

ANNUAL STATEMENT OF TRUST FUND

SUN LIFE FINANCIAL PLANS, INC.

5th Floor, Sun Life Centre, 5th Avenue corner Rizal Drive, Bonifacio Global City, Taguig City 1634

Submitted to the

INSURANCE COMMISSION

Manila, Philippines

For the Year Ended

DECEMBER 31, 2020

PENSION PLAN

PRE-NEED

ANNUAL STATEMENT of Trust Funds for the Year Ended December 31, 2020 of SUN LIFE FINANCIAL PLANS, INC. PENSION **Table of Contents** Pages COMPANY INFORMATION **EXHIBITS:** 2 1 Balance Sheet Income Statement 3 3 4 List of Trustees 5 4 Summary of Monthly Deposits to the Trust Fund - Per Trustee Summary of Monthly Withdrawals from the Trust Fund - Per Trustee 6 5 SCHEDULES: Government Securities 7 1 2 Cash on Hand and in Banks 8 Mutual Funds/UITF 9 3 Short Term Investments 10 4 11 5 Corporate Bonds 12 6 Mortgage Loans 7 Planholders' Loans 13 14 8 Stocks Real Estate 15 16 10 Other Investment 11 Accrued Investment Income 17 12 Other Assets 18 13 Accrued Trust Fees 19 20 14 Accrued Taxes 21 15 Other Liabilities 22 16 Retained Earnings CERTIFICATION, NOTARIZED AND SEALED 23 Date of Filing: Company Representative: Contact Nunmber: IC Representative/Examiner: Date Received:

PENSION PLAN

License Number SEC Registration Number	PN-2020-12-R A200014495	Date of Issue Date of Issue	December 15, 2020 September 18, 200	
Date of Incorporation	September 18, 2000	Commenced Business on	June 1, 2001	0
Tax Identification Number	208-551-659-000			
Home Office Address	2 nd Floor, Sun Life Centre, 5 th Avenue c	orner Rizal Drive, Bonifacio Global	City, Taguig City	
Telephone Number	8555-8888	FAX Number	8	49-9619
Email Address		Website	<u>www.s</u>	unlife.com.ph
	MEMBERS OF THE RO	ADD OFFICEDS AND FMDI OVE		
	MEMBERS OF THE BO	ARD, OFFICERS AND EMPLOYE		ns of Office
Position	Name	Nationality	From	To
Chairman	Chia-Ling Chou aka Lucy Chou	Chinese	March 4, 2020	March 2, 2021
Vice-Chairman				
Members			-	
	Benedicto C. Sison	Filipino and American	March 4, 2020	March 2, 2021
Independent Directors:	Francisco S. A Sandejas	Filipino	March 4, 2020	March 2, 2021
independent Directors.	Oscar S. Reyes	Filipino	March 4, 2020	March 2, 2021
	Oddar O. Neyes	т прппо	Maion 7, 2020	WIGHT 2, 2021
President	Alexander S. Narciso	Filipino	March 4, 2020	March 2, 2021
Vice-President				
Corporate Secretary	Ma. Jemilyn S. Camania	Filipino	March 4, 2020	March 31, 2020
	Anna Katrina C. Kabigting- Ibero	Filipino	April 1, 2020	March 2, 2021
Asst.Corp Secretary	Anna Katrina C. Kabigting- Ibero	Filipino	March 4, 2020	March 31, 2020
	Winlove Apple R. Sandalo-Esperanza	Filipino	April 1, 2020	March 2, 2021
Treasurer	Sherwin S. Sampang	Filipino	March 4, 2020	March 2, 2021
General Manager				
Actuary Department Heads:				
Underwriting				
Sales			•	
Investments			-	
Administrations				
Claims				
			-	_
Chief Accountant				_
Internal Auditor	Joel O. Bungabong	Filipino	March 4, 2020	March 2, 2021
External Auditor	Navarro Amper & Co.	Filinia	M	Manak 0, 0000
Compliance Officer:	Ajee T.Co Ma. Jemilyn S. Camania	Filipino Filipino	March 6, 2019 March 4, 2020	March 3, 2020 March 31, 2020
	Ma. Jenniyii S. Camania	<u> Гііріі і о</u>	April 1, 2020	March 2, 2021
Trustees:			April 1, 2020	IVIAICIT 2, 2021
Trustees.				
		· · · · · · · · · · · · · · · · · · ·		
Number of Salaried Officers	0	Number of Salaried Employees		0
Number of Branches	47	Number of Sales Counselors		476
		. variable of Outoo Outriserors	-	

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EXHIBIT 1 - BALANCE SHEET

	ASSETS	Current Year	% to Total Equity	Previous Year	% to Total Equity
1	Government Securities (Schedule 1)	3,407,026,465	93.09%	3,215,659,541	93.42%
2	Cash in Savings/Time Deposits (Schedule 2)	36,388,269	0.99%	20,003,583	0.58%
3	Mutual Funds/UITF (Schedule 3)	-		-	
4	Short Term Investments (Schedule 4)	-		-	
5	Corporate Bonds (Schedule 5)	78,255,238	2.14%	72,452,382	2.10%
6	Mortgage Loans (Schedule 6)	-		-	
7	Planholders' Loans (Schedule 7)	-		-	
8	Stocks (Schedule 8)	87,232,961	2.38%	82,856,484	2.41%
9	Real Estate (Schedule 9)	-		-	
10		-		-	
	Accrued Investment Income (Schedule 11)	51,891,923	1.42%	51,909,031	1.51%
12	Other Assets (Schedule 12)	-	0.00%	-	0.00%
13	Total Assets	3,660,794,856		3,442,881,021	
	LIABILITIES				
14	Accrued Trust Fees (Schedule 13)	942,374	0.03%	887,540	0.03%
15	Accrued Taxes (Schedule 14)	-		-	
16	Other Liabilities (Schedule 15)	727	0.00%	690	0.00%
17	Total Liabilities	943,101		888,230	_
	FUND EQUITY				
18	Fund Balance (beginning)	(1,549,875,383)		(934,768,475)	
19	Additional Contribution (current year) (Exhibit 4)	81,127,196		209,345,165	
20	Withdrawals (current year) (Exhibit 5)	(201,461,484)		(824,452,073)	
21	Adjustments (Expected Credit Loss)	(6,528)		-	
	Fund Balance (ending)	(1,670,216,199)		(1,549,875,383)	
23	Retained Earnings (beginning)	4,564,454,049		4,392,124,886	
24	Net Income (Loss) - (curent year) (Exhibit 2)	157,734,792		172,329,163	
	Retained Earnings (end)	4,722,188,841		4,564,454,049	
26	Net Unrealized Income (Loss) (Schedule 1-10)	607,879,113		427,414,125	_
27	Total Fund Equity	3,659,851,755		3,441,992,791	-
28	Total Liabilities & Fund Equity	3,660,794,856		3,442,881,021	

