund for the Year Ended December 31, 2016 of Sun Life Financial Plans, Inc.

### **EDUCATION PLAN**

### **EXHIBIT 2 - INCOME STATEMENT**

INCOME	Current Year	Previous Year
1 Interest Income		
Special Deposit Account	2,150,684	11,389
Deposits in Bank	1,512,867	3,536,303
Government Securities	142,910,638	118,299,241
Other Securities and Debt Instruments		-
Others (Specify)		-
2 Gain on Sale of Securities		i -
Government Securities	1,738,031	1,621,463
Stocks	1,030,047	· -
Preferred Shares		•
Others (Specify)		•
3 Dividend Income		•
Preferred Shares		-
Stocks	1,368,498	-
4 Other Income		
5 Total Income before Expenses	150,710,764	123,468,396
EXPENSES		
6 Trust and Management Fee	8,294,501	9,605,518
7 Other Expenses (Service charge)	110,804	36,662
8 Total Expenses	8,405,305	9,642,180
NET INCOME BEFORE TAX	142,305,460	113,826,216
9 Taxes (Specify)		
Final Tax	162,172	
NET INCOME AFTER TAXES	142,143,287	113,826,216
RETURN ON INVESTMENTS	7.05%	5.69%

AN	NUAL STATEMENT of Trust Fund for th	e Year Ended December 31, 20 Plans, Inc.	016 of Sun Life Financial									
	EDUCATION PLAN											
	EXHIBIT 3	- LIST OF TRUSTEES										
	Name of	Account	Truck Fund Fauity									
	Trustee	Number	Trust Fund Equity									
	(1)	(2)	(3)									
1	Banco de Oro Non Par	210-78082-1	1,486,779,442									
2	Banco de Oro Par	210-78056-1	707,956,828									
3	(											
4	1											
5												
6												
8												
9												
10												
		TOTALS	2,194,736,270									

		Inc.		
		EDUCATION	PLAN	
EX	HIBIT 4 - SUMMAR	Y OF MONTHLY DEPOSIT	S TO THE TRUST FUND	(Per Trustee)
Name of Trustee Bank  Banco De Oro Non Par  Banco De Oro Par	* Annah	Amount of	Amount of	
	Month	Required Deposit	Actual Deposit	Remarks
	(1)	(2)	(3)	(4)
1	1	\-/	\+\frac{\+\}{\}	( (7)
I .				
	January	414,805	598,641	
	February	665,172	33,804,871	• • • • • • • • • • • • • • • • • • • •
	March	947,625	462,397	
	April	1,307,286	829,161	
	May	665,697	1,463,140	
	June	683,109	619,286	
	July	509,332	604,987	
-1	August	582,627	5,331,452	1
	September	351,694	510,146	
	October	334,394	354,978	<del></del>
	November	218,686	287,770	<del></del>
	December	269,436	347,726	
		6,949,864		<del></del>
Banco		0,949,004	45,214,554	· · · · · · · · · · · · · · · · · · ·
				!
	January	444,723	436,916	
	February	782,534	7,476,298	
	March	1,820,846	1,556,248	
	April	1,864,234	1,825,091	
	May	616,686	1,141,322	
	June July	747,157	686,988	···
	August	545,582 737,077	572,844	<del></del>
	September	614,775	774,650 668,337	-
<del></del>	October	343,730	337,133	
	November	304,347	329,969	
	December	728,819	603,693	· · · i · · · · · · · · · · · · · · · ·
		9,550,509	16,409,490	· · · · · · · · · · · · · · · · · · ·
TOTALS		16,500,373	61 624 044	
		10,500,373	61,624,044	

		Veer Ended December 31	, 2016 of Sun Life Financial Plans, Inc.
ANNUAL STA	TEMENT of I rust bund for the	Teal Flided Becomes, 4.	, == · · · · · · · · · · · · · · · · · ·

### **EDUCATION PLAN**

Name of		Total Amount of		Breakdown / Purpose	of Withdrawal		Description for
Trustee	Month	Withdrawal	Planholders' Benefits	Trustee's Fees	Taxes	Others	"Others"
Bank		(3)	(4)	(5)	(6)	(7)	(8)
(1)	(2)	(3)	\ \ \ \ \ \ \ \ \ \ \ \ \ \ \ \ \ \ \				
Banco De Oro Non Par							
TOIL F &I	January	5,842,326	5,842,326	564,067	1,662,694		
	February	7,492,888	7,492,888	581,335	1,658,428		<u> </u>
_ <del>-</del>	March	8,382,863	8,382,863	581,960	1,665,108		<u> </u>
		6,826,079	6,826,079	583,018	1,672,128		
	April	6,870,755	6,870,755	584,741	1,677,647		
	May	10,369,070	10,369,070	603,930	1,740,704		
	June	21,282,180	21,282,180	603,642	1,626,701		
	July		3,330,737	602,230	1,605,685		
	August	3,330,737	7,710,937	(4,755)	4,038,534	-	
	September	7,710,937	11,054,848	389,879	4,641,178		
	October	11,054,848		381,384	1,674,618		
	November	8,804,517	8,804,517	376,220	1,504,047		
	December	7,106,294	7,106,294				·
		105,073,494	105,073,494	5,847,651	25,167,473		
De Oro Par					740,000	<u> </u>	
ra:	January	1,132,645	1,132,645	213,496	743,332 744,505		
	February	3,189,155		216,435	745,734		<del></del>
	March	8,710,823		218,820 218,266	747,163		
	April	2,069,268		218,628	758,056		
	May	2,078,656	3,333,851	224,440	1,108,524		
	June	3,333,851 7,245,325		229,949	730,985		
	July	2,196,763		229,717	871,515		
	August September	4,121,356		122,014	2,415,175		
	October	4,379,074		189,266	1,382,046		
	November	1,857,471	1,857,471	184,657	702,797		
	December	8,335,631	8,335,631	181,163	688,415		
		48,650,019	48,650,019	2,446,850	11,638,246		
			155 500 510	0 204 504	36,805,720		
TOTALS		153,723,513	153,723,513	8,294,501	30,000,120		

