

SUN LIFE OF CANADA (PHILIPPINES), INC.

AGENDA FOR THE REGULAR MEETING OF THE RISK MANAGEMENT COMMITTEE

Date : 08 May 2020
 Duration : 8:50 a.m. – 10:20 a.m.
 Place : Zoom Video Conference

BUSINESS		RESPONSIBLE PARTY	ACTION REQUIRED	APPENDIX
1	Call to Order (8:50)	Chairman		
2	Certification of Notice and Quorum (8:51)	Corporate Secretary	Note	
3	Minutes of the Previous Meeting (04 December 2019) (8:52- 8:53)	Chairman	Approve	/
4	Matters Arising from the Previous Meeting (2) (8:53-8:55)	Chairman	Note	/
5	Covid-19 Update Report (8:55-9:25)	Alex S. Narciso	Note	/
6	Key Risks Update, including: (9:25-9:45)	Chairman		
6a	a. Key Risks Update	Ria V. Mercado	Note	/
6b	b. 2020 Key Risks	Ria V. Mercado	Note	/
6c	c. Annual Risk Questionnaire Results	Ria V. Mercado	Note	/
6d	d. Market Risk Tolerance Limit (MRTL)	Maria Sachiko A. Pang	Note	/
7	Compliance Report (9:45-9:55)	Ma. Jemilyn S. Camania	Note	/
8	2020 Compliance Plan (9:55 – 9:56)	Ma. Jemilyn S. Camania	Approve	/
9	Private Meeting Between the Committee and the Compliance Officer (9:56-10:05)	Chairman		
10	Private Meeting Between the Committee and the Risk Officer (10:05-10:10)	Chairman		
11	Forward Agenda (10:11)	Corporate Secretary	Note	/
12	Review of Committee Charter (10:12)	Corporate Secretary	Approve	/
13	Other Matters, if any (10:13)	Chairman	Note	
14	Adjournment (10:15)	Chairman	Approve	

Distribution List

Committee Members

- Jose Isidro N. Camacho*, Committee Chairman
- Leo Grepin, Director
- Francisco S. A. Sandejas*

Attendees

- Benedicto C. Sison, CEO & Country Head
- Alexander S. Narciso, President
- Lucy C.L. Chou, Director
- Maria Josefina A. Castillo, Treasurer & CFO
- Maria Sachiko A. Pang, Chief Actuary
- Ria V. Mercado, Risk Officer
- Jon Ross, Chief Compliance Officer, Sun Life Asia
- Ma. Jemilyn S. Camania, Compliance Officer
- Michael Gerard D. Enriquez, Chief Investment Officer
- Teodoro S. Pineda, Jr., Chief Technology Officer
- Ma. Karenina M. Casas, Chief Operating Officer
- Subra Ramakrishnan, Chief Business Transformation
- Joel O. Bungabong, Internal Auditor
- Teresa de Lara, VP-Internal Audit, Sun Life Asia
- Edgar S. Tordesillas, General Counsel
- Anna Katrina C. Kabigting-Ibero, Corporate Secretary
- Winlove Apple R. Sandalo-Esperanza, Assistant Corporate Secretary
- Maria Junifer L. Maliglig, Law Clerk

* Independent Director

SUN LIFE OF CANADA (PHILIPPINES), INC.

AGENDA FOR THE REGULAR MEETING OF THE RISK MANAGEMENT COMMITTEE

Date : 02 June 2020
 Duration : 9:00 a.m. – 10:00 a.m.
 Place : Zoom Meeting Conference

BUSINESS		RESPONSIBLE PARTY	ACTION REQUIRED	APPENDIX
1	Call to Order (9:00)	Chairman		
2	Certification of Notice and Quorum (9:01)	Corporate Secretary	Note	
3	Minutes of the Previous Meeting (08 May 2020) (9:02-9:03)	Chairman	Approve	/
4	Matters Arising from the Previous Meeting (2) (9:03-9:10)	Chairman	Note	/
5	Key Risks Update, including: (9:10-9:25)	Chairman		
5a	e. Key Risks Update	Ria V. Mercado	Note	/
5b	f. Stress Testing Results	Maria Sachiko A. Pang	Note	/
6	Compliance Report (9:25-9:35)	Ma. Jemilyn S. Camania	Note	/
7	Private Meeting Between the Committee and the Compliance Officer (9:35-9:40)	Chairman		
8	Private Meeting Between the Committee and the Risk Officer (9:40-9:45)	Chairman		
9	Forward Agenda (9:46)	Corporate Secretary	Note	/
10	Adjournment (9:47)	Chairman	Approve	

Distribution List

Committee Members

- Jose Isidro N. Camacho*, Committee Chairman
- Leo Grepin, Director
- Francisco S. A. Sandejas*

Attendees

- Benedicto C. Sison, CEO & Country Head
- Alexander S. Narciso, President
- Lucy C.L. Chou, Director
- Maria Josefina A. Castillo, Treasurer & CFO
- Maria Sachiko A. Pang, Chief Actuary

- Ria V. Mercado, Risk Officer
- Jon Ross, Chief Compliance Officer, Sun Life Asia
- Ma. Jemilyn S. Camania, Compliance Officer
- Michael Gerard D. Enriquez, Chief Investment Officer
- Teodoro S. Pineda, Jr., Chief Technology Officer
- Joel O. Bungabong, Internal Auditor
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* Independent Director

SUN LIFE OF CANADA (PHILIPPINES), INC.