PENSION PLAN

EXHIBIT 2 - INCOME STATEMENT

INCOME	Current Year	Previous Year
1 Interest Income		
Special Deposit Account	393,560	3,559,436
Deposits in Bank	-	-
Government Securities	169,942,545	170,987,887
Other Securities and Debt Instruments	3,168,889	2,643,156
Others (Specify)	-	-
2 Gain on Sale of Securities		
Government Securities	(6,686,483)	3,445,581
Stocks	427,521	938,718
Preferred Shares	-	-
Others (Specify)	-	-
3 Dividend Income		
Preferred Shares	-	-
Stocks	1,533,169	1,638,967
4 Other Income	<u> </u>	<u></u> _
5 Total Income before Expenses	168,779,201	183,213,745
EXPENSES		
6 Trust and Management Fee	10,965,604	10,740,827
7 Other Expenses (Service charge)	58,461	78,539
8 Total Expenses	11,024,065	10,819,366
NET INCOME BEFORE TAX	157,755,136	172,394,380
9 Taxes (Specify)		
Final Tax	20,344	65,217
NET INCOME AFTER TAXES	157,734,792	172,329,163
RETURN ON INVESTMENTS	6.11%	6.18%

ANNUAL STATEMENT of Trust Fund for the Year Ended December 31, 2020 of Sun Life Financial
Plans. Inc.

PENSION PLAN

EXHIBIT 3 - LIST OF TRUSTEES

	Name of	Account	Trust Fund Equity
	Trustee	Number	Tract rana Equity
	(1)	(2)	(3)
1	Banco de Oro Non Par	210-78079-7	2,858,523,488
2	Banco de Oro	210-78055-3	801,328,267
3			
4			
5			
6			
7			
8			
9			
10			
		TOTALS	3,659,851,755

PENSION PLAN

EXHIBIT 4 - SUMMARY OF MONTHLY DEPOSITS TO THE TRUST FUND (Per Trustee)

EX	HIBIT 4 - SUMMAR	Y OF MONTHLY DEPOSI	TS TO THE TRUST FU	ND (Per Trustee)
Name of		Amount of	Amount of	
Trustee Bank	Month	Required Deposit	Actual Deposit	Remarks
	(1)	(2)	(3)	(4)
Banco				\
De Oro				
Non Par				
	January	2,292,542	5,909,298	
	February	8,922,257	8,922,257	
	March	5,058,518	3,038,406	
	April	2,995,977	17,616,089	
	May	3,152,222	3,152,222	
	June	5,250,161	5,250,161	
	July	5,354,436	5,354,436	
	August	5,332,551	5,332,551	
	September	5,318,355		
	<u> </u>	<u> </u>	5,318,355	
	October	11,282,106	11,282,106	
	November	3,898,472	3,300,384	
	December	7,546,744	5,141,296	
		66,404,341	79,617,561	
Banco De Oro Par				
	January	102,991	194,055	
	February	223,738	223,738	
	March	247,031	79,746	
	April	45,601	212,886	
	May	113,985	113,985	
	June	48,082	48,082	
	July	85,519	85,519	
	August September	69,379 236,294	69,379 236,294	
	October	110,398	110,398	
	November	65,625	29,784	
	December	102,750	105,769	
	Doomboi	1,451,393	1,509,635	
		., , 300	.,,	
TOTALS	<u> </u>	67,855,734	81,127,196	

PENSION PLAN

EXHIBIT 5 - SUMMARY OF MONTHLY WITHDRAWALS FROM THE TRUS	T FUNDS (Per Tr	ustee)
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Name of	5.6	Total Amount of		Breakdown / Purpo	ose of Withdrawal		5 ' ' ' 101
Trustee Bank	Month	Withdrawal	Planholders' Benefits	Trustee's Fees	Taxes	Others	Description for "Others"
(1)	(2)	(3)	(4)	(5)	(6)	(7)	(8)
Banco							
De Oro							
Non Par							
	January	9,789,596	9,789,596	690,807.00	2,751,094		
	February	12,692,800	12,692,800	648,928.00	2,671,507		
	March	23,970,000	23,970,000	685,130.00	2,633,304		
	April	-	-	676,904.00	2,770,318		
	May	_	_	733,695.00	2,785,163		
	June	1,589,937	1,589,937	727,101.00	2,786,148		
	July	1,503,357	1,505,557	765,733.00	2,779,167		
		70,000,050	70.000.000				
	August	79,068,050	79,068,050	757,703.00	2,945,866		
	September	10,780,432	10,780,432	714,966.00	2,756,116		
	October	9,383,910	9,383,910	736,024.00	2,770,346		
	November	29,355,250	29,355,250	712,087.00	2,864,075		
	December	-	-	736,092.00	2,756,825		
		176,629,975	176,629,975	8,585,170	33,269,929		
Banco			-,,-	-,,	, ,		
De Oro							
Par							
	January	632,729	632,729	199,026	836,320		
	February	1,430,860	1,430,860	185,968	840,872		
	March	10,419,065	10,419,065	195,126	829,616		
	April	-	-	188,234	835,591		
	May	-	-	198,681	833,152		
	June	37,750	37,750	195,297	832,538		
	July			205,921	835,211		
	August	3,618,546	3,618,546	206,086	828,224		
	September October	753,398	753,398 2,616,297	197,282 204,061	836,729 834,305		
	November	2,616,297 5,322,864	5,322,864	198,472	965,604		
	December	5,322,004	5,322,004	206,282	818,499		
	December	24,831,509	24,831,509	2,380,436	10,126,661		
		24,001,000	24,001,009	2,000,400	10,120,001		
OTALS	1	201,461,484	201,461,484	10,965,606	43,396,590		
				, ,	,,		

ANNUAL STATEMENT of Trust Funds for the Year Ended December 31, 2019 of SUN LIFE FINANCIAL PLANS INC.