#### EDUCATION PLANS

### SCHEDULE 1- Government Securities

<del></del> -		SEC	URITY		DATE	ì	INITIAL ACCIL	JISITION/BO	OKING VALUE	Γ''	PRICE			T	_		INTEREST			
NAME OF			1					Underwriti	<u> </u>	At	At Closing/		1	Unrestized Gain	Annual	How	Collected	Accrued	Accrued	Earned
TRUSTEE BANK	Descr	ription	Serial No.	Acquired	Issued	Maturity	Face Value	ng Discount/ Premium	Acquisition Cost	Acquisition Date	Balance Sheet Date	Book Value	Market Value	(Loss)	Coupon	Peid*	Ouring the Year	Previous Year	Current Year	During the Year
1		2		3	4	5	- 6	7	8	9	10	11	12	13= (12-11)	14	15	16	17	18	19 = (16+18-17)
	DOMES	TIC ISSU	JES:										İ							
1	FXTN:														I					
	1	* * * * * * * * * * * * * * * * * * * *	PIBD1021K5TV	5/10/2012	11/24/2011		8,550,000		8,926,527	104.40	103.57	8,803,305	8,855,353	52,048	5.75	semi-annually every Nov 24 and May 24	<u> </u>	L		
	2	FXTN	PIBD1022LVTX	12/23/2013	12/6/2012	12/6/2022	7,000,000		7,265,333	103.79	98.51	7,193,834	6,895,590		4.00	semi-annually every Dec 6 and Jun 6				
	3	FXTN	PIBD2025J116	8/22/2011	10/20/2005		9,100,000		13,830,781	151.99	143,94	12,958,416	13,098,697	140,281		semi-annually every Oct 20 and Apr 20		!	ļ	
•		FXTN	PIBD2026A122	11/18/2014	1/19/2008		1,000,000		1,435,394	143.54	133.73	1,381,034	1,337,311	(43,722)		semi-ennually every Jul 19 and Jan 19			ļ ·	<u> </u>
i		FXTN_	PIBD20271140	3/25/2014	9/6/2007		10,000,000		13,595,894	135.98	127.59	13,106,929	12,759,146	(347,782)		semi-ennually every Sep 6 and Mar 6		ļ		.
	6	FXTN	PIBD2028L151	7/8/2010	12/4/2008		1,000,000	<del></del>	1,074,436	107.44	135.98	1,060,773	1,359,753	298,980	9.50	semi-annually every Dec 4 and Jun 4			ļ	<u> </u>
	7	FXTN	PIBD2030E166	5/27/2010	5/27/2010		6,570,000		7,308,114	111.23	132.08	7,228,482	8,676,313	1,447,831		semi-annually every Nov 27 and May 27			<u> </u>	
	8	FXTN	PIBD2031G171	7/19/2011	7/19/2011		80,000,000		62,510,573	103.14	128.31	82,053,963	102,651,989	20,598,026		semi-annually every Jul 19 and Jan 19			<del>                                     </del>	,
	9	FXTN	PIBD2525K015	1/20/2014	11/29/2000		7,500,000 1,400,000		15,496,579 2,272,620	208.62 162.33	181.27	14,162,997	13,595,377	(567,619)		semi-annually every Nov 29 and May 29			<del>                                     </del>	<del> </del>
		FXTN FXTN	PIBD2531A032 PIBD2531J042	10/30/2014 6/24/2010	1/26/2008 10/5/2008	1/26/2031	1,400,000		1,589,005	105.93	154.18 138.91	2,208,620 1,577,109	2,158,519 2,083,874	(50,101) 508,565		semi-annually every Jul 26 and Jan 26			-	<del> </del>
			PIBD25313042	4/7/2011	11/29/2007	11/29/2032	63,000,000	1 -	67,845,041	107.69	131.94	67,168,012	83,122,560	15,954,548		semi-annually every Oct 5 and Apr 5		<del></del>	<del> </del>	ļ
	12_		PIBD2532K057	5/25/2012	11/5/2009	11/29/2032	20,900,000		32,910,371	157,47	140.34	31,746,014	29,330,765	(2,415,249)		semi-annually every Nov 29 and May 29 semi-annually every Nov 5 and May 5		<del> </del>	<del> </del>	<del> </del>
		FXTN	PIBD2535I071	10/21/2013	9/30/2010	9/30/2035	1,800,000	<del> </del>	2,395,246	133.07	127.95	2,351,042	2,303,128	(47,914)		semi-annually every Nov 5 and May 5 semi-annually every Sep 30 and Mar 30		<del>                                     </del>	<del></del>	<del></del>
			PIBD2535L086	6/3/2011	12/16/2010	12/16/2035	160,000,001	·	197,398,063	123.37	130.48	193,921,040	208,773,094	14,852,054		semi-annually every Dec 16 and Jun 16		1		<del></del>
В	_	FXTN	PIBD2536I0TX	5/29/2012	9/29/2011	9/29/2036	13,900,000	1	16,731,049	120.37	123.76	16,528,502	17,202,588	674,085		semi-annually every Sep 29 and Mar 29			-	<del> </del>
A			PIID1026IVTX	10/20/2018	9/20/2016	9/20/2026	10,000,000	-	9.710.514	97.11	92.66	9,716,268	9,285,763	(450,505)	3.50			<del></del> -		<del></del>
N			PIID1526J019	2/14/2013	10/20/2011	10/20/2026	6,000,000		6,963,000	116,05	110.63	6,775,332	6,637,799	(137,533)		semi-annually every Oct 20 and Jan 20				<del></del>
C			PIID2537JTXV	12/17/2012	10/24/2012	10/24/2037	8,000,000		6,612,883	107.68	110.27	8,532,304	8,821,755	289,451		semi-annually every Oct 24 and Jan 24		<u> </u>		f
0	нтм:			7220		1000									41,19	7			i	
_		нтм	HTM2025D103T	9/30/2008	4/14/2005	4/14/2025	17,525,000		18,639,564	106.36	104.36	18,289,214	18,289,214	-	12.13	semi-ennually every Oct 14 and Apr 14			l	
D .		нтм	HTM2025J116T	9/30/2008	10/20/2005	10/20/2025	25,800,000	1	31,024,534	120.25	113.92	29,391,586	29,391,586		12.13	semi-annually every Oct 20 and Apr 20			<u>-</u>	<del></del>
E		нтм	HTM2026A122T	9/30/2008	1/19/2006	1/19/2026	109,300,000		122,480,123	112.08	108.38	118,456,348	118,456,348		10.25	semi-annually every Jul 19 and Jan 19				
ا ہا	4	нтм	HTM2026L139T	9/30/2008	12/7/2006	12/7/2026	20,900,000		20,579,567	98.47	98.85	20,680,225	20,660,225		_8.00	semi-annually every Dec 7 and Jun 7				
l Ř	5	нтм	HTM2027I140T	9/30/2008	9/6/2007	9/6/2027	48,500,000		49,758,835	102.60	101.92	49,430,665	49,430,665	-	8.63	semi-annually every Sep 6 and Mar 6			_	
l ĉ	8	нтм	HTM2028L151T	9/30/2008	12/4/2008	12/4/2028	73,200,000	i	76,719,688	104.81	103.76	75,950,503	75,950,503		9.50	semi-annually every Dec 4 and Jun 4				
•	7	нтм	HTM2022C021T	9/30/2008	3/14/2002	3/14/2022	15,610,000	Li	22,658,099	145.15	123.58	19,290,721	19,290,721			semi-annually every Sep 14 and Mar 14				
N	6	нтм	HTM2023B048T	9/30/2008	2/20/2003	2/20/2023	8,500,000		11,596,178	136.43	121.14	10,296,655	10,296,655		13.00	semi-annually every Aug 20 and Feb 20				
0		HTM_	HTM2023E054T	9/30/2008	5/29/2003	5/29/2023	13,600,000		16,192,922	119.07	111.21	15,124,546	15,124,548			semi-annually every Nov 29 and May 29				
N	10		HTM2023J068T	9/30/2008	10/23/2003	10/23/2023	10,300,000		10,634,688	103.25	101.88	10,494,139	10,494,139	-		semi-annually every Oct 23 and Apr 23				l
• .	11		HTM2024H086T	9/30/2008	8/5/2004	8/5/2024	13,185,000		13,118,224	99.49	99.64	13,137,175	13,137,175	_		semi-annually every Aug 5 and Feb 5				I
P	12		HTM2024K091T	9/30/2008	11/11/2004	11/11/2024	19,550,000	1	22,918,295	117.23	111.48	21,795,022	21,795,022	-		semi-annually every Nov 11 and May 11		<u></u> _		<b>I</b>
A	13_		HTM2525K015T	9/30/2008	11/29/2000	11/29/2025	1,000,000		1,947,455	194.75	164.81	1,648,100	1,648,100			semi-annually every Nov 29 and May 29				<b></b>
l R	14		HTM2530G029T	9/30/2008	7/28/2005	7/28/2030	11,500,000	[]	15,959,821	138.78	131.41	15,111,716	15,111,716			semi-annually every Jul 28 and Jan 28				<del> </del>
		HTM_	PIBD2531AVTX	9/30/2008	1/26/2008	1/26/2031	23,400,000		27,381,193	117.01	113.92	26,656,553	26,656,553			semi-ennually every Jul 26 and Jan 26				<del></del>
	16		HTM2531J042T	9/30/2008	10/5/2006	10/5/2031	40,350,000		43,866,289	108.71	107.21	43,259,359	43,259,359	•		semi-annually every Oct 5 and Apr 5				<del></del>
}	_	HTM_	HTM2532K057T	9/30/2008	11/29/2007	11/29/2032	71,200,000	<del>                                     </del>	69,410,352	97.49	97.79	69,624,502	69,624,502			semi-annually every Nov 29 and May 29				
	18	HTM	HTMD2534K06T	9/30/2008	11/5/2009	11/5/2034	55,260,000		55,430,655	100.31	100.27	55,407,514	55,407,514		9.25	semi-annually every Nov 5 and May 5				<del></del>
	TREASU	IDV BILL	<u> </u>			<del>  </del>		<del>                                     </del>				<u> </u>								·
	1 IREASU	KT BILL	<del></del>					<del>  </del>		<del>                                     </del>										
	2										<del> </del>									
]	3																			
]	Sub-to						995,900,001	<u> </u>	1,128,187,882			1,102,498,520	1,152,953,719	50,455,200						
		N ISSUE	S:					1-												
	1 2						<del></del>	<del>                                     </del>												
	3		_			<del> </del>		<del>  </del>						<del></del>		<del></del>			<del></del>	
<b>i</b>	4		·																	
	5																			
	Sub-tr							<u>                                     </u>									<b>-</b>			
	TOTAL						995,900,001		1,128,187,882			1,102,498,520	1,152,953,719	50,455,200			99,245,855	17,938,195	15,936,116	97,243,775
[	DOMES!	nc issu	ES:																	
	FXTN:	1																		
						_													PEQBY:	SCHT_GS_IF