AGENDA FOR THE REGULAR MEETING OF THE RISK MANAGEMENT COMMITTEE

Date : 08 September 2020
 Duration : 9:30 a.m. – 10:30 a.m.
 Place : Zoom Video Conference

BUSINESS		RESPONSIBLE PARTY	ACTION REQUIRED	APPENDIX
1	Call to Order (9:30)	Chairman		
2	Certification of Notice and Quorum (9:31)	Corporate Secretary	Note	
3	Minutes of the Previous Meeting (02 June 2020) (9:32-9:33)	Chairman	Approve	/
4	Matters Arising from the Previous Meeting (3) (9:33-9:35)	Chairman	Note	/
5	Key Risks Update, including: (9:35-10:00)	Chairman		
5a	g. Key Risks Update	Ria V. Mercado	Note	/
5b	h. Market Risk Tolerance Limit (MRTL)	Maria Sachiko A. Pang	Note	/
6	Compliance Report (10:00-10:15)	Ma. Jemilyn S. Camania	Note	/
7	Private Meeting Between the Committee and the Compliance Officer (10:15-10:20)	Chairman		
8	Private Meeting Between the Committee and the Risk Officer (10:20-10:25)	Chairman		
9	Forward Agenda (10:26-10:27)	Corporate Secretary	Note	/
10	Other matters, if any (10:27)	Chairman	Note	
11	Adjournment (10:28)	Chairman	Approve	

Distribution List

Committee Members

- Jose Isidro N. Camacho*, Committee Chairman
- Leo Grepin, Director
- Francisco S. A. Sandejas*

Attendees

- Benedicto C. Sison, CEO & Country Head
- Alexander S. Narciso, President

- Lucy C.L. Chou, Director
- Maria Josefina A. Castillo, Treasurer & CFO
- Maria Sachiko A. Pang, Chief Actuary
- Ria V. Mercado, Risk Officer
- Jon Ross, Chief Compliance Officer, Sun Life Asia
- Ma. Jemilyn S. Camania, Compliance Officer
- Michael Gerard D. Enriquez, Chief Investment Officer
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SUN LIFE OF CANADA (PHILIPPINES), INC.

AGENDA FOR THE REGULAR MEETING OF THE RISK MANAGEMENT COMMITTEE

Date : 04 December 2020
Duration : 3:00 p.m. – 4:00 p.m.
Place : Zoom Video Conference

BUSINESS		RESPONSIBLE PARTY	ACTION REQUIRED	APPEN DIX
1	Call to Order (3:00)	Chairman		
2	Certification of Notice and Quorum (3:01)	Corporate Secretary	Note	
3	Minutes of the Previous Meeting (08 September 2020) (3:01-3:02)	Chairman	Approve	/
4	Matters Arising from the Previous Meeting: none (3:02-3:03)	Chairman	Note	/
5	Key Risks Update, including:	Chairman		
5a	i. Key Risks Update (3:03-3:15)	Ria V. Mercado	Note	/
5b	j. Market Risk Tolerance Limit (MRTL) (3:15-3:25)	Maria Sachiko A. Pang	Note	/
6	Compliance Report (3:25-3:40)	Ma. Jemilyn S. Camania	Note	/
7	Private Meeting Between the Committee and the Compliance Officer (3:40-3:45)	Chairman	Note	
8	Private Meeting Between the Committee and the Risk Officer (3:45-3:50)	Chairman	Note	
9	Forward Agenda (3:51)	Corporate Secretary	Note	/
10	Schedule of Meetings for 2021-2022 (3:52)	Corporate Secretary	Approve	/
11	Other Matters, if any (3:53)	Chairman	Note	
12	Adjournment (3:54)	Chairman	Approve	

Distribution List

Committee Members

- Jose Isidro N. Camacho*, Committee Chairman
- Leo M. Grepin, Director
- Francisco S. A. Sandejas*

Attendees

- Benedicto C. Sison, CEO & Country Head
- Alexander S. Narciso, President
- Lucy C.L. Chou, Director
- Maria Josefina A. Castillo, Treasurer & CFO
- Maria Sachiko A. Pang, Chief Actuary
- Ria V. Mercado, Risk Officer
- Ma. Jemilyn S. Camania, Compliance Officer
- Michael Gerard D. Enriquez, Chief Investment Officer
- Teodoro S. Pineda, Jr., Chief Technology Officer
- Joel O. Bungabong, Internal Auditor
- Teresa de Lara, VP-Internal Audit, Sun Life Asia
- Edgar S. Tordesillas, General Counsel
- Anna Katrina C. Kabigting-Ibero, Corporate Secretary
- Winlove Apple R. Sandalo-Esperanza, Assistant Corporate Secretary
- Maria Junifer L. Maliglig, Law Clerk

* Independent Director