PENSION PLAN

SCHEDULE 1- Government Securities

									3.	MEDULE 1- GOV	ernment Securities								
		SECURIT	Υ		DATE		INITIAL ACQUI	SITION/BOOKING VALUE	PR	ICE						INTEREST			
NAME OF TRUSTE E BANK		scription	Serial No.	Acquired	Issued	Maturity	Face Value	Underwriting Discount/ Premium Acquisition Co	st At Acquisition Date	At Closing/ Balance Sheet Date	Book Value	Market Value	Unrealized Gain (Loss)	Annual Coupon Rate	How Paid*	Collected During the Year	Accrued Previous Year	Accrued Current Year	Earned During the Year
1		2		3	4	5	6	7 8	Q	10	11	12	13= (12-11)	14	15	16	17	18	19 = (16-17+18)
<u> </u>	DOMESTI	· ISSIIES:		Ü	-	- J	Ů	, ,		10		12	10= (12 11)	17	10	10	.,	10	13 = (10 17 110)
ŀ	FXTN:	, 1000L0.																	
В		FXTN	PIBD1024H595	5/20/2016	8/20/2014	8/20/2024	280.000.000	290.004.	98 104	106	284.652.348.27	296.084.927.60	11,432,579	4 13	semi-annually every Aug 20 and Feb 20				
,		FXTN	PIBD1025I608	6/17/2016	9/9/2015	9/9/2025	35,000,000	35,197,				36.392.670.30			semi-annually every Sep 9 and Mar 9				
		FXTN	PIBD2026A122	1/19/2006	1/19/2006	1/19/2026	396.088.282	446,285.			419.919.685.25	513,391,699,72			semi-annually every Jul 19 and Jan 19				
N	4	FXTN	PIBD2027I140	9/6/2007	9/6/2007	9/6/2027	290,000,000	295,395,	55 102	129	292,530,884.83	374,370,427.90	81,839,543	8.63	semi-annually every Sep 6 and Mar 6				
С	5	FXTN	PIBD2028L151	4/2/2009	12/4/2008	12/4/2028	530,000,000	559,965,	51 106	138	546,577,681.04	732,708,437.50	186,130,756	9.50	semi-annually every Dec 4 and Jun 4				
0	6	FXTN	PIBD2031G171	7/19/2011	7/19/2011	7/19/2031	350,000,000	345,710,	10 99	137	346,914,905.67	481,019,133.00	134,104,227	8.00	semi-annually every Jul 19 and Jan 19				
		FXTN	PIBD2530G029	2/5/2010	7/28/2005	7/28/2030	30,000,000	38,975,				49,501,829.70	13,274,321		semi-annually every Jul 28 and Jan 28				
D		FXTN	PIBD2531A032	2/1/2006	1/26/2006	1/26/2031	30,000,000	30,577,		159	30,329,465.10	47,619,886.80	17,290,422	11.25	semi-annually every Jul 26 and Jan 26				
-	9	FXTN	PIBD2535L086	2/20/2020	12/16/2010	12/16/2035	20,000,000	25,974,	50 130	141	25,761,999.80	28,269,859.60	2,507,860	8.13	semi-annually every Dec 16 and Jun 16				
	10																		
	11																		
0	12																		
R	13																		
0	14																		
	15																		
N	TREASUR	V BII I S				-													
<u>"</u>		RTB	PIID0525H130	7/22/2020	8/12/2020	8/12/2025	40.000.000	40,000.0	00 100	100	40.000.000	40.167.477	167.477	3 2500	semi-annually every Nov 15 and May 15				
		RTB	PIID1023H046	5/21/2020	8/15/2013	8/15/2023	20.000,000	20.029.				20.513.590	497.358		semi-annually every Oct 24 and Apr 24				
N		RTB	PIID2537J015	2/26/2016	10/24/2012	10/24/2037	140,000,000	162,240,			,,	171.850.953	12.739.745	0.1200	com amaday crory cor 2 r and ripr 2 r				
-		o-total					2.161.088.282	2.290.357.			2,237,215,673	2.791.890.893	554.675,220			132.800.015	41.342.035	41.345.340	132.803.321
P	FOREIGN						2,101,000,202	2,230,007,	51		2,207,210,070	2,731,030,030	004,070,220			102,000,010	+1,0+2,000	41,040,040	102,000,021
Α	1																		
R	2																		
1 "	Su	o-total					-				-	-	-						
	TOTAL	S					2,161,088,282	2,290,357,	91		2,237,215,673	2,791,890,893	554,675,220			132,800,015	41,342,035	41,345,340	132,803,321

ANNUAL STATEMENT of Trust Funds for the Year Ended December 31, 2019 of SUN LIFE FINANCIAL PLANS INC.