#### EDUCATION PLANS

											30,,200	- Government Secu					INTEREST			
					DATE		INITIAL ACOL	ISITION/BO	OKING VALUE		PRICE			Unregitzed Gain	Annual	How	Collected	Accrued	Accrued	Eamed
NE OF		Serial	No.	Acquired	Issued	Maturity	Face Value	Underwriti ng Discount/	Acquisition Cost	At Acquisition	At Closing/ Balance Sheet Date	Book Value	Market Value	(Loss)	Coupon Rate	Paid*	During the Year	Previous Year		During the Ye
ANK	Description	n Senzi	10.	7.040.00		1 1		Premium		Date		11	12	13= (12-11)	14	15	16	17	<u> </u>	18-(10-10-
				3	4	5	6	7	8	9	10	10,030,642	9,859,788	(170,854)	3.50	semi-annually every Sep 20 and Mar 20	<del> </del>	·	<del>                                     </del>	
<del>1  </del>	2	N PIBD0721	CVTY 1	12/11/2014	3/20/2014	3/20/2021	10,000,000		10,036,866		132.06	59,339,916	77,915,141	18,575,225	8.75	semi-annually every Nov 27 and May 27	<del> </del>			
ł	1 EX	N PIBD2030	F166	5/27/2010	5/27/2010	5/27/2030	59,000,000		59,409,045 74,774,326		128.31	73,550,741	89,820,490	18,269,750	8.00	semi-annually every Jul 19 and Jan 19 semi-annually every Aug 2 and Feb 2	<del> </del>	<del></del> -		
- 1		N PIBD2031		7/19/2011	7/19/2011		70,000,000		74,774,326 51,636,234	99.68	107.79	51,657,293	55,834,795	4,177,502	5.68	semi-annually every Jul 26 and Jan 26				<u> </u>
ŀ	TA FX	N PIBD2032	B183	2/2/2012	2/2/2012	2/2/2032	51,800,000		5,487,140		154.18	5,263,681	6,167,196	903,535 7,201,016	9.00	semi-annually every Sep 30 and Mar 30			ļ	<del></del> _
ŀ	5 FX	N P18D2531	AD32	9/8/2011	1/26/2006	1/26/2031	4,000,000 31,320,000		33,068,075	105.58	127.95	32,873,413	40,074,429	7,201,016	8 13	semi-annually every Dec 16 and Jun 16	T	<u> </u>		<del> </del>
Ì	B FX	N PIBD2535	1071	10/18/2010	9/30/2010	9/30/2035	30,000,000		31,581,810	105.27	130,48	31,376,193	39,144,955 2,475,192	1,1,1,1,1	7.63	semi-ennually every Sep 29 and Mar 29		<b>1</b>	ļ	<del> </del>
- 1		IN PIBD2535		2/14/2011	12/16/2010		2,000,000		2,208,625	110.43	123.76	2,188,676	7,322,385		5.75	semi-annually every Aug 16 and Feb 16	<u> </u>		<del></del> _	<del></del>
i	8 FX	IN PIBD2536	IOTX	12/8/2011	9/29/2011	9/29/2036 8/16/2037	6,700,000		6,700,000		109.29	6,700,000	5,743,457		7.38	semi-annuativ every Dec 3 and Mar 3	<u> </u>	ļ.———	<del> </del>	ļ ——
- 1		IN PIBD2537		8/16/2012	8/16/2012 3/3/2011	3/3/2021	5,200,000		6,194,615	119.13	110.45	5,846,760 9,715,395	9,265,763	(449,632)	3.50	semi-annually every Dec 20 and Mar 20			<del> </del>	<del></del>
		TN PIID10210		6/5/2014	9/20/2016		10,000,000		9,710,514	97.11	92.66	19.006.077	16,540,791	(2,485,285)	6.13	semi-annually every Oct 24 and Jan 24	<del> </del>		<del>                                      </del>	<u> </u>
ļ	11 FX	IN PIID10261	<del>\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\</del>	4/1/2013	10/24/2012		15,000,000		19,280,520	128.54	110.27	19,000,077					<del>                                     </del>	<del>                                     </del>	·	
		TN PIID2537.	IIAV	4/1/2013	102-1101	-				145.75	124.42	11,054,517	11,054,517		15.00	semi-annually every Sep 14 and Mar 14	<del> -</del>	- <del> </del>		
в 1	HTM:	м НТМ2022	COST	12/24/2008	3/14/2002	3/14/2022	8,685,000		12,950,103			3,528,201	3,528,201		13.00	semi-annually every Aug 20 and Feb 20	<del></del>			
A	1 HT		RO48T	12/24/2008	2/20/2003	2/20/2023	2,968,588		3,926,080 50,725,204		1	46,951,882	46,951,882	<u> </u>	11.88	semi-annually every Nov 29 and May 29 semi-annually every Oct 23 and Apr 23	<del> </del>			
N		M HTM2023		12/24/2008	5/29/2003		41,078,000		28,317,771			24,680,423	24,680,423		11.38	semi-annually every Dec 3 and Jun 3				
c l	4 HT			12/24/2008	10/23/2003		22,000,000		24,256,530			22,674,447	22,674,447		12.30	semi-annually every Aug 5 and Feb 5		T		
Ď	5 HT			12/24/2008	6/3/2004		19,678,592		10.816.273			10,053,180	10,053,180		12.00	semi-annually every Nov 11 and May 11				<b>↓</b>
•	6 нт	M HTM2024		12/24/2008	8/5/2004		8,550,000 1,600,000		2,216,795		125.62	2,010,000	2,010,000		12 13	semi-annuativ every Oct 14 and Apr 14			<u> </u>	<del> </del>
,	7 11			12/24/2008	11/11/2004		4,600,000		5,799,959		117.73	5,415,438	5,415,438 3,626,108		12 13	semi-annually every Oct 20 and Apr 20	T		<u> </u>	<del> </del>
	8 H	M HTM2025		12/24/2008	4/14/2005		3,068,000		3,866,761		118.19	3,628,106	3,626,106 14,638,512		10.25	semi-annually every Jul 19 and Jan 19			<del>                                     </del>	<del>                                       </del>
-	9 H1			12/24/2008	1/19/200		13,645,000		15,045,289	110.26	107.28	14,638,512	5,699,086		8.00	semi-annually every Dec 7 and Jun 7		-}		<del></del>
_	10 H1			12/24/2008	12/7/200		6,000,000		5,590,850			5,699,086 2,930,127	2,930,127		8.63	semi-annually every Sep 6 and Mar 6		<del> </del> -		1
0	11 H			12/24/2008	9/6/200		3,000,000		2,907,545		97.67 105.63				9.50	semi-annually every Dec 4 and Jun 4	<del> </del>	<del> </del>		1
R	12 H	M HTM2027		5/28/2009	12/4/200		19,000,000	1	20,381,121						18.25	semi-annually every Nov 29 and May 29	<del> </del>	<del>-  </del>		
0	13 HT			12/24/2008	11/29/200		4,096,000		7,057,023						12.50	semi-annually every Jul 28 and Jan 28		<del> </del>	·	
_		M HTM2530		12/24/2008	7/28/200	7/28/2030	10,000,000		13,050,811				1,087,376		9.38	semi-annualty every Oct 5 and Apr 5	- <del> </del>	·		
P		м нтм2531		12/24/2008	10/5/200		1,000,000		1,105,401			10,270,565	10,270,585		8.50	semi-annually every Nov 29 and May 29 semi-annually every Nov 5 and May 5	<del> </del> -			
A		м НТМ2532		12/24/2008	11/29/200		9,900,000		8,000,000				8,000,000		9.2	semi-annually every Nov 3 and intey 5			II	<u> </u>
R	18 H	M HTMD25	34K06T	11/5/2009	11/5/200	11/5/2034	8,000,000	<del>'</del>	8,000,000	100.44	<u> </u>				+-	- <del></del>				<del>                                      </del>
	1	···				<del></del>	<u> </u>	<b></b> -		_				<del></del>					<u> </u>	
	TREASUR	BILLS				- <del> </del>		+						<del></del>	+					+
	1					<del> </del>	<u> </u>							<del> </del>	+	<u> </u>		<b>_</b>	<u>-</u>	<del></del>
	2		l			<del></del>							571,598,626	52,615,617						
	3					<del> </del>	482,089,180	, — —	534,421,357	<u> </u>		518,983,010	5/1,598,020	32,013,011	+				<del></del>	+
	Sub-tota					<del>- </del>	402,000,111			Ι			<del></del>		+					+
	FOREIGN	SSUES:				<del> </del>				<u> </u>	<b>_</b>	<del>-</del>						<del></del>	<del> </del>	+
	1_1_					· <del> </del> ·				<del></del> _										
	2					+			<u></u>	<b></b>		<del> </del>	<del>                                     </del>					<del></del>	<del> </del>	1
	3					<del>                                     </del>				<del> </del> -	<del> </del>	<del></del>				<u> </u>	<del></del>		- <del> </del>	1
	4									<del></del>	<del></del>						48,007,55	9 8,608,38	3 7,648,360	45,04
	5					Т'					<del>-</del>	518,983,010	571,598,62	52,615,617	<u>'</u>	<u> </u>				
	Sub-tota TOTAL			·		7	482,089,189		534,421,35		<del></del>				7		145,253,41	4 28,546,57	8 23,584,47	194,48
				<del></del> -		T	1,477,989,18	1	1,662,609,23	<u> </u>		1,621,481,630	1,724,852,34	100,070,011	_			<del></del>	<del></del>	<del></del>
	TOTAL	EDUCA	1101	<u>'</u>		<del></del>	1,411,1000,10					<del> </del>	<del></del>	<del></del>					<del></del>	
				onthic grantes	v or monthly	- <del> </del>	<del> </del>	T			_}		<del></del>		+-			<del>- </del>	<del></del>	+
	*Indicate w	hether annually,	semi-me	onuny, quarten I	y or morning	<del>-</del>					<del> </del>	<del> </del>	+					<del></del>	<del>- </del>	+
	<del></del> _	<del></del> -		<del>                                     </del>	<del>                                     </del>						<del></del>	<del> </del>	- <del></del>	<del></del> -			_ <del> </del>		+	+
		<del>- +</del> -		<del> </del>	<del> </del> -				<del></del>		<del> </del>	<del> </del>	<del></del>				<del></del>	+	<del></del>	
				<del></del>	<del> </del>			1	1	_1	_i	<del></del>				<u> </u>				

### **EDUCATION PLANS**

### SCHEDULE 2 - Cash on hand and in banks

Name of			DAT	E	A1 D-1 1		BAL	ANCE		INTE	REST	
Trustee Bank	Description	Account/ Certificate Number	Acquistion/	Maturity	Annual Rate/ Interest	Term/ Period	At Acquisition/	At Closing/ Balance	Collected	Accrued	Accrued	Eamed
1	2	3	Placement 4	5			Placement Date	Sheet Date	During the Year	Previous Year	Current Year	During the Year
	+	<del>                                     </del>	4	5	6	7	8	9	10	11	12	13
	Deposit in Own Bank					ļ						
	Current Accounts:										<del></del>	
	1											
Ĭ.	Sub-total											i
	Savings Accounts:	040 7000										
	1 Deutsche Bank 2	210-78082-1					<u></u>	74,087				<del></del> -
8	Sub-total											
A	Time Deposit Accounts:				<u></u>			74,087			-	<u> </u>
N	1 Deutsche Bank	210-78082-1	10/04/0046	4/40/0047	0.050004							
c	2 Deutsche Bank	210-78082-1	12/21/2016 12/28/2016	1/10/2017 1/3/2017	2.2500%	20		40,000,000	, <u> </u>			
_	3 Deutsche Bank	210-78082-1	12/19/2016		0.7500% 2.0000%	6		18,200,000				
0	4 Deutsche Bank	210-78082-1	12/21/2016	1/23/2017	2.0000%	31 33		50,000,000				
	5	210-70002-1	12/2 1/2010	1/23/2017	2.1250%	33		40,000,000				
D	6						··					
E							<del></del>					
					<del></del>							
О	Sub-total				<del>-</del> -		<del>-</del>	148,200,000	1,942,004	442.040		
R	Total Deposits in Own Bank		<del></del>	<del></del>	<del>.</del>		<del></del> -	148,274,087	1,942,004	113,646	734,466	2,562,823
	Deposit in Other Banks			<del></del>		-	<del></del>	140,214,061	1,942,004	113,646	734,466	2,562,823
0	Current Accounts:		-				<del></del> -			<u> </u>		<del></del>
	1			_								
N	2				•	_	<del></del>			<u> </u> -		
0	3										<del></del>	
N	Sub-total			<del></del>	-							
_ 1	Savings Accounts:	_				<del></del>	-			-		
P	1			<del>-</del>	<del>-</del>				<del></del>			<del></del>
	2					<del></del>		<del></del>			<del></del>	·—-
_ A	3			_								
R	Sub-total					-						<del></del>
	Time Deposit Accounts:					1		-	-		<del></del>	<del></del>
	1										<del></del>	
	2										<del></del>	
	3							<del></del>	<del></del>		<del></del>	
	Sub-total						· <del>-</del>		<del></del> -	<del></del>	<del></del>	