PENSION PLAN

SCHEDULE 1- Government Securities

									SC	HEDULE 1- Gove	rnment Securities								
	SECU	IRITY		DATE		INITIAL ACQU	ISITION/BOOK	ING VALUE	PRI	CE						INTEREST			
NAME OF TRUSTE E BANK	Description	Serial No.	Acquired	Issued	Maturity	Face Value	Underwriting Discount/	Acquisition Cost	At Acquisition Date	At Closing/ Balance Sheet	Book Value	Market Value	Unrealized Gair (Loss)	Annual	How	Collected	Accrued	Accrued	Earned
E DAINK	•				-		Premium		•	Date				Rate	Paid*	During the Year	Previous Year	Current Year	During the Year
1	. 2		3	4	5	6	7	8	9	10	11	12	13= (12-11)	14	15	16	17	18	19 = (16-17+18)
	DOMESTIC ISSUES:																		
	FXTN:																	i	
ļ	1 FXTN	PIBD2022C021			3/14/2022	14,684,000.00		21,402,286			15,657,781	15,657,781	-		semi-annually every Sep 14 and Mar 14				
	2 FXTN	PIBD2023B048		2/20/2003	2/20/2023	9,511,411.96		12,123,479	127		10,148,036	10,148,036	-		semi-annually every Aug 20 and Feb 20				
	3 FXTN	PIBD2023E054		5/29/2003	5/29/2023	53,617,000.00		66,663,393	124		57,111,919	57,111,919	-		semi-annually every Nov 29 and May 29				
	4 FXTN	PIBD2023J068		10/23/2003	10/23/2023	31,045,000.00		36,530,577	118		32,699,438	32,699,438	-		semi-annually every Oct 23 and Apr 23				
	5 FXTN	PIBD2024F075		6/3/2004	6/3/2024	34,797,407.63		43,344,154	125		37,846,881	37,846,881	-		semi-annually every Dec 3 and Jun 3				-
	6 FXTN 7 FXTN	PIBD2024H086 PIBD2024K091		8/5/2004 11/11/2004	8/5/2024 11/11/2024	19,450,000.00		24,756,024	127		21,420,593	21,420,593	-		semi-annually every Aug 5 and Feb 5	+			+
	8 FXTN	PIBD2024K091	12/24/2008 12/24/2008	4/14/2004	4/14/2025	5,000,000.00 7.400.000.00		6,927,486 9,330,369	139 126		5,739,663 8,195,401	5,739,663 8,195,401	-		semi-annually every Nov 11 and May 11 semi-annually every Oct 14 and Apr 14	+			+
B	9 FXTN	PIBD2025J116		10/20/2005	10/20/2025	4.602.000.00		5,800,142			5,137,168	5.137.168			semi-annually every Oct 20 and Apr 20	+			+
	10 FXTN	PIBD2026A122		1/19/2006	1/19/2026	37.802.000.00		41,770,237	110		39.638.162	39.638.162			semi-annually every Jul 19 and Jan 19	+			+
А	11 FXTN	PIBD2026L139		12/7/2006	12/7/2026	7.500.000.00		6,978,479			7,233,224	7,233,224	-		semi-annually every Dec 7 and Jun 7	+			+
N	12 FXTN	PIBD2027I140	12/24/2008	9/6/2007	9/6/2027	13,000,000.00		12,599,362	97		12,778,502	12,778,502	-		semi-annually every Sep 6 and Mar 6				
С	13 FXTN	PIBD2028L151	5/28/2009	12/4/2008	12/4/2028	39,000,000.00		41,793,881	107		40,689,435	40,689,435	-		semi-annually every Dec 4 and Jun 4	1			
0	14 FXTN	PIBD2030E166	7/8/2010	5/27/2010	5/27/2030	15,000,000.00		15,083,884	101	139	14,977,286	20,835,177	5,857,891	8.75	semi-annually every Nov 27 and May 27			(
	15 FXTN	PIBD2031G171	7/19/2011	7/19/2011	7/19/2031	50,000,000.00		52,277,784	105	137	49,733,848	68,717,019	18,983,171	8.00	semi-annually every Jul 19 and Jan 19			[
n	16 FXTN	PIBD2032B183	2/2/2012	2/2/2012	2/2/2032	40,000,000.00		39,917,624	100	121	39,949,700	48,595,124	8,645,425	5.88	semi-annually every Aug 2 and Feb 2			(
-	17 FXTN	PIBD2525K015	12/24/2008	11/29/2000	11/29/2025	9,740,000,00		16,393,418	168	132	12,861,346	12,861,346	-	18.25	semi-annually every Nov 29 and May 29			(
-	18 FXTN	PIBD2530G029	12/24/2008	7/28/2005	7/28/2030	24,650,000.00		31,955,814	130	120	29,634,753	29,634,753	-	12.50	semi-annually every Jul 28 and Jan 28			(1
	19 FXTN	PIBD2531J042	12/24/2008	10/5/2006	10/5/2031	1,000,000.00		1,105,401	111	107	1,072,893	1,072,893	-	9.38	semi-annually every Oct 5 and Apr 5				1
0	20 FXTN	PIBD2532K057		11/29/2007	11/29/2032	30,400,000.00		31,751,326	104		31,369,361	31,369,361	-	8.50	semi-annually every Nov 29 and May 29			1	
R	21 FXTN	PIBD2534K062		11/5/2009	11/5/2034	22,000,000.00		22,000,000	100		22,000,000	22,000,000	-	9.25	semi-annually every Nov 5 and May 5			1	
0	22 FXTN	PIBD2535I071	10/18/2010	9/30/2010	9/30/2035	50,000,000.00		52,781,766	106	141	52,181,528	70,690,891	18,509,363	8.00	semi-annually every Sep 30 and Mar 30			l	
	23																		
_	24																		
Р	25																		
Α	TDE 4011DV DU 1 0																		
R	TREASURY BILLS 1 RTB	PIID0525H130	44034		45881	15.000.000		15.000.000	100	100	15,000,000	15.062.804	62.804	2.625	semi-annually every Nov 12 and May 12	+			+
	2	PIID0525F130	44034		45001	15,000,000		15,000,000	100	100	15,000,000	15,062,604	02,004	2.023	Semi-annually every NOV-12 and May-12	+			+
	2															+			+
	Sub-total					535,198,820		608,286,886			563.076.918	615.135.572	52.058.654			+			+
	FOREIGN ISSUES:					535,196,620		000,200,000			303,070,910	015,135,572	52,056,654	1		+			
	1															+			+
	2															+			
	3																		
	Sub-total					-		-			-	-	-			1			
	TOTALS					535,198,820		608,286,886			563,076,918	615,135,572	52,058,654			37,109,724	9,854,398	9,883,898	37,139,224
	TOTAL PEN	SION				2,696,287,101		2,898,644,577			2,800,292,591	3,407,026,465	606,733,875			169,909,739	51,196,433	51,229,238	169,942,545
	*Indicate whether ann	ually, semi-monthly, o	quarterly or mo	nthly.															