#### **EDUCATION PLANS**

### SCHEDULE 2 - Cash on hand and in banks

Name of			DAT	E			BAL	ANCE		INTE	REST	
Trustee	Description	Account/ Certificate Number	Annuichiant	•	Annual Rate/	Term/	At Acquisition/	At Closing/ Balance	Collected	Accrued	Accrued	Earned
Bank	Description	Account Certificate Number	Acquistion/ Placement	Maturity	Interest	Period	Placement Date	Sheet Date	During the Year	Previous Year	Current Year	During the Year
1	2	3	4	5	6	7	8	9	10	11	12	13
	Total Deposits in Other Banks							-	-	-		
	GRAND TOTAL						<u>.</u>	148,274,087	1,942,003.67	113,645.85	734,465.55	2,562,823.37
	Deposit in Own Bank											·
	Current Accounts:											
	1					·						
	`2				<u></u>							
	3											-
В	Sub-total											
	Savings Accounts:						<del></del>	44.400				
Α `	1 Banco De Oro	210-78056-1	-					41,166			<del></del>	
N	2									<del></del>		
С	3							41,166	<u>.</u>			
0	Sub-total					<u> </u>		41,100	<u>-</u>			
	Time Deposit Accounts:			44400047	0.05000			10,000,000			<u>-</u>	<del></del>
D	1 Banco De Oro	210-78056-1	12/21/2016	1/10/2017	2.2500% 0.7500%	20 6		17,800,000				
E	2 Banco De Oro	210-78056-1	12/28/2016	1/3/2017	2.0000%	31		20,000,000				
-	3 Banco De Oro	210-78056-1	12/19/2016	1/19/2017	2.0000%	31	<u> </u>	20,000,000				
_	4				· <del></del>							
0								47,800,000	993,335	76,164	183,557	1,100,727
R	Sub-total					-	_	47,841,166	993,335	76,164	183,557	1,100,727
0	Total Deposits in Own Bank	<u> </u>		<del>-</del> -			-	47,041,100	330,000	10,104	100,001	1,100,12
	Deposit in Other Banks								<del></del>	<del></del>		
P	Current Accounts:						<u>-</u>	<del></del>				
A	1						. <u>-</u>		<del></del>			
	Sub-total							<del> </del>	·	-		
R	Savings Accounts:									-		
	Sub-total						<u></u>	<del> </del>	<del></del>		-	
		<u> </u>			<del></del>		<u> </u>					_
	Time Deposit Accounts:	-	-									
	Sub-total	<del>                                     </del>			<del>-</del>		·		-	+		
	Total Deposits in Other Banks									_		
	GRAND TOTAL	· · · · · · · · · · · · · · · · · · ·		<del></del>				47,841,166	993,335	76,164	183,557	1,100,727
							<u> </u>	196,115,254	2,935,338	189,810	918,022	3,663,551
	GRAND TOTAL EDUCATION PLANS	<u> </u>					-	130,113,234	2,300,000	103,010	310,022	0,000,001

					EDUC	ATION PLAN			
				SCHEDULE 3 -					
				T -					
Name of Trustee Bank	Description	Account /Certificate Number	Acquisition Date	Number of Units/Shares Held	At Acquisition Date	At Closing/ Balance Sheet Date	Acquisition Cost	Market Value	Unrealized Gain (Loss)
1	2	3	4	5	6	7	88	9	10
	Mutual Funds 1 2 3 4								
	Sub-total Unit Investment True	st Funds			NOT A	PPLICABLE			
:	3 4								
	5				<u>-</u>	<u> </u>			<del> </del>
	Sub-total			<del> </del>					
	Others								
	2	_		<del> </del>					
	3								
	4 -			<del> </del>					
	5 Sub-total		<del> </del>	<del> </del>					<u> </u>
		GRAND TOTALS	<del>                                     </del>		`		1		

		ANNUAL S	STATEMENT o	f Trust Fund	s for the Year E	nded December	r 31, 2016 of Sun I	Life Financial Pla	ıns, Inc.			
					EDUCA.	TION PLAN						
<del> </del>				sc	CHEDULE 4 - Sh	ort Term Invest	tments	_				
Name			DA	TE			BALA	NCE		INTE	REST	
of Trustee	Investment Type/Description	Account/Certif	Acquistion/		Annual Rate/Interest	Term/Period	At Acquisition/	At Closing/	Collected	Accrued	Accrued	Earned
Trustee Bank		icate Number	Placement	Maturity	(Vale/interest		Placement Date	Balance Sheet Date	During the Year	Previous Year	Current Year	During the Year
1	2	3	4	5	6	7	8	9	10	11	12	13
-	1 Special Deposit Accounts (B	SP)										<u></u>
	2											
	3				NOT APPLIC	ABLE						
	4					•						
	5		· <u> </u>									
	6											
	7											
	TOTAL											

#### SCHEDULE 5 - CORPORATE BONDS

NAME OF		Description	Credit	Purchased	DA	TE	Market	Acquisition			INTER	EST	
TRUSTEE		(Type, Issuer,)	Rating	From	Acquired	Maturity	Value	Cost	Rate	Collected	Accrued	Accrued	Earned
BANK			of Issuer							During the Year		Current Year	During the Year
(1)		(2)	(3)	(4)	(5)	(6)	(7)	(8)	(9)	(10)	(11)	(12)	(13)
	1	AYALA CORP. FIXED RATE BONDS			7/7/2016	7/7/2023	9,724,813	9,900,000	3.9200%				
B	2	PETRON CORP. 5YEAR BONDS	<u> </u>		10/27/2016	10/27/2021	22,482,365	22,500,000	4.0032%				
D	3	SMC GLOBAL POWER HOLDINGS BO	ND		7/11/2016	7/11/2021	9,212,254	9,400,000	4.3458%		ļ <u> </u>		
0	4												<u></u>
	5		ļ <u>-</u>										
N	6										<del> </del>		·
0	7		-						<del></del>	<del></del> -	<del> </del>		
N	8 9			<del>-</del>							<del> </del>		
	10	· · · · · · · · · · · · · · · · · · ·	<del> </del>				<del>-</del>				<del> </del>		
P	- 10				-								
		GRAND TOTALS					41,419,433	41,800,000		159,317	-	269,395	428,712
R													
	1	AYALA CORP. FIXED RATE BONDS			7/7/2016	7/7/2023	4,223,909	4,300,000	3.9200%				
	2	PETRON CORP. 5YEAR BONDS			10/27/2016	10/27/2021	10,791,535	10,800,000	4.0032%				
	3	SMC GLOBAL POWER HOLDINGS BO	ND		7/11/2016	7/11/2021	3,920,108	4,000,000	4.3458%				
В	4										<u> </u>		
ן פ	5												
0	6										<u> </u>		
] [	7										<del> </del>		
P	8										<u> </u>		
- A -	. 9.												<del></del> ]
R	_10					<del>-</del>	<del></del>	· • ·	·				<del></del>
		GRAND TOTALS			1	ŀ	18,935,552	19,100,000		68,478	_	122,136	190,614
		O.O.A.D TO IALL						,,					
		TOTALS EDUCATION					60,354,985	60,900,000		227,795		391,531	619,326
		I OTALO EDUCATION			<del></del> -			,,					
			_			<del> </del> -							
	·	·		<del></del>		· · · · · · · · · · · · · · · · · · ·	<del></del>	·					

							E	DUCATION I	PLAN								
						_	SCHED	ULE 6 - Mortg	age loans								
Name of	Name and	Description of Property	Record of Mortgage:	Market Value	Sound Value	Term o	of Loan	Amount of		PRIN	CIPAL				INTERES	š T	
Trustee	Address of	(TCT/CCT No.; Location;	(Registry No.; Entry No.;	of Land	of Building	Date	Date	Original	Amount Unpaid	Loaned During	Paid During	Amount Unpaid	Annual	Collected	Accrued	Accrued	Earned
Bank	Mortgagor	Area)	City/Prov.; Amt of Notation)	Mortgaged		Given	Due	Loan	Dec.31 Prev Yr	the Year	the Year	Dec.31 Current Yr	Rate	During the Year	Previous Year	Current Year	During the Yea
	(1)	(2)	(3)	(4)	(5)	(6)	(7)	(8)	(9)	(10)	(11)	(12)	(13)	(14)	(15)	(16)	(17)
	. 1				1							l i		ļ	· '		
	1		<u> </u>	<u> </u>	<del> </del> -	·	<del> </del>	<del> </del>						- 1			
	2	<u> </u>			<del>                                     </del>		<del> </del>	-									
	3 4			<del>_</del> _	F	<del></del> -		<del></del>									
	5														ļ'	ļ'	<u> </u>
i	6		<del>-</del> -				NOT A	PPLICABLE	C								
	7													<del></del>	<del></del> -	<del> </del> '	
i	8							<del></del>		<del></del>				<del> </del>	<u>'</u>	<del> </del> '	
[	9				ļ			ļ. <del>. — —</del>		<u></u>			<del></del>	<del></del>		<del>                                     </del>	
	10						<u> </u>	<del> </del> -						<del> </del>		<del>                                     </del>	
	11				ļ		<u> </u>	<del> </del>		<b></b>							
	12	<del></del>						<del></del>							1		
-	13	<del></del>	<u></u>		<del></del>												_
i	15 .	<del>                                     </del>													<u> </u>	<u> </u>	
-	16									<u></u>				ļ		. <del> </del> -	
}	17													<u> </u>	· '	<del>                                     </del>	
1	18 .											ļ. —				<del> </del>	
	19				ļ			<u> </u>						<del>  -  </del>	<del></del>	<del> </del>	
Ì	20						<u> </u>							<del></del>	<del></del>	<del> </del>	

#### ANNUAL STATEMENT of Trust Funds for the Year Ended December 31, 2016 of Sun Life Financial Plans, Inc. **EDUCATION PLAN** SCHEDULE 7 - Planholders' Loans INTEREST PRINCIPAL Name of Term of Loan Contract Amount Amount of Accrued Earned Amount Unpaid Loaned During Paid During Amount Unpaid Annual Collected Accrued Original Trustee Policyholder / of Date of Date of Number Rate During the Year Previous Year Dec.31 Current Yr Current Year During the Year the Year Dec.31 Prev Yr the Year Bank Borrower Insurance Loan Maturity Loan (14) (15) (11) (12) (13) (9) (7) (6) (8) (2) (4) (5) (1) (3) 2 3 4 5 6 NOT APPLICABLE 8 9 10 11 12 13 14 15 16 17 18 19 20 21 22 23 24 25 TOTAL

								SCHEDU	LE 8 - STOCKS		_					
DESCRIPTION	Certificate	Date	How	Where	Number	Par	Rate Used				-		DIVIDEND			
DESCRIPTION	Number	Acquired	Acquired	Kept	of Shares	Value	to Obtain	Market Value	Acquisition Cost		last 3 years proeding	Current Year	Collected	Accrued	Accrued	Earned
(2)	(3)	(4)	(5)	(6)	(7)	(8)	MV (9)	(10)	(11)	2015 (12)	2014	2013	during the Year	Previous Year	Current Year	During the Y
nmon Shares:		(7)	(0)	307	- \'/	(0)	(8)			(12)	(13)	(14)	(15)	(16)	(17)	(18)
ABOITIZ EQUITY VENTURES					76.860		71	5 444 000	5000 404				<u>.</u>	<u> </u>		
ABOITIZ POWER CORP EQ					63,400		42	5,441,688 2,643,780	5,938,191 2,897,191	<del></del>	ļ		<del>-</del>	ļ		<u></u>
ALLIANCE GLOBAL GROUP INC.					216,400		13	2,765,592	3,198,112							
AYALA CORP.			-		8,230		731	6,012,015	7,008,530	<del></del>						
AYALA LAND					294,880		32	9,436,160	11,407,579							
BDO UNIBANK INC.					43,860		112	4,916,706	4,821,203	<del></del>	<del></del>		ļ			
CEBU AIR INC.					15,220		93	1,415,460	1.833.225							
DMCI HOLDINGS					184,700		13	2,449,122	2,428,069	<del></del>						
ENERGY DEVELOPMENT CORP					525,000		5	2,703,750	3,019,991	···			<del>                                     </del>			
FIRST GEN CORPORATION					153,200	<del></del>	22	3,370,400	3,608,417							
GLOBE TELECOMS INC					2,490		1,509	3,757,410	5,629,932					·		
GT CAPITAL HOLDINGS INC.					5,200		1,270	6,604,000	7,900,355		<del></del>		+		- <del></del>	
INTL CONTAINER					15,740		72	1,132,493	1,281,983			···	<del> </del>			<del></del>
JG SUMMIT HOLDINGS INC.					61,580		68	4,165,887	5,200,683	<del></del>						
JOLLIBEE FOODS CORP					12,360		194	2,397,840	2.933.859				<del></del>			
LOPEZ HOLDINGS CORPORATION					63,300		8	493,740	497.456	<del></del>			<del> </del>			
LT GROUP INC.					65,100		13	817,656	1.106.763				<del></del>	·-·-		
MANILA ELECTRIC COMPANY			i i		18,820		265	4,987,300	6,272,876		· · · · · · · · · · · · · · · · · · ·	<del></del> .	<del> </del>			<del></del>
MANILA WATER					6,400		29	185,600	192,003			<del></del>	<del></del>	··-		
MEGAWIDE CONSTRUCTION CORP.					7,900		15	116,920	119,632	· -			<del></del>			
MEGAWORLD CORPORATION					484,600		4	1,730,022	2,577,546			<del></del>	<del>                                      </del>	— ·		
METRO PACIFIC INVESTMENTS CORP					751,700		7	5,006,322	4,881,923			· · · · · · · · · · · · · · · · · · ·	<del> </del>			
METROPOLITAN BANK & TRUST COM	Ρ				24,770	•	73	1,798,302	2,190,265				<del></del>			
PETRON					525,700		10	5,230,715	5,944,771						··	
PLDT					840		1,365	1,146,600	1,553,255			******	<del></del>			
PUREGOLD PRICE CLUB INC.					92,020		39	3,588,780	3,982,160				<u> </u>			
ROBINSON'S LAND					333,060		26	8,659,560	10,052,792							
SECURITY BANK CORPORATION					11,150		190	2,118,500	2,442,634							
EMIRARA MINING CORP					2,650		130	344,500	340,233							
HAKEYS PIZZA ASIA VENTURES IN					43,500		12	500,250	494,708				T	·		<del></del>
M INVESTMENTS CORP					14,890		655	9,752,950	9,790,835				† -			
M PRIME HOLDINGS					366,400		28	10,387,440	10,189,373							
INIVERSAL ROBINA					27,210		164	4,448,835	5,548,131							
-																
and Shame	-	<del></del>	<del></del>	<del></del> -	<del></del>				· <del>  </del>							
Tred Shares: OT CAPITAL HOLDINGS INC. SERIES					£ 200			6 000 005								
TOTAL HOLDINGS INC. SERIES	+		+	—— <u></u>	5,800	<u> </u> -	1,018	5,689,600	5,600,000							
rred Shares: ST CAPITAL HOLDING	S INC. SERIES 5,600	S INC. SERIES 5,600	S INC. SERIES 5,600 1,018	S INC. SERIES 5,600 1,016 5,689,600	S INC. SERIES 5,600 1,018 5,689,600 5,600,000	S INC. SERIES 5,600 1,016 5,689,600 5,600,000	S INC. SERIES 5,600 1,016 5,689,600 5,600,000	S INC. SERIES 5,600 1,016 5,689,600 5,600,000	S INC. SERIES 5,600 1,016 5,689,600 5,600,000	S INC. SERIES 5,600 1,018 5,689,600 5,600,000	S INC. SERIES 5,600 1,018 5,689,600 5,600,000					