ANNUAL STATEMENT of Trust Funds for the Year Ended December 31, 2020 of SUN LIFE FINANCIAL PLANS INC.

PENSION PLAN

SCHEDULE 2 - Cash on hand and in banks

Cu	Description 2	Account/ Certificate Number	Acquistion/ Placement		Annual Rate/	Term/						
1 De Cu			Acquistion/ Placement		Intorost	Period	At Acquisition/	At Closing/ Balance	Collected	Accrued	Accrued	Earned
<u>De</u>		2		Maturity	Interest	Period	Placement Date	At Closing/ Balance Sheet Date	During the Year	Previous Year	Current Year	During the Year
Cu		3	4	5	6	7	8	9	10	11	12	13
	eposit in Own Bank											
	urrent Accounts:											
	1											
	2											
Sa	3											
Sa	Sub-total						-	-	-	-	-	-
	avings Accounts:							24.000				
	1 Deutsche Bank	210-78079-7						21,382				
	3											
A	Sub-total						-	21,382	-	-	-	
<u> </u>	me Deposit Accounts:						-	21,302	-	-	-	<u> </u>
.,	1 PESO TD W/ DEV. BAN OF TH	210-78079-7	12/29/2020	1/28/2021	1.70%	30.00		26,000,000				
C —	2	210 10010 1	12/20/2020	1/20/2021	1.7070	00.00		20,000,000				
_	3											
	4											
D	5											
	6											
	7											
	8											
	9											
	10							00 000 000	070.054	0.045	4.004	070 400
0	Sub-total Total Deposits in Own Bank						-	26,000,000 26,021,382	276,651 276,651	2,215 2,215	1,964 1,964	276,400 276,400
Do	eposit in Other Banks						-	20,021,382	270,001	2,215	1,904	276,400
N De	urrent Accounts:											
0	1											
	2											
·	3											
Р	Sub-total						-	-	-	-	-	-
A Sa	avings Accounts:											
ь _	1											
	2	·										
	3											
<u> </u>	Sub-total						-	-	-	-	-	-
·	me Deposit Accounts:			1								
	1 2											
	3											
-	Sub-total						-	<u>-</u>	-	_	-	
	Total Deposits in Other Banks						-	-	-	-	-	
GF	RAND TOTAL			1			-	26,021,382	276,651	2,215	1,964	276,400

ANNUAL STATEMENT of Trust Funds for the Year Ended December 31, 2020 of SUN LIFE FINANCIAL PLANS INC.

PENSION PLAN

SCHEDULE 2 - Cash on hand and in banks

Name of		Account/	DATE			- /	BALA	ANCE		INTE	REST	
Trustee	Description	Certificate			Annual Rate/	Term/ Period	At Acquisition/	At Closing/ Balance	Collected	Accrued	Accrued	Earned
Bank		Number	Acquistion/ Placement	Maturity	Interest	Pellod	Placement Date	At Closing/ Balance Sheet Date	During the Year	Previous Year	Current Year	During the Year
1	2	3	4	5	6	7	8	9	10	11	12	13
	Deposit in Own Bank											
	Current Accounts:											
	1											
	2											
	3											
	Sub-total						-	-	-	-	-	-
	Savings Accounts:											
	1 Banco De Oro	210-78055-3						66,887				
_	2											
В	3											
Α	Sub-total						-	66,887	-	-	-	-
N	Time Deposit Accounts:											
С	1 PESO TD W/ DEV. BAN OF TH	210-78055-3	12/29/2020	1/28/2021	1.70%	30.00		10,300,000				
0	2											
U	3											
	4											
D	5											
E	6											
	7											
0	8											
	9											
R	Sub-total							10,300,000	120,274	3,892	778	117,160
0	Total Deposits in Own Bank						ı	10,366,887	120,274	3,892	778	117,160
	Deposit in Other Banks											
Р	Current Accounts:											
Α	1											
	Sub-total						-	-	-	-	-	-
R	Savings Accounts:											
	1											
	2			· · · ·								
	Sub-total						-	-	-	-	-	-
	Time Deposit Accounts:											
	1											
	2											
	3											<u> </u>
	Sub-total						1	-	-	-	-	-
	Total Deposits in Other Banks						-	-	-	-	-	-
	GRAND TOTAL			_			-	10,366,887	120,274	3,892	778	117,160
	GRAND TOTAL PENSION						-	36,388,269	396,925	6,107	2,743	393,560
<u> </u>		·										

		ANNUA	L STATEMENT	of Trust Fund	s for the Year	Ended Decembe	er 31, 2020 of Sun	Life Financial Pla	ns, Inc.
					PEN	ISION PLAN			
			S	SCHEDULE 3 -	Mutual Funds	/ UITF			
Name of		Account		Number of	Net Asset Valu	ue per Unit/Share			
Trustee Bank	Description	/Certificate Number	Acquisition Date	Units/Shares Held	At Acquisition Date	At Closing/ Balance Sheet Date	Acquisition Cost	Market Value	Unrealized Gain (Loss)
1	2	3	4	5	6	7	8	9	10
	Mutual Funds 1 2 3 4 5 Sub-total Unit Investment Trust 1 2 3 4 5 5 5 5 5 5 5 5 5	t Funds			NOT A	PPLICABLE			
	Others								
	1								
	2								
	3								
	4								
	5 Sub-total								
		RAND TOTALS							

		ANNUAL S	TATEMENT of T	rust Funds f	or the Year End	ed December	31, 2	020 of Sun I	Life Financial Pl	ans, Inc.						
					PENSIO	N PLAN										
				SCH	EDULE 4 - Shor	t Term Invest	ment	:s								
Name of		Account/Ce	DAT	E				BALA	ANCE		INTE	REST				
Trustee	Investment Type/Description	rtificate	Acquistion/	Moturity	Annual Rate/Interest	Term/Period	At A	Acquisition/	At Closing/	Collected	Accrued	Accrued	Earned			
Bank		Number	Placement	Maturity	rate/interest		Plac	cement Date	Balance Sheet Date	During the Year	Previous Year	Current Year	During the Year			
1	2	3	4	5	6	7		8	9	During the Year Previous Year Current Year During the 10 11 12 13						
1 Special Deposit Accounts (BSP)																
	2															
	3]	NOT APPLICA	ABLE										
	4															
	5															
	6															
	7															
	TOTAL															

ANNUAL STATEMENT For the Year Ended December 31, 2020 of SUN LIFE FINANCIAL PLANS, INC.

SCHEDULE 5 - CORPORATE BONDS

NAME OF		Description	Credit	Purchased	DA	ΤE	Market	Acquisition			INTERES	S T	
TRUSTEE		(Type, Issuer,)	Rating	From	Acquired	Maturity	Value	Cost	Rate	Collected	Accrued	Accrued	Earned
BANK		Serial No.)	of Issuer							During the Year	Previous Year	Current Year	During the Year
(1)		(2)	(3)	(4)	(5)	(6)	(7)	(8)	(9)	(10)	(11)	(12)	(13)
	1	ABOITIZ EQUITY VENTURES (VTA-T			6/18/2019	6/18/2024	5,098,370	5,000,000					
	2	ABOITIZ POWER CORP. BONDS (TX-			10/25/2018	1/25/2024	4,477,657	4,200,000					
	3	ABOITIZ POWER CORP. BONDS (TX			10/14/2019		4,215,008	4,000,000					
	4	AYALA CORP. FXD RATE BNDS (TX-			7/7/2016	7/7/2023	, ,	7,100,000					
В	5	AYALA LAND INC CORP BOND (VTA-			10/5/2018	10/5/2023		2,100,000					
D	6	AYALA LAND INC. CORP BOND (VTA		4/27/2018	4/27/2023	3,135,963	3,000,000						
0	7	AYALA LAND INC. CORP BOND (VTA-		5/6/2019 3/28/2017	5/6/2026 3/28/2024		3,000,000						
	8	MEGAWORLD CORP. BOND (VTA-TX)	AWORLD CORP. BOND (VTA-TX)-					15,000,000					
В	9	NLEX CORP 7YR (VTA-TX)-OCI			7/4/2018	7/4/2025		1,010,000					
-	10	PETRON CORP. 5YR BONDS (VTA -			10/27/2016			18,000,000					
A	11	SMC GLOBAL P HOLDINGS BOND (VT	-		7/11/2016	7/11/2021	6,671,031	6,700,000					
R	12	SMFB FIXED RATE BONDS (VTA-TX)			3/10/2020	3/10/2027	4,850,442	5,000,000					
	13	UBP FIXED RATE BONDS (VTA-TX)			6/3/2019	6/3/2022	3,111,039	3,000,000					
		GRAND TOTALS				78,255,238	77,110,000		3,160,831	559,606	567,664	3,168,889	

								PENSION PI	ΛN								
								PENSION PI	LAIN								
							SCHEE	OULE 6 - Morto	jage loans								
Name of	Territor Loan Amount of														INTERES	3 T	
Trustee	Address of	(TCT/CCT No.; Location;	(Registry No.; Entry No.;	of Land	of Building	Date	Date	Original	Amount Unpaid	Loaned During	Paid During	Amount Unpaid	Annual	Collected	Accrued	Accrued	Earned
Bank	Mortgagor	Area)	City/Prov.; Amt of Notation)	Mortgaged		Given	Due	Loan	Dec.31 Prev Yr		the Year	Dec.31 Current Yr		During the Year	Previous Year	Current Year	During the Yea
	(1)	(2)	(3)	(4)	(5)	(6)	(7)	(8)	(9)	(10)	(11)	(12)	(13)	(14)	(15)	(16)	(17)
	1																
	3																
	4																
	5																
	6						NOT A	PPLICABL	E								
	7																
	9																
	10															+	+
	11															+	+
	12															+	+
	13															+	+
	14																
	15																
	16																
	17																
	18																
	19																
	20															<u> </u>	

				ANNUAL S	TATEMENT	of Trust Fund	s for the Year En	ded December 3	31, 2020 of S	un Life Financial P	lans, In	с.			
							PENSI	ON PLAN							
							SCHEDULE 7 - P	lanholders' Loa	ins						
Name of		Term o	of Loan	Contract	Amount	Amount of		PRINC	CIPAL				INTERE	ST	
Trustee	Policyholder /	Date of	Date of	Number	of	Original	Amount Unpaid	Loaned During	Paid During	Amount Unpaid	Annual	Collected	Accrued	Accrued	Earned
Bank	Borrower	Loan	Maturity		Insurance	Loan	Dec.31 Prev Yr	the Year	the Year	Dec.31 Current Yr	Rate	During the Year	Previous Year		During the Yea
	(1)	(2)	(3)	(4)	(5)	(6)	(7)	(8)	(9)	(10)	(11)	(12)	(13)	(14)	(15)
	1														
	2														
	3														
	4														
	5														
	6														
	7						NOT APP	LICABLE							
	8 9						11011111	ET C. IDEE							
	10														
	11														
	12														
	13														
	14														
	15														
	16														
	17														
	18 19														
	20														
	21														
	22														
	23														
	24														
	25			_											
		ΤΛ	TAL												

ANNUAL STATEMENT For the Year Ended December 31, 2020 of SUN LIFE FINANCIAL PLANS, INC.