126,215,895

142,884,676

TOTALS

88,521

915,533

827,012

Common Shares:		<del> </del>	<del></del>					
1 ABOITIZ EQUITY VENTURES	38,450	71	2,580,680	2,814,138		<del></del>		
2 ABOITIZ POWER CORP EQ	30,600	42	1,276,020	1,398,464 1,533,563				
3 ALLIANCE GLOBAL GROUP INC.	103,900		1,327,842		<del></del>			
4 AYALA CORP.	3,950	731	2,885,475	3,361,675				
5 AYALA LAND	142,040	32	4,545,280	5,501,951				
6 BDO UNIBANK INC.	21,490	112	2,409,029	2,361,451 899,698				
7 CEBU AIR INC.	7,470	93	694,710					
8 IDMCLHOLDINGS	90,300	13	1,197,378	1,187,085				
9 ENERGY DEVELOPMENT CORP	253,000	5	1,302,950	1,455,320				
10 FIRST GEN CORPORATION	74,700	22	1,643,400	1,759,898				
11 GLOBE TELECOMS INC	1,340	1,509	2,022,060	3,031,281		<del>_</del>		
12 GT CAPITAL HOLDINGS INC.	2,550	1,270	3,238,500	3,878,768				
13 INT'L CONTAINER	7,650	72	550,418	623,059				
14 JG SUMMIT HOLDINGS INC.	30,070	68	2,034,238	2,540,396				
15 JOLLIBEE FOODS CORP	5,890	194	1,142,660	1,397,438				
16 LOPEZ HOLDINGS CORPORATION	31,400	8	244,920	248,691				
10 LUFEZ HOLDINGS CONTOTON	31,900	13	400,664	542,334				
17 LT GROUP INC. 18 MANILA ELECTRIC COMPANY	9,190	265	2,435,350	3,077,360			<del></del>	
	3,200	29	92,800	96,002				
19 MANILA WATER	3,800	15	56,240	57,544				
20 MEGAWIDE CONSTRUCTION CORP.	237,100	4	846,447	1,261,195				
21 IMEGAWORLD CORPORATION	365,300	7	2,432,898	2,373,169				
22 METRO PACIFIC INVESTMENTS CORP	11,970	73	869,022	1,058,655			<u> </u>	
23 METROPOLITAN BANK & TRUST COMP	266,400	10	2,650,680	3,014,014				
24 PETRON	400	1,365	546,000	739,855				
25 PLDT	44,770	39	1,748,030	1,938,261				
26 PUREGOLD PRICE CLUB INC.	156,730	26	4,074,980	4,729,613				
27 ROBINSON'S LAND	5,340	190	1,014,600	1,171,042				
28 SECURITY BANK CORPORATION	1,280	130	166,400	164,307				
29 SEMIRARA MINING CORP	20,900	12	240,350	237,687				
30 SHAKEYS PIZZA ASIA VENTURES IN	7.055	655	4,621,025	4.634.483				
31 SM INVESTMENTS CORP	169,000	28	4,791,150	4,709,993				
32 SM PRIME HOLDINGS	14,440	164	2,360,940	2,946,178				
33 UNIVERSAL ROBINA		<del></del>	2,000,010					
34		<del>                                     </del>						
		1						
Preferred Shares:	2,700	1,016	2,743,200	2,700,000				
1 GT Capital Holdings Inc. Serie		1					<u> </u>	
2		<u> </u>						
3	<del> </del>	<del></del>						
4	<del></del>	<del>  </del>		<del></del> -				
5		<del>                                     </del>	<del></del>			410,337	- 42.628	
TOTALS			61,184,313	69,442,567		410,337	42,000 1	
		1	_			4,000,040	131,149	
TOTALS - EDUCATION		1 1	187,400,208	212,327,243		1,237,349	- 1 131,149	

	. —	AA	NUAL STA	TEMENT o	of Trust Fun	ds for th	ne Year Endec	d December	31, 2016 of	Sun Life Fina	ancial Plans, In	c. 			
<u></u>			47				EDUCATIO	N PLAN							
			·····			S	CHEDULE 9 -	Real Estate	•					<u>.                                    </u>	
Name of		Area & Description	Name of	Date	Estimated	Actual	Accumulated	Net Book	Market	Recorded	<u>_</u> _		INCOME		<u> </u>
Trustee	CT/CCT Number.; City/Province		Vendor	Acquired	Life of	Cost	Depreciation	Value	Value per Appraisat	Value	Collected During the Year	Accrued Previous Year	Accrued Current Year	Earned During the Year	Encumbrand (if Any)
Bank 1	2	3	4	5	Building 6	7	Building 8	9	per Appraisai 10	11	12	13	14	15	16
	Occupied by the Company:		<u> </u>									<del> </del>			
	Lot							<del></del>		<u> </u>					
							NOT APPL	CABLE		ļ					
	Building	! 													
											·				
	Sub-total		_												
	Investment Properties													<u> </u>	<del>                                     </del>
	Lot				·	<del></del>	<u> </u>							. <u> </u>	<u></u>
		<u> </u>													
														<u>-</u>	
	Building		<u> </u>												<del>-</del>
	Sub-total										ļ		-	·	<u> </u>
		GRAND TOTALS											1		<del> </del> -