SCHEDULE 8 - STOCKS

AME OF		Certificate	Date	How	Where	Number	Par	Rate Used						DIVIDE	ND		
RUSTEE	DESCRIPTION	Number	Acquired	Acquired	Kept	of Shares	Value	to Obtain	Market Value	Acquisition Cost	Rate for the las	st 3 years proed	ling Current Year	Collected	Accrued	Accrued	Earned
BANK				*				MV			2015	2014	2013	during the Year	Previous Year	Current Year	During the Year
(1)	(2)	(3)	(4)	(5)	(6)	(7)	(8)	(9)	(10)	(11)	(12)	(13)	(14)	(15)	(16)	(17)	(18)
(Common Shares:																
	1 ABOITIZ EQUITY VENTURES					25.640		47	1,211,490	1.852.841							
ŀ	2 ABOITIZ POWER CORP.					61,230		27	1,625,657	2,409,094							
	3 AREIT INC.					25.800		29	757.230	696.600							
_	4 AYALA CORP.					6.950		827	5.747.650	6,545,186							
	5 AYALA LAND					207,550		41	8,488,795	8,577,489							
	6 BANK OF THE PHILIPPINE ISLANDS					46,417		81	3,776,023	4,277,975							
	7 BDO UNIBANK INC.					56,860		107	6,072,648	8,291,665							
	8 DMCI HOLDINGS					139,080		6	787,193	1,936,752							
	9 GLOBE TELECOMS INC					990		2,030	2,009,700	1,920,586							
	10 GT CAPITAL HOLDINGS INC.					3,924		585	2,295,540	4,120,468							
.	11 INT'L CONTAINER					37,600		124	4,643,600	4,312,898							
В	12 JG SUMMIT HOLDINGS INC.					54,107		72	3,874,061	3,672,212							
Α	13 JOLLIBEE FOODS CORP					4,550		195	888,160	1,159,438							
N	14 MANILA ELECTRIC COMPANY					5,230		292	1,527,160	1,767,356							
С	15 MEGAWORLD CORPORATION					431,940		4	1,762,315	2,096,513							
0	16 METRO PACIFIC INVESTMENTS COR	P				538,710		4	2,305,679	3,134,860							
	17 METROPOLITAN BANK & TRUST COM	ΛP				65,356		49	3,205,712	4,914,755							
	18 PETRON					139,900		4	558,201	1,282,883							
	19 PLDT					1,465		1,340	1,963,100	2,095,234							
E	20 PUREGOLD PRICE CLUB INC.					47,940		41	1,965,540	2,335,488							
	21 ROBINSON'S LAND					110,041		21	2,332,869	2,241,153							
	22 ROBINSONS RETAIL HOLDINGS INC.					3,580		65	232,700	233,793							
	23 SECURITY BANK CORPORATION					5,540		134	742,360	1,390,792							
	24 SEMIRARA MINING CORP					69,870		14	962,809	2,560,673							
	25 SM INVESTMENTS CORP					9,340		1,049	9,797,660	9,198,776							
	26 SM PRIME HOLDINGS					162,070		39	6,239,695	5,941,353							
	27 UNIVERSAL ROBINA					24,510		153	3,737,775	3,463,246							
	28																
	29																
	30																
	31																
	32		 														
	33 34		 														
ŀ	34		-														
ŀ																	
	Preferred Shares:																
	1 DOUBLEDRAGON PROP CORP PREF	•				31,400		103	3,221,640	3,350,380							
ļ.	2 GT CAPITAL PREF SERIES A - FVT					4,500		1,000	4,500,000	4,635,000							
Į.	3																
Į.	TOTALS								87,232,961	100,415,460				1,587,776	146,885	92,278	1,533,16
ŀ								_	, -,	,,				.,,	,		.,,.

		Al	NNUAL STA	TEMENT o	f Trust Fur	nds for th	ne Year Ende	d Decembe	r 31, 2020 of	Sun Life Fin	ancial Plans, In	c.			
							PENSION	I PLAN							
						S	CHEDULE 9 -	Real Estate	•						
Name of		Area & Description	Name of	Date	Estimated	Actual	Accumulated	Net Book	Market	Recorded		RENTAL	INCOME		
Trustee Bank	CT/CCT Number.; City/Province	of Lot / Building	Vendor	Acquired	Life of Building	Cost	Depreciation Building	Value	Value per Appraisal	Value	Collected During the Year	Accrued Previous Year	Accrued Current Year	Earned During the Year	Encumbrance (if Any)
1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16
Occupied by the Company: Lot															
							NOT APPL	ICABLE							
	Building														
	Sub-total														
	Lot														
	Building														
	2.1.1.1														
	Sub-total	GRAND TOTALS													

				AN	NNUAL STA	TEMENT of Tr	rust Funds for	the Year Ended De	ecember 31, 20	20 of Sun Life	Financial Plan	s, Inc.						
								PENSION PL	_AN									
							SCH	EDULE 10 - Other I	nvestments									
Name of			Date	Date of	Date of		Value	e per Share	Acquisition	Book Value at					INTE	REST		
Trustee	Description	Certificate No./	Acquired	Placement	Maturity	Number of Shares/Units		At Closing/Balance	Cost (including transaction	Balance Sheet	Market Value	Unrealized Gain (Loss)	Annual	How	Collected	Accrued	Accrued	Earned
Bank		Serial No.				Shares/Offics	Date	Sheet Date	fees)	Date		Gaiii (LOSS)	Rate	Paid *	During the Year	Previous Year	Current Year	During the Year
1	2	3	4	5	6	7	8	9	10	11	12	13 = (12-11)	14	15	16	17	18	19
	Preferred Shares:																	
	1																	
	2																	
	3					NOT	APPLICABI	LE .										
	Real Estate Investment Tru	ıst:																
	1																	
	2																	
	3																	+
	Tier 2 Notes:																	+
	1																	
	;2																	
	3																	
	Service Assets:																	+
	Jei vice Assets:																	+
																		+
	2																	+
	3																	
	*Indicate whether annually, s	TOTAL																