				A	NNUAL ST	ATEMENT of T	rust Funds for	the Year Ended De	cember 31, 20	016 of Sun Life	Financial Plar	ns, Inc.	<del></del>	<u></u>			·	
-								EDUCATION F	PLAN								·	<u> </u>
<del></del> -				<del> </del>		·	SCH	EDULE 10 - Other I	nvestments	<del></del>					<del></del>			<del></del>
Name of			Date	Date of	Date of	T "		per Share	Acquisition		ī				INTER	REST		<u> </u>
Trustee	Description	Certificate No./	Acquired	Placement	Maturity	Number of Shares/Units		At Closing/Balance	Cost (including transaction	Demonitor Street		Unrealized Gain (Loss)	Annual	How	Collected	Accrued	Accrued	Earned
Bank		Sertal No.				0.22000	Date	Sheet Date	fees)	Date		Gair (Coss)	Rate	Paid *	During the Year	Previous Year	Current Year	During the Ye
1	2	3	4	5	6	7	8	8	10	11	12	13 = (12-11)	14	15	16	17	18	19
l	Preferred Shares:			_														
	1			<u> </u>		<u> </u>				}								
	2							-										
	3			_		NOT	APPLICABI	Æ										
	Real Estate Investment Tr	ust:							╝					<u> </u>				
	1		1						<del></del>							<del>                                     </del>	<del></del>	<del></del>
	2		1							<del> </del>				<del>                                     </del>	-		<del> </del> -	
	<del></del>			1										-	<del> </del>		<u> </u>	
	3			1			<del>                                     </del>	-		<del></del>		<del></del>		<del> </del>	<del>                                      </del>	<del></del>		
	Tier 2 Notes:			-	-										<del></del>			
	1													<del> </del>	<del> </del>			
	;2					<u> </u>								<u> </u>	-			
	3			ļ										<u> </u>				
	Service Assets:									ļ	_							
	1																	
	2																	
	3																	
		TOTAL													<u> </u>			_
	*Indicate whether annually, s		nonthly.	1		_					i	-	<del></del>	<u> </u>	<del>                                     </del>			<u> </u>

		ANNUAL STATEMENT of Trust		ear Ended Decem		JN LIFE FINANC	IAL PLANS INC.	
				11- Accrued Inves		-	<del></del>	
Name of	T		Collected	Accrued Inves	tment Income	Unearned Inve	estment Income	Earned
Trustee Bank		Kind of Investment	During the Year	Current Year	Previous Year	Current Year	Previous Year	During the Year (2-3+4+5-6)
1	1-	2	3	4	5	6	7	8
	1	Interest on Government Securities	99,245,855	15,936,116	17,938,195			97,243,775
0	2		159,317	269,395				428,712
_ R	3		827,012	88,521				915,533
ВО		Income on Real Estate						
Α		Interest on Mortgage Loans					_	
N N		Interest on Planholders' Loans						
CN	7	Income on Short Term Investments						
0 0	8	Income on Other Investments						
N	9	Interest on Bank Deposits	1,942,004	734,466	113,646			2,562,823
n -		Others:						
D _ P	10							
EA	11							
R	12					<u>-</u>		104 150 014
		TOTAL	102,174,188	17,028,498	18,051,841	-	-	101,150,844
	1	Interest on Government Securities	46,007,559	7,648,360	8,608,383			45,047,536
В	2	Income on Corporate Bonds	68,478	122,136				190,614
Α	3	Dividends on Stocks	410,337	42,628				452,965
N	4	Income on Real Estate						
С	5	Interest on Mortgage Loans						
0	6	Interest on Planholders' Loans						
P	7	Income on Short Term Investments						
_ A	8	Income on Other Investments						
D R	9	Interest on Bank Deposits	993,335	183,557	76,164			1,100,727
E		Others:						
	10							
0	11							
R	12							
0		TOTAL	47,479,709	7,996,680	8,684,547	<u> </u>	-	46,791,842
J		TOTAL	149,653,896	25,025,178	26,736,388	-	-	147,942,686

			EDUCATION	PLAN		
			SCHEDULE 12 - O	ther Assets		
Name of		Particulars —	Nature	Balance	Balance of	Dama da
Trustee		1 articulars	of Account	Previous Year	Current Year	Remarks
1		2	3	4	5	6
De Oro	1	Due from brokers		-	1,265,082	
				-	1,265,082	
Banco De Oro						
Par	1	Due from brokers		_	582,161	
					582,161	
		TOTAL		-	1,847,243	
						7

		EDUCA	TION PLAN		
		SCHEDULE 13	- Accrued Trust Fees		
Name of		Nature	Balance	Balance of	D dee
Trustee Bank	Particulars	of Account	Previous Year	Current Year	Remarks
1	2	3	4	5	6
Banco De Oro Non-Par	Accrued trust fee payable		562,856	376,220	
-			562,856	376,220	
Banco De Oro			649,733	181,163	
Par	Accrued trust fee payable		649,733	181,163	
	TOTAL		1,212,589	557,383	

		EDUCAT	ION PLAN		
		SCHEDULE 14	- Accrued Taxes		
Name of		Nature	Balance	Balance of	Remarks
Trustee Bank	Particulars	of Account	Previous Year	Current Year	Remarks
1	2	3	4	5	6
	1				
	2			1	
	3	NO	T APPLICABLE		
	5				
-	6				<u> </u>
	7 TOTAL				
				<u> </u>	

			EDUCATI	ON PLAN		
	·		SCHEDULE 15 -	Other Liabilities		
Name of			Nature	Balance	Balance of	Damadia
Trustee Bank	Particulars		of Account	Previous Year	Current Year	Remarks
1		2	3	4	5	6
Banco De Oro					1,052	
Non-Par	1	Accrued other Liability		-	1,052	
Banco De Oro					,	
Par	1	Accrued trust fee payable	<u> </u>	-	510	
					510	
		TOTAL		-	1,562	

			EDUCATION PLA	N					
SCHEDULE 16 Retained Earnings									
Name of Trustee Bank	Retained Eamings, Beginning		Realized Income	Unrealized Income	Prior Period Adjustments	Retained Earnings End			
1		2	3	4	5	6			
Banco De Oro			-						
Non-Par	1	715,801,904	96,437,786			812,239,689			
Banco	2								
De Oro	3	235,793,824	45,705,501			281,499,326			
	4								
	5								
	6 7								
TOTAL	<del></del>	951,595,728	142,143,287		<u>.                                    </u>	1,093,739,015			

### ANNUAL STATEMENT OF TRUST FUND FOR THE YEAR ENDED DECEMBER 31, 2016 OF SUN LIFE FINANCIAL PLANS, INC. **EDUCATION PLAN**

REPUBLIC OF THE PHILIPPINES			
MAKATI, METRO MANILA	s.s		
			ı
Chief Financial Officer and Treasur the Company being duly sworn, each officers of the said company, and that of absolute properties of the said compan stated, and that the foregoing statement referred to are full and correct statement condition and affairs of the said comp	er, and Roselle L. Lustre, Chief Account for herself/himself deposes and says that to the 31st day of December last, all herein by, free and clear from any liens or claims to with the schedules and explanations there ent of all the Assets, Liabilities, Income a any on the said thirty-first day of December to f their information, knowledge and belief	ntant & Comp hey are herein described assets thereon, except in contained, a nd Expenses, a per last, and fo	troller of described s were the as herein nnexed or and of the
		Pr	esident
	msapang	Chie	f Actuary
	mQ /	cial Officer & T	;     
	Corporate Residence Certificate No	810926-3/ Pas Manila/ Makat	
	APR 0 7 2017		į
Subscribed and sworn to before me this	th day of,	2017	
Doc No		FOR MAKATICITY	L <b>Å</b>
Page No	APPT N Until Dec Foll of Att	. 31, 2018	
Book No. 12	nicle compliance n Le:p O.R No. 706762, lifet	0. IV-0016333-4/10 TME MEMBER JAN.	/13 29 <b>,2007</b>
Series of 2017	PTR NO, 590-30 EXECUTIVE O WAKAYI SVA IYAXAYI	LOG. CENTER	crity

1