		ANNUAL STATEMENT of Trust Fu			31, 2020 of SUN L	IFE FINANCIAL	PLANS INC.	Ì
				NSION PLAN				
				Accrued Investme				
Name of			Collected	Accrued Inves	stment Income	Unearned Inve	estment Income	Earned
Trustee Bank		Kind of Investment	During the Year	Current Year	Previous Year	Current Year	Previous Year	During the Year (2-3+4+5-6)
1		2	3	4	5	6	7	8
	1	Interest on Government Securities	132,800,015	41,345,340	41,342,035	-	-	132,803,321
0	2	Income on Corporate Bonds	-		-	-	-	
R R		Dividends on Stocks	-		-	-	-	
70		Income on Real Estate	-		-	-	-	
A		Interest on Mortgage Loans	-		-	-	-	
N		Interest on Planholders' Loans	-		-	-	-	
c n		Income on Short Term Investments	-		-	-	-	
0	8	Income on Other Investments	-		-	-	-	
N	9	Interest on Bank Deposits	276,651	1,964	2,215	-	-	276,400
D -		Others:						
E P	10							
L A	11							
R	12							
		Sub-Total	133,076,667	41,347,305	41,344,250	-	-	133,079,721
	1	Interest on Government Securities	37,109,724	9,883,898	9,854,398			37,139,224
В	2	Income on Corporate Bonds	3,160,831	567,664	559,606	_	_	3,168,889
Α		Dividends on Stocks	1,587,776	92,278	146,885	<u>-</u> _	_	1,533,169
N		Income on Real Estate	1,307,770	92,270	140,000		_	1,333,109
С		Interest on Mortgage Loans	-		-		_	
0		Interest on Planholders' Loans			_		_	
P		Income on Short Term Investments	-		_	<u>-</u>	_	
_ A		Income on Other Investments	_		_		_	
D R		Interest on Bank Deposits	120,273	778	3,892		_	117,160
E	_	Others:	-	710	0,002			111,100
	10	Outero.	-					
0	11		_					
R	12		_					
0	<u> </u>	Sub-Total	41,978,604	10,544,618	10,564,781	_	-	41,958,441
		TOTAL	175,055,271	51,891,923	51,909,031	-	-	175,038,162
check			-,,	- , ,	,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,			.,,
		ı					I .	

	Al	NNUAL STATEMENT of Tru	ust Funds for the Year End	ded December 31, 20	020 of Sun Life Fina	ancial Plans, Inc.
			PENSIC	N PLAN		
			SCHEDULE 12	2 - Other Assets		
Name of Trustee		Particulars	Nature of Account	Balance Previous Year	Balance of Current Year	Remarks
1		2	3	4	5	6
Banco De Oro						
Non Par	1	Due from brokers			-	
Banco De Oro			NOT A	PPLICABLE		
Par	1	Due from brokers		-	-	
		TOTAL		-	-	

ANNUAL STATEMENT of Trust Funds for the Year Ended December 31, 2020 of Sun Life Financial Plans, Inc. **PENSION PLAN SCHEDULE 13 - Accrued Trust Fees** Name of Balance Balance of Nature Trustee Particulars Remarks of Account Previous Year **Current Year** Bank 2 3 4 5 6 Banco De Oro Non Par 1 Accrued trust fee payable 688,737 736,092 688,737 736,092 Banco De Oro Par 1 Accrued trust fee payable 198,803 206,282 198,803 206,282 **TOTAL** 887,540 942,374

				ed December 31, 202		•
			PENSIOI	N PLAN		
			SCHEDULE 14 -	Accrued Taxes		
Name of			Nature	Balance	Balance of	Б
Trustee Bank		Particulars	of Account	Previous Year	Current Year	Remarks
1		2	3	4	5	6
	1					
	2					
	3		NOT	APPLICABLE		
	5		-, -			
	6					
	7					
		TOTAL				

ANNUAL STATEMENT of Trust Funds for the Year Ended December 31, 2019 of Sun Life Financial Plans, Inc. **PENSION PLAN SCHEDULE 15 - Other Liabilities** Name of Nature Balance Balance of Trustee Particulars Remarks of Account Previous Year **Current Year** Bank 2 4 5 Banco De Oro Par 1 Other expenses payable 690 727 2 3 **TOTAL** 690 727

PENSION PLAN

SCHEDULE 16 Retained Earnings

Name of Trustee Bank		Retained Earnings, Beginning	Realized Income	Unrealized Income	Prior Period Adjustments	Retained Earnings, End
1		2	3	4	5	6
Banco						
De Oro						
Non Par	1	3,907,844,385	124,491,237	-	-	4,032,335,622
	2					
Banco						
De Oro						
Par	3	656,609,664	33,243,555	-	-	689,853,219
	4					
	5					
	6					
	7					
TOTAL		4,564,454,049	157,734,792	-	-	4,722,188,841

ANNUAL STATEMENT OF TRUST FUND FOR THE YEAR ENDED DECEMBER 31, 2020 OF SUN LIFE FINANCIAL PLANS, INC. PENSION PLAN

REPUBLIC OF THE PHILIPPINES	
MAKATI, METRO MANILA	S.S

Alexander S. Narciso, President; Maria Sachiko A. Pang, Chief Actuary; Sherwin S. Sampang Treasurer, and Chief Accountant & Comptroller of the Company being duly sworn, each for herself/himself deposes and says that they are herein described officers of the said company, and that on the 31st day of December last, all herein described assets were the absolute properties of the said company, free and clear from any liens or claims thereon, except as herein stated, and that the foregoing statement, with the schedules and explanations therein contained, annexed or referred to are full and correct statement of all the Assets; Liabilities, Income and Expenses, and of the condition and affairs of the said company on the said thirty-first day of December last, and for the year ended on that date, according to the best of their information, knowledge and belief, respectively.

	8 7		
			President
	sapang	(Chief Actuary
5	Treasurer/Chief Acco	ountant and	l Comptroller

BUIS THE ASSESSMENT OF THE PROPERTY OF THE PRO

Corporate I	Residence (Certificate 1	No	00042057	BREAMBETNIEATH
issued at	T	aguig City			
on	January	05, 2021			
Passport No	o. P2690	0677B/	SSS No.	33-1810926-3/	Passport
No. / P9	427178A	issued at	Manila	Makati/ Manila	on
07/31/2019	;N/A; 11	/06/2018			

3 0 APR 2021

Subscribed and sworn to before me this	th day of	, 2021
Doc No.		4 2
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Book No		DEC. 91, 2021 15 701-04-21 CY 2021